# Spine (Phila Pa 1976) TRANSPARS MICROSCOPIC APPROACH FOR THE TREATMENT OF PURELY FORAMINAL HERNIATED LUMBAR DISC: A CLINICAL, RADIOLOGICAL TWO-CENTER STUDY

--Manuscript Draft--

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Dear Editor,

Thank you for the possibility to resubmit this paper. We have greatly appreciated the reviewers' comments, since those criticisms have given us the opportunity to improve the quality of our article. Reviewers' comments:

Reviewer #2: This is an interesting study examining outcomes of intraforaminal disc herniation operated via a transpars approach. I have following critics:

1-They have not compared their cases with another approach.

1R-you are right. The aim of this study is to analyze safety and efficacy of the transpars approach alone. The next step will be an

anatomical/radiological study comparing different corridors followed by a clinical study

2-The outcome scores would be more reliable if they have used Oswestry disability index instead of Macnab criteria. They could have given leg pain numeric ratings and back pain numeric ratings separately

2R-We discussed on this during the preclinical phase of this study. I agree with you that ODI provides a good measure of disability. Macnab scale is, instead, rough, lacking detailed metrics of symptomatology and cannot be used alone. Therefore, we decided to couple Macnab scale with other outcome measures (drugs intake, working days lost) and with another pain evaluationg scale: NRS. In this way we were able to obtain a simple information on outcome (Macnab) and a patient self-evaluation of pain. To date it is not possible to add another scale such as ODI, since the ODI questionnaire cannot be filled in retrospectively.

We have added a brief sentence in the discussion on this limitation.

In this study, all patients had very intense radicular pain prior to surgery. All patients reported preoperative back pain to be negligible, since leg pain was totally predominant. Therefore, we only evaluated leg pain with NRS

3-They have done no discussion on lateral (inter-transverse) approach for foraminal disc herniations.

3R-we have now briefly discussed on this.

Reviewer #3: In this study, the authors report the results of a prospective study of 47 patients that underwent a transpars approach to a far lateral lubar disc herniation. Length of followup was 12 months. Patients were evaluated with regard to work status, NRS, neurologic status, and medication use pre- and post-operatively. Postoperatively, patients also undwent dynamic xrays looking for instability as well as outcome assessment using McNabb's criteria.

Overall the patients did well, with 93.3% reporting good or excellent outcome. There were no complications and only patient with new detected spondylolisthesis.

The authors conclude that the procedure is safe and effective.

This is a good manuscript that describes a useful technique. There are a few areas that could use improvement however:

1. Page 1, lines 2-3: What were the exclusion criteria? Were any patients excluded?

1R. We have now added in the methods that "Contraindications to surgery were active cardiovascular disease (acute heart insufficiency, recent myocardial infarction, instable coronary syndrome) and other contraindications to general anesthesia (i.e. pneumonia, sepsis etc...). No patients were excluded from this study.

2. Page 1, lines 50-51: I doubt that a twist drill was actually used. Did the authors mean high speed drill? 2R. we have now corrected this.

3. Page 3, lines 9-10: What percentage of patients during this time that had far lateral discs had the procedure or were included in the study? 3R. We have now modified the text adding this information. "These 47 patients represented 5.5% of all patients operated for lumbar herniated disc and 92% of 51 patients with FLDH we observed in that period at the two centers (Table1)." The remaining 4 patients did not meet the inclusion

criteria, as they did well with drugs.

4. Page 3, last paragraph: I assume that all patients in the study completed the 12 month follow up period and that none were lost to follow up. If true, this should be stated explicitly4R. in the "Preoperative clinical and radiological characteristics" subheading we wrote that: "No patients were lost at follow-up."

5. Page 6, line 29-30: Dr Nancy Epstein is female. Please change the pronoun to "her" or change to "this paper" 5R. OK sorry!!!

6. The discussion section is rather lengthy. If it could be shortened that would enhance the paper.6R. we did it, thank you for your suggestion

7. The level of evidence here is 4. There is no comparison group 7R  $\ensuremath{\mathsf{OK}}$ 

# Transpars Microscopic Approach for the Treatment of Purely Foraminal Herniated Lumbar Disc: A Clinical, Radiological Two-Center Study

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#### Abstract

Study Design. This is a prospective two center study.

**Objective.** The aim of this study was to assess the safety and efficacy of treating patients with lumbar foraminal disc herniations via a microscopic transpars approach, with a clinical and radiological follow-up evaluation.

**Summary of Background Data.** Purely foraminal lumbar disc herniations comprise about 5% of all lumbar herniated intervertebral discs. Operative management can be technically difficult, and the optimum surgical treatment remains controversial.

**Methods.** From January 2012 to January 2015, 47 patients were prospectively recruited. Patients were followed-up as outpatients at one week after discharge, then at one, six and twelve months.

A clinical multiparametric evaluation of patients including NRS, drugs intake, Macnab criteria and working days lost was used.

Post-operative dynamic X-rays (flexion, extension) were performed in all cases twelve months after surgery.

Results. No surgery-related complications occurred.

Among the 35 patients who were not retired at the time of the study, 29 patients returned to work and to normal daily activities within 60 days after surgery. Pain evaluation at discharge showed a significant improvement of NRS score, from 8.93 to 1.45 at twelve months. Root palsy significantly improved in all cases already at one month follow-up. Drugs intake analysis showed at six-month follow-up, no patients used steroids, or Opioids, 17 patients used NSAIDs when needed, 29 patients (61.7%) used no drugs for pain relief. No significant variations occurred at twelve month-follow-up.

At twelve-month follow-up, Excellent or good outcome (Following Macnab criteria) were achieved in 36 (76,6%) and 8 (17%) patients, respectively.

There were no cases of spinal instability at twelve-month radiological evaluation. No recurrence occurred at follow-up.

**Conclusions.** Transpars microscopic approach is effective and safe for the treatment of FLDH, but larger studies are needed.

**Key Words:** Foraminal herniated lumbar disc; Microscopic approach; transpars approach; multiparametric evaluation; outcome **Level of Evidence:** 3

# Keypoints.

-A multiparametric analysis of outcome after Transpars approach for foraminal herniated lumbar disc has been carried out.

-Clinical results show excellent outcome in terms of drugs used for pain relief, working days lost, MacNab criteria, VAS score for pain

-Radiological results at 12 month follow-up show no cases of instability were encountered

-The transpars microscopic approach is a safe and effective method for treating foraminal herniated lumbar disc herniation

# Mini Abstract.

This study was undertaken to determine the efficacy and safety of the transpars approach for the treatment of Foraminal herniated lumbar disc (FLDH).

47 Patients were followed-up until twelve months with radiological and clinical multiparametric evaluation.

Transpars microscopic approach is effective and safe for the treatment of FLDH.

# Introduction

Purely foraminal lumbar disc herniations (FLDH) comprise about 5% of all lumbar herniated intervertebral discs. <sup>1,2</sup> Most commonly, FLDH occurs at L3-4, L4-5, or higher levels.

FLDHs are more likely to produce sensorimotor deficit.<sup>3</sup>

Radiculopathic pain may be more severe and back pain less severe than that incurred in paramedian disc hernia.<sup>3-5</sup>

The exposure of FLDH can often be more complicated than that of routine paramedian herniated lumbar disc.

Several surgical procedures have been used to treat this type of disc herniation. Some are destructive, like hemi / interlaminectomy combined with full of partial facetectomy in order to provide the best exposure but increasing the risk of instability because the wide bone resection.<sup>1,2,6-8</sup>

Others are more conservative like paramedian muscle splitting approach, preserving stability but offering less exposure of medial foraminal abnormalities . <sup>5,7,9,10,11,12</sup>

Another possible approach directly exposing the lateral foramen in the transpars approach, with lateral removal or a fenestration of the pars interarticularis.

This approach has been criticized by some authors, who state that this technique offers a limited exposure and has a risk of bone fracture and instability <sup>7</sup>.

Therefore the optimum surgical treatment remains controversial.

This study was undertaken to determine the efficacy and safety of the transpars microscopic approach for the treatment of purely foraminal herniated lumbar disc.

# **METHODS**

This is a two-center prospective study involving patients affected with FLDH treated at Neurosurgery Department of Ferrara University Hospital and at Neurotrauma Department of Catholic University School of Medicine, Rome.

Patients operated from January 2012 to January 2015 were prospectively recruited.

Indications for surgery were persistent radicular pain after minimum 3 weeks of unsuccessful medical therapy and/or presence of nerve root palsy. Contraindications to

surgery were active cardiovascular disease (acute heart insufficiency, recent myocardial infarction, instable coronary syndrome) and other contraindications to general anesthesia (i.e. pneumonia, sepsis etc...). No patients were excluded from this study.

All patients underwent pre-op MRI and were operated using a microscopic transpars approach. No spondylolisthesis was evident at preoperative MRI. We did not perform preoperative dynamic X-rays due to very intense pain.

Demographic and clinical characteristics were recorded for all patients, including information on drugs intake, working days lost, numeric rating scale (NRS) for pain assessment, nerve root palsy, previous therapy with CT-guided root infiltration(Table1).

#### Surgical technique

Following general anesthesia, the patient is placed in the prone or in the knee-chest position.

The operative site is disinfected and the level is identified through a lateral X-ray film.

A slightly paramedian incision is made, approximately 1cm from the midline and 3-4 cm long.

The subcutaneous tissue is dissected from the underlying fascia. The fascia is then cut close to the lateral aspect of the spinous processes. The multifidus muscle is therefore dissected with a subperiosteal dissection and separated from the spinous process (medially) and the lamina (ventrally). The dissection must then continue laterally, with the help of a Caspar retractor (or a tubular-retractor system), in order to obtain the exposure of the inferior facet joint, the pars interarticularis and the superior facet joint.

A dissector is placed in the angle formed between the lateral aspect of the pars interarticularis and the superior aspect of the inferior facet joint.

A lateral X-ray film is performed to confirm the correct level.

After x-ray confirmation, the operating microscope is used to continue the exposure and dissection. A high-speed-drill removal of the lateral aspect of the pars interarticularis is performed, close to the superior aspect of the inferior facet-joint.

If needed, a very small portion of the superior aspect of the inferior facet joint can be drilled as well. The deepest portion of the pars interarticularis can be removed with Kerrison rongeurs (Figure 1).

The ligamentum flavum is therefore opened and removed. The intraforaminal structures are now exposed: the nerve root is usually cranial and the disc space is in the caudal portion of the surgical window (Figure 1).

The herniated lumbar disc is isolated from the nerve root, and removed (Figure 2).

Once the disc fragment is removed, the disc space is palpated and additional disc material is removed. Complete hemostasis is obtained and the fascia, subcutaneous tissue, and skin are closed in layers in the usual manner.

#### Outcome assessment and follow-up

Patients were followed-up as outpatients at one week after discharge, then at one, six and twelve months.

A clinical multiparametric evaluation of patients including NRS, drugs intake, Macnab criteria and working days lost was used.

Post-operative dynamic X-rays (flexion, extension) were performed in all cases twelve months after surgery, in order to evaluate possible surgical instability (Figure 3).

Final outcome (twelve month follow-up) was assessed using the Macnab criteria<sup>13</sup>, as follows:

- Excellent: No pain; no restriction of activity.
- Good: Occasional back or leg pain of sufficient severity to interfere with the patient's ability to do his normal work or his capacity to enjoy himself in his leisure hours.
- Fair: Improved functional capacity, but handicapped by intermittent pain of sufficient severity to curtail or modify work or leisure activities.
- Poor: No improvement or insufficient improvement to enable increase in activities; further operative intervention required.

# RESULTS

39 patients were enrolled at Ferrara University Hospital and 8 patients were enrolled at Catholic University School of Medicine in Rome, for a total of 47 patients in three years (Jan 2012-Jan 2015). There were 34 males and 13 females, age range was 31-77yo, with an average of 58yo.

These 47 patients represented 5.5% of all patients operated for lumbar herniated disc and 92% of 51 patients with FLDH we observed in that period at the two centers (Table1).

### Preoperative clinical and radiological characteristics

No patients were lost at follow-up.

The most involved level was L4-L5 (21 patients 44.7%), L3-L4 (17 patients-36.1%), followed by L2-L3 (7 patients-14.9%) L5-S1 (2 patients-4.3%).

A preoperative nerve root palsy was present in 40 patients (85.1%).

Preoperative mean NRS score was 8.93 (range 6-10): 32 patients (68.1%) presented a preop NRS of 9 or 10. (see tab 2 for details )

All patients used NSAIDs prior to surgery, 85.1% (40 pts) of patients used steroids, 74.5% (35 pts) of patients were treated with Opioids (see Table 3 for details).

Most patients were given 4 (20 cases, 42.6%) or 5 drugs (7 cases, 14.9%) to treat pain before surgery (see table 4 for details).

Twelve patients (25,55%) underwent CT-guided nerve root injection prior to surgery. None of them presented any clinical improvement.

Twelve patients were retired at the time of the study. For the remaining 35 patients, working days lost prior to surgery were within 15 days in 25.7% of cases, 15 to 30 days in 37.1% of cases, with a median of 30 days and a peak of six months (see table 5 for details).

#### **Outcome analysis**

No surgery-related complications occurred. Mean hospital stay after surgery was 1,5 days (range 1-3 days ).

Among the 35 patients who were not retired at the time of the study, 29 patients returned to work and to normal daily activities within 60 days after surgery. One patients returned to work only after 6 and one patient after 12 months. (see table 5).

Pain evaluation at discharge showed a significant improvement of NRS score: mean NRS at discharge was 2.45. 21 patients (44.7%) presented a NRS score of 1,

2 (7 patients 14.9%) or 3 (7 cases 14.9%) (see table 2 for details). There were only three patients with a NRS of 6 and no patients with a higher score. NRS evaluation at one month follow-up showed a further improvement of the NRS, with a mean value of 1.66 At six and at twelve month follow-up we observed no significant variations of NRS (Table 2).

Root palsy significantly improved in all cases already at one month follow-up. At six and twelve month follow-up, neurological examination was unremarkable for all patients.

Drugs intake analysis at discharge showed a marked decrease of use of steroids (5 cases-10.6%) and Opioids (3 cases-6.4%), and a decrease of NSAIDs (33 cases, 70.2%). At discharge, 7(14.9%) patients had no drugs at all, while 11 patients used drugs only when needed.

At six-month follow-up, no patients used steroids, or Opioids, 17 patients used NSAIDs when needed, 29 patients (61.7%) used no drugs for pain relief (see table 3 for details). No significant variations occurred at twelve month-follow-up.

The number of drugs used (including drugs used occasionally for pain relief), significantly decreased too (see table 4).

At twelve-month follow-up, Excellent or good outcome (Following Macnab criteria) were achieved in 36 (76,6%) and 8 (17%) patients, respectively. There were 3 patients with a fair outcome and no patients with poor outcome.

There were no cases of frank spinal instability at twelve-month radiological evaluation. Only one asymptomatic patient presented a mild modification in extension of the upper level. Nonetheless, we cannot exclude that mild modification was pre-existing, since pre-operative dynamic x-rays are very difficult to be performed in these patients.

No recurrence occurred at follow-up. No re-operations were needed.

# DISCUSSION

Different surgical approaches have been used for the treatment of purely FLDH.<sup>1,2,6,7,9,14</sup>-20

Several authors have advocated the complete removal of the facet joint to allow for decompression of the spinal canal and exploration of the intervertebral foramen. These steps, however, may result in spinal instability and occasionally require posterolateral fusion. <sup>16</sup>

Other authors instead prefer to use the paramedian muscle splitting approach (or intertransverse approach). That surgical approach is very elegant and, being lateral to facet joint, is more conservative and preserves stability; nonetheless, the exposure of the medial portion of the foraminal disc is poor. 5,7,9,10,11,12

This study was undertaken to evaluate the efficacy of the transpars approach for the treatment of purely FLDH and to assess the safety of this technique.

In our study, we followed-up patients by analysing: NRS, drugs intake, working days lost before and after surgery, nerve root palsy improvement, spinal instability (with dynamic X-rays), thus adding several clinical and radiological outcome measures to MacNab<sup>13</sup> criteria. In that way, information on outcome was more complete that with MacNab criteria alone.

In the literature, only three authors have radiologically followed patients up during the postoperative period (Garrido<sup>6</sup>, Hejazi<sup>8</sup>, Bernucci<sup>21</sup>). These authors attempted to detect cases of spinal instability after surgery, but they didn't support their evaluation with clinical data.

Four authors (Obenchain<sup>10</sup>, Greiner-Perth<sup>11</sup>, Di Lorenzo<sup>22</sup>, Bernucci<sup>21</sup>) calculated "working day lost after surgery ", but none investigated for "working days lost before surgery"; we believe that this could be a very important outcome parameter.

Obenchain<sup>10</sup> in 2001 was the only author who analysed drugs intake before and after surgery, but he did provide no further clinical or radiological information.

In 2002, Grenier-Perth<sup>11</sup> followed-up patients evaluating NRS and nerve root palsy before and after surgery. Nonetheless, he did provide no outcome scale and no radiological evaluation.

Garrido et al. in 1991 analyzed 42 patients after a unilateral complete facetectomy.<sup>6</sup> In that series, 35 patients (83%) had an excellent outcome, 3 (7,14%) patients had a good outcome, and 3 patients (7,14%) had a poor outcome; one case of spinal instability was detected after radiological evaluation and required lumbar fusion one year later. The same author wrote "three patients had good results with mild residual back and /or leg pain and some restriction of physical activities. In three patients the results were poor with persistent low-back and leg pain and inability to return to work". We believe that this high percentage of patients with restriction of physical activities and inability to work (14.28%) is quite high for this disease. Therefore, this high complication rate should be considered in order to choose the most appropriate surgical approach.

Epstein's series of 170 patients is a comparison among several surgical approaches: 73 patients (42%) had an excellent outcome, 51 patients (30%) had a good outcome, 26 patients (15,3%) had a fair outcome and 20 patients had a poor outcome (11,76%).<sup>7</sup> In her series, the author had 31 reoperations (25 first operations and 6 second reoperation) and 7 patients ( 4%) who developed spinal instability after surgery. In her paper the author only calculated the overall number of complications without comparing outcome and complications among different approaches.

Eustacchio in 2002 operated on 80 patients suffering from foraminal and foraminal/extraforaminal herniated lumbar disc by endoscopic percutaneous transforaminal approach: 57,4% patients had an excellent outcome, 34,4% patients had a good outcome, 5,7% patients had a fair outcome and 2,5% patients had a poor outcome; 26 patients (21,3%!) required further reoperation. <sup>23</sup>

In 2001, Lew et al published a series of 47 patients operated on with an endoscopic approach. 85 % patients had an Excellent or good outcome, while 4% patients had a fair outcome and 11% patients had a poor outcome and subsequently underwent open surgery.<sup>24</sup>

In 2012 Liu Tao published a series of 41 patients with a FLDH (in a series of 52

patients), and compared three different approaches: Metrx-a modification of muscle splitting approach-(5 cases), X tube-facetectomy with spinal fusion-(13 cases) and the endoscopy with Yeung Endoscopy Spine System (YESS) technique-23 patients.<sup>12</sup> In YESS group, there were 2 cases of postoperative intervertebral disc inflammation who required other interventions. In Metrx group, 1 case of hematoma was detected and drained. In X-tube group, 1 patient experienced wound hematoma and local infection at the site of iliac incision 1 week after surgery.

Little information exists in the literature on the efficacy and safety of the transpars approach for the treatment of FLDH. The only available series have been published by Di Lorenzo<sup>22</sup> et al. in 1998 and Bernucci<sup>21</sup> et al in 2007. Di Lorenzo published a series of 28 patients, Bernucci<sup>21</sup> et al published a series of 24 patients. Outcome was excellent or good in all patients, the authors experienced no complications. Both di Lorenzo and Bernucci provided no outcome scales, nor pain evaluation scales, nor drugs intake evaluation, nor information on preoperative working days lost. Most importantly, there is no post-operative radiological evaluation for detecting spinal instability.

Our data show the transpars microscopic approach is a safe and effective technique for the treatment of foraminal herniated lumbar disc.

Moreover, patients in our series and in the series of Di Lorenzo and Bernucci experienced no herniated lumbar disc recurrence and an excellent/good outcome in a very high percentage of patients.

We also believe that adding a radiological follow up to a multi-parameter clinical evaluation is fundamental in order to provide a complete outcome analysis. Other more complete scales evaluating daily and social disability, such as the Oswestry Disability Index, could also be useful.

Larger anatomical and clinical studies comparing efficacy and safety of different approaches for the treatment of FLDH with multiparametric clinical and radiological evaluations are strongly needed.

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# CLINICAL CHARACTERISTICS OF 47 PATIENTS WITH INTRAFORAMINAL DISC HERNIATION

AGE	average age 58	range 31-77 years		
SEX	34 male patients	13 female patients		
SYMPTOMS	median 2 months, mode 2 months,	range 2 weeks- 2 years		
DURATION				
FOLLOW UP	At discharge ,1, 6, 12 months.			
NRS BEFORE	range 6-10	mean 8.93mode 10		
SURGERY				
NRS AT	range 1-6	mean 2.43 mode 1		
DISCHARGE				
NRS AT 1 MONTH	range 0-4	mean 1.66 mode 1		
NRS AT 6 MONTHS	range 0-5	mean 1.55 mode 1		
NRS at 12 MONTHS	Range 0-4	mean 1.45 mode 1		
LEVELS	L2-L3 7 PATIENTS 14.9%			
	L3-L4 17 PATIENTS 36.1%			
	L4-L5 21 PATIENTS 44.7%			
	L5-S1 2 PATIENTS 4.3%			
SIDE	22 right	25 left		
NERVE ROOT	YES 40 pts (85.1%)	NO 7pts (14.9%)		
PALSY				
nerve root infiltration	YES 12pts (25.55%)	NO 35 pts (74.45%)		
prior to surgery				
MEDIAN HOSPITAL	MEAN 1.5 DAYS	RANGE 1-3 DAYS		
STAY				
OUTCOME (12	- Excellent 76,6% (NRS 1 with or			
month follow-up)	without drugs, or NRS 2-3 without			
	drugs) 36 patients			
	- Good 17% (NRS 2-3 with drugs)			
	8 patients			
	- Fair 6.4% (NRS 4-6) 3 patients			
	- Poor - (NRS > 6 or unchanged )			

# NRS EVALUATION

			ONE	CIV MONTH	TWELVE	
NRS	NRS BEFORE	NRS AT	MONTH	SIX MONTH	MONTH	
(0-10)	SURGERY	DISCHARGE	FOLLOW	FOLLOW	FOLLOW	
			UP	UP	UP	
0	-	-	8.5% 4 PTS	14.9% 7 PTS	14.9% 7	
					PTS	
1	-	44.7%21PTS	51.1% 24 PTS	48.9% 23 PTS	48.9% 23	
					PTS	
2	-	14.9% 7PTS	17% 8PTS	17% 8PTS	19.1% 9	
					PTS	
3	-	14.9% 7PTS	12.8% 6 PTS	10.6% 5 PTS	10.6% 5	
					PTS	
4	-	10.6% 5PTS	10.6% 5PTS	6.4% 3 PTS	6.4% 3	
					PTS	
5	-	8.5% 4PTS	-	2.13% 1 PT		
6	4.25% 2PTS	6.4 % 3PTS	-			
7	4.25% 2 PT	-	-	-		
8	23.4% 11 PTS	-	-	-		
9	29.8%14 PTS	-	-	-		
10	38.3%18 PTS	-	-	-		
MEAN	8.93	2.43	1.66	1.55	1.45	
MODE	10	1	1	1	1	

Drugs	% Before surgery	% At discharge	% at 6 month	% at 12
		(first week)	follow-up	month
				follow-
				up
Steroids	85.1% (40pts)	10,6% (5 pts)	0	0
Opioids	74.5% (35pts)	6.4% (3 pts)	0	0
Oxycodone/Naloxone	36,2%(17pts)	0	0	0
Tramadol	8,5%	0	0	0
Other Opioids	36,2%(17 pts)	6.4%	0	0
NSAIDs	100% (47pts)	70.2% (33 pts)	36.2% (17 pts)	36.2% (17 pts)
Ibuprofen	8.5%	0	6.4%*	6.4%*
Ketoprofen	17%	10.6%*	8.5%*	8.5%*
Diclofenac	10.6%(5 pts)	0	6.4%*	6.4%*
Naproxen	8.5%	0	0	0
Nimesulide	8.5%	6.4%*	6.4%*	6.4%*
Ketorolac	8.5%	6.4%*	0	0
Paracetamol	68.1%(32 pts)	19.2%	17%*	17%*
Parac+tramadol	10.6%(5 pts)	0	0	0
Parac+codeine	12.8%(6 pts)	23.4%(11pts)	6.4%*	6.4%*
Other NSAIDs	36.2%(17 pts)	19.2%*	10.6%*	10.6%*
Other drugs				
Pregabalin	17% (8 pts)	17% (8 pts)	2.13% (1 pt)	0
Alprazolam	6.4% (3 pts)	0	0	0
Thiocolchicoside	10.6% (5 pts)	0	0	0
Alpha lipoic acid	6.4% (3 pts)	2.13% (1pt)	2.13% (1 pt)	0
No drugs	0	14.9% (7 pts)	61.7% (29 pts)	61.7% (29 pts)
No Drugs (only when needed)	0	23.41% (11pts)	36.2% (17 pts)	36.2% (17 pts)

# DRUGS INTAKE BEFORE AND AFTER SURGERY

# NUMBER OF DRUGS USED

N° OF DRUGS	BEFORE SURGERY	AT DISCHARGE	SIX MONTH F- UP	TWELVE MONTH FOLLOW- UP
0	-	12.8% (6 pts)	63.8% (30 pts)	63.8% (30pts)
1	4.25% (2 pts)	48.9% (23 pts)	29.8% (14pts)	34.1% (16pts)
2	17% (8 pts)	38.3% (18 pts)	6.4% (3 pts)	2.13% (1 pts)
3	21.25% (10 pts)	-	-	-
4	42.5% (20 pts)	-	-	-
5	14.9% (7 pts)	-	-	-
MEAN	3.47	1,26	0,43	0,38

Working	15D	30D	45D	60D	90D	120D	150D	180D	360D
days lost									
BEFORE	25.7%	37.1%	-	14.3%	8.6%	8.6%	2.85%	2.85%	-
Surgery	(9 pts)	(13pts)		(5 pts)	(3pts)	(3 pts)	(1 pt)	(1 pt)	
AFTER	20%	34.25%	14.3%	14.3%	8.6%	2.85%	-	2.85%	2.85%
Surgery	(7pts)	(12pts)	(5pts)	(5pts)	(3pts)	(1 pt)		(1 pt)	(1 pt)
MEDIAN BEFORE			30DAYS	5					
SURGERY									
MEDIAN A	FTER		30DAYS	6					
SURGERY									

# WORKING DAY LOST BEFORE AND AFTER SURGERY

# **Figure Legends**

**Figure 1.** Intraoperative view (upper left): the left pars interarticularis is exposed (corresponding saw-bone model in lower left). Intraoperative view (upper right): after removal of the lateral aspect of the pars, the dural sac (black asterisk), the medialized nerve root (black circle) and the herniated disc (white asterisk) are evident. Figure in the lower right shows the intraforaminal exposure on a saw-bone model after removal of the lateral aspect of the pars.

**Figure 2.** Axial T2 MRI at L3L4 level before (upper left) and after (upper right) surgery. The FLDH and the nerve root are visible within the red circle before surgery. After surgery, a nerve root swelling is visible inside the red circle. The 3D CT scan (lower right) shows the bony window in this case.

**Figure 3.** flexion-extension Dynamic X-rays at 12 month follow-up. A mild modification of the upper level after surgery visible only in extension (red circle, left image).





