



#### 1 Article

#### High Human Papillomavirus DNA loads in 2

#### **Inflammatory Middle Ear Diseases** 3

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#### 12 Abstract:

13 Background. Previous studies reported human papillomaviruses (HPVs) in middle ear tumors, 14 whereas these viruses have been poorly investigated in chronic inflammatory middle ear diseases. 15 The purpose of this study was to investigate HPVs in non-tumor middle ear diseases, including 16 chronic otitis media (COM). Methods. COM specimens (n=52), including chronic suppurative otitis 17 media (CSOM) (n=38) and cholesteatoma (COMC) (n=14), as well as normal middle ear specimens 18 (NME) (n=56) were analyzed. HPV DNA sequences and DNA loads were analyzed by quantitative 19 PCR. HPV genotyping was performed by direct sequencing of the amplimers. Results. HPV DNA 20 was detected in 23% (12/52) of COM and in 30.4% (17/56) NME (p>0.05). Specifically, HPV DNA 21 sequences were revealed in 26.3% (10/38) of CSOM and in 14.3% (2/14) COMC (p>.05). Interestingly, 22 the HPV DNA load was higher in COMC (mean 7.47 copy/cell) than in CSOM (mean 1.02 copy/cell), 23 and NME (mean 1.18 copy/cell) (P=.03 and P=.017 versus CSOM and NME, respectively). HPV16 24 and HPV18 were the main genotypes detected in COMC, CSOM and NME. Conclusions. This data 25 indicates that HPV-positive CSOM and COMC are generally associated with higher viral DNA 26 loads as compared to NME. In addition, for the first time, HPVs were detected in normal middle 27 ear mucosa specimens. This result suggests that NME is an additional epithelial tissue that can be 28 HPV infected.

- 29 Keywords: HPV; infection; viral DNA load; inflammation; middle ear; chronic otitis media
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#### 31 1. Introduction

32 HPV infection is often associated to benign diseases and malignant tumors affecting the upper 33 respiratory tract, including respiratory papillomatosis and oropharyngeal cancers [1,2]. Several 34 studies also reported the HPV involvement in the development of middle ear squamous cell 35 carcinoma [3-9]. Few studies are currently available for HPV in non-tumor middle ear diseases, such 36 as chronic otitis media (COM), including chronic suppurative otitis media (CSOM) and chronic otitis 37 media with cholesteatoma (COMC) [3-10].

38 CSOM is a middle ear disease relies on chronic inflammation. Different pro-39 inflammatory cytokines, such as TNF- $\alpha$ , IL-1 $\beta$ , IFN- $\gamma$ , IL-6, have been found to be up-regulated in 40 the middle ear mucosa sampled from CSOM patients [11]. However, the etiology of CSOM remains 41 to be determined. The relationship between HPV infection and inflammation has been previously

42 reported [12]. It has been shown that persistent infection of high risk HPVs leads to the increase of 43 proinflammatory cytokines, including IL-6, TNF- $\alpha$ , and MIP-1 $\alpha$  [13]. In addition, high-risk HPV type 44 16 (HPV16) is able to increase the expression of cyclooxygenase- (COX-) 2, a key enzyme in the 45 synthesis of prostaglandins, which are important mediators of inflammation [14,15]. Until now, only 46 a single study reported HPV DNA sequences in CSOM, whereby different HPV genotypes, as HPV16, 47 HPV18 and HPV6, have been detected in 30.7% of CSOM [4].

48 COMC is a form of expanding growth consisting of keratinizing squamous cell epithelium [16]. 49 There is a great interest into the etiopathogenesis of HPV-associated cholesteatoma because HPV 50 commonly infects the stratified epithelium [17,18]. However, conflicting data have been reported for 51 HPV in COMC [10,19-21]. HPV sequences have been detected in COMC at different prevalence, 52 ranging from 3% to 70% [10,19–21]. Moreover, no specific HPV genotypes have been associated to 53 COMC, as high- and low-risk HPVs, such as HPV16, HPV18 and HPV6 and HPV11, have been 54 detected [10,19–21]. 55 One emerging evidence is that HPV infection can occur in different anatomical sites. Since HPV 56 infects epithelia [22], all anatomical sites covered with epithelial tissues are potentially exposed to

Hetts epintena [22], an anatomical sites covered with epintenal tissues are potentially exposed to HPV infection. Apart from pluristratified tissues of cervix [23], vulva [24], and oral-pharynx [25], HPV sequences have been detected in simple epithelia from several anatomical districts, such as lung [26], upper respiratory tract [27], larynx [28] and nose [29]. Since the middle ear mucosa is composed of respiratory epithelium, and it is connected with the Eustachian tube to the oral and respiratory regions, HPV infection may also occur in the middle ear mucosa. However, previous studies have been mainly performed in COM and tumor samples, while no studies are currently available on the HPV infection in normal middle ear mucosa.

64 Therefore, in this study, HPV sequences, viral DNA load and HPV genotypes were investigated
65 in middle ear specimens from patients affected by COM, including CSOM and COMC, as well as in
66 normal middle ear specimens (NME).

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## 68 2. Results

69 2.1. HPV DNA detection

HPV sequences were investigated by quantitative PCR (qPCR) in COM specimens (n=52),
including CSOM (n=38) and COMC (n=14), as well as in NME specimens (n=56). Overall, HPV DNA
was detected in 23% (12/52) of COM and 30.4% (17/56) NME specimens (p>.05; Figure 1). Specifically,
26.3% (10/38) of CSOM and 14.3% (2/14) of COMC tested positive for HPV sequences (p>.05; Figure

74 1).



*Figure 1.* Prevalence of HPV DNA in middle ear mucosa specimens. The presence of HPV DNA was
 investigated in chronic otitis media specimens (n=52) (COM), including chronic suppurative otitis
 media (n=38) (CSOM) and chronic otitis media with cholesteatoma (n=14) (COMC) as well as normal
 middle ear specimens (n=56) (NME). No statistically significant differences were observed within
 groups (P>0.05).

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### 82 2.2. *HPV DNA load*

83 HPV DNA load from middle ear mucosa specimens was determined by qPCR (Figure 2, Table

1). The mean of HPV DNA load was 2.09 copy/cell (range 0.01-8.67 copy/cell) in COM (n=12) and 1.18

85 copy/cell (range 0.20-1.96 copy/cell) in NME (n=17) specimens (Figure 2).

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*Figure 2.* Mean HPV DNA load detected by qPCR analysis. The mean HPV DNA load (viral DNA copy/cell) was determined in HPV-positive chronic otitis media specimens (n=12) (COM), including chronic suppurative otitis media (n=10) (CSOM) and chronic otitis media with cholesteatoma (n=2) (COMC) as well as normal middle ear specimens (n=17) (NME). Error bars represent standard error of mean. \*P<.05 versus CSOM and NME.</li>

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94 Specifically, the mean HPV DNA load was 1.02 copy/cell (range 0.01-2.36 copy/cell) in CSOM 95 (n=10) and 7.47 copy/cell (range 6.28-8.67 copy/cell) in COMC (n=2) specimens. The difference of the 96 HPV DNA load between COMC and CSOM as well as between COMC and NME was statistically 97 significant (P=.03 and P=.017 versus CSOM and NME, respectively, Table 1). Although the number 98 of COMC was very limited in sample size (n=14), it was interesting to verify that the two HPV-99 positive COMC samples carried a viral DNA load three- and four-fold higher than the highest viral 100 DNA load detected in the HPV-positive CSOM and NME samples, respectively.

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Table 1. Mean HPV DNA load in middle ear mucosa specimens revealed by qPCR analysis.

Middle ear mucosa specimens	Number of patients	Mean HPV DNA load (copy/cell)	Range (copy/cell)
СОМ	12	2.09	0.01-8.67
CSOM	10	1.02	0.01-2.36
COMC	2	7.47 † ‡	6.28-8.67
NME	17	1.18	0.20-1.96

COM: chronic otitis media; CSOM: chronic suppurative otitis media; COMC: chronic otitis media with cholesteatoma; NME: normal middle ear. <sup>†</sup> P=.030 versus CSOM; <sup>‡</sup>P=.017 versus NME.

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# 107 2.3. HPV genotyping

108 HPV genotypes were determined by direct sequencing analysis in HPV-positive (n=29) middle 109 ear mucosa specimens. Twenty-nine qPCR products, from CSOM (n=10), COMC (n=2) and NME 110 (n=17) were sequenced. DNA sequencing confirmed the presence of HPV in all specimens analyzed. 111 HPV genotypes belonged to HPV16, HPV18, and HPV11. Specifically, HPV16 genotype was present 112 in 100% (2/2) COMC, 50% (5/10) CSOM and 52% (9/17) NME, while HPV18 genotype was detected 113 in 20% (2/10) CSOM and 23.5% (4/17) NME. HPV11 genotype was detected in 20% (2/10) and 5.9% 114 (1/17) CSOM and NME, respectively. The simultaneous presence of HPV16 and HPV18 genotypes 115 was detected in 10% (1/10) and 17.6% (3/17) CSOM and NME, respectively.

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## 117 2.4. Association between HPV and co-factors

118To evaluate the involvement of HPV co-factors in the etiopathogenesis of COM, a univariate119analysis was performed between HPV-positive specimens and age, smoke and gender (Table 2).120Results indicated that the HPV prevalence was higher in CSOM patients aged  $\geq 65$  yrs compared to121age-matched NME and to CSOM patients aged  $\leq 64$  yrs (P=.006 and P=.020 versus NME and CSOM,122respectively; Table 2). Moreover, HPV prevalence was higher in NME specimens from smokers than123in NME from non-smokers (P=.037; Table 2).

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 Table 2. Association between HPV and co-factors in CSOM, COMC and NME.

PATIENTS	CSOM	СОМС	NME
Age ( <u>yrs</u> )			
≤64	5/22 (23)	1/14 (7)	16/44 (36)
≥65	10/16 (63) ‡	0/0 (0)	1/12 (8)
Smoke			
Yes	4/10 (40)	1/6 (17)	5/7 (71)§
No	6/28 (21)	0/8 (0)	12/49 (25)
Sex			
М	5/20 (25)	1/6 (17)	6/20 (30)
F	5/18 (28)	0/8 (0)	11/36 (31)

### 130 3. Discussion

In this study, HPV DNA sequences, viral DNA loads and genotypes were investigated in chronic
 otitis media (COM) specimens, including chronic suppurative otitis media (CSOM) and chronic otitis
 media with cholesteatoma (COMC), as well as in normal middle ear (NME) specimens.

134 CSOM is a middle ear disease, which depends on chronic inflammation. The aetiology of CSOM 135 is largely unknown. High- and low-risk HPV sequences in 30.7% of CSOM have been previously 136 reported [4]. Similarly, in the present study, 26% CSOM specimens tested positive for HPV, including 137 high-risk and low-risk HPV genotypes, i.e. HPV16, HPV18 and HPV11. As HPV prevalence, viral 138 DNA load and genotypes were similar in CSOM and NME specimens, no association between HPV 139 and CSOM was found. However, a significant higher HPV prevalence was detected in CSOM patients 140 ≥65 years old than in CSOM patients ≤65 years old, suggesting that HPV infection and old age may 141 be co-factors in the etiopathogenesis of CSOM. One explanation is that HPV infection may persist 142 longer in the middle ear mucosa of older than younger individuals, as shown for HPV infection in 143 uterine cervix [30]. Considering the already known promoting role of the high-risk HPV infection in 144 inflammation, our results suggest that the high-risk HPV infection may play a role in triggering 145 inflammation of the middle ear mucosa in the elderly, ultimately leading to the development of 146 CSOM. Thus, high-risk HPV infection of middle ear may explain, at least in part, in a subset of COM, 147 the etiopathogenesis of CSOM.

148 COMC is a disorder of the middle ear consisting of keratinizing squamous cell epithelium. 149 Studies on HPV in COMC are widely different in prevalence rates, ranging from 3% to 70% [19,31,32], 150 with some reporting detection of only low-risk HPVs, HPV6 and HPV11 [3,10], and others both low-151 risk and high-risk HPVs, mainly HPV16 [3]. In our study, the HPV prevalence was 14% in COMC, 152 and the high-risk HPV16 was the only genotype detected. Interestingly, the HPV16 DNA load was 153 significantly higher in COMC (mean 7.47 copy/cell) compared to CSOM (mean 1.02 copy/cell) and 154 NME (mean 1.18 copy/cell). This result may reflect the higher proliferation rate of HPV-infected cells 155 from COMC compared to CSOM and NME, suggesting that viral DNA replication may occur in 156 COMC. It has been reported that HPV infection leads to the expression of the viral oncoproteins E6 157 and E7, which stimulate cell growth and viral DNA replication [22,33–37]. Although no studies are 158 available on HPV DNA load in normal and pathological middle ear tissues, our results are in 159 agreement with previous uterine cervix investigations reporting mean of viral DNA copy/cell from 160 0.1 to 18 in cervical intraepithelial neoplasia and less than one viral DNA copy/cell in normal cervical 161 tissues [37,38]. Thus, our data support and extend previous results confirming that HPV sequences 162 can be detected in COMC. Moreover, the increased viral DNA load in COMC compared to CSOM 163 and NME provides indirect evidence of an active infection, suggesting that HPV may play a role in 164 the development of COMC.

The role of the HPV infection in middle ear diseases onset remains to be elucidated. In this context, it should be recalled that some HPV-infected subjects, due to their genetic or immunological characteristics, are more prone/susceptible to the viral activity leading to diseases, as reported for the HPV-positive uterine cervix tissues [30]. It is possible that older patients, due to the immune senescence, do not react properly to HPV infection in the middle ear mucosa, thus favoring with the higher HPV DNA load the inflammation process and the disease onset/progression.

171 Notably, it cannot be excluded that the presence of concomitant pathogenic agents, during HPV 172 infection, could potentially increase the host susceptibility in developing inflammatory middle ear 173 diseases. Indeed, a number of case–control studies reported an association between pathogenic 174 infectious agents, such as *Staphylococcus aureus* [39,40] and *Pseudomonas aeruginosa* [41–43] with 175 inflammatory middle ear diseases. Further studies based on the investigation of viral and bacterial 176 co-infections may clarify this issue. The difference in HPV DNA prevalence, among available studies, could be related to (i) differences in sampling, i.e. frozen storage, as in our study vs formalin fixed [10,19–21]; (ii) storage conditions, (iii) method sensitivity for HPV DNA isolation and PCR detection [10,19,20]. Further studies with a larger sample size including cancer tissues are needed to assess the role of the highrisk HPV infection in COMC.

182 In this study, for the first time, HPV sequences were detected in NME. Indeed, HPV sequences 183 were found in 30% of NME, indicating that the middle ear mucosa is an additional epithelial tissue 184 susceptible to the HPV infection. High-risk and low-risk HPV genotypes, i.e. HPV16, HPV18 and 185 HPV11, were detected in the middle ear mucosa. This result is in agreement with previous studies, 186 which reported HPV infection in several normal tissues [25,44]. Although middle ear epithelium is 187 not considered the primary target tissue of HPV, factors such as inflammation and smoke can trigger 188 the development of metaplastic tissue, which is the preferred target tissue of the viral infection. It is 189 well recognized that metaplasia arises in the middle ear during acute and chronic events triggered 190 by infection agents or smoke. Accordingly, in our study HPV sequences were found to be present at 191 higher prevalence in NME specimens from smokers than in NME from non-smokers, indicating that 192 smoke may favor HPV middle ear infection.

### 193 4. Materials and Methods

## 194 *4.1. Patients and specimens*

195 Middle ear mucosa specimens were collected from patients (n=52) suffering of COM (mean age 196  $\pm$  standard deviation [SD], 47.6 $\pm$ 16.2 yrs), including CSOM (n=38) (mean age  $\pm$  [SD], 53.7  $\pm$  18.8 yrs) 197 and COMC (n=14) (mean age  $\pm$  [SD], 41.3  $\pm$  13.6 yrs). NME specimens (n=56) (mean age $\pm$ [SD], 198 44.2±19.4 yrs) were collected from patients undergoing ear surgery for cochlear implantation or 199 stapedoplasty. Exclusion criteria were: no previous ear surgery. Middle ear mucosa specimens were 200 collected during surgery, or by middle ear swab through a tympanic perforation with a micro-201 otoscopy. The study was performed in accordance with the Declaration of Helsinki (2008). 202 Institutional Review Board (IRB) approval was obtained from University Hospital of Ferrara Ethical 203 Committee (Authorization n. 160986, December 12th, 2016). Informed written consents were obtained 204 from patients.

## 205 4.2. DNA isolation

206 DNA was isolated according to standard procedures [45,46]. Briefly, tissue specimens were 207 incubated overnight with 100 ng/µl of proteinase K at 56°C to allow tissue digestion [47]. DNA was 208 isolated using a QIAmp DNA Blood and Tissue Extraction Kit (Qiagen, Milan, Italy) [48]. For control, 209 DNAs were extracted together with a sample of salmon sperm DNA and a mock sample lacking 210 DNA. After purification, DNA was quantified by spectrophotometric reading (NanoDrop 2000, 211 Thermo Scientific). DNA suitability for PCR analysis was evaluated amplifying the  $\beta$ -globin gene [18]. 212 DNA samples were then stored at -80°C until the time of the analysis.

#### 213 4.3. Viral DNA load quantification

214 HPV DNA load was quantified by quantitative PCR (qPCR) assay, using SYBR green, with the 215 CFX96 Touch<sup>™</sup> RT-PCR Detection System (Bio-Rad, Segrate, Milan, Italy). DNA samples were 216 analyzed by qPCR for HPV DNA sequences using the universal primer pair GP5+/GP6+, as reported 217 before [49]. Briefly, 50 ng of human genomic DNA were used in 10 µl qPCR reactions, including 2x 218 of the SsoAdvanced Universal SYBR Green Supermix, Bio-Rad (Hercules, CA, USA) and 0.5 µM of 219 each primer. PCR thermal conditions were: an initial step at 95°C for 5 min and 40 cycles at 95°C for 220 15s and 60°C for 30s [49]. Each qPCR experiment was carried out using the recombinant plasmid 221 vector containing the complete HPV16 genome (NC\_001526.4), used as positive control. A standard 222 curve was employed using 10-fold dilutions, from 10<sup>8</sup> to 10 copies, of specific recombinant plasmid 223 to calculate the viral DNA load [50]. Human  $\beta$ -globin gene was used to determine the human cell 224 equivalents of each sample under qPCR analysis. HPV DNA load values were reported as viral copies per human cell equivalents (copy/cell). Negative controls were the two samples used during the DNA
extraction, i.e. salmon sperm DNA and mock samples, and two qPCR controls, including HPV free
human DNA and a non-template control [51]. Samples were run in triplicate for each qPCR assay.
Experiments were run three times by different operators.

## 229 4.4. HPV genotyping

HPV genotypes were determined by direct sequencing analysis in HPV-positive middle ear samples carrying at least 1 copy/cell of viral DNA. qPCR amplicons were purified using the QIAquick PCR Purification Kit (Qiagen) [52]. Purified qPCR amplicons were sequenced with automated ABIPrism 3730xl Genetic Analyser (Applied Biosystems) [53]. The resulting HPV DNA sequences were BLAST versus HPV DNA belonging to different viral strains present in the National Center for Biotechnology Information (NCBI) database (<u>http://www.ncbi.nlm.nih.gov/blast/Blast.cgi</u>) [54].

## 4.5. Statistical analysis

The prevalence of HPV DNA in CSOM, COMC and NME specimens was evaluated by a twosided chi-square test. Viral DNA load values were analyzed with the D'Agostino-Pearson test and means were compared with the non-parametric Kolmogorov e Smirnov test. Univariate analysis was employed to compare the features of CSOM, COMC and NME patients, such as age, gender and smoke, in association with HPV. Statistical analyses were performed using Graph Pad Prism version 5.0 for Windows (Graph Pad, La Jolla, CA, USA) [55,56]. P-values <.05 were considered statistically significant [57].

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## 245 5. Conclusions

246 In conclusion, this study shows that HPV sequences are present in CSOM, COMC and normal 247 middle ear specimens. We also show that the high risk HPV16 and HPV18 are the main genotypes 248 detected in CSOM, COMC and NME specimens. Lastly, although HPVs have been detected in CSOM, 249 COMC and NME with similar prevalence, high risk HPV DNA load was higher in COMC compared 250 to CSOM and NME. Altogether these data indicate that CSOM and COMC epithelia and normal 251 middle ear mucosa are target tissues of HPV infection. It remains to be assessed whether the higher 252 HPV DNA load detected in COMC is significant for a putative pathogenic role of HPV in this middle 253 ear disease.

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