1 Coagulation Factor XIIIA (F13A1): novel perspectives in treatment and pharmacogenetics.

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18 Summary

19 Factor XIII (FXIII) is a key molecule in the field of blood coagulation and in the last decades it has 20 weakened attention within the field of angiogenesis and tissue repair. FXIII positively influences wound 21 healing in several tissues by exerting multiple plasma and cellular functions. In the field of haemostasis, 22 FXIII cross-links the neo formed fibrin fibers and supports platelet adhesion to the damaged sub-23 endothelium warranting a solid architecture. In addition, the pro-angiogenic functions of FXIII are 24 directed by the interaction of vascular endothelial growth factor receptor 2 (VEGFR2) and the integrin $\alpha_V\beta_3$, on the cell membrane, favouring an important step in the formation of granulation tissue at the 25 26 wound site for optimal tissue healing. Conversely, the same mechanisms could lead to undesired 27 increased neovascularisation, for example in inflammatory bowel disease or in the retinal degenerative 28 pathologies. The classical symptoms of FXIII deficiency span from intracranial haemorrhage to delay bleeding or the staving of chronic wounds in the skin including impaired mucosal healing. In this view, 29 30 FXIII bridges primary haemostasis, coagulation and definite tissue healing. Another important recently 31 discovered function ascribed to FXIII is its ability to limit bacterial spreading from the lesion by 32 incorporating specific macromolecules addressed to cellular infiltration, favouring in turn cell migration 33 and survival, as observed also in fibrin-heart cultures for stem cell recruitment. In the field of the novel 34 prognostic biomarkers, the monitoring of the residual circulating FXIII level during acute myocardial 35 infarction has been considered predictive of the post-myocardial infarction healing. Accordingly, 36 adequate FXIII levels can drive and predict the prognosis of complex diseases and the outcome of the 37 associated therapies or interventions. In addition, peculiar pharmacogenetics aspects of the FXIII gene 38 are of extraordinary interest. The present review accounts for the recognized role of FXIII in the healing 39 process and gives some examples on how to use it as prognostic biological/molecular marker or as 40 potential tailored therapeutic molecule in complex diseases.

41 *Key words:* Coagulation Factor XIII (FXIII); wound-healing; tissue repair; inflammation;

42 pharmacogenetics; cardiovascular diseases; degenerative disease; stem-cells.

43 Introduction

Coagulation factor XIII (FXIII) is a circulating transglutaminase that works in the final steps of blood 44 45 coagulation cascade and it plays a pivotal role in maintaining the mechanical and functional integrity of 46 fibrin clots. The zymogen circulates in plasma as a protransglutaminase consisting of two catalytic A-47 subunits with enzymatic function and two carrier B-subunits also responsible for preventing premature 48 activation/degradation of the catalytic A-subunit [1, 2]. Circulating FXIII is associated to the γ 'chain of 49 fibrin through FXIIIB. The A-subunit contains the activation peptide consisting of 37 aminoacids (AP; 50 R37-G38), the active domain, and the substrate-recognition regions. FXIII is present in plasma either as 51 hetero tetramer bounded to the B-subunits (FXIIIA2B2) or alone (FXIIIA2) as intracellular homo-dimer 52 [3]. FXIII is proteolytically activated (FXIIIa) by thrombin (FIIa), and by the releasing of the AP from the NH₂ terminus and in the presence of Ca^{2+} , the inhibitory B-subunits dissociate (Fig.1A). FXIII can 53 alternatively be activated via the B2-subunits dissociation in the presence of high concentration of Ca²⁺ 54 but in absence of any proteolytic cut (Fig.1B). 55



Figure 1 (A, B). Mechanisms of FXIII activation. A: Proteolytic activation of FXIIIA₂B₂ tetramer in presence of thrombin, fibrin and low concentration of Ca²⁺ ions; the activation peptide (AP) is cleaved from FXIIIA by thrombin. B: non-proteolytic activation of FXIIIA₂B₂ tetramer in presence of high concentration of Ca²⁺ ions, AP remains linked to FXIIIA. Cleaved activated FXIII (FXIIIA₂*); non-cleaved activated FXIII (FXIIIA₂°). FXIIIA (yellow), FXIIIB (light blue), inactive catalytic site (red), activated catalytic site (green).

This form resembles the intracellular isoform of the FXIIIA2 homo-dimer. All these steps originate the enzymatically active transglutaminase that catalyzes the covalent bonds between the γ -carboxyamine group of a glutamine residue and the ε -amino group of a lysine residue. This action in turn creates covalent cross-links between fibrin γ -dimers and among α -chains of fibrin monomers/polymers (Fig. 2) and covalently binds antifibrinolytic proteins to the fibrin network to improve chemical and physical properties [4].



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70 Figure 2. Fibrin cross-linking induced by activated FXIII (Thrombin 0.5U/ml, Ca²⁺ 8mM, Fibrinogen 1U/ml, FXIII 1U/ml at 71 37°C). A: SDS-PAGE under denaturing conditions of fibrin monomers (α , β , γ). B: Thrombelastogram (TEG) under the same 72 experimental conditions as in A. Lag-phase was 7'30" in VV34- and shorter (6'15") in LL34-sample. C: Western-blotting 73 analysis of activation of FXIII (1U/ml) under the same experimental conditions as in A. At 10 minutes of incubation FXIIIA 74 LL34 was completely activated by thrombin (>90% of the cleaved 79kD form), compared with FXIIIA2 VV34 which retains 75 large part of non-cleaved form (>40% of the full-length 83kD form). The full time-course was 60 min. The whole 76 experimental procedures (A, B, C) were carried out at the same time. Left panel: FXIIIA VV34-homozygote, right panel: 77 FXIIIA LL34-homozygote. It is to note the precocious appearance of the γ - γ dimers and α -polymers in the LL34 sample (red 78 squares).

FXIIIA is mainly expressed by the cell lineages of megakaryocytes/platelets, and by monocytes/macrophages, as well as by their early precursors [5]. Platelets express about 3% of the total

81 FXIIIA [6]. In addition, placenta, chondrocytes and osteoblasts also express FXIIIA [7]. The cellular

82 origin of FXIII is quite controversial. Some studies ascribed to platelets or to monocytes/macrophages

the main source [7-9], though during liver disease a general and contextual decrease of both A2 and B2

subunits has been observed, probably as the decreased protective effect role of the B-subunit of hepatic
origin [10]. Finally, during bone marrow failure Kupffer cells, connective tissue histiocytes and
hepatocytes could be extra-hematopoietic sources of FXIIIA [11]. FXIIIB subunit is released into the
plasma by hepatocytes in a dimeric form (B2). FXIIIB2 stabilizes the FXIIIA2 increasing in turn its
plasma half-life in the tetrameric form (A2B2) [12]. This action is probably obtained by the covering of
the proteolytic cleavage sites (elastase, cathepsin, MMP-9), it might also prevent the spontaneous
activation of FXIIIA in the circulation [13].

91 The wide gamma of FXIII substrates reflects the diverse effective roles of the molecule in
92 different fields spanning from hemostasis/thrombosis to fibrinolysis, healing or angiogenesis [4].
93 Accordingly, we can have several different proteins to be considered as potential cross-linking
94 substrates, and some of these are represented in table 1.

Table 1. Factor XIIIA substrates.							
Protein	Substrate						
Coagulation Factors							
_	Fibrinogen α-chain	96					
	Fibrinogen γ-chain						
	Factor V	07					
Fibrinolytic Proteins							
	α_2 -Plasmin inhibitor (α_2 -antiplasmin)						
	Lipoprotein A	08					
	Plasminogen						
	Procarboxypeptidase B/U						
	(Thrombin-activable Fibrinolysis Inhibitor; T	AFL					
Adesive/Matrix Proteins							
	Collagen						
	Thrombospondin	100					
	Vitronectin						
	Fibronectin						
	Osteopontin	101					
Cytoskeletal Proteins							
	Vinculin						
	Actin	102					
	Myosin						
Others							
	AT ₁ Receptor	103					
	Semenogelins I and II						
	Protein Synthesis Initiation Factor 5A						
	α_2 -Macroglobulin	104					

105 The classical substrates for FXIII are the fibrin polymers and the fibringen, responsible for the plug 106 formation in the final steps of blood coagulation with haemostatic purpose. This phase does not exhaust 107 with clot formation, additional molecules are trapped in the fibrin network to improve its mechanical and 108 elastic properties. Antifibrinolytic proteins such as α 2-antiplasmin, α 2-macroglobulin, and thrombin 109 activable fibrinolysis inhibitor (TAFI) are responsible for the protection of the neo-formed fibrin 110 network by earlier and premature degradation by endogenous fibrinolytic possesses [4, 14]. At the same 111 time, bridging the end of the haemostatic functions (i.e. fibrin cross-link), including fibrinolysis (e.g. α 2-AP cross-link) and angiogenesis (e.g. VEGFR2, $\alpha_V\beta_3$ integrin, TSP1 inhibition), FXIII promotes the 112 113 healing phase responsible for the definitive tissue repair. Other macromolecules of the extracellular 114 matrix (ECM), such as fibronectin, vitronectin, collagen, laminin, factor V are also cross-linked to fibrin, 115 straddling coagulation-fibrinolysis and wound healing (Fig. 3). All these actions firstly optimize and 116 synchronize the recruitment of macrophages and neutrophils and other leukocytes through integrin 117 signalling pathways, and afterward the fibroblasts spreading and proliferation.





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Figure 3. Schematic representation of the multitask role of activated FXIIIa and the relatives different substrates.

By means of these functions, FXIII enhances clot stability and also the epithelial and mucosal barrier functions, acting on platelet adhesion, reducing oedema formation, limitating bacterial intrusion, and favouring the infiltration and survival of inflammatory cells and fibroblasts. Finally, by promoting the angiogenic signalling, the global wound-healing capacity increases, resulting indeed very often affected in FXIII-deficient subjects.

125 Although FXIII gene is highly polymorphic (Table 2), its biological functions are mainly 126 influenced by a unique specific single nucleotide polymorphism (SNP), the common G-to-T transversion at nucleotide 185 (G185T) in codon 34 (FXIIIA V34L). It is considered the main functional SNP of 127 128 FXIIIA-subunit among the individuals of Caucasian descent [15]. It is located very close to the thrombin cleavage site, and affects the activation of FXIII via thrombin, the cross-linking activity and the 129 130 tridimensional organization of the fibrin structure [16, 17]. Both VL- and LL34 genotypes are related to 131 significant changes in the activation rate of FXIII that is increased in the LL-homozygotes and exhibits 132 an intermediate effect in the heterozygous carriers [16]. Evident experimental *in vitro* proofs are provided by the thrombin activation of FXIII and by the cross-linking of fibrin evaluated in the two 133 134 different homozygous genotypes, showing an increased activation rate in LL-homozygotes as demonstrated in western blotting analysis (Fig. 2 C) and in SDS-PAGE (Fig. 2A) respectively. 135 136 Moreover, thrombelastography (TEG) analysis accounts for the earlier cross-linking activity (shorter lag 137 phase) and the increased polymerization rate (slope) on fibrin observed in LL34-homozygote (Fig. 2B). 138 On these bases, it becomes clear that FXIII is a multifunctional protein playing key roles in both 139 physiological and pathological processes [2, 18, 19]. The aim of this review is therefore to provide an 140 overview of the peculiar and novel FXIII functions and to support its possible therapeutic use in selected 141 patients, accordingly to their potential to clot/heal assessed by FXIII level monitoring together with 142 pharmacogenetics information.

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Nucleotide	aminoacid variation	Exon	Allele Frequency (ancestral/polymorphic)			
Variation			Caucasians	Africans	Japanese	Chinese
F13A1	-		-	-	-	-
c.G103T	p.V34L	2	0.767/0.233	0.883/0.117	1	1
c.A614T	p.Y204F	5	0.983/0.017	1	1	1
c.C1694T	p.P564L	12	0.758/0.242	86.4/13.6	0.705/0.295	0.733/0.267
c.T1766A	p.L588Q	13	0.975/0.025	1	1	1
c.G1951A	p.V650I	14	0.95/0.050	0.942/0.058	0.909/0.091	0.911/0.089
c.G1954C	p.E651Q	14	0.775/0.225	0.742/0.258	0.909/0.091	0.911/0.089

Table 2. Factor XIIIA polymorphisms and allele frequency in different populations.

Codon position was according to Ichinose et al. ref. [109]; Allele frequency was according with International HapMap project (<u>www.hapmap.org</u>); Caucasians: Utah resident with ancestry from Northern and Western Europe; Africans: Nigeria; Japanese: Tokyo; Chinese: Beijing.

150 151 Modified from Muszbek et al. ref. [18].

152

FXIII deficiency, classical symptoms and FXIII therapy

According to the *data* presented in literature, the frequency of FXIII deficiency is estimated to be about one in two million individuals and this yields to no more than a few thousands of patients worldwide [20-22]. The classical symptoms of FXIII deficiency include a delayed general bleeding tendency, umbilical cord bleeding after birth, muscle haemorrhage, mucosal bleeding, postoperative bleeding, severe intracranial haemorrhage, impaired wound healing and pregnancy loss often observed in the congenital FXIII deficient patients with the rare autosomic recessive trait.

159 Congenital FXIII deficiency is an autosomic recessive defect affecting the gene encoding FXIIIA 160 subunit (F13A1), or less frequently, the gene encoding FXIIIB subunit (F13B). This latter may lead to 161 reduced stability of freely circulating FXIIIA2 homo-dimer, due to the lack of the protective B-subunit 162 [20, 23]. A significant number of patients experiences the acquired FXIII deficiency, often caused by 163 autoimmune conditions and although not so easy to detect and discriminate by conventional laboratory 164 techniques, it is not to be considered a rare clinical situation [24]. The generation of antibodies directed 165 against FXIII, may affect all the steps directly or indirectly involved in the final FXIII activity: the 166 activation rate of the molecule, the specific activity, the binding to fibrin or to other substrates, and even 167 its circulating half-life [23, 25]. As regards FXIII deficient females, most of them experience recurrent 168 pregnancy loss, though it has been reported successfully pregnancies also in females with low circulating 169 FXIII levels [26-28]. The threshold level to have clinical manifestations is below 3-5% of the normal 170 distribution. Additionally, low FXIII levels, can be caused by impaired synthesis, as can be observed 171 following chemotherapy [8, 29] and chronic liver failure [10, 30], as well as in recurrent bleeding, 172 inflammation, disseminated intravascular coagulation, burn wounds, sepsis, after open heart surgery, in 173 inflammatory bowel disease [31-36], and also, as recently definitively demonstrated, in the acute phases 174 of myocardial infarction [37].

FXIII concentrate (human or recombinant) is recommended as prophylactic treatment for patients
with congenital FXIII deficiency [38, 39]. As the recombinant molecule is concerned, a recombinant
analogue of FXIIIA subunit has been produced in yeast cells by Novo Nordisk [40]. The new

178 recombinant FXIII (rFXIII) was originally developed by Zymo Genetics and later it was acquired by the 179 Novo Nordisk Company (Copenhagen, Denmark). The molecule was expressed in yeast (Saccharomyces 180 cerevisiae) without the inclusion of human molecules [41]. The rFXIII once in plasma, associates with 181 the free endogenous FXIIIB subunit to form the stable FXIII hetero-tetramer (FXIIIA2B2). In a recent 182 study, patients with congenital FXIIIA subunit deficiency were infused with the recombinant molecule (Tretten®), which was effective in preventing bleeding in 90% of them when given monthly, according 183 184 to the FDA [42]. Tretten[®] was approved by the FDA in 2013 from a clinical study, demonstrating safety 185 and efficacy of the recombinant molecule. Trial phase 3 showed that preventive treatment with 35 186 IU/kg/month significantly decreased the number of at risk episodes needing treatment [40]. Two 187 additional FXIII concentrates characterized by intermediate-purity have been tested in the USA and are 188 actually available for clinical purposes in worldwide. They are virus-inactivated FXIII concentrates 189 obtained from human plasma or placenta. Corifact® (CSL Behring) is the human FXIII concentrate 190 approved in USA. Fibrogammin P® (CLS Behring) is the plasma-derived concentrate distributed in 191 Europe, South America, Japan and South Africa. Finally, the FXIII concentrate of Bio Products 192 Laboratory (Elstree, Hertfordshire, UK) is available on request.

193 **FXIII role in complex diseases**

Delayed wound healing in patients with low FXIII levels is known from long time and together with chronic venous lesions, account for a significant portion of patients in which it has a role. Several papers have been published in which FXIII levels, activity and peculiar genotypes have been demonstrated to have significant effects on the risk of establishment, progression and prognosis of chronic venous lesions [43-47].

Several theories and etiopathogenetic mechanisms have been proposed to explain dermal
abnormalities in chronic venous lesions, accounting a complex interplay that involves venous
hypertension, inflammation, cytokines and matrix MMPs activation, resulting in altered cellular
functions and delayed wound healing [48] in which FXIII together with other different actors is one of

203 the leader molecules directly or indirectly involved in contrasting detrimental/degenerative and in 204 favouring pro-healing/reparative processes [49]. Other molecules, belonging to the iron metallobiology, 205 have long been suspected as causal agent in venous leg ulcer pathophysiology in close relation with 206 FXIII molecule [50]. The mechanism by which they deregulated iron cycle is mediated by the generation 207 of free oxygen radicals (ROS) and/or activation of MMPs or else down-regulation of tissue inhibitors of 208 MMPs. FXIII counteracts the detrimental action of ROS and MMPs improving both physical and 209 mechanical strength in the ECM protein network against unrestrained proteolytic action [49-52]. An in 210 *vitro* direct evidence of such a suggested mechanism, it has been provided by the positive effect of FXIII 211 in term of cell viability in fibroblast cell culture [51]. Physiological FXIII concentrations significantly 212 counteracted the proteolytic action of MMPs and gave to cells normal growth rate and regeneration in a dose dependent manner [51]. Not only the levels and activity of endogenous FXIII have been 213 214 investigated in chronic lesions, but also exogenous applications on the lesion of commercial FXIII 215 concentrated and a favouring healing function has been demonstrated in vivo and in vitro [51, 53-57]. 216 On the basis of these information, pharmacogenetics prevention programs have been proposed to 217 help and influence the clinical practise in terms of predicting lesion onset or progression, stratifying

patients according to their potential to heal. For the first time in surgery they have been considered
algorithms influencing clinical procedures, taking into account the different genetic background and the
clinical phenotype of patients [44, 47, 58].

221 Regarding cardiovascular diseases, and in particular the post-myocardial infarction healing, it is 222 to take into account that, apart plasma FXIII tetramer (FXIIIA2B2), FXIIIA2 homo-dimer is present in 223 platelets, monocytes and macrophages, all cells actively involved in infarct healing [2, 3, 59-61]. One of 224 the first extraordinary evidences that directly demonstrated the essential role of FXIIIA in the stability of 225 infarct scar has been obtained by Nahrendorf (Mention Year) from an experimental animal model [62]. 226 In this study, 100% of mice with genetically reduced FXIIIA levels died within five days after induced 227 myocardial infarction (MI). Left ventricular rupture was the cause of death, and no mice died due to 228 severe bleeding or internal haemorrhage. Accordingly, intravenous FXIII treatment gave back a survival 229 rate comparable to that of wild-type mice, though the cardiac MRI revealed an anomalous left ventricular

230 remodelling responsible for poor heart performances. The role of FXIII in supporting the post-MI 231 healing was further confirmed and demonstrated by additional studies suggesting and supporting the use 232 of FXIII as supplementary therapy to avoid anomalous left ventricular remodelling and loss of heart 233 functions [63-65]. In addition, FXIII-based advanced treatments have been recently proposed to 234 counteract the negative post-MI effects suggesting even intra-myocardial injection of FXIII-modifiable 235 biomaterial [66, 67]. Finally, recent papers dealt with the role of platelet rich plasma (PRP) in MI 236 healing. Intra-myocardial injections of autologous PRP have been successfully utilized during MI to 237 accelerate and optimize local healing and contrast ROS-generation in the ischemic/reperfused heart [68-238 70]. As mentioned before, platelets contain FXIIIA together with a wide range of growth factors (GFs) 239 and citokines (CKs), and after endogenous activation they release a huge and wide panel of pro-healing 240 molecules at the injury site. By organizing a robust and elastic tri-dimensional fibrin network and 241 influencing the ECM components (either cells and proteins), FXIII becomes promoter of additional 242 important tasks such as adult staminal cells recruitment, neo-angiogenesis, collagen deposition leading 243 overall to myocardial healing [18, 19, 71, 72]. Since it is hard to think about a deficiency of GFs/CKs in 244 patients, we could rather hypothesize a "deficit" in the cell recruitment/anchoring (i.e. PLTs and white 245 cells) by the tri-dimensional fibrin network, responsible in turn for a poor local GFs/CKs release and 246 poor healing. FXIII finely tunes and modulates the fibrin-network for optimal storage and balanced 247 release of GFs/CKs at the heart injury site. In this view, it could be considered the director of the 248 forming of a sort of durable bio-patch with paracrine effect (GFs/cyto/chemiokines) or the driver of a 249 bio-dispenser of pro-healing molecules, directly to the local injury site. A so complex organized fibrin 250 network is the essential requisite to immediately counteract anomalous infarct healing and its extreme 251 consequences such as heart rupture or development of severe heart failure (HF). Finally, such organized 252 fibrin architecture is more attractive and efficacious also for endogenous/exogenous (stem) cells 253 integration. Accordingly, it has been recently demonstrated that during MI and HF there is an increase of 254 peripheral blood CD34+ stem cells and circulating endothelial progenitor cells (EPCs), reflecting a 255 response to the endothelial damage [73]. How to improve cell mobilization/number and help their 256 integration/proliferation still remains an exciting challenge. Generally, stem cell-based therapies are not

257 so efficacious because transplanted cells do not completely survive in the cardiac tissue. Conversely, it 258 has been demonstrated that cross-linked fibrin-heart cultures are able to recruit increased number of stem 259 cells in vitro with the possibility to reach therapeutic quantities of these cells [72, 74, 75]. Therefore, the 260 warranty of optimal FXIII circulating levels, will ensure its efficacious action at the wound site. Thus, 261 this could avoid invasive approaches, such as intra-myocardial injections, or eliminate alternative 262 complex strategies, such as the construction of pre-constituted or self-assembling bio-scaffold, merely by 263 improving the heart ability to self-heal. Two studies in the late 1970s investigated FXIII fluctuations 264 after ischemic events, speculating a possible repair mechanism of the heart lesion [76, 77]. More 265 recently, FXIII fluctuations in the acute venous or arterial accidents have been investigated with diagnostic/prognostic approach [78-82]. We recently demonstrated that during the first days after MI 266 267 FXIIIA undergoes to an acute and transient fall in circulating levels and this occurs in the majority of 268 patients [37]. Interestingly, patients undergoing excessive FXIII consuming at the time of MI were more 269 prone to die or to develop HF earlier. Taken together, these data strongly support the idea to consider 270 FXIII a prognostic biomarker and that appropriate FXIIIA circulating levels, or even better its 271 availability at the injury site, are mandatory for optimal myocardial healing being the earliest phases 272 extremely crucial also in the view of improved local stem cells recruitment.

273 Regarding inflammatory bowel diseases (IBD), they include disparate chronic intestinal diseases 274 and among these, ulcerative colitis (UC) and Crohn's disease (CD) are the two most investigated due to 275 their high prevalence [83, 84]. One common characteristic of these chronic conditions is the fact that 276 FXIII is reduced and inversely relates with disease activity [85-87]. An increased FXIII consumption, 277 due to the continuous attempts to regenerate tissue, together with the "activation/stopping" of blood 278 coagulation in the inflamed tissue, might be the cause. Different studies investigated the efficacy of 279 FXIII in counteracting IBD but final results are albeit controversial [88-92]. The physio-pathological 280 bases lay on the fact that FXIII stabilizes the mucosal barriers, increases platelet adhesion containing a 281 huge amount of growth factors and cytokines, and also contrasts establishment of oedema and bacterial 282 invasion, helping regrowth of the intestinal epithelium and wound healing [2, 18, 19, 93]. The recently 283 demonstrated protective role of FXIII in early innate immune defence [94, 2, 95], is in line with the

284 reduced ability of these patients to contrast bacterial infiltration at the site of mucosal lesion, according 285 to their reduced cross-linking activity [96]. Epithelial restitution is of extraordinary importance in IBD 286 patients. It's to note that all the main weaknesses in IBD etiopathogenesis are theoretically competence of and/or solvable by FXIII molecule: mucosal ulceration, angiogenesis, excessive bacterial burden in 287 288 the mucosa, intense inflammation, whose long-standing give-up to restrained angiogenesis. 289 Angiogenesis should be indeed considered as a dual and opposite matter; on one hand absolutely useful 290 in regeneration of damaged tissues, but on the other hand responsible for leaky capillaries and weakening 291 of endothelial barrier, favouring, oedema, exudation, inflammation, and tissue lesion, when acting under 292 low FXIII effect and becoming unrestrained.

293

294 Novel and unconventional drug utilizations of FXIII

295 FXIII as a stand-alone treatment in bleeding

296 After the above supplied selected examples on the role of FXIII in complex diseases, in which 297 similar and comparable mechanisms may lead to the loss of equilibrium in tissue regeneration and 298 healing in different disease settings, it is now suggested an interesting novel approach to the treatment of 299 bleeding, with a particular emphasis toward haemophilias [97, 98]. In this contest, FXIII concentrated 300 has been suggested as unique drug in the treatment of haemophilic patients or in combination with other 301 recombinant molecules [99]. Fibrinogen and FXIII are strongly related in haemostasis, with fibrinogen 302 playing an important role also in platelet aggregation (primary hemostasis) and in the establishment of 303 the final fibrin network (secondary hemostasis). The rationale is that during bleeding, regardless its 304 aetiology, treatment must be rapid and specific towards the impaired pathway involved. Fibrinogen-to-305 fibrin conversion and the associated FXIII-mediated cross-linking processes are key events in providing 306 effective haemostasis and stable clot. The crucial step to take into consideration is to have sufficient 307 thrombin generation to sustain clot formation. Among the several actors involved in efficient 308 haemostasis FXIII thanks to fibrin monomer cross-linking emerges as key molecule. It has been recently 309 described a discordant fibrin formation in haemophilia resulting in clots less resistant to endogenous 310 fibrinolysis (43% lower mass) [100]. Moreover, it has been suggested that increased fibrin monomer

311 levels are suggestive of high intraoperative bleeding. This should be due to an unbalanced thrombin-312 mediated fibrin/FXIIIa ratio. Very interesting is the observation that a single dose of FXIII (30U/kg) 313 significantly reduces intra- and/or peri-operative bleeding. Finally, the joined effect of recombinant FVII 314 (rFVIIa, NovoNordisk) and rFXIIIA was evaluated in clot lyses assays confirming that the two 315 molecules contribute to clot formation and stability by complementary and different mechanisms eligible 316 for a combined treatment [99, 101]. The effects of FXIII on clot stability and physical clot structure have 317 been effective also at low concentrations of FVIII, indicating that FXIII is useful in severe defects [98]. 318 So, FXIII may be considered as a stand-alone treatment for patients with mild to moderate haemophilia, 319 with significant bleeding symptoms or even it may be useful in factor-sparing prophylaxis regimes [97]. It could be speculated that rather than specific targeted coagulation factors prophylaxis, FXIII alone 320 321 might be sufficient to prevent bleeding in several and different contexts regardless the responsible 322 deficient factor or the origin of bleeding, by ensuring the formation of structurally normal and stable 323 clots. Finally, FXIII could also have effect in bypassing the treatment resistance and inefficacy in 324 patients with inhibitory antibodies [98]. FXIII together with fibrinogen could be therefore proposed as a 325 novel perspective in the treatment of coagulopathic bleeding.

326

327 FXIII as a Heart-healing

328 Myocardial infarction (MI) is the most frequent cause of heart failure (HF). In 2008 in the United 329 States, about six-million people suffered from HF, and about 300.000 of them died. Reduced acute 330 infarct mortality, due to efficient acute care (PTCA and thrombolysis), and insufficient options to treat 331 infarct survivors chronically, have contributed to increased HF prevalence and hospitalization [102, 332 103]. Long term mortality due to HF is the crucial unsolved problem in MI survivors. Available standard 333 treatments (ACE; β-blockers) help but do not eradicate the loss of heart performances after MI. New 334 therapeutic strategies are needed and among them several promising molecules and strategies have been 335 identified to potentially regenerate functioning myocardial cells. The recent development of imaging 336 techniques, aimed at investigating heart healing, accounts for the monitoring of the so-called "healing-337 biomarkers". Among these, chemokines, growth factors, adhesion molecule, MMPs and

338 transglutaminases (i.e. FXIII), have been recognized with different timing and role in the complex post-339 MI healing phases (see detailed references in ref. 104). Briefly, acute infarction is responsible for the 340 formation of a lesion in the wall of the culprit heart and this, together with the effects of the chronic high 341 pressure and the heart beating, is considered the main cause of the anomalous remodelling of the left 342 ventricle when not properly healed [105]. Contextually, an astonishing intrinsic wound-healing starts 343 during the first one-two weeks after MI. This is a potential time to perform a therapeutic approach to 344 prevent the anomalous wall remodelling and in turn HF. Loss of heart symmetry and affected geometry 345 lead to a myocardium not properly working and destined to fail. This affects heart performance and in 346 the long period inevitably causes HF. No specific and effective drugs till now there exist. During this period, the infarct lesion is highly active biologically and an early provisional fibrin mesh is formed. 347 348 During these extensive changes of tissue architecture, the vulnerable wound is exposed to the mechanical 349 stress of heartbeat and pressure, and the fibrin mesh growing could be hampered. Together with the 350 damaged tri-dimensional fibrin meshwork, the whole healing process suffers from the continuous "stop 351 and go". So, the heart wall can undergo toward deleterious changes in geometry and associated 352 functions. In the short term, poor healing can lead to infarct expansion, left ventricular dilatation, wall 353 rupture and death. In the long term, filling pressure, wall stress, and left ventricular volume can increase 354 and propagate adverse remodelling, leading to HF and a poor prognosis. Among the physiological 355 potential factors that can help the heart to heal, transglutaminases (i.e. FXIII) have been considered pro-356 survival factors and treatment procedures have been suggested so that FXIII has been called the "cement 357 of the heart" [62-65]. Our group recently recognized a drastic fall in the circulating FXIIIA levels during 358 the first days of MI, and this was genetically pre-determined [37, 81]. In addition, we recognized a 359 FXIIIA threshold, and below that the prognosis was poor and HF or wall rupture had higher chance to 360 occur during early follow up [37]. FXIIIA level significantly correlated with the worst prognosis 361 independently from troponin (TnT) or CPK levels, then ascribing to FXIIIA the role of a novel 362 independent prognostic marker in MI. In Figure 4, the levels of FXIIIA are compared with those of 363 CKMB and TnT, FXIIIA peaked (lowest levels) at day 5, though it was informative starting from day 2 364 [37].

16



Figure 4. Kinetic comparison of the conventional myocardial infarction markers (CKMB mass and TnT) and FXIIIA
 assessed every 24h during the first days (d0-d5) after infarction; d30 indicates baseline levels assessed 30 days after the acute
 phase. It is to note the complete recover of FXIIIA concentration at day 30. (Modified from Gemmati et al. ref. [37]).

370 For these reasons, FXIIIA monitoring is strongly suggested in acute MI possibly together with 371 the conventional ischemic biomarkers, and when below a certain threshold a FXIIIA restablishing 372 treatment could be necessary. This potential at risk procedure should anyway be considered safe and 373 viable only after definite clinical validations aimed at assessing the safety of the infusion of a pro-374 coagulant factor in MI patients. On the other hand, the monitoring of FXIIIA should select in advance 375 those really in need of treatment, and large part of MI patients immediately start anti-aggregants and 376 anti-coagulant drugs, so they could be considered protected having a poor haemostatic balance. Finally, 377 supra-normal recombinant FXIIIA levels in healthy individuals have been considered not at risk situation 378 [106, 107].

379

380 Novel Pharmacogenetics aspects of FXIIIA gene

381 The gene coding for human FXIIIA (F13A1) lies on chromosome 6p24–25 and spans over 160

kb [108]. The protein F13A1 contains 15 exons and 14 introns [109]. The mRNA is a transcript of about

383 3.9 kb. The AP is located in the exon II, being the exon I is completely in the non-coding region. FXIIIA

384 gene is highly polymorphic and wide differences in racial distribution have been demonstrated [110]. 385 Several gene variants have been described influencing level and activity (Table 2). The main functional 386 locus modifying FXIII functions is the V34L [15, 111]. This SNP is the most widely investigated; 387 accordingly, many studies show L34 to enhance thrombin-dependent activation-rate of FXIII, because of 388 L34 renders the R37-G38 activation-site more available for thrombin [16, 17]. The above discussed 389 earlier FXIII activation-rate (Fig. 2) reflects in an altered cross-linked three-dimensional structure of the 390 fibrin network, more resistant to both endogenous and pharmacologic fibrinolysis, though different 391 fibrinogen concentrations have to be taken into consideration [112-114]. Though, controversal results, 392 large meta-analyses established a protective effect against venous and arterial thrombosis [115, 116]. 393 The P564L, recently associated with decreased circulating levels, could reflect earlier tetramer 394 dissociation with effects on A-subunit degradation [15, 45, 47, 117-119]. The T204F, scarcely 395 investigated, was associated to decreased enzyme activity and levels [15, 47, 113, 118, 119]. Similarly, 396 the V650I and E651Q are very rare and less investigated compared to the V34L [15, 113, 117]. Table 2 397 summarizes the most important FXIIIA SNPs including those scarcely investigated in the clinical setting. 398 Finally, selective changes in the FXIII activation peptide sequence, may give back FXIII species with 399 increased activation rates potentially useful in designing and projecting of recombinant FXIIIA 400 molecules [120].

401 Besides the classical effects that FXIIIA SNPs may have on coagulation and related disorders 402 (i.e. hemorrhage and thrombosis), more interesting and less investigated aspects may come from new 403 and promising applications in the clinical practice.

Surgery, by definition, cuts the skin to reach the organ/tissue of interest. In the field of vascular surgery, an interesting association has been demonstrated between healing time and FXIIIV34L after superficial venous surgery [45]. Interestingly, the known genetic risk factor for iron overload in venous leg ulcer (HFE C282Y) did not affect post-operative healing time once venous stasis was surgically resolved regardless the presence of the protective genetic factor (FXIII V34L). In addition, the polymorphic L34- and the L564-allele positively correlated with smaller lesion area both in single and double heterozygosis in venous leg ulcer [47, 58]. These results ascribed significant smaller area to 411 lesions regardless the FXIIIA circulating level in patients, ascribing to the functional property of the SNP 412 the primary effect on the extension of the skin lesion [43]. An attempt to create a DNA-array with 413 prognostic purpose in the wound healing of chronic lesions confirmed these results also in combination 414 with additional genes and SNPs [44]. Finally, additional precious clinical information might be drawn by 415 this molecular tool such as the risk of establishment and the predictive early onset [58].

416 Another concrete example of FXIII pharmacogenetics comes from a large clinical trial in patients 417 affected by eye diseases, genotyped for FXIIIA V34L. They were treated with photodynamic therapy 418 with verteporfin (PDT-V) for severe macular degenerations complicated by choroidal neovascularization 419 (CNV). The study aimed to define a pharmacogenetics correlation between this SNP and CNV responsiveness to either single PDT-V procedure [121-123] or to long-term PDT-V standardized as-420 421 needed protocol [124]. The therapeutic photo-thrombotic action, on which is based PDT-V [125-128], clearly indicates the presence of a high level of plausibility concerning the role of coagulation-balance 422 423 SNPs and individual variable efficacy of PDT-V [129-132]. The beneficial effect is obtained with a 424 laser-light-induced thrombosis of CNV, selectively photosensitized by verteporfin preferentially 425 bounded to the endothelium of the aberrant neovessels in comparison with that of the normal 426 microvascular networks. Post-PDT-V changes of CNV endothelium are induced by a photo-oxidative 427 therapeutic occlusion of the neovascular network mediated by platelet activation and consequent release 428 of vasoactive mediators. These molecules elicit a series of events (i.e. thrombosis, vasoconstriction, and 429 increased vascular permeability), which finally lead to local hypoxia and CNV shutdown. Even though 430 PDT-V has been initially considered an ideal treatment for neovascular macular degenerations [125], 431 both persistence and extensiveness of CNV hemodynamic occlusion represent pivotal aspects for the 432 assessment of therapeutic efficacy and, the early recanalization of the neovascular network, can be a key 433 factor in determining poor responsiveness to photodynamic protocol [127, 128]. The unsatisfactory post-434 PDT-V result observed in the carriers of the "hyperfibrinolytic" L34-allele should be rationally related to a weak photo-thrombotic action within the CNV [121-124], similarly to what has been described also in 435 436 patients taking aspirin during PDT-V protocol [133]. The locally PDT-V activated thrombosis [125] is 437 responsible for the formation of a very different fibrin clot structures according to local fibrinogen levels

and FXIII genotype [112], with more loosely packed fibers and larger pores in patients with the
polymorphic L34-allele. Accordingly, the L34-allele has been described as protective factor in patients
with retinal artery occlusion [134] and retinal vein occlusion [135], as well as predisposing factor in
individuals suffering from spontaneous sub-conjunctival hemorrhages [136, 137]. Similarly, the L34allele was previously described having an opposite role in the cerebral stroke, being predisposing to
primary intra-cerebral hemorrhage and protective against cerebral thrombotic ischemia [138].

444

445 **Summarizing and Conclusions**

446 The key role of FXIII in tissue regeneration has been known for decades, and its functions have 447 been evaluated and investigated in several clinical settings. Tissue remodelling and wound healing are 448 processes involving a complex series of steps finely tuned by a network of mutual reactions and feedbacks. Anomalous healing implies a dysregulation of the balance between the acute and early phases 449 450 and the conclusive steps often leading to the establishment of chronic conditions responsible for poor 451 tissue elasticity, loss of epithelial integrity, and excessive fibrosis. The final outcome of the perfectly 452 concerted phases is important for both the healing of acute wounds and the healing under chronic 453 inflammation status. FXIII effectively links the several components of the coagulation cascade to the 454 process of the definite wound healing by means of non-enzymatic activities and chemical cross-linking 455 of ECM proteins, cells and other constituents. Accordingly, FXIII takes part to cell proliferation, 456 survival, and differentiation, crucial steps for optimal tissue regeneration. Accordingly, stem cells 457 recruitment is strongly mediated and improved by tridimensional fibrin networks processed by FXIII. 458 Because of the multitask properties of the FXIII molecule, and due to the fact that they can often act 459 concomitantly and/or sequentially, a transient and acquired deficit of FXIII could establish, mainly due 460 to its excessive consumption and this could affect the final healing process. Among these, chronic 461 inflammation, bleeding episodes and activation of the coagulation cascade (i.e. thrombosis or infarction) 462 can cause reduced levels of circulating FXIII. On these bases, the wound-healing effects of FXIII could 463 be hampered in numerous clinical settings, including non-healing lesions, postsurgical conditions, 464 inflammatory bowel disease, open heart surgery and infarction. FXIII levels often correlate inversely

with chronic and degenerative diseases activity (e.g. chronic venous ulcers or inflammatory bowel
disease) and might negatively affect the clinical outcome in acute conditions (i.e. acute myocardial
infarction). Accordingly, FXIII monitoring could give back information as healing biomarker, and
exogenous FXIII supplementation could be suggested to improve tissue restitution and organ recovery of
functions in a range of pathologic conditions in which a reduced FXIII availability has been established.

This review has dealt with and has summarized the roles and the multi-task properties and distinct functions that FXIII possesses articulating a new background on the future potential utility of FXIII as an adjuvant therapeutic agent or a useful prognostic bio/molecular marker in a variety of complex diseases in which effective coagulation activity and wound healing are involved.

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478 **References**

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