

1 **Ex vivo transport of tamoxifen and its 4-OH metabolite through rat intestine from**
2 **lecithin/chitosan nanoparticles**

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26 **ABSTRACT**

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28 The development of formulations for oral administration of anticancer agents would introduce
29 innovative therapies for cancer treatment. Unfortunately, few anticancer drugs are soluble and
30 permeable enough to allow for their administration by the oral route. In this regard, the use of
31 nanocarriers could improve the drug oral bioavailability, therapeutic efficacy and safety profile,
32 since the encapsulated drug is masked within the nanostructure.

33 Tamoxifen citrate is slightly soluble in water. Administered orally, it shows great intra- and
34 inter-patient variation in bioavailability.

35 The aim of the present work was to study the transport of lecithin/chitosan nanoparticles
36 loaded with tamoxifen across the rat intestinal wall. Studies were performed *ex vivo* on rat
37 intestinal tissue mounted in an Ussing chamber.

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43 **KEYWORDS:** Intestinal transport, tamoxifen citrate, oral chemotherapy, chitosan,
44 nanoparticles.

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47 **1. Introduction**

48 Formulations for oral administration of anticancer drugs can introduce innovative therapies for
49 cancer treatment [1]. Unfortunately, few anticancer drugs are soluble and permeable enough
50 to allow for their administration by the peroral route. However, innovative drug delivery
51 systems could improve the bioavailability and reduce the heavy administration schedule of
52 such active agents, thus increasing activity and patient compliance [2]. At the scope, the use
53 of nanocarriers could improve the drug oral bioavailability, therapeutic efficacy and safety
54 profile, since the encapsulated drug is masked in the nanostructure. Nanoparticles could
55 prevent the direct contact of the drug with the mucosa, protect the molecule from degradation
56 in the gastric environment [3], or by-pass the cell efflux pumps, key players in multidrug
57 resistance of tumours [4].

58 We previously described lecithin/chitosan nanoparticles loaded with tamoxifen citrate
59 intended for oral administration in the treatment of estrogen-dependent breast cancer [5].
60 Lecithin and chitosan self-assembled leading to nanoparticle formation. Chitosan played the
61 role of bridging the phospholipid negative polar heads of formed phosphatidylcholine
62 liposomes, strengthening the vesicle structure [6]. The release of tamoxifen citrate from these
63 lecithin/chitosan nanoparticles was triggered by enzymes acting on the nanoparticle
64 constituents, in particular lipase and lysozyme, thus destabilizing the nanoparticle structure.
65 The drug remained protected from gastric pH and started being released in intestinal fluid in
66 presence of pancreatin, lysozyme or lipase alone or combinations thereof [7].

67 Tamoxifen citrate is slightly soluble in water. Administered orally, it shows great intra- and
68 inter-patient variation in bioavailability [8]. The mechanisms underlying the variable response
69 to tamoxifen have been the object of a lot of investigation, but remain obscure. However, it is
70 now known that *in vivo* the overall pharmacological action of tamoxifen is due in part to its
71 transformation into active metabolites. As tamoxifen is converted to more potent anti-
72 estrogenic metabolites, one hypothesis is that individual and/or altered patterns of tamoxifen
73 metabolism might contribute to inter-individual variability in the elicited effects [9]. These
74 tamoxifen metabolites are generated mainly from isoform CYP2D6 of the CYP-450 present in
75 the intestinal wall [10]. Beverage et al. showed that 4-hydroxy-tamoxifen (4-OH-TAM; MW
76 387.5), one of the human metabolites of tamoxifen (TAM; MW 371.5), is about 100 times
77 more potent than tamoxifen. Its erratic appearance could support the inter-individual variability
78 of tamoxifen effect [11].

79 The aim of the present work was to study the transport of tamoxifen through the rat intestinal
80 wall from donor formulations containing tamoxifen citrate (i.e., free non encapsulated drug) or
81 lecithin/chitosan nanoparticles loaded with tamoxifen. Experiments were performed *ex vivo*
82 using rat intestinal tissue in an Ussing chamber. The appearance of 4-OH-tamoxifen in the
83 receptor phase was monitored during the TAM transport. The influence of pancreatin or lipase
84 on tamoxifen release from lecithin/chitosan nanoparticles during transport experiments was
85 studied. Finally, the effect of the nanoparticle bioadhesion to the intestinal mucosa on
86 permeation of tamoxifen was investigated as well.

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88 **2. Material and methods**

89 *2.1. Material*

90 Chitosan with a deacetylation degree of 95% and a viscosity of 103 cP, as determined by the
91 supplier on a 1% solution (w/v) in acetic acid 1%, was provided by Primex (Chitoclear FG,
92 Haugesund, Norway). Soybean lecithin used was Lipoid S45 (Lipoid AG, Ludwigshafen,
93 Germany). Tamoxifen citrate (MW. 563.6) produced by Plantex Ltd. (Netanya, Israel) was a
94 kind gift from Lisapharma S.p.A. (Erba, Italy). Pancreatin from porcine pancreas and lipase
95 from *Pseudomonas fluorescens* were purchased from Sigma-Aldrich (St. Louis, USA).
96 All other chemicals of analytical grade were from Carlo Erba (Milan, Italy). Purified Milli-Q
97 water (Millipore, Billerica, MA, USA), degassed and filtered through 0.45 µm regenerated
98 cellulose filters (Sartorius, Barcelona, Spain), was used in all experiments.

99 *2.2. Preparation of tamoxifen citrate-loaded lecithin/chitosan nanoparticles*

100 Nanoparticles were produced according to the previously described method [5]. Briefly, 8 ml
101 of a methanol solution containing 200 mg of lecithin and 60 mg of tamoxifen citrate were
102 injected under mechanical stirring at 11000 rpm (Ultraturrax TP 18/10-10N, IKA-Werke GmbH
103 Staufen, Germany) in 92 ml of an aqueous solution containing 10 mg of chitosan prepared by
104 diluting 1 ml of chitosan solution 1% (w/v) in HCl 0.1 N. Injection rate (40 ml/min) was
105 controlled using a mechanical syringe pump (Model 200, KD Scientific, Holliston, MA, USA),
106 pumping through a glass pipette with a 0.5 mm tip orifice. The TAM nanoparticle suspension
107 obtained had a pH value of 2.7.

108 *2.3. Ex vivo experiments with Ussing chamber*

109 *2.3.1. Preparation of the intestinal tissue*

110 The jejunum from small intestine of sacrificed male Wistar rats (200-250 g) (Charles River,
111 Paris, France) was excised, washed with chilled physiological saline solution (NaCl 0.9% w/v)
112 and longitudinally cut into segments of 2-3 cm in length. After visual examination of the tissue,
113 sections containing Peyer's Patches were discarded from the studies. The studies were
114 approved by the Ethical Committee of the University of Paris Sud XI (agreement n° A92-019-
115 01) in strict accordance with the European legislation on animal experiments.

116 2.3.2. *Transport experiments*

117 Jejunum segments were mounted in the Ussing chamber with mucosal side facing the donor
118 and the serosal side facing the receptor. The intestinal surface exposed to the transport (1
119 cm²) was washed with Ringer solution at pH 6.8. The chamber was maintained at 37 °C and
120 continuously oxygenated with a mixture of O₂ and CO₂ (95-5%). After 30 min of equilibration,
121 the medium in the donor chamber was replaced by 5 ml of preheated (37 °C) Ringer solution
122 containing non-encapsulated drug in suspension or nanoparticles (160 µg/ml of tamoxifen
123 citrate). In the experiment with enzymes, the donor also contained 1% (w/v) of pancreatin or
124 1000 U/ml of lipase. The receptor chamber was filled with Ringer solution (5 ml) containing 1
125 % (w/v) of hydroxypropyl-β-cyclodextrin (HPCD). At pre-determined time points (0, 0.5, 1, 1.5,
126 2, 2.5, 3, 3.5 and 4 h), aliquots of 200 µl were sampled from the receptor chamber and
127 replaced with the same volume of the preheated (37 °C) Ringer solution containing HPCD.

128 2.3.3. *Drug and metabolite assay*

129 Tamoxifen and 4-OH-tamoxifen were assayed using the HPLC method reported in the
130 tamoxifen citrate monograph of the Ph.Eur. 6.0 Ed. [12]. A Shimadzu (Kyoto, Japan) HPLC
131 apparatus, equipped with a Spherisorb[®] ODS2 column (4.6 x 250 mm, 5 µm) (Waters
132 Corporation, Milford, MA, SA), was used. The mobile phase was a mixture (40:60) of
133 acetonitrile and a solution of 0.9 g/l sodium dihydrogen phosphate and 4.8 g/l N,N-
134 dimethyloctylamine, adjusted to pH 3.0 with orthophosphoric acid. Flow rate was set at 1.2
135 ml/min and injection volume was 10 µl. UV detection was performed at 240 nm. External
136 standard of tamoxifen citrate (10 µg/ml as tamoxifen) and 4-OH-TAM (11 µg/ml) were used.
137 Retention times were 5 min and 8 min, respectively for 4-OH-tamoxifen and tamoxifen.
138 Method suitability for tamoxifen was carried out with the following results: linearity between
139 0.010 and 110.000 µg/ml, relative standard deviation for repeatability 0.63% (n=6, solution
140 concentration 10 µg/ml), theoretical plates 7154, peak symmetry 0.87.

141 2.4. *Statistical analysis*

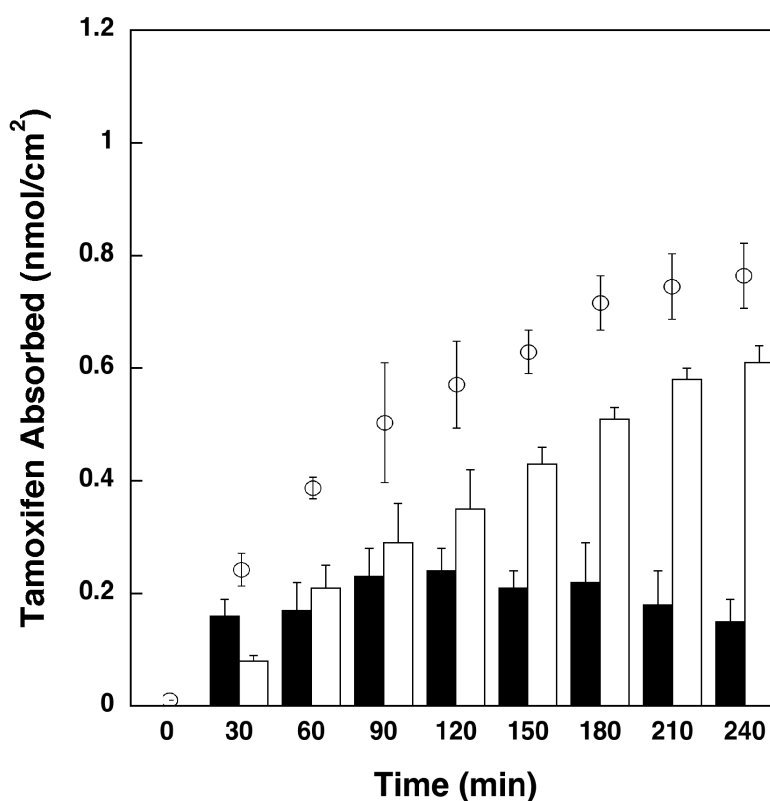
142 Data were expressed as mean ± standard deviation (SD) of at least three replicates.
143 Statistical significance analysis was processed using the nonparametric Mann–Whitney U-test
144 (p value < 0.05). All calculations were performed using the KaleidaGraph[®] software program.

145

146 **3. Results and discussion**

147 3.1. TAM transport through intestinal tissue from a saturated drug solution

148 A first transport experiment was performed to determine the permeability of tamoxifen
149 itself across the intestinal tissue from an aqueous saturated solution of tamoxifen
150 citrate as donor. The donor was a suspension of tamoxifen citrate in Ringer solution at 160 µg of
151 solid per ml. The pH of the suspension was 6.8 and the measured tamoxifen solubility was
152 27 µg/ml at 37 °C. Both tamoxifen and 4-OH-tamoxifen concentrations were determined in
153 the samples collected from the receptor chamber, given that the alive intestinal tissue
154 contains various enzymatic systems, including CYP450, able to transform TAM into 4-OH-
155 TAM.



156 **Fig. 1.** Total tamoxifen (TAM + 4-OH-TAM) transported through the intestinal tissue from TAM
157 suspension (160 µg/ml of tamoxifen citrate in Ringer solution) (open circles). The bars
158 represent the actual amounts of intact drug and metabolite: black bars, tamoxifen; white bars,
159 4-OH-tamoxifen.
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162 The amount of TAM absorbed and transported through the intestinal tissue in 4 hours was
163 0.76 nmol/cm² (Figure 1). Unexpectedly, after 60 minutes most tamoxifen in the receptor was

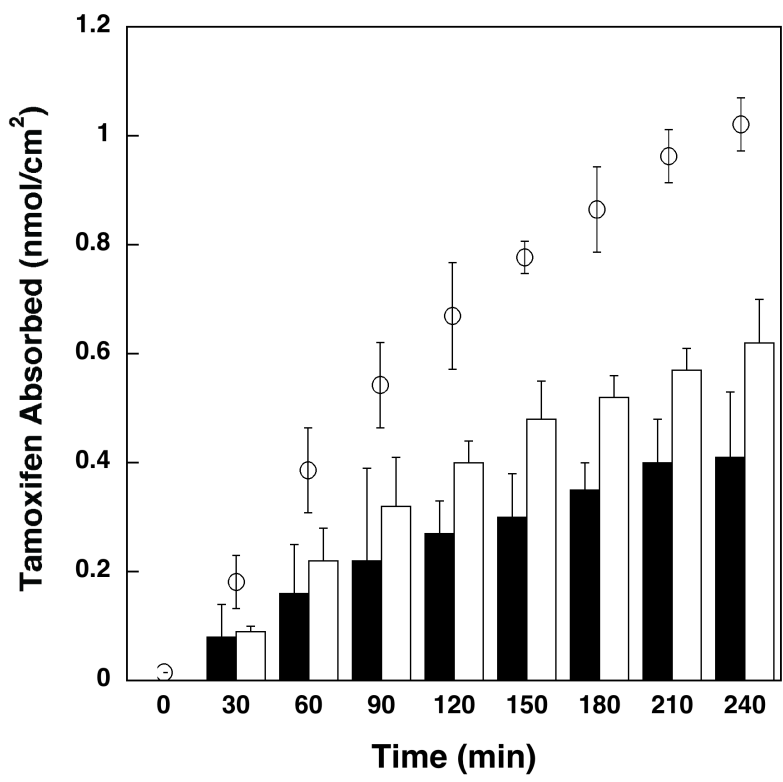
164 present as the metabolite 4-OH-tamoxifen. This amount increased linearly over time, whereas
165 intact (i.e., non metabolized) TAM molecule did not accumulate in the donor. In fact, no
166 increase in TAM concentration was evidenced after 90 minutes. As a result, the ratio between
167 the metabolite and the transported intact drug increased with time and, in 4 hours, the amount
168 of 4-OH-TAM in the receptor was fourfold that of TAM. Thus, the intestinal tissue metabolized
169 the drug absorbed establishing a concentration gradient of the metabolite in the barrier
170 thickness. Unfortunately, the metabolite concentration in the donor chamber was not
171 measured at the end of this experiment, because the observation that tissue metabolism
172 caused an important metabolite excretion in the donor, was made during later experiments.
173 The metabolism could justify why, despite the constant drug activity in the donor phase
174 containing a drug suspension, the permeation profile for total TAM was not in steady state. In
175 fact, after an initial faster transport rate, a continuous decrease during the four hours of
176 experiment was observed.

177 3.2. TAM transport through intestinal tissue from loaded nanoparticles

178 A second transport experiment studied the absorption of TAM when nanoparticles loaded with
179 the drug (LCN-TAM) were introduced in the donor. The concentration of tamoxifen citrate as
180 nanoparticles in the donor was maintained at 160 µg/ml. The nanoparticle suspension
181 prepared according to the previous paper [5] was used. It contained approximately 40% of
182 non encapsulated TAM together with the nanoparticles. Figure 2 shows the tamoxifen
183 permeation profile from TAM-loaded lecithin/chitosan nanoparticles. The transported drug
184 profile with the nanoparticles was higher than the one measured from drug suspension, but
185 data variability did not allow claiming significant differences. After 4 hours, the total amount of
186 drug (TAM + 4-OH-TAM) found in the receptor (1 nmol/cm^2) was about 1.5 times as higher as
187 the value obtained with TAM suspension ($p < 0.01$).

188 However, using the nanoparticles, a substantial difference in the metabolite/intact drug ratio
189 was observed, since the amount of intact TAM in the receptor significantly increased over
190 time paralleling the metabolite amount. Thus, when the nanoparticles were used, more TAM
191 passed through the intestinal tissue without being transformed by the CYP450 enzyme. A
192 paracellular transport, due to the chitosan present in the nanopreparation, seemed likely. In
193 fact, it was the contribution of intact TAM that increased the total TAM absorbed, having
194 determined that the metabolite amount cumulated in the receptor was approximately the

195 same as in the previous experiment. At the end of this experiment, an important intestinal
196 extrusion into the donor of the intracellularly formed metabolite was also determined, since 24
197 ± 6 nmol of 4-OH-TAM were found in the donor compartment. Thus, tamoxifen absorbed and
198 transported through the rat intestine was at a great extent metabolized and excreted as
199 metabolite in both compartments of the Ussing Chamber, but predominantly into the donor
200 phase. Tamoxifen excretion is not mediated by P-glycoproteins, since the compound is not a
201 substrate for transporters, but no clear data on the polarized excretion of the metabolite are
202 available [13-15]. The donor polarization of the metabolite indicated a short distance from the
203 cytochrome enzyme to the apical membrane, since the enzyme is polarized towards the
204 apical side in the intracellular space [16-18].
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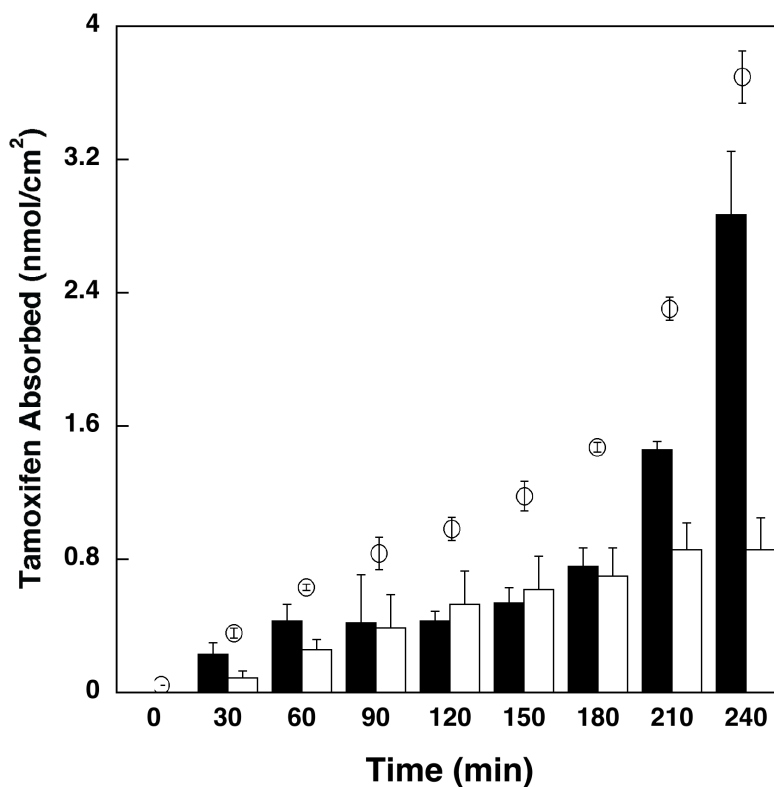


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208 **Fig. 2.** Total tamoxifen (TAM + 4-OH-TAM) transported through the intestinal tissue from
209 loaded nanoparticles (open circles). Black bars, intact tamoxifen; white bars, 4-OH-tamoxifen.
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211 3.3. TAM transport through intestinal tissue from loaded nanoparticles in presence of
212 pancreatin.

213 It was shown that *in vitro* tamoxifen citrate was released very slowly from the nanoparticles,
214 unless enzymes capable to dismantle the nanostructure, such as pancreatin or lipase, were
215 added to the release medium. In the presence of pancreatin, 50% of the encapsulated TAM
216 was released in 24 hours [5]. Therefore, another permeation experiment was carried out in
217 presence of pancreatin, studying the transport of tamoxifen and its metabolite from TAM
218 nanoparticles or TAM suspension. Figure 3 illustrates the transport of TAM from nanoparticles
219 and pancreatin across the intestinal tissue into the donor phase. The cumulative amount of
220 TAM transported was similar to the experiment without pancreatin in the first two hours, then
221 the transport rate burst after this time. It is undisputable that, starting from 180 minutes, a
222 large amount of intact drug passed through the intestinal tissue, reasonably as a
223 consequence of the nanoparticle degradation by the enzyme and ensuing drug release.
224 After the burst of intact drug transport, there was a much higher amount of TAM than 4-OH-
225 TAM in the receptor chamber. Again, the amount of metabolite, due to transcellular transport,
226 was similar to that quantified in the previous experiments. Thus, it could be said that now a
227 “door for TAM” had been open in the tissue.

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Fig. 3. Total tamoxifen (TAM + 4-OH-TAM) transported through the intestinal tissue from loaded nanoparticles in presence of pancreatin (open circles). Black bars, intact tamoxifen; white bars, 4-OH-tamoxifen.

235 The improvement in TAM transport rate could be assigned to an important increase of TAM
236 chemical activity due to triggered drug release from the nanoparticles, in concomitance with
237 the activation of a paracellular pathway for TAM through the intestinal epithelium.

238 This effect is typical of chitosan action on epithelial tight junctions. We already hypothesized a
239 paracellular transport in the previous experiments with nanoparticles without pancreatin,
240 despite the fact that in that case chitosan was strongly engaged in the nanoparticle structure
241 and unable to act intensely on tight junction opening. Here, as the nanoparticles were
242 degraded by the enzyme, the chitosan chains, disengaged from the nanostructure, could
243 better interact with the intestinal cells by opening a paracellular way. Comparing the timing of
244 the events, it was justified that the TAM transport accelerated with the nanoparticle enzymatic
245 degradation, given that the *in vitro* release data showed that the effect of the degrading
246 enzyme on the nanoparticle structure required 1-2 hours to become relevant. The tight
247 junction pathway paralleled the intracellular transport of TAM, but following the paracellular

248 way, TAM metabolism by the intestinal cells was avoided. In addition, figure 3 shows that the
249 amount of metabolite in the receptor was the same with TAM suspension or LCN-TAM
250 without enzyme. Also in this experiment with pancreatin, an important intestinal extrusion of
251 intracellularly formed metabolite of 23.8 ± 6.3 nmol was measured in the donor. Finally, the
252 total TAM transported to the receptor phase through the intestinal tissue over four hours was
253 6 and 20 times higher than with LCN-TAM without enzyme and with TAM suspension,
254 respectively.

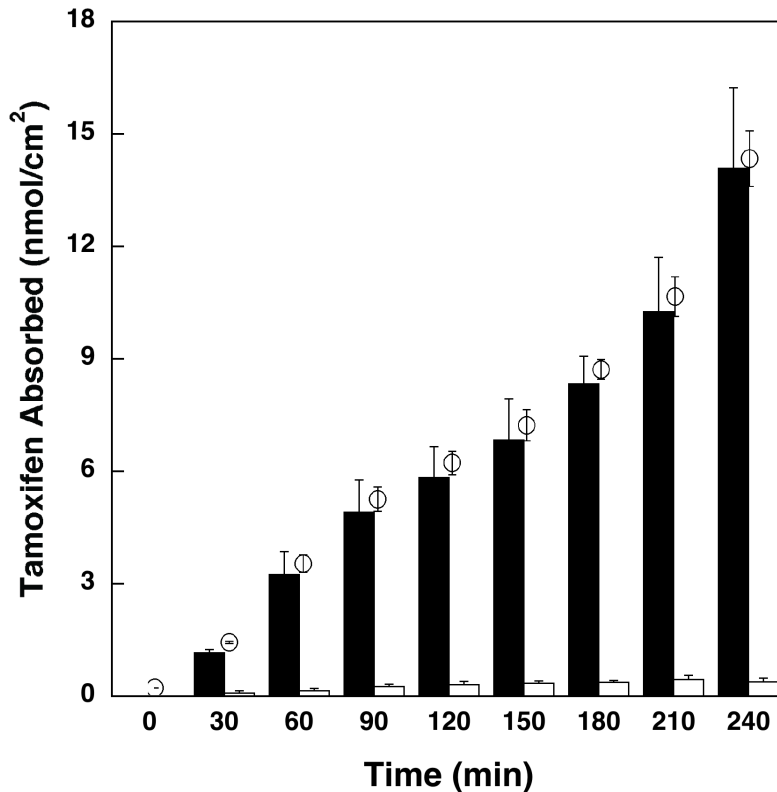
255 In order to ensure that pancreatin did not alter the permeability of the intestinal tissue, a
256 transport experiment using TAM suspension and pancreatin in the donor chamber was also
257 carried out. The transport profile in 4 hours resulted superimposed to the one obtained
258 without pancreatin (data not shown). It was concluded, as shown by other authors [19], that
259 pancreatin did not modify the permeability of the intestinal tissue.

260 3.4. TAM transport through intestinal tissue from loaded nanoparticles in presence of 261 lipase

262 The lipase enzyme was not largely represented in the pancreatin mixture used in the previous
263 experiment (6 U/mg). During the *in vitro* release experiments, we showed that pure lipase was
264 much more efficient than pancreatin in releasing TAM from the lecithin/chitosan nanoparticles
265 (up to 80% in 24 h). To confirm the contribution of the enzyme-triggered degradation of the
266 nanoparticles to the transport of the drug and its metabolite through the intestinal tissue, a
267 transport experiment was carried out from a donor containing nanoparticles and lipase as
268 degrading enzyme.

269 Figure 4 shows that the transport of TAM from nanoparticles increased of one order of
270 magnitude compared to the experiments without lipase. Practically, the entire amount of drug
271 transported in the receptor was unmodified tamoxifen.

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274 **Fig. 4.** Total tamoxifen (TAM + 4-OH-TAM) transported through the intestinal tissue from
 275 loaded nanoparticles in presence of lipase (open circles). Black bars, intact tamoxifen; white
 276 bars, 4-OH-tamoxifen.

277

278 Summarizing, the amount of tamoxifen transported through the rat intestinal tissue after 4
 279 hours from LCN-TAM in the presence of lipase, was 4.5 times higher than with the LCN-TAM
 280 with pancreatin, 26 times higher than with LCN-TAM without enzymes and 90 times higher
 281 than with non encapsulated TAM in suspension. Now, the fraction of unmodified drug
 282 transported was prevalent. It must be underlined again that the amount of 4-OH-TAM
 283 measured in the receptor was not statistically different from the transport experiments where
 284 LCN-TAM with and without pancreatin were tested. This experiment confirms that the
 285 enzymatic degradation of lecithin/chitosan nanoparticles played a decisive role in the
 286 transport of TAM through the intestinal tissue.

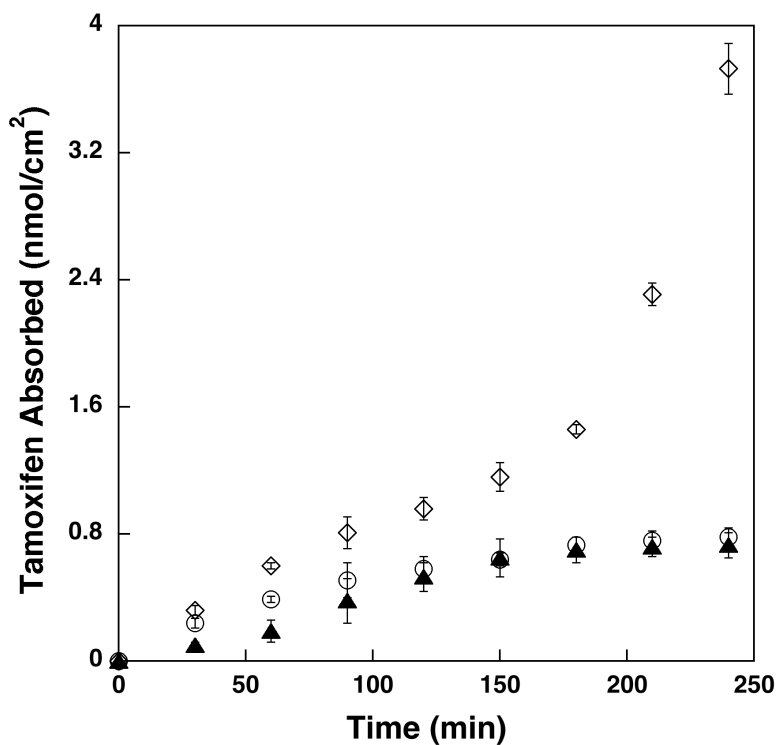
287 *3.5. TAM transport through intestinal tissue coupled with a semipermeable membrane,*
 288 *from loaded nanoparticles in presence of pancreatin*

289 Being the increased transport of tamoxifen attributed to the degradation of nanoparticles on
 290 the intestinal mucosa, the transport of drug from the nanoparticles was investigated when the

291 luminal side of intestinal tissue was not accessible to the nanoparticles. The questions to
292 answer were: “Does the adhesion of the nanoparticles to the intestinal tissue play a role in
293 TAM transport through intestinal tissue? Is it the increased chemical activity of TAM or the
294 presence of chitosan that enhanced the absorption of the drug?”.

295 The study was carried out under the same conditions as for the previous experiments (section
296 3.3), but avoiding nanoparticle contact and consequently bioadhesion to the mucosa. To do
297 so, a semipermeable membrane (cut-off 100,000 Da) was placed on the mucosal side,
298 separating the donor content from the tissue, according to Bravo-Osuna et al. [20]. The
299 membrane allowed for the passage of drug, but blocked enzymes, chitosan and
300 nanoparticles. The experiment was performed testing the transport from LCN-TAM with
301 pancreatin in the donor. Figure 5 shows the amount of TAM transported during four hours in
302 presence of the semipermeable membrane in comparison with the same experiment without
303 membrane. It is clear that the membrane significantly decreased the amount of TAM
304 transported through the intestinal tissue from the nanoparticles.

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307 **Fig. 5.** Total tamoxifen (TAM + 4-OH-TAM) transported through the intestinal tissue from
308 nanoparticles in presence of pancreatin without (diamond) and with (triangle) the
309 semipermeable membrane and from TAM suspension with the membrane (circle).

310

311 Preliminarily, it was assessed whether the semipermeable membrane did not affect the
312 transport of the drug. No difference in TAM transport from drug suspension existed between
313 the presence or absence of the semipermeable membrane, as it can be seen comparing the
314 profiles of Figure 5 and Figure 1.

315 The amount of drug transported from the TAM suspension through the intestinal tissue
316 covered by the semipermeable membrane was similar to the transport from nanoparticles in
317 presence of enzymes through the same barrier. The transport from the suspension was
318 somehow faster up to 120 minutes, but as the nanoparticles degraded, the two profiles
319 become superimposed. The membrane was not an obstacle for TAM molecules to access to
320 the intestinal tissue. Thus, when the mucoadhesion of nanoparticles to intestinal mucosa was
321 prevented, the transport profile of tamoxifen resulted similar to the one determined from the
322 TAM suspension.

323

324 **4. Conclusions**

325 The obtained results allow to concluding that during the transport through the rat intestinal
326 mucosa, in the conditions applied for the experiments, tamoxifen is heavily metabolized. The
327 metabolite 4-OH-tamoxifen is excreted into both the receptor and the donor compartments.
328 The amount of metabolite in the receptor phase during the experiments did not significantly
329 change with the test conditions, i.e. using tamoxifen-loaded nanoparticles or a drug
330 suspension, but the donor phase contained ten times more metabolite. A cell efflux
331 mechanism able to reduce the amount of tamoxifen absorbed through metabolite formation
332 must be present.

333 Considering the intact drug transported, the encapsulation of tamoxifen in lecithin/chitosan
334 nanoparticles improved the non-metabolized drug transport through the rat intestinal tissue.
335 When the nanoparticles were degraded by enzymes such as pancreatin or lipase, the intact
336 drug transported amount increased of one order of magnitude compared to the transport from
337 the free drug suspension, likely due to the promoting effect of chitosan molecules deriving
338 from the dismantled nanoparticles. If the contact between the nanoparticles and the mucosa
339 was prevented by the interposition of a semipermeable membrane, the TAM transported from

340 nanoparticles was similar as from tamoxifen suspension. This assigns to the lecithin/chitosan
341 nanoparticle structure a decisive role in tamoxifen intestinal absorption. Hence, the intimate
342 contact or mucoadhesion of nanoparticles to the mucosa is crucial to increase the transport of
343 TAM through the intestinal tissue via a paracellular way.

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