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Abstract: Since fossil fuels are expected to run out within few decades, attention has increasingly been focused on renewable energy sources, including microalgae. Neochloris oleoabundans (Chlorophyta) has a capability to accumulate lipids, in particular triacylglycerols (TAG) , useful for biodiesel production; furthermore, it can grow mixotrophically. The present work deals with two fundamental steps of mixotrophic cultivation with glucose (late exponential -6 days- and late stationary -14 days- phases of growth), focusing on the relationship between photosynthesis and lipid production. Results confirmed that the use of glucose induces a high biomass productivity, which is associated to a rapid cell replication until day 6 followed by cell enlargement until day 14. At day 6, mixotrophic cells contained numerous stromatic starch grains, while at day 14 lipids were highly accumulated and starch tended to reduce. Photosynthetic pigment and protein content decreased under mixotrophy. The degree of photoinhibition under high light was not significantly affected by mixotrophic cultivation at both experimental times. The creation of a reducing environment due to the photosynthetic activity, together with alterations of N:C ratio, promoted the lipid synthesis. Neutral lipids increased under mixotrophy and oleic acid was the major component, while linolenic acid decreased; these aspects match requirements for biodiesel production.



UNIVERSITÀ DEGLI STUDI DI FERRARA DIPARTIMENTO di SCIENZE della VITA e BIOTECNOLOGIE

Corso Ercole I d'Este, 32 - 44121 Ferrara (Italia)

Ferrara, 03<sup>rd</sup> February 2016

Dear Dr. Peter Lammers,

please find our revised manuscript entitled "Photosynthetic aspects and lipid profiles in the mixotrophic alga *Neochloris oleoabundans* as useful parameters for biodiesel production" by Costanza Baldisserotto, Cecilia Popovich, Martina Giovanardi, Alessandra Sabia, Lorenzo Ferroni, Diana Constenla, Patricia Leonardi, Simonetta Pancaldi.

We thank the reviewers for their comments. We revised the manuscript based on suggestions given by reviewer 1. We upload all files about the revised manuscript (text, figures, supplementary material): in particular, the text is marked with all changes made during the revision process. We upload the text file with changes "not-marked", too. We also upload a file with a point-by-point response to the comments raised by the reviewers.

- The corresponding Author is Prof. Simonetta Pancaldi (Dept. of Life Sciences and Biotechnology, University of Ferrara, C.so Ercole I d'Este, 32, 44121 Ferrara, Italy; e-mail: simonetta.pancaldi@unife.it; phone number: +39 0532 293786; fax number: +39 0532 208561).
- We declare that the paper has not been published previously, is not accepted for publication, has not been submitted previously to *Algal Research* and is not currently under consideration for publication elsewhere.
- To support this submission to *Algal Research* the Authors would like to stress that the paper comes from an original collaborative study between Italian and Argentinian experts in algal biology. The Authors have combined their expertises to provide a novel comprehensive picture of a widely studied microalga in the field of biofuel development. In particular, we focused on the mixotrophic growth of this species, providing elements to link the photosynthetic metabolism and the lipid profiles. The diverse methodological approaches and the impact of results for future use of this strain in biodiesel production make the paper appealing to a wide audience of scientists.
- The Authors declare that they have no conflict of interest.



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- All figures are intended for the publication as black and white or grey scale images.
- All figures, except Figure 2, are intended for the 1.5-column fitting, while Figure 2 is proposed for the 2-column fitting.

Hoping that our revised manuscript is eligible for publication in your Journal, I convey you our very best regards.

Simonetta Pancaldi

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# List of changes on comments of reviewer 1

# Reviewer #1

This is a much improved manuscript compared to the originally submitted version. Some minor revisions are still needed (page and line numbers listed according to the "revised manuscript with no changes marked" version):

1. At the bottom of Page 2, Abbreviations, "PSII, photosystem II". **Ok. Abbreviation has been corrected.** 

2. Page 3 line 64, change "saturation degree" to "degree of unsaturation". **Ok. Correction has been added.** 

- 3. Page 6 lines 129-130, suggest changing "biomass production" to "biomass concentration" and changing "biomass yields" to "biomass productivity" to avoid confusion.
- Ok. The suggested changes have been added.

4. Page 11 line 271, delete "culture".

Ok. Correction has been added. Note that during corrections line numbers changed with respect to the original MS, so Page 11, line 271 (indicated by the Referee) changed to Page 11, line 274. Thus, the term "culture" has been deleted on Page 11, line 274.

5. Page 13 line 314, in the brackets, it should be "Fig. 3 B, D, F". Ok. Correction has been added on Page 13, line 318, due to changes in line numbers.

6. Page 14 line 342, delete "down". **Ok. Correction has been added (Page 14, line 347 in the present version).** 

7. Page 15 line 358, delete "in a". Ok. Correction has been added (Page 15, line 364 in the present version).

8. Page 18 line 442, "photosynthesizing", not "photosynthesising". **Ok. Correction has been added (Page 18, line 449 in the present version).** 

9. Page 20 line 498, "6-day", delete "s", same for line 500, "14-day". Ok. Correction has been added (Page 20, line 506 and 508 in the present version).

10. Page 20 line 512, change to "The availability of reducing power and ATP". **Ok. Correction has been added (Page 20, line 520 in the present version).** 

11. Page 21 lines 513-514, change to "but also triggers the shift from metabolism to lipid synthesis".

Ok. Correction has been added (Page 21, line 524 in the present version).

12. For Figure 4 D, F, H, reduce the size of markers or change to other marker shapes to make them more clear.

Ok. We reduced size of all markers.

1	Photosynthetic aspects and lipid profiles in the mixotrophic alga Neochloris oleoabundans as useful					
2	parameters for biodiesel production					
3						
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19						
20						
21	Highlights:					
22	• Mixotrophic cultivation of <i>Neochloris</i> with glucose promotes biomass yield					
23	• The lipid profile changes based on different mixotrophic substrates					
24	• Under mixotrophy photosynthetic properties are substrate-dependent					
25	• Decay in Y(PSII) is linked to lipid synthesis induction					
26	• Mixotrophic cultivation with glucose results in the best oil profile for biodiesel					

27 Abstract:

28 Since fossil fuels are expected to run out within few decades, attention has increasingly been 29 focused on renewable energy sources, including microalgae. Neochloris oleoabundans (Chlorophyta) has a capability to accumulate lipids, in particular triacylglycerols (TAG)<sup>1</sup>, useful for 30 31 biodiesel production; furthermore, it can grow mixotrophically. The present work deals with two 32 fundamental steps of mixotrophic cultivation with glucose (late exponential -6 days- and late 33 stationary -14 days- phases of growth), focusing on the relationship between photosynthesis and 34 lipid production. Results confirmed that the use of glucose induces a high biomass productivity, which is associated to a rapid cell replication until day 6 followed by cell enlargement until day 14. 35 36 At day 6, mixotrophic cells contained numerous stromatic starch grains, while at day 14 lipids were 37 highly accumulated and starch tended to reduce. Photosynthetic pigment and protein content 38 decreased under mixotrophy. The degree of photoinhibition under high light was not significantly 39 affected by mixotrophic cultivation at both experimental times. The creation of a reducing 40 environment due to the photosynthetic activity, together with alterations of N:C ratio, promoted the 41 lipid synthesis. Neutral lipids increased under mixotrophy and oleic acid was the major component, 42 while linolenic acid decreased; these aspects match requirements for biodiesel production.

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44 Key words: Neochloris oleoabundans, mixotrophy, photosynthetic apparatus, PSII, lipid profiles,

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<sup>&</sup>lt;sup>1</sup> Abbreviations: ALA, linolenic acid; AWP, apple waste product; Car, carotenoid; Chl, chlorophyll; DW, dry weight; FAME, fatty acid methyl ester;  $F_0$ , minimum fluorescence in the dark-adapted state;  $F_M$ , maximum fluorescence in the dark-adapted state;  $F_M$ , maximum fluorescence in the dark-adapted state;  $F_S$ , steady state fluorescence;  $F_V$ , variable fluorescence ( $F_M$ - $F_0$ ); MUFA, monounsaturated fatty acid; PSII, photosystem system-II; PUFA, polyunsaturated fatty acid; SFA, saturated fatty acid; TAG, triacylglycerol; TEM, transmission electron microscopy; Y(NO), quantum yield of non-regulatory thermal dissipation and fluorescence; Y(NPQ), quantum yield of regulatory thermal dissipation; Y(PSII), quantum yield of PSII photochemistry.

#### 48 1. Introduction

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50 The world energy demand is rapidly increasing because of the continuous rise of human population, 51 urbanization and modernization [1]. At present, energy is mainly supplied by fossil fuels (about 52 80%), while minor inputs derive from renewable sources (13.5%) and nuclear power (6.5%) [1]. 53 The large use of fossil fuels raises many issues, in terms of both environmental pollution and 54 geopolitical aspects. Moreover, the depletion time for the fossil fuels reserves is calculated in few 55 decades [2]. Research efforts worldwide aim at increasing and improving energy supply by 56 renewable, clean sources, instead of non-renewable. Among renewable energy sources, lipid-rich 57 microalgal biomass is proposed as a useful biofuel feedstock [3-7]. Neochloris oleoabundans (syn. 58 Ettlia oleoabundans) is a green unicellular alga largely studied for its capability to accumulate 59 lipids, especially triacylglycerols (TAGs), inside the cytoplasm [8-11]. N. oleoabundans is 60 characterised by a high lipid content under different growth conditions, such as N-starvation, high-61 light exposure, pH variations, mixotrophy [8,10-14]. Moreover, it was shown that, in N. 62 *oleoabundans*, N-starvation has a negligible impact on the qualitative lipid profile [8,10]. However, 63 in general, the proportion of lipid classes that differ with respect to the length of C-chain or 64 saturation degree of unsaturation can considerably change depending on environmental conditions 65 [15]. Among culture conditions useful for both biomass and lipid production, the algal cultivation 66 realised in the presence of organic carbon sources (glucose, acetate, organic acids, etc.) and light 67 exploits a metabolic condition, called mixotrophy, shared by many algal species, N. oleoabundans 68 included [11,14,16-20]. It has been recently demonstrated that N. oleoabundans can grow 69 mixotrophically in the presence of pure glucose, but also of a glucose-containing apple waste 70 product (AWP). In both cases, biomass production increased and lipids accumulated inside the alga 71 [11,14,18]. In particular, growth rates under mixotrophic cultivation were higher than under 72 autotrophy, leading to 6-7 times higher cell density and biomass productivity [11,14,18]. The 73 biomass increase, observed when either pure glucose or AWP were added, was supported by a good

74 photosynthetic activity of photosystem II (PSII), even if accompanied by different photosynthetic 75 pigment patterns [11,14,18]. Only when the PSII maximum quantum yield decreased, lipids started 76 to accumulate [14,18]. In fact, a decrease in F<sub>V</sub>/F<sub>M</sub> ratio reflects a damage to PSII, symptomatic of 77 an unbalanced accumulation of reducing power, which in turn promotes the synthesis of lipids 78 [21,22]. It is known that there is a close link between energy carriers accumulation, due to a 79 feedback inhibition of photosynthates buildup, and decrease in the rate and photon efficiency of 80 photosynthesis [22]. This confirms the great complexity of the relationship between photosynthesis 81 and lipid synthesis, especially in the case of mixotrophy, as already highlighted by the complex 82 behaviour of the photosynthetic apparatus of N. oleoabundans grown in the presence of glucose or 83 AWP [14,18]. In this perspective, the link between these metabolic pathways (photosynthesis and 84 lipid synthesis) under mixotrophic growth conditions deserves further investigations. Moreover, 85 lipid quality, which is basic information for any bioenergetic applications, still remains poorly 86 characterised under such conditions, especially for microalgae belonging to Neochloris genus [20]. 87 In the present work, in order to better exploit the biotechnological potential of N. oleoabundans, we 88 provide an in-depth characterization of morpho-physiological aspects of the alga at two crucial 89 steps (late exponential and late stationary phase of growth) during the lipid synthesis process 90 induced by the mixotrophic cultivation with glucose. It was reported, in fact, that the alga replicates 91 rapidly, but does not produce lipids, when a sufficient combination of nutrients is available in the

92 culture medium (i.e. up to the late exponential phase of growth), while it slows replication, with a 93 concomitant lipid synthesis induction, when nitrogen becomes limiting [14,18,23,24]. Moreover, 94 we included a comparison of lipid production and quality in *N. oleoabundans* cultivated 95 mixotrophically in the presence of AWP, in order to test if the lipid profile remains unchanged upon 96 cultivation with different mixotrophic substrates.

#### 98 2. Materials and Methods

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#### 100 2.1. Culture conditions

101 Axenic cultures of Neochloris oleoabundans UTEX 1185 (Sphaeropleales, Neochloridaceae) (syn. 102 Ettlia oleoabundans) were grown and maintained in liquid BM brackish medium in static conditions inside a growth chamber ( $24\pm1^{\circ}$ C temperature, 80  $\mu$ mol<sub>photons</sub> m<sup>-2</sup> s<sup>-1</sup> PAR and 16:8 h of 103 104 light:darkness photoperiod; light was supplied by cool-white fluorescent Philips tubes) [18,25]. For experiments, algae were inoculated in BM medium containing 2.5 g  $L^{-1}$  of glucose at a cell density 105 of 0.5-0.7 x10<sup>6</sup> cells mL<sup>-1</sup> in 500 mL flasks (300 mL of total culture volume); flasks were 106 107 maintained under continuous shaking at 80 rpm, as reported in Giovanardi et al. [18]. Controls in BM medium containing 0 g  $L^{-1}$  of glucose were set up as well and cultivated in the same culture 108 conditions described above (initial cell density:  $0.5-0.7 \times 10^6$  cells mL<sup>-1</sup>; stirring;  $24\pm1^{\circ}$ C 109 temperature; 80 µmol<sub>photons</sub> m<sup>-2</sup> s<sup>-1</sup> PAR and 16:8 h of light:darkness photoperiod) [18]. Experiments 110 111 lasted 14 days and were performed at least in triplicate. For analyses (growth measurements 112 excluded), aliquots of cells were collected after 0 (inoculation), 6 (late exponential phase) and 14 113 days (late stationary phase) of cultivation.

To compare the effect of a different mixotrophic substrate on the lipids produced by the alga, *N*. *oleoabundans* was also cultivated for 28 days in static conditions in the presence of a diluted apple waste product (AWP; 1:20 dilution in BM medium), as reported in Baldisserotto et al. [14]. For details on AWP preparation and composition see Giovanardi et al. [11]. Aliquots of cells were harvested for lipid analyses at 28 days of cultivation, when lipids were accumulated [14]. Controls in BM medium without AWP were done in parallel [14] and experiments were performed in triplicate.

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- 124 2.2. Analyses on growth
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#### 126 2.2.1. Growth parameters of microalgae.

127 Control and glucose-treated cells were periodically counted with a Thoma haemocytometer under a 128 light microscope (Zeiss, Mod. Axiophot, Jena, Germany); cell densities were plotted on a 129 logarithmic scale to obtain the growth kinetics. Cell biomass ( $\mu g_{DW}$  10<sup>-6</sup> cells), biomass production 130 concentration ( $g_{DW}$  L<sup>-1</sup>) and biomass yields productivity ( $g_{DW}$  L<sup>-1</sup> d<sup>-1</sup>) were calculated on the basis 131 of dry weight of samples collected after 0, 6 and 14 days of cultivation. For dry weight 132 determination, cell samples were treated as reported in Popovich et al. [10].

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# 134 *2.2.2. Nitrate quantification in the culture media.*

For nitrate concentration analysis, autotrophic and mixotrophic culture media were harvested by centrifugation (2000 g, 10 min) after 0, 6 and 14 days of cultivation. Nitrate was quantified colorimetrically using a flow-injection autoanalyzer (FlowSys, Systea, Roma, Italy).

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# 139 2.3. Transmission electron microscopy (TEM)

After 6 and 14 days of cultivation, control and glucose-treated cells were harvested by
centrifugation (500 g, 10 min) and prepared for transmission electron microscopy as reported in
Baldisserotto et al. [25]. Sections were observed with a Hitachi H800 electron microscope (Electron
Microscopy Centre, University of Ferrara). Images were employed to calculate the cell volume.

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- 145 2.4. Analysis of photosynthetic parameters
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# 147 *2.4.1. Photosynthetic pigments extraction and quantification.*

Aliquots of cell suspensions from both autotrophic controls and glucose-treated cultures wereharvested by centrifugation at 8000g, 10 min. Then, pellets were extracted with absolute methanol

at 80°C for 10 min under a dim green light to avoid photo-degradation [14]. The extracts were clarified by centrifugation and analysed with an UV/Vis spectrophotometer (Pharmacia Biotech Ultrospec® 2000) (1 nm resolution). For chlorophyll *a* (Chl*a*), chlorophyll *b* (Chl*b*) and carotenoids (Car) quantification, the extracts were measured at 666 nm (Chl*a*), 653 nm (Chl*b*) and 470 nm (Car) and the equations proposed by Wellburn [26] were applied. Pigments were expressed as percentage of total dry weight (% DW) by dividing pigment content by biomass concentration. Pigment content was also expressed on a cell basis, as nmol<sub>pigment</sub> 10<sup>-6</sup> cells.

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#### 158 2.4.2. Pulse amplitude modulated fluorimetry (PAM) analyses.

159 For analyses, aliquots of cells from glucose-treated cultures and their controls were collected by 160 centrifugation (8000g, 5 min); then, pellets, drop by drop, were put onto small pieces of wet filter 161 paper (Schleicher & Schuell) [27]. After 15 min of dark adaptation PSII maximum quantum yield  $[F_V/F_M = (F_M - F_0)/F_M]$  was measured with a pulse amplitude modulated fluorimeter (ADC-OS1-162 163 FL, ADC Bioscientific Ltd., Herts, UK). Furthermore, induction/relaxation curves of fluorescence 164 parameters were obtained by applying standard protocols [28]. In detail, the dark-adapted pellets 165 were illuminated with a halogen lamp through a fiber-optic system for 5 min at an irradiance of 1100  $\mu$ mol<sub>photons</sub> m<sup>-2</sup> s<sup>-1</sup> and a saturation pulse was applied every minute. After the induction phase, 166 167 pellets were returned to darkness for relaxation and a saturation pulse was applied after 1, 2 and 5 min. In particular, induction/relaxation kinetics were recorded for the actual PSII quantum yield 168  $Y(PSII) = (F_M'-F_S)/F_M'$  [29], the quantum yield of the regulated energy dissipation Y(NPQ) =169  $(F_S/F_M)$  -  $(F_S/F_M)$  and the combined yield of fluorescence and constitutive thermal dissipation 170 171  $Y(NO) = (F_S/F_M)$  [30]. The degree of PSII photo-inhibition was calculated as the non-relaxed 172 fraction of PSII yield after the 5 min of dark relaxation.

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#### 178 2.5.1. Total protein extraction and quantification.

179 Aliquots of control autotrophic and glucose-treated mixotrophic cells (about 100 mL with an optical 180 density of 0.5 at 750 nm) were centrifuged for 10 min at 500g and treated according to Ivleva and 181 Golden [31], with some modifications. In detail, pellets were resuspended in a small quantity (2 182 mL) of washing buffer [2mM Na2EDTA, 5 mM ɛ-aminocaproic acid, 5 mM MgCl2, 5 mM 183 dithiothreitol dissolved in PBS buffer 1x; PBS buffer (1L, stock solution 10x): 80 g NaCl, 2 g KCl, 184 14.4 g Na<sub>2</sub>HPO<sub>4</sub> · 2H<sub>2</sub>O, 2.4 g KH<sub>2</sub>PO<sub>4</sub> dissolved in distilled water], transferred into Eppendorf 185 tubes and then centrifuged (10 min, 2000g). Subsequently, pellets were resuspended in the 186 extraction buffer (0.1 M NaOH, 1% sodium dodecyl sulphate, 0.5% β-mercaptoethanol dissolved in 187 distilled water). For three times, samples were frozen in liquid N2 for 2 min and subsequently 188 heated at 80°C for other 2 min, then rapidly frozen in liquid N<sub>2</sub> and kept at -20°C over night. The 189 following day, the samples were added with glass beads (0.40-0.60 µm diameter; Sartorius, 190 Germany) and vigorously vortexed for 10 min (mixing cycles of 30 s followed by cooling on ice for 191 30 s). After centrifugation (1500g, 10 min) supernatants were harvested (I extract). Pellets were re-192 extracted by resuspending with 0.5 mL of extraction buffer, vortexing tubes for 2 min and finally 193 keeping tubes at 60°C for 15 min. Samples were then centrifuged (1500g, 10 min) and the 194 supernatant (II extract) was added to the first one. Finally, the total extract was rapidly frozen in 195 liquid N<sub>2</sub> and kept at -20°C until quantification. Proteins were quantified following the Lowry's method [32]. 196

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# 198 2.5.2. Total lipid extraction.

For both mixotrophic samples (glucose- and AWP-treated algae) at the late stationary phase of growth (14 or 28 days of cultivation for glucose- and AWP-treated samples, respectively), lipid extraction was performed according to a modified Folch's method [33]. Autotrophic samples and 202 algae harvested at the inoculation time were processed as well. In detail, duplicated freeze-dried 203 samples of 200 mg of biomass were vortexed thoroughly for 30 s, ultrasonicated for 30 min at 204 ambient temperature in 25 mL chloroform:methanol (2:1, v:v) and centrifuged (3000 g, 5 min) three 205 times. Between each interval, the chloroform:methanol solution (25 mL) in the vial was collected. 206 The mixture of supernatants was placed and shaken in a separatory funnel with 12.5 mL NaCl 0.9% 207 (w/w) to create a biphasic system. The upper phase contains all of the non-lipid substances, while 208 the lower phase contains essentially all the lipids. After a period of time, the lower phase 209 (containing the extracted lipids) was recovered. This procedure was repeated three times to ensure 210 an adequate washing. Then, the lipid extract was evaporated to dryness under nitrogen and kept at 211 -20°C. All chemicals used were of analytical grade. After a comparison with the modified Weldy 212 and Huesemann's method [34], the modified Folch's method was selected because no significant 213 differences had been found and for its simplicity.

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# 215 2.5.3. *Lipid fractionation and fatty acid profile determination.*

216 Lipid fractionation into neutral lipids, glycolipids and phospholipids was performed using a silica 217 Sep-Pack cartridge (SP) of 1000 mg (J. T. Baker Inc., Phillipsburg, N.J., USA), according to 218 Popovich et al. [35]. The efficiency of SP separation was verified by thinlayer chromatography 219 (Silicagel G 60 70-230 mesh, Merck, Darmstadt, Germany). New plates were pre-run in a tank 220 containing chloroform: methanol (50:50, v/v) in order to remove contaminants from the silica gel. Concentrated solutions of each fraction in chloroform (10 mg mL<sup>-1</sup>) were applied to the bottom of 221 222 the plates and the plates were developed with chloroform:methanol (2:1, v/v). After solvent 223 evaporation, the plates were sprayed with phosphomolybdic acid and heated at 120–130°C. Fatty 224 acid profile was determined according to Popovich et al. [35], by methyl ester derivation and gas 225 chromatographic (GC) analysis, with a HP Agilent 4890D gas chromatograph (Hewlett Packard 226 Company, USA), equipped with a flame-ionization detector at a temperature of 260°C, a split/splitless injector (175°C) and a capillary column SP–2560 (100 m, 0.25 mm and 0.2 μm;
Supelco Inc., Bellefonte, PA).

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## 230 2.6. Statistical data treatment

Data were processed with Microcal Origin 6.0 software (OriginLab, Northampton, MA, USA). Data of control and glucose-treated samples were compared by using the Student's *t* test (significance level, 0.05). Data are expressed as means  $\pm$  standard deviations (s.d.) for n number of samples (n  $\geq$ 3, depending on analysis). Asterisks are used to identify the levels of significance: \*, p  $\leq$  0.05; \*\*, p  $\leq$  0.01; \*\*\*, p  $\leq$  0.001. For data treatment of results on lipids of control, glucose and AWP samples, ANOVA was applied (significance level, 0.05).

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- 239 **3. RESULTS**
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- 241 *3.1. Growth aspects*
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243 *3.1.1. Growth* 

244 Autotrophic and glucose-treated cultures of N. oleoabundans showed an evident difference as 245 regards growth kinetics. In fact, mixotrophic cultures were characterised by a very effective 246 exponential phase during the first 6-7 days of cultivation. Then they promptly entered the stationary phase, which lasted approximately a week; the 14<sup>th</sup> day corresponded indeed to the late 247 248 stationary/early decline phase of growth (Fig. 1A). In fact, mixotrophic cultures reached a cell density of about 35 and 50 x  $10^6$  cells mL<sup>-1</sup> at the 6<sup>th</sup> and 7<sup>th</sup> day of cultivation, respectively, and 249 250 then yielded almost stable cell density values, with a small decrease only at the end of experiment. 251 In spite of being characterised by a similar growth curve in terms of general features, the control samples yielded lower cell densities (about 3 and 8 x  $10^6$  cell mL<sup>-1</sup> after 6 and 14 days of 252

cultivation, respectively) (Fig. 1A). On the whole, two growth points were highlighted: the 6<sup>th</sup> day, 253 corresponding to the late exponential phase, and the 14<sup>th</sup> day, corresponding to the late stationary 254 phase. Interestingly, the glucose-treated samples, starting from the same initial biomass of about 255  $0.07 \text{ g}_{\text{DW}} \text{ L}^{-1}$  as the autotrophic ones, very strongly increased their biomass especially during the 6-256 14 days time interval, from about 0.4 to nearly 1.8 g<sub>DW</sub> L<sup>-1</sup> (Fig. 1B). On the contrary, during the 257 258 same time interval, control samples increased their biomass from about 0.10 to only 0.25  $g_{DW} L^{-1}$ , 259 which was parallel to the cell density increase (Fig. 1A, B). Moreover, it was interesting to note 260 that, after 6 days of experiment, treated cells were lighter than their controls, but also than the mixotrophic cells after 14 days (about 10  $\mu$ g<sub>DW</sub> 10<sup>-6</sup> cells for 6-days-mixotrophic cells vs more than 261  $30 \ \mu g_{DW} \ 10^{-6}$  cells for controls and 14-days-mixotrophic cells), while the controls maintained stable 262 values of about 30-35  $\mu$ g<sub>DW</sub> 10<sup>-6</sup> cells throughout the experiment (Fig. 1C). Noteworthy was the 263 264 biomass productivity, which was greatly enhanced in the treated samples as compared to controls at 265 both examined time points (Fig. 1D).

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#### 267 *3.1.2. Nitrate content in culture media*

Nitrate in the culture media was about 1.43 mM for both autotrophic and mixotrophic cultures at the beginning of experiment. Media harvested from control cultures underwent a small decrease in nitrate content during experiment (17% from 0 to 14 days of cultivation) reaching values of about 1.36 and 1.18 mM at the 6<sup>th</sup> and 14<sup>th</sup> day of experiment, respectively. Differently, culture-media of glucose-treated cultures were characterised by values of nitrate content near to zero yet after 6 days of cultivation (0.034 and 0.004 mM at day 6 and 14, respectively).

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# 275 *3.2. Morphology: cell ultrastructure*

Figure 2 reports images of ultrastructural aspects of of *N. oleoabundans* cells after 6 (2A-D) and 14
(Fig. 2E-H) days of cultivation under autotrophic (Fig. 2A, E) and mixotrophic conditions (Fig. 2BD, F-H). In detail, TEM analyses showed that 6-days-grown control cells were typically

279 characterised by a big cup-shaped chloroplast containing a large pyrenoid surrounded by a starch 280 shell and crossed by one-two thylakoids (Fig. 2A). Inside the cytoplasm, the nucleus and 281 mitochondria were also observable (Fig. 2A). After 14 days, controls maintained similar features, 282 but cells were sometimes vacuolated (Fig. 2E). In both cases, thylakoids were elongated and only 283 locally appressed (Fig. 2A, E). Different aspects were observed for treated cells (Fig. 2B-D, F-H). 284 In fact, after 6 days of cultivation, the mixotrophic populations were generally characterised by 285 smaller cells than in the controls (about 28% in volume; p <0.05) and by morphologically different 286 cells according to a kind of gradient (Fig. 2B-D). In fact, some cells showed an overall morphology 287 similar to that of controls, but with an evident increase in stromatic starch and more extensive 288 thylakoid appression (Fig. 2B), while other cells contained plastids very enriched in starch, which 289 occurred both as stromatic and as a shell surrounding a the pyrenoid that had assumed an altered 290 feature (Fig. 2C, D). Only sometimes cells with the latter feature contained small cytoplasmic lipid 291 globules (Fig. 2C, D). Also in these cells, thylakoid membranes appeared to be more appressed than 292 in controls (Fig. 2A, C, D). Sporadic sporocysts, which had not yet released the young cells, were still observable in glucose-treated samples (Fig. S1). At the 14<sup>th</sup> day of cultivation, glucose-treated 293 294 cells became larger than at day 6 (about 50% in volume; p<0.001) and reached, sometimes slightly 295 exceeding, the dimensions of the control cells (p>0.05) (Fig. 2E-H). As for cells at the previous step 296 of cultivation, a morphological gradient was observable. Some cells, in fact, contained a chloroplast 297 with large stromatic starch grains and a still identifiable pyrenoid surrounded by an evident starch 298 shell; lipid globules increased in number and size (Fig. 2F, G). Other cells contained a few small 299 starch grains, but very large lipid droplets (Fig. 2H). As regards thylakoids, they were reduced in 300 number and extension as compared to those contained in treated samples at the previous time of 301 experiment (Fig. 2B-D) and to those hosted in controls (Fig. 2E); however, these few thylakoids 302 were less appressed than at the previous time of experiment, but similar to those in controls (Fig. 303 2F, G).

306

## 307 3.3.1. *Photosynthetic pigments*

308 Photosynthetic pigment content was expressed both as a fraction of total biomass and in terms of 309 quantity of pigment inside cells (Fig. 3). It was noted that, during the time interval 0-6 days of 310 cultivation, the concentrations of all pigments inside autotrophic control samples tended to decrease 311 (ca. 25 to 60% depending on the pigment), while from 6 to 14 days of cultivation their pigments 312 tended instead to increase independent of the unit of measure employed (ca 1.5 to 2 times, Fig. 3A-313 F). Differently, such trends were not observed for treated samples. In detail, when considering the 314 pigment content per cell (Fig. 3 B, D, FE), an evident decrease was observed throughout the 315 experiment: 64, 68 and 77% decrease for Chl a, Chl b and Car, respectively, from 0 to 6 days, and a 316 further significant, though smaller, decrease by 37 (p<0.001) and 27% (p<0.01) for Chl a and Chl b, 317 respectively, during the time interval 6-14 days of mixotrophic cultivation. In the latter interval, no 318 differences were observed for the Car content (p=0.569). The pigment content expressed as %DW, 319 so on a biomass basis, did not show a similar trend (Fig. 3A, C, E). During the first time interval (0-320 6 days), Chl *a* content increased significantly by about 30% (p<0.001) and Chl *b* by about 12% 321 (p<0.05), while Car decreased by about 26% (p<0.001); only during the second time interval (6-14 322 days), all pigments strongly decreased (about 4 times less Car and nearly 6 times less Chl; p<0.001 323 in all cases). Concomitantly, in comparison with controls all pigments were less abundant in treated 324 cells on a cell basis (40-80% depending on the pigment; p<0.001), while, on a biomass basis, in 325 glucose-treated algae an increase by about 40-45% (p<0.001) occurred at day 6 and was followed by a strong decline (about 80%; p<0.001) at the 14<sup>th</sup> day. 326

Photosynthetic pigments, however, maintained a quite stable stoichiometry, the Chl*a*/Chl*b* molar ratio was indeed substantially unchanged between samples (differences <10%; p=0.08 at all three experimental times considered). Total Chls/Car ratio was significantly lower only at the end of the experiment, showing a 41% difference between treated and control algae (p<0.01) and a 48% difference when mixotrophic algae were compared between time 6 and 14 days (p<0.01) (Fig. 3G,</li>
H). In fact, at the 6<sup>th</sup> day, the 20% difference in the ratio between control and treated samples was
not significant (p=0.098).

334

335 *3.3.2. PSII fluorescence analyses* 

336 The effects on the use of light energy of *N. oleoabundans* cultivated mixotrophically or337 autotrophically were evaluated by PAM fluorimetry.

338 Starting from values of the PSII maximum quantum yield  $(F_V/F_M)$  around 0.600 at the beginning of experiment, both autotrophic and mixotrophic samples were characterised by increased  $F_V/F_M$  ratios 339 at the 6<sup>th</sup> day of cultivation. The value was significantly higher in glucose-treated cells than in 340 341 autotrophic controls (+12%; p<0.001), 0.764 and 0.674 respectively (Fig. 4A). Differently, at the  $14^{th}$  day of experiment,  $F_V/F_M$  value in mixotrophic algae decreased down to 0.608, i.e. 13% lower 342 343 than that recorded for controls (p<0.05), which instead maintained stable values during time. In 344 parallel, these differences in PSII efficiency were accompanied by interesting photoinhibition data (Fig. 4B). In fact, exposure to high light (1100  $\mu$ mol<sub>photons</sub> m<sup>-2</sup> s<sup>-1</sup>) did not influence the 345 346 photosynthetic efficiency of the treated samples, which gave not significantly different responses 347 from those of controls after both 6 days (lower value, but p=0.132) and 14 days of cultivation 348 (higher value, but p=0.072) (Fig. 4B). Furthermore, a study on the use of the light energy absorbed 349 by the algae was performed after 6 and 14 days of cultivation, separating the three fractions in 350 which energy itself is converted: Y(PSII), Y(NO) and Y(NPQ) (Fig. 4C-H). As regards Y(PSII), i.e. 351 the operating PSII efficiency in the light, in mixotrophic algae it was about 50% higher as compared to controls at the 6<sup>th</sup> day (p=0.032), but strongly decreased at the end of experiment, showing values 352 353 more than 3 times lower than that of controls (p=0.015) (Fig. 4C). The corresponding 354 induction/relaxation kinetics detailed better this response, showing the strong impact of light on old 355 mixotrophic cells, which had Y(PSII) values around 0.100 during the high light exposure (Fig. 4D). 356 In addition, Y(NO), i.e. the energy constitutively dissipated as heat or fluorescence emission by

357 non-functional and closed PSII, was significantly lower in treated cells after 6 days of cultivation (p=0.023), but increased, even if not in a significantly (p=0.077), at the 14<sup>th</sup> day (Fig. 4E). In the 358 induction/relaxation curves, controls at both times of cultivation and glucose-treated cells at the 6<sup>th</sup> 359 day were characterised by a relative stability during light exposure, while mixotrophic cells at the 360 361 late stationary phase of growth showed a decreasing trend of Y(NO) values already starting from 362 the first minute of high light exposure (Fig. 4F). Finally, Y(NPQ) values, which represent lightdependent dissipation mechanisms for energy dissipation as heat, were substantially the same in 363 control and mixotrophic cells at the 6<sup>th</sup> day of cultivation, but were significantly higher (p=0.041) in 364 treated cells vs controls at the 14<sup>th</sup> day (Fig. 4G). Interestingly, the corresponding kinetics 365 366 maintained quite similar features in both controls and in 6-day mixotrophic cells, reaching a plateau 367 during the light exposure, which conversely did not characterise the glucose-treated cells after 14 368 days of cultivation (Fig. 4H).

369

#### 370 *3.4. Biochemical properties of algae useful for biotechnological application*

371

## 372 *3.4.1. Total proteins*

With an initial total protein content of about 13% DW (corresponding to 7.5  $\mu$ g 10<sup>-6</sup> cells), at the 6<sup>th</sup> 373 374 day of cultivation, in the whole mixotrophic population proteins, expressed as percentage on DW, 375 was about 3 times higher than in controls (p<0.001) (Fig. 5A). However, at the same cultivation 376 time, the amount of proteins accumulated inside cells of control and glucose-treated samples was 377 not significantly different (Fig. 5B) (p=0.49). Conversely, at the late stationary phase of growth, *i.e.* at the 14<sup>th</sup> day, total proteins were unequivocally lower in treated samples as compared to controls, 378 379 both considering the single cells and the biomass (43-60% depending on the considered parameter) 380 (p<0.05) (Fig. 5). An evident decrease in protein content was also observed by comparing treated 381 samples after 6 and 14 days of cultivation, irrespective of parameter through which such amount 382 was expressed (p<0.001).

383

# 384 *3.4.2. Lipid quantification and characterisation*

385 Starting from samples characterised by a total lipid content of about 14-16% DW, further analyses were performed at the 14<sup>th</sup> day of cultivation, when mixotrophic cells were full of lipid globules 386 387 (Fig. 2F-H). For comparison of lipid production and quality of *N. oleoabundans* under mixotrophic 388 conditions, lipids were extracted and thoroughly analysed at the late stationary phase of growth by 389 using both glucose-treated algae, supported by the morphological observations described in this 390 paper (Fig. 2), and mixotrophic algae cultivated in the presence of an apple waste product (AWP), 391 according to a previous work [14]. Table 1 shows the lipid composition (% DW) of N. 392 oleoabundans under control and mixotrophic conditions. The total lipid content under control 393 conditions was 20.3% DW and increased significantly (p<0.05) up to 27.06% DW and 27.59% DW 394 in cells grown with glucose and AWP, respectively (Table 1). Neutral lipids increased significantly 395 in mixotrophy (Table 1), reaching up to ca. 76% and ca. 71% of total lipids under glucose and 396 AWP conditions, respectively, TAGs being the only source of fatty acids. Neither diacylglicerols 397 nor monoacylglicerols were detected.

398 The fatty acid profiles of N. oleoabundans grown under control and mixotrophic conditions are 399 shown in Table S1. The most important fatty acids were the saturated palmitic (C16:0), the 400 monounsaturated oleic (C18:1n-9c) and the polyunsaturated linoleic (C18:2n-6c) and linolenic 401 (C18:3n-3) acids (Table S1; Fig. 6A). However, the lipid classes showed differences in their 402 proportions (Table S1; Fig. 6B), specially in the neutral fraction. The percentages of saturated fatty 403 acids (SFAs) were significantly higher in cultures grown with glucose (ca. 27.6%) and AWP (ca. 404 33.6%) when compared to control conditions (ca. 22%). The monounsaturated fatty acids (MUFAs) 405 were the major class under mixotrophy. They were significantly higher (p<0.05) than in controls, 406 reaching the maximum average value (ca. 55.5%) in the glucose-treated cells because of a high 407 content of oleic acid (ca. 53%), while for the AWP-cultured algae they remained at slightly lower 408 values (MUFA, 36.7%; oleic acid, 32%). Regarding polyunsaturated fatty acids (PUFAs), there was

a significant decrease in the mixotrophic condition as compared with the control one (Table S1; Fig.
6B). In particular, PUFA levels decreased significantly owing to a decline in the proportion of
linolenic acid, from *ca*. 19 % in controls to *ca*. 2% in glucose medium and to 9% in AWP medium
(Table S1; Fig. 6B).

- 413
- 414

# 415 **4. Discussion**

416

*N. oleoabundans* is widely considered an important microalga to be potentially used as a green feedstock of lipids for biofuel production [8,10,23,24,36,37]. An interesting opportunity is given by the mixotrophic behaviour of the alga, which both promotes biomass productivity, combining photosynthesis with sugar uptake, and lipid accumulation [11,14,18]. For the biotechnological exploitation of the alga, we investigated the link between photosynthesis, biomass productivity and lipid synthesis.

423 An interesting aspect emerging from the mixotrophic growth kinetics seemed to be its apparent 424 discrepancy with biomass productivity, since the higher cell density observed at 6-7 days for 425 mixotrophic algae compared to controls (around 10-12×) was accompanied by relatively low values 426 of biomass (Fig. 1). However, this is justified by some characteristics of the single cell biomass and 427 size. In fact, 6-days mixotrophic cells were very numerous, but lighter and smaller than control 428 cells, while 14-days mixotrophic cells were again very numerous, but heavier and bigger (Fig. 1A, 429 C). Accordingly, the life cycle of *N. oleoabundans* is characterised by the release of young small 430 cells from sporocysts and their subsequent maturation to larger cells [38,39]. Our results support the 431 inference by de Winter et al. [40] that the cell cycle of N. oleoabundans plays an important role in 432 biomass production owing to the differences in cell morphology occurring during the life cycle. We 433 extend this observation to the mixotrophic mode of cultivation. We observed that after 6 days of 434 cultivation with glucose, the cells consumed almost all nitrogen in the culture medium for their 435 duplication and, at the same time, they also absorbed and used part of the glucose [18]; 436 subsequently, they increased their size exploiting the uptake of the glucose still available in the 437 medium, the starch consumption and the photosynthetic activity to produce carbohydrates and 438 lipids, i.e. N-free molecules. By comparison, autotrophic algae consumed only a low quantity of 439 nitrate up to 14 days, as also reported in a recent work on N. oleoabundans [41]. Moreover, in 440 mixotrophic glucose-treated cells after 6 days of cultivation, the protein content per cell was similar 441 to that of controls, sign of a sufficient supply of nitrogen in the culture medium and of its efficient 442 employment by cells. Concomitantly, actively photosynthesizsing cells showed a very large 443 pyrenoid surrounded by starch shells linked to RuBisCO activity, but accumulated also many non-444 photosynthetic stromatic starch granules [42]. Therefore, the cells, during the first phase of 445 cultivation, employed exogenous glucose in the culture medium to produce starch as a storage of 446 exceeding reducing power and carbon skeletons [43,44]. Subsequently, they gradually started to use 447 the carbon deriving from starch degradation to produce lipids. The metabolic pathways of starch 448 and lipids share, in fact, common precursors [44]. This behaviour was also found in N. 449 oleoabundans cultivated with AWP as a mixotrophic substrate [11,14].

450 Interestingly, as regards specific photosynthetic aspects highlighted by ultrastructural analyses, the 451 plastids of 6-days mixotrophic cells were characterised by a strong appression of thylakoids, 452 probably due to a new effective set up of thylakoid membranes, which ensured an ability of 453 photochemical energy conversion even higher than in controls, as attested by  $F_v/F_M$  ratio (Fig. 4A). 454 Interestingly, this seemed not to be linked to a quantitative variation in LHCII antennae, in fact, 455 Chla/b molar ratio, which reflects LHCII amount [45-47], was substantially unchanged between 456 control and glucose-treated samples (Fig. 3G). The analyses of photosynthetic pigments also gave 457 further information. In fact, a significant decrease in the photosynthetic pigment content per cell, in 458 line with previous observations [18], was detected. Such decrease, which was not associated with 459 important variations in the stoichiometry of pigments, testifies to a switch of the metabolism from 460 autotrophic to mixotrophic. Many microalgae, including those belonging to Neochloris genus,

461 reduce their photosynthetic pigment content under mixotrophy, i.e. under a cultivation condition 462 less influenced by light availability than autotrophy [11,19,48,49]. However, by expressing pigment 463 accumulation as % DW, after 6 days of cultivation, the mixotrophic algal biomass contained larger 464 amounts of pigments compared to controls, making mixotrophic N. oleoabundans an interesting 465 candidate in applicative activities as a source of coloured molecules, i.e. chlorophylls and 466 carotenoids to be employed as pigments and/or antioxidants [50]. The high quantity of pigments 467 found in the mixotrophic biomass was related to the specific cell characteristics at 6 days: each 468 mixotrophic single cell contained a small quantity of pigment (because cells were indeed small), but 469 the whole algal biomass was rich in pigments (because cells were very numerous). After the 470 duplication phase, the entrance of cells in the stationary phase of growth was accompanied by 471 events repeatedly reported, such as decrease in pigment content, decrease in photosynthetic activity, 472 reduction of thylakoid system and, concomitantly, lipid production [11,14,16,18-21,39].

473 From analyses, it was clear that mixotrophy had a very strong impact on the organisation of the 474 photosynthetic apparatus in N. oleoabundans. Important modulations of the photosynthetic activity 475 appeared to follow both the switch of metabolism to mixotrophy and the transition to the lipid 476 production phase. This presupposes a different availability of reducing equivalents in different 477 phases and a very modulable use of light energy depending on the growth phase. This hypothesis 478 was tested through an energy partitioning approach, i.e. calculating the quantum yields of PSII 479 photochemistry (Y(PSII)) and competing regulatory (Y(NPO)) and non-regulatory (Y(NO)) 480 dissipative processes [51]. Values of Y(PSII), Y(NO) and Y(NPQ) after 6 days of cultivation 481 indicate that mixotrophic cells "work" even better than controls during a high light exposure. In 482 particular, they are able to maintain a high Y(PSII) by keeping Y(NO) at a low value. Y(NO) can be 483 used as a simple index of the reduction state of plastoquinones in the photosynthetic membranes 484 [52]. To ensure a good preservation of photosystems under high light, plants, algae included, aim at 485 minimizing the reduction state of plastoquinone [53]. From this point of view, mixotrophic cells 486 succeeded in keeping the photosynthetic electron transport chain under control better than

487 autotrophic cells. The biochemical reason for this is unknown, but it evidently results in the 488 increased thylakoid appression observed with TEM. The very active photosynthesis allowed cells to 489 sustain all cell syntheses and possibly also the uptake of glucose from the medium and its 490 temporary storage as stromatic starch. However, it should be noted that in static mixotrophic N. 491 oleoabundans, cultivated with a glucose-rich waste (AWP), the Y(PSII) was also higher than in 492 autotrophic cultures, but this was linked to a different modulation of energy use [more Y(NPQ)], 493 showing that different culture conditions play important roles in the photosynthetic metabolism 494 under mixotrophy [14]. As expected, a drastic drop in Y(PSII) occurred during the stationary phase 495 of growth. However, the decay in Y(PSII) was not mainly the consequence of more reduced 496 plastiquinones; this was testified by a non-significant increase in Y(NO) at the steady state. 497 Conversely, mixotrophic cells emphasised their ability to safely dissipate the excess of energy as 498 attested by increased Y(NPQ) [51]. While for autotrophic samples and for 6-days mixotrophic cells 499 the generation of Y(NPQ) was dominated by a rapid induction of the  $\Delta pH$ -dependent quenching 500 (qE) [54], in 14-days mixotrophic algae a different situation occurred. In fact, in the mixotrophic 501 cells at the stationary phase of growth, the fast induction of qE was followed by a second, evident 502 induction phase. Such induction was slower but progressive up to the end of the light exposure and 503 can be attributed to a qZ quenching dependent on the production of the dissipative carotenoid 504 zeaxanthin [54]. qZ corresponds to a component of thermal dissipation, characterised by a slower 505 induction in the light as compared to qE, i.e. in the order of some minutes [54]. It is attributed to 506 the conversion of the carotenoid violaxanthin to the dissipative carotenoid zeaxanthin, a process 507 triggered by  $\Delta pH$  that enhances the potential of photoprotection of the thylakoid membrane [54]. 508 Our finding was consistent with the lower Chl/Car molar ratio recorded at the end of experiment. In 509 other words, after the consumption of nitrogen, but with glucose still available [18], N. 510 oleoabundans cells down-regulated PSII activity, but were still capable of preserving the 511 photosystem from photo-inhibition. In this way, photosynthesis could continue to cooperate in providing energy to the growing cells. This condition of The availability of reducing power and 512

ATP, generated by photosynthesis and respiration, not only allows cell enlargement, but also triggers the shift of from metabolism to the lipid synthesis. It is interesting to observe that, in a previous work, AWP was also able to induce lipid synthesis, but this was associated with a higher reduction of the membrane carriers and with a down-regulation of Y(NPQ) [14]. So, the hallmark of lipid synthesis induction is presumably the decay in Y(PSII). It is not known if a different partitioning of energy has impact on lipid profile.

519

520 In the present study, the total lipids in mixotrophic cultures of N. oleoabundans increased as 521 compared to controls as a result of TAG accumulation. However, a minor lipid content in glucose-522 treated cells was observed by comparison with the content reported by Giovanardi and co-workers 523 [18], probably because of the variability of the experiments. No previous data are available for 524 AWP. Yang et al. [55] found for Chlorella pyrenoidosa C-212 that the supplied energy (light and 525 glucose) was not utilised efficiently in the mixotrophic cultivation due to a decrease in pigment content of the cells. Despite these differences, our results indicated that, under mixotrophy, the cells 526 527 channelise the excess of carbon and energy into TAGs predominantly made of saturated and 528 monounsaturated fatty acids, which represent up to 83% and 70% of the total fatty acids in glucose 529 and AWP treatments, respectively.

530 For biodiesel purposes, fatty acid profiles rich in SFAs and MUFAs, which can be transesterified to 531 produce biodiesel [56], are the most interesting profiles. Structural lipids typically have high PUFA 532 contents, which are employed for pharmaceutical or food applications. In general, SFA production 533 is favoured under heterotrophic conditions, while high PUFA (C16:3 and C18:3) contents are 534 mainly produced under autotrophy [57]. According to their behaviour patterns, mixotrophy could be 535 interpreted as an intermediate alternative, since both light and glucose are sources for ATP 536 production in mixotrophic cultures [55]. In this study, the concentration of TAGs, enriched in SFAs 537 and MUFAs, increased significantly under mixotrophy, while PUFAs decreased. As reported by 538 Knothe [58], the fatty acid profiles, enriched in MUFAs and, particularly, in oleic acid improve the 539 quality of biodiesel helping to balance its oxidative stability and cold flow properties. In the present 540 study, at the stationary phase of growth the cultures supplemented with glucose showed the highest 541 MUFA percentage owing to levels of oleic acid up to 53% of total fatty acids. This value is higher 542 than others reported in N. oleoabundans under N-stress conditions (e.g. 36% [8] and 46.5% [10] of 543 oleic acid in neutral lipid fraction). On the other hand, oleic acid reached up to ca. 32% in AWP-544 cultured cells at the late stationary phase. The differences observed in SFA and MUFA proportions 545 between the mixotrophic treatments may be due to the carbon source that was used. On the whole, 546 the total amount of organic carbon made available by the AWP, was estimated to be 3.35% (w/v), glucose, fructose and sucrose being the carbohydrates present in AWP [11]. Morales-Sanchez et al. 547 548 [37] reported that N. oleoabundans did not use sucrose or fructose for metabolism under strict 549 heterotrophic conditions. Thus, our species growing in AWP under mixotrophy may present a 550 limited carbon source to synthesize MUFAs, which require more energy for their synthesis. Glucose 551 possesses more energy content per mol compared with other substrates [57]. A limited ability to 552 synthetize MUFAs could also have an impact on the photosynthetic membranes. The pattern of 553 glycolipids, which characterize thylakoids, remained similar in AWP and controls, while it changed 554 in favour of MUFAs in glucose-grown cells. Only the latter proved to be permissive for a sufficient 555 photo-protection, which was not achievable in AWP-grown algae to the same extent and resulted in 556 a higher reduction state of the membranes [14]. Therefore, the oils accumulated in N. 557 *oleoabundans*, grown in the presence of glucose as the only carbon source, are enriched in oleic 558 acid and exhibit a combination of improved fuel properties with emphasis in cold flow issue.

Regarding PUFAs, the European EN 14214 standard limits linolenic acid's methyl ester (ALA) for vehicle use to 12% (w/w) and the methyl esters with four and more double bonds to a maximum of 1% (w/w). These limits are essential to avoid autoxidation deriving from the presence of double bonds in the chains of many unsaturated fatty acid methyl esters (FAMEs), which cause problems during fuel storage [59]. In this study, the levels of PUFAs in *N. oleoabundans* were lower under mixotrophic rather than autotrophic conditions. For example, the SFA+MUFA/PUFA ratios were

1.19, 6.07 and 2.69 under control, glucose and AWP treatments, respectively, indicating that the 565 566 minimum PUFA levels and particularly the ALA ones (ca. 2%) were obtained in cells grown with 567 glucose. Although the oils extracted from mixotrophic cultures of N. oleoabundans presented ALA 568 contents within specifications, the oils that come from cultures growing in glucose obtained the best 569 performance in terms of oxidative stability. The reduction of both thylakoid membranes and 570 photosynthetic pigments (Chla, Chlb and carotenoids) during mixotrophy observed in this study 571 may partially explain the PUFA decrease. In addition, the sampling times may also have had an 572 influence on the proportions of lipid classes, since, as an example, Shishlyannikov et al. [60] 573 reported a different lipid profile in different growth phases in the diatom Synedra acus.

574

We found that mixotrophic cultivation of *N. oleoabundans* with glucose promotes biomass yield, which can be used in different fields since the chemical composition of the biomass differs after different time of cultivation. The creation of a reducing environment due to the photosynthetic activity, together with alterations of N:C ratio, promoted lipid synthesis. Interestingly, lipid profile and photosynthetic properties are substrate-dependent, glucose being linked to the best oil profile for biodiesel production.

581

## 582 **5. Aknowledgements**

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588

# 589 **6.** Author contributions

590 Conception and design: CB, SP

- 591 Analysis and interpretation of the data: CB, CP, SP, PL, LF, MG
- 592 Drafting of the article: CB, CP, SP
- 593 Critical revision of the article for important intellectual content: SP, PL
- 594 Technical support: MG, LF, AS, DC
- 595 Collection and assembly of data: CB, CP, AS, DC, MG

# **Table 1**

# 

# 

Conditions	Total lipids	Neutral lipids	Glycolipids	Phospholipids
	(% DW)	(% DW)	(% DW)	(% DW)
Control	$20.30^a\pm0.54$	$10.62^{\circ} \pm 0.66$	$7.13^{e} \pm 0.33$	$2.55^{\rm g}\pm0.21$
Glucose	$27.06^b\pm0.63$	$20.55^{\text{d}} \pm 1.99$	$4.04^f {\pm}~0.85$	$2.47^{\text{g}} \pm 0.55$
AWP	$27.59^{b} \pm 2.47$	$19.51^{d} \pm 1.91$	$6.86^{e} \pm 1.06$	$1.44^{\rm h} {\pm}~0.43$

# 603 Table legends

604

- 605 **Table 1.** Total lipid and lipid fractions -neutral lipids, glycolipids and phospholipids- (in percentage
- 606 of dry weight biomass = % DW) of *N. oleoabundans* growing under different culture conditions
- 607 (control, glucose, AWP). Values are means  $\pm$  standard deviations of two or three replicates.
- 608 Differences were not significant (p>0.05) for groups with the same superscript.

609

#### 611 Figure legends

612

613 Figure 1. Growth parameters of N. oleoabundans cultivated under autotrophic and mixotrophic 614 (glucose-induced) conditions for 14 days. A) Growth kinetics plotted using a logarithmic scale. B) 615 Biomass yield expressed as grams of algal dry weight per litre. C) Single cell biomass expressed as 616 micrograms of algal dry weight per one million cells. D) Biomass productivity, as grams of algal 617 dry weight per litre per day, during the 0-6 days and 6-14 days of cultivation intervals. In A, solid 618 black line = autotrophic cultures; dash black line = mixotrophic cultures. In B-D, black histograms 619 = autotrophic cultures; white histograms = mixotrophic cultures. Data refer to means  $\pm$  standard 620 deviations ( $n \ge 3$ ). Asterisks identify significant differences between control and mixotrophic 621 samples: \*,  $p \le 0.05$ ; \*\*,  $p \le 0.01$ ; \*\*\*,  $p \le 0.001$ .

622

Figure 2. TEM images of *N. oleoabundans* cells after 6 (2A-D) and 14 (Fig. 2E-H) days of
cultivation under autotrophic control (Fig. 2A, E) and mixotrophic conditions (Fig. 2B-D, F-H). p,
pyrenoid; m, mitochondrion; n, nucleus; v, vacuole; s, stromatic starch; L, lipid droplets; arrow,
thylakoids. Bars: 1μm.

627

**Figure 3.** Photosynthetic pigments content and their molar ratios in control and glucose-cultivated *N. oleoabundans* cells at the inoculum time (0 days), the late exponential (6 days) and late stationary (14 days) phases of growth. Pigment concentrations are reported both as percentage of dry weight (% DW) (A, C, E) and as nanomoles per million of cells (nmol<sub>pigment</sub> 10<sup>-6</sup> cells) (B, D, F). Black histograms = autotrophic cultures; white histograms = mixotrophic cultures. Data refer to means ± standard deviations (n ≥ 3). Asterisks identify significant differences between control and mixotrophic samples: \*\*, p ≤ 0.01; \*\*\*, p ≤ 0.001.

636 Figure 4. Chlorophyll fluorescence parameters of control and glucose-cultivated N. oleoabundans 637 cells at the inoculum time (0 days), the late exponential (6 days) and late stationary (14 days) 638 phases of growth. A) PSII maximum quantum yield  $(F_V/F_M)$ . B) Photoinhibition values. C, D) 639 Actual vield of PSII, Y(PSII); E, F) vield of constitutive thermal dissipation and fluorescence 640 emission, Y(NO); and G, H) yield of non-photochemical quenching, Y(NPQ). In C, E and G yields 641 are expressed, while D, F and H report the corresponding induction/relaxation kinetics. In A-C, E 642 and G, black histograms = autotrophic cultures; white histograms = mixotrophic cultures. In D, F 643 and H, solid black line = autotrophic cultures at 6 (black squares) and 14 days (black circles) of 644 cultivation; dash black line = mixotrophic cultures at 6 (open squares) and 14 days (open circles) of cultivation; white rectangle on the top = 5 min high light exposure (induction phase); black 645 646 rectangle on the top = 5 min dark exposure (relaxation phase). Data refer to means  $\pm$  standard 647 deviations ( $n \ge 5$ ). Data refer to means  $\pm$  standard deviations ( $n \ge 5$ ). Asterisks identify significant differences between control and mixotrophic samples: \*,  $p \le 0.05$ ; \*\*\*,  $p \le 0.001$ . 648

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**Figure 5.** Total proteins content in control and glucose-cultivated *N. oleoabundans* cells at the late exponential (6 days) and late stationary (14 days) phases of growth. Protein concentrations are reported both as percentage of dry weight (% DW) (A) and as micrograms per million of cells ( $\mu$ g 10<sup>-6</sup> cells) (B). Black histograms = autotrophic cultures; white histograms = mixotrophic cultures. Data refer to means ± standard deviations (n ≥ 3). Asterisks identify significant differences between control and mixotrophic samples: \*, p ≤ 0.05; \*\*\*, p ≤ 0.001.

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**Figure 6.** Data on lipid analyses on control and mixotrophic cultures (glucose- and AWP-cultured cells) of *N. oleoabundans* at the late stationary phase of growth. A) Major fatty acids (in percentage of total fatty acids = %) in the TAG fraction. B) Relative proportions of fatty acid classes (SFA, MUFA and PUFA in %) in the TAG fraction. In A and B, the values presented are means  $\pm$ standard deviations of 4 replicates. 662 References

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Table 1	
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Conditions	Total lipids	Neutral lipids	Glycolipids	Phospholipids
	(% DW)	(% DW)	(% DW)	(% DW)
Control	$20.30^{a} \pm 0.54$	$10.62^{\circ} \pm 0.66$	$7.13^{e}\pm0.33$	$2.55^{g} \pm 0.21$
Glucose	$27.06^b\pm0.63$	$20.55^{\text{d}} \pm 1.99$	$4.04^{\rm f} \pm 0.85$	$2.47^{g} \pm 0.55$
AWP	$27.59^b \pm 2.47$	$19.51^{d} \pm 1.91$	$6.86^{e} \pm 1.06$	$1.44^{h}\pm0.43$

**Table 1.** Total lipid and lipid fractions -neutral lipids, glycolipids and phospholipids- (in percentage of dry weight biomass = % DW) of *N. oleoabundans* growing under different culture conditions (control, glucose, AWP). Values are means  $\pm$  standard deviations of two or three replicates. Differences were not significant (p>0.05) for groups with the same superscript.





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Supplementary Material Click here to download Supplementary Material: Figure S1.tiff Supplementary Material Click here to download Supplementary Material: Table S1.tiff

1	Photosynthetic aspects and lipid profiles in the mixotrophic alga Neochloris oleoabundans as useful
2	parameters for biodiesel production
3	
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19	
20	
21	Highlights:
22	• Mixotrophic cultivation of <i>Neochloris</i> with glucose promotes biomass yield
23	• The lipid profile changes based on different mixotrophic substrates
24	• Under mixotrophy photosynthetic properties are substrate-dependent
25	• Decay in Y(PSII) is linked to lipid synthesis induction
26	• Mixotrophic cultivation with glucose results in the best oil profile for biodiesel

27 Abstract:

28 Since fossil fuels are expected to run out within few decades, attention has increasingly been 29 focused on renewable energy sources, including microalgae. Neochloris oleoabundans (Chlorophyta) has a capability to accumulate lipids, in particular triacylglycerols (TAG)<sup>1</sup>, useful for 30 31 biodiesel production; furthermore, it can grow mixotrophically. The present work deals with two 32 fundamental steps of mixotrophic cultivation with glucose (late exponential -6 days- and late 33 stationary -14 days- phases of growth), focusing on the relationship between photosynthesis and 34 lipid production. Results confirmed that the use of glucose induces a high biomass productivity, which is associated to a rapid cell replication until day 6 followed by cell enlargement until day 14. 35 36 At day 6, mixotrophic cells contained numerous stromatic starch grains, while at day 14 lipids were 37 highly accumulated and starch tended to reduce. Photosynthetic pigment and protein content 38 decreased under mixotrophy. The degree of photoinhibition under high light was not significantly 39 affected by mixotrophic cultivation at both experimental times. The creation of a reducing 40 environment due to the photosynthetic activity, together with alterations of N:C ratio, promoted the 41 lipid synthesis. Neutral lipids increased under mixotrophy and oleic acid was the major component, 42 while linolenic acid decreased; these aspects match requirements for biodiesel production.

43

44 Key words: Neochloris oleoabundans, mixotrophy, photosynthetic apparatus, PSII, lipid profiles,

- 45 ultrastructure
- 46
- 47

<sup>&</sup>lt;sup>1</sup> Abbreviations: ALA, linolenic acid; AWP, apple waste product; Car, carotenoid; Chl, chlorophyll; DW, dry weight; FAME, fatty acid methyl ester;  $F_0$ , minimum fluorescence in the dark-adapted state;  $F_M$ , maximum fluorescence in the dark-adapted state;  $F_M$ , maximum fluorescence in the dark-adapted state;  $F_S$ , steady state fluorescence;  $F_V$ , variable fluorescence ( $F_M$ - $F_0$ ); MUFA, monounsaturated fatty acid; PSII, photosystem II; PUFA, polyunsaturated fatty acid; SFA, saturated fatty acid; TAG, triacylglycerol; TEM, transmission electron microscopy; Y(NO), quantum yield of non-regulatory thermal dissipation and fluorescence; Y(NPQ), quantum yield of regulatory thermal dissipation; Y(PSII), quantum yield of PSII photochemistry.

#### 48 1. Introduction

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50 The world energy demand is rapidly increasing because of the continuous rise of human population, 51 urbanization and modernization [1]. At present, energy is mainly supplied by fossil fuels (about 52 80%), while minor inputs derive from renewable sources (13.5%) and nuclear power (6.5%) [1]. 53 The large use of fossil fuels raises many issues, in terms of both environmental pollution and 54 geopolitical aspects. Moreover, the depletion time for the fossil fuels reserves is calculated in few 55 decades [2]. Research efforts worldwide aim at increasing and improving energy supply by 56 renewable, clean sources, instead of non-renewable. Among renewable energy sources, lipid-rich 57 microalgal biomass is proposed as a useful biofuel feedstock [3-7]. Neochloris oleoabundans (syn. 58 Ettlia oleoabundans) is a green unicellular alga largely studied for its capability to accumulate 59 lipids, especially triacylglycerols (TAGs), inside the cytoplasm [8-11]. N. oleoabundans is 60 characterised by a high lipid content under different growth conditions, such as N-starvation, high-61 light exposure, pH variations, mixotrophy [8,10-14]. Moreover, it was shown that, in N. 62 *oleoabundans*, N-starvation has a negligible impact on the qualitative lipid profile [8,10]. However, 63 in general, the proportion of lipid classes that differ with respect to the length of C-chain or degree 64 of unsaturation can considerably change depending on environmental conditions [15]. Among 65 culture conditions useful for both biomass and lipid production, the algal cultivation realised in the 66 presence of organic carbon sources (glucose, acetate, organic acids, etc.) and light exploits a 67 metabolic condition, called mixotrophy, shared by many algal species, N. oleoabundans included 68 [11,14,16-20]. It has been recently demonstrated that N. oleoabundans can grow mixotrophically in 69 the presence of pure glucose, but also of a glucose-containing apple waste product (AWP). In both 70 cases, biomass production increased and lipids accumulated inside the alga [11,14,18]. In particular, 71 growth rates under mixotrophic cultivation were higher than under autotrophy, leading to 6-7 times 72 higher cell density and biomass productivity [11,14,18]. The biomass increase, observed when 73 either pure glucose or AWP were added, was supported by a good photosynthetic activity of

74 photosystem II (PSII), even if accompanied by different photosynthetic pigment patterns [11,14,18]. 75 Only when the PSII maximum quantum yield decreased, lipids started to accumulate [14,18]. In 76 fact, a decrease in F<sub>V</sub>/F<sub>M</sub> ratio reflects a damage to PSII, symptomatic of an unbalanced 77 accumulation of reducing power, which in turn promotes the synthesis of lipids [21,22]. It is known 78 that there is a close link between energy carriers accumulation, due to a feedback inhibition of 79 photosynthates buildup, and decrease in the rate and photon efficiency of photosynthesis [22]. This 80 confirms the great complexity of the relationship between photosynthesis and lipid synthesis, 81 especially in the case of mixotrophy, as already highlighted by the complex behaviour of the 82 photosynthetic apparatus of N. oleoabundans grown in the presence of glucose or AWP [14,18]. In 83 this perspective, the link between these metabolic pathways (photosynthesis and lipid synthesis) 84 under mixotrophic growth conditions deserves further investigations. Moreover, lipid quality, which 85 is basic information for any bioenergetic applications, still remains poorly characterised under such 86 conditions, especially for microalgae belonging to Neochloris genus [20].

87 In the present work, in order to better exploit the biotechnological potential of *N. oleoabundans*, we 88 provide an in-depth characterization of morpho-physiological aspects of the alga at two crucial 89 steps (late exponential and late stationary phase of growth) during the lipid synthesis process 90 induced by the mixotrophic cultivation with glucose. It was reported, in fact, that the alga replicates 91 rapidly, but does not produce lipids, when a sufficient combination of nutrients is available in the 92 culture medium (i.e. up to the late exponential phase of growth), while it slows replication, with a 93 concomitant lipid synthesis induction, when nitrogen becomes limiting [14,18,23,24]. Moreover, 94 we included a comparison of lipid production and quality in N. oleoabundans cultivated 95 mixotrophically in the presence of AWP, in order to test if the lipid profile remains unchanged upon 96 cultivation with different mixotrophic substrates.

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#### 98 **2. Materials and Methods**

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#### 100 2.1. Culture conditions

101 Axenic cultures of Neochloris oleoabundans UTEX 1185 (Sphaeropleales, Neochloridaceae) (syn. 102 Ettlia oleoabundans) were grown and maintained in liquid BM brackish medium in static conditions inside a growth chamber ( $24\pm1^{\circ}$ C temperature, 80  $\mu$ mol<sub>photons</sub> m<sup>-2</sup> s<sup>-1</sup> PAR and 16:8 h of 103 104 light:darkness photoperiod; light was supplied by cool-white fluorescent Philips tubes) [18,25]. For experiments, algae were inoculated in BM medium containing 2.5 g  $L^{-1}$  of glucose at a cell density 105 of 0.5-0.7 x10<sup>6</sup> cells mL<sup>-1</sup> in 500 mL flasks (300 mL of total culture volume); flasks were 106 107 maintained under continuous shaking at 80 rpm, as reported in Giovanardi et al. [18]. Controls in BM medium containing 0 g  $L^{-1}$  of glucose were set up as well and cultivated in the same culture 108 conditions described above (initial cell density:  $0.5-0.7 \times 10^6$  cells mL<sup>-1</sup>; stirring;  $24\pm1^{\circ}$ C 109 temperature; 80 µmol<sub>photons</sub> m<sup>-2</sup> s<sup>-1</sup> PAR and 16:8 h of light:darkness photoperiod) [18]. Experiments 110 111 lasted 14 days and were performed at least in triplicate. For analyses (growth measurements 112 excluded), aliquots of cells were collected after 0 (inoculation), 6 (late exponential phase) and 14 113 days (late stationary phase) of cultivation.

To compare the effect of a different mixotrophic substrate on the lipids produced by the alga, *N*. *oleoabundans* was also cultivated for 28 days in static conditions in the presence of a diluted apple waste product (AWP; 1:20 dilution in BM medium), as reported in Baldisserotto et al. [14]. For details on AWP preparation and composition see Giovanardi et al. [11]. Aliquots of cells were harvested for lipid analyses at 28 days of cultivation, when lipids were accumulated [14]. Controls in BM medium without AWP were done in parallel [14] and experiments were performed in triplicate.

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- 124 2.2. Analyses on growth
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#### 126 2.2.1. Growth parameters of microalgae.

127 Control and glucose-treated cells were periodically counted with a Thoma haemocytometer under a 128 light microscope (Zeiss, Mod. Axiophot, Jena, Germany); cell densities were plotted on a 129 logarithmic scale to obtain the growth kinetics. Cell biomass ( $\mu g_{DW}$  10<sup>-6</sup> cells), biomass 130 concentration ( $g_{DW}$  L<sup>-1</sup>) and biomass productivity ( $g_{DW}$  L<sup>-1</sup> d<sup>-1</sup>) were calculated on the basis of dry 131 weight of samples collected after 0, 6 and 14 days of cultivation. For dry weight determination, cell 132 samples were treated as reported in Popovich et al. [10].

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134 *2.2.2. Nitrate quantification in the culture media.* 

For nitrate concentration analysis, autotrophic and mixotrophic culture media were harvested by centrifugation (2000 g, 10 min) after 0, 6 and 14 days of cultivation. Nitrate was quantified colorimetrically using a flow-injection autoanalyzer (FlowSys, Systea, Roma, Italy).

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139 2.3. Transmission electron microscopy (TEM)

After 6 and 14 days of cultivation, control and glucose-treated cells were harvested by
centrifugation (500 g, 10 min) and prepared for transmission electron microscopy as reported in
Baldisserotto et al. [25]. Sections were observed with a Hitachi H800 electron microscope (Electron
Microscopy Centre, University of Ferrara). Images were employed to calculate the cell volume.

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- 145 2.4. Analysis of photosynthetic parameters
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- 147 *2.4.1. Photosynthetic pigments extraction and quantification.*

Aliquots of cell suspensions from both autotrophic controls and glucose-treated cultures wereharvested by centrifugation at 8000g, 10 min. Then, pellets were extracted with absolute methanol

at 80°C for 10 min under a dim green light to avoid photo-degradation [14]. The extracts were clarified by centrifugation and analysed with an UV/Vis spectrophotometer (Pharmacia Biotech Ultrospec® 2000) (1 nm resolution). For chlorophyll *a* (Chl*a*), chlorophyll *b* (Chl*b*) and carotenoids (Car) quantification, the extracts were measured at 666 nm (Chl*a*), 653 nm (Chl*b*) and 470 nm (Car) and the equations proposed by Wellburn [26] were applied. Pigments were expressed as percentage of total dry weight (% DW) by dividing pigment content by biomass concentration. Pigment content was also expressed on a cell basis, as nmol<sub>pigment</sub> 10<sup>-6</sup> cells.

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#### 158 2.4.2. Pulse amplitude modulated fluorimetry (PAM) analyses.

159 For analyses, aliquots of cells from glucose-treated cultures and their controls were collected by 160 centrifugation (8000g, 5 min); then, pellets, drop by drop, were put onto small pieces of wet filter 161 paper (Schleicher & Schuell) [27]. After 15 min of dark adaptation PSII maximum quantum yield  $[F_V/F_M = (F_M - F_0)/F_M]$  was measured with a pulse amplitude modulated fluorimeter (ADC-OS1-162 163 FL, ADC Bioscientific Ltd., Herts, UK). Furthermore, induction/relaxation curves of fluorescence 164 parameters were obtained by applying standard protocols [28]. In detail, the dark-adapted pellets 165 were illuminated with a halogen lamp through a fiber-optic system for 5 min at an irradiance of 1100  $\mu$ mol<sub>photons</sub> m<sup>-2</sup> s<sup>-1</sup> and a saturation pulse was applied every minute. After the induction phase, 166 167 pellets were returned to darkness for relaxation and a saturation pulse was applied after 1, 2 and 5 168 min. In particular, induction/relaxation kinetics were recorded for the actual PSII quantum yield  $Y(PSII) = (F_M'-F_S)/F_M'$  [29], the quantum yield of the regulated energy dissipation Y(NPQ) =169  $(F_S/F_M)$  -  $(F_S/F_M)$  and the combined yield of fluorescence and constitutive thermal dissipation 170 171  $Y(NO) = (F_S/F_M)$  [30]. The degree of PSII photo-inhibition was calculated as the non-relaxed 172 fraction of PSII yield after the 5 min of dark relaxation.

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#### 178 2.5.1. Total protein extraction and quantification.

179 Aliquots of control autotrophic and glucose-treated mixotrophic cells (about 100 mL with an optical 180 density of 0.5 at 750 nm) were centrifuged for 10 min at 500g and treated according to Ivleva and 181 Golden [31], with some modifications. In detail, pellets were resuspended in a small quantity (2) 182 mL) of washing buffer [2mM Na2EDTA, 5 mM ɛ-aminocaproic acid, 5 mM MgCl2, 5 mM 183 dithiothreitol dissolved in PBS buffer 1x; PBS buffer (1L, stock solution 10x): 80 g NaCl, 2 g KCl, 184 14.4 g Na<sub>2</sub>HPO<sub>4</sub> · 2H<sub>2</sub>O, 2.4 g KH<sub>2</sub>PO<sub>4</sub> dissolved in distilled water], transferred into Eppendorf 185 tubes and then centrifuged (10 min, 2000g). Subsequently, pellets were resuspended in the 186 extraction buffer (0.1 M NaOH, 1% sodium dodecyl sulphate, 0.5% β-mercaptoethanol dissolved in 187 distilled water). For three times, samples were frozen in liquid N2 for 2 min and subsequently 188 heated at 80°C for other 2 min, then rapidly frozen in liquid N<sub>2</sub> and kept at -20°C over night. The 189 following day, the samples were added with glass beads (0.40-0.60 µm diameter; Sartorius, 190 Germany) and vigorously vortexed for 10 min (mixing cycles of 30 s followed by cooling on ice for 191 30 s). After centrifugation (1500g, 10 min) supernatants were harvested (I extract). Pellets were re-192 extracted by resuspending with 0.5 mL of extraction buffer, vortexing tubes for 2 min and finally 193 keeping tubes at 60°C for 15 min. Samples were then centrifuged (1500g, 10 min) and the 194 supernatant (II extract) was added to the first one. Finally, the total extract was rapidly frozen in 195 liquid N<sub>2</sub> and kept at -20°C until quantification. Proteins were quantified following the Lowry's method [32]. 196

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### 198 2.5.2. Total lipid extraction.

For both mixotrophic samples (glucose- and AWP-treated algae) at the late stationary phase of growth (14 or 28 days of cultivation for glucose- and AWP-treated samples, respectively), lipid extraction was performed according to a modified Folch's method [33]. Autotrophic samples and 202 algae harvested at the inoculation time were processed as well. In detail, duplicated freeze-dried 203 samples of 200 mg of biomass were vortexed thoroughly for 30 s, ultrasonicated for 30 min at 204 ambient temperature in 25 mL chloroform:methanol (2:1, v:v) and centrifuged (3000 g, 5 min) three 205 times. Between each interval, the chloroform:methanol solution (25 mL) in the vial was collected. 206 The mixture of supernatants was placed and shaken in a separatory funnel with 12.5 mL NaCl 0.9% 207 (w/w) to create a biphasic system. The upper phase contains all of the non-lipid substances, while 208 the lower phase contains essentially all the lipids. After a period of time, the lower phase 209 (containing the extracted lipids) was recovered. This procedure was repeated three times to ensure 210 an adequate washing. Then, the lipid extract was evaporated to dryness under nitrogen and kept at 211  $-20^{\circ}$ C. All chemicals used were of analytical grade. After a comparison with the modified Weldy 212 and Huesemann's method [34], the modified Folch's method was selected because no significant 213 differences had been found and for its simplicity.

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# 215 2.5.3. *Lipid fractionation and fatty acid profile determination.*

216 Lipid fractionation into neutral lipids, glycolipids and phospholipids was performed using a silica 217 Sep-Pack cartridge (SP) of 1000 mg (J. T. Baker Inc., Phillipsburg, N.J., USA), according to 218 Popovich et al. [35]. The efficiency of SP separation was verified by thinlayer chromatography 219 (Silicagel G 60 70-230 mesh, Merck, Darmstadt, Germany). New plates were pre-run in a tank 220 containing chloroform: methanol (50:50, v/v) in order to remove contaminants from the silica gel. Concentrated solutions of each fraction in chloroform (10 mg mL<sup>-1</sup>) were applied to the bottom of 221 222 the plates and the plates were developed with chloroform:methanol (2:1, v/v). After solvent 223 evaporation, the plates were sprayed with phosphomolybdic acid and heated at 120–130°C. Fatty 224 acid profile was determined according to Popovich et al. [35], by methyl ester derivation and gas 225 chromatographic (GC) analysis, with a HP Agilent 4890D gas chromatograph (Hewlett Packard 226 Company, USA), equipped with a flame-ionization detector at a temperature of 260°C, a split/splitless injector (175°C) and a capillary column SP-2560 (100 m, 0.25 mm and 0.2 μm;
Supelco Inc., Bellefonte, PA).

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### 230 2.6. Statistical data treatment

Data were processed with Microcal Origin 6.0 software (OriginLab, Northampton, MA, USA). Data of control and glucose-treated samples were compared by using the Student's *t* test (significance level, 0.05). Data are expressed as means  $\pm$  standard deviations (s.d.) for n number of samples (n  $\geq$ 3, depending on analysis). Asterisks are used to identify the levels of significance: \*, p  $\leq$  0.05; \*\*, p  $\leq$  0.01; \*\*\*, p  $\leq$  0.001. For data treatment of results on lipids of control, glucose and AWP samples, ANOVA was applied (significance level, 0.05).

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- 239 **3. RESULTS**
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- 241 *3.1. Growth aspects*
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243 *3.1.1. Growth* 

244 Autotrophic and glucose-treated cultures of N. oleoabundans showed an evident difference as 245 regards growth kinetics. In fact, mixotrophic cultures were characterised by a very effective 246 exponential phase during the first 6-7 days of cultivation. Then they promptly entered the stationary phase, which lasted approximately a week; the 14<sup>th</sup> day corresponded indeed to the late 247 248 stationary/early decline phase of growth (Fig. 1A). In fact, mixotrophic cultures reached a cell density of about 35 and 50 x 10<sup>6</sup> cells mL<sup>-1</sup> at the 6<sup>th</sup> and 7<sup>th</sup> day of cultivation, respectively, and 249 250 then yielded almost stable cell density values, with a small decrease only at the end of experiment. 251 In spite of being characterised by a similar growth curve in terms of general features, the control samples yielded lower cell densities (about 3 and 8 x  $10^6$  cell mL<sup>-1</sup> after 6 and 14 days of 252

cultivation, respectively) (Fig. 1A). On the whole, two growth points were highlighted: the 6<sup>th</sup> day, 253 corresponding to the late exponential phase, and the 14<sup>th</sup> day, corresponding to the late stationary 254 phase. Interestingly, the glucose-treated samples, starting from the same initial biomass of about 255  $0.07 \text{ g}_{\text{DW}} \text{ L}^{-1}$  as the autotrophic ones, very strongly increased their biomass especially during the 6-256 14 days time interval, from about 0.4 to nearly 1.8 g<sub>DW</sub> L<sup>-1</sup> (Fig. 1B). On the contrary, during the 257 258 same time interval, control samples increased their biomass from about 0.10 to only 0.25  $g_{DW} L^{-1}$ , 259 which was parallel to the cell density increase (Fig. 1A, B). Moreover, it was interesting to note 260 that, after 6 days of experiment, treated cells were lighter than their controls, but also than the mixotrophic cells after 14 days (about 10  $\mu$ g<sub>DW</sub> 10<sup>-6</sup> cells for 6-days-mixotrophic cells vs more than 261  $30 \ \mu g_{DW} \ 10^{-6}$  cells for controls and 14-days-mixotrophic cells), while the controls maintained stable 262 values of about 30-35  $\mu$ g<sub>DW</sub> 10<sup>-6</sup> cells throughout the experiment (Fig. 1C). Noteworthy was the 263 264 biomass productivity, which was greatly enhanced in the treated samples as compared to controls at 265 both examined time points (Fig. 1D).

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#### 267 *3.1.2. Nitrate content in culture media*

Nitrate in the culture media was about 1.43 mM for both autotrophic and mixotrophic cultures at the beginning of experiment. Media harvested from control cultures underwent a small decrease in nitrate content during experiment (17% from 0 to 14 days of cultivation) reaching values of about 1.36 and 1.18 mM at the 6<sup>th</sup> and 14<sup>th</sup> day of experiment, respectively. Differently, media of glucosetreated cultures were characterised by values of nitrate content near to zero yet after 6 days of cultivation (0.034 and 0.004 mM at day 6 and 14, respectively).

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# 275 *3.2. Morphology: cell ultrastructure*

Figure 2 reports images of ultrastructural aspects of of *N. oleoabundans* cells after 6 (2A-D) and 14 (Fig. 2E-H) days of cultivation under autotrophic (Fig. 2A, E) and mixotrophic conditions (Fig. 2B-D, F-H). In detail, TEM analyses showed that 6-days-grown control cells were typically 279 characterised by a big cup-shaped chloroplast containing a large pyrenoid surrounded by a starch 280 shell and crossed by one-two thylakoids (Fig. 2A). Inside the cytoplasm, the nucleus and 281 mitochondria were also observable (Fig. 2A). After 14 days, controls maintained similar features, 282 but cells were sometimes vacuolated (Fig. 2E). In both cases, thylakoids were elongated and only 283 locally appressed (Fig. 2A, E). Different aspects were observed for treated cells (Fig. 2B-D, F-H). 284 In fact, after 6 days of cultivation, the mixotrophic populations were generally characterised by 285 smaller cells than in the controls (about 28% in volume; p <0.05) and by morphologically different 286 cells according to a kind of gradient (Fig. 2B-D). In fact, some cells showed an overall morphology 287 similar to that of controls, but with an evident increase in stromatic starch and more extensive 288 thylakoid appression (Fig. 2B), while other cells contained plastids very enriched in starch, which 289 occurred both as stromatic and as a shell surrounding a the pyrenoid that had assumed an altered 290 feature (Fig. 2C, D). Only sometimes cells with the latter feature contained small cytoplasmic lipid 291 globules (Fig. 2C, D). Also in these cells, thylakoid membranes appeared to be more appressed than 292 in controls (Fig. 2A, C, D). Sporadic sporocysts, which had not yet released the young cells, were still observable in glucose-treated samples (Fig. S1). At the 14<sup>th</sup> day of cultivation, glucose-treated 293 294 cells became larger than at day 6 (about 50% in volume; p<0.001) and reached, sometimes slightly 295 exceeding, the dimensions of the control cells (p>0.05) (Fig. 2E-H). As for cells at the previous step 296 of cultivation, a morphological gradient was observable. Some cells, in fact, contained a chloroplast 297 with large stromatic starch grains and a still identifiable pyrenoid surrounded by an evident starch 298 shell; lipid globules increased in number and size (Fig. 2F, G). Other cells contained a few small 299 starch grains, but very large lipid droplets (Fig. 2H). As regards thylakoids, they were reduced in 300 number and extension as compared to those contained in treated samples at the previous time of 301 experiment (Fig. 2B-D) and to those hosted in controls (Fig. 2E); however, these few thylakoids 302 were less appressed than at the previous time of experiment, but similar to those in controls (Fig. 303 2F, G).

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### 307 3.3.1. Photosynthetic pigments

308 Photosynthetic pigment content was expressed both as a fraction of total biomass and in terms of 309 quantity of pigment inside cells (Fig. 3). It was noted that, during the time interval 0-6 days of 310 cultivation, the concentrations of all pigments inside autotrophic control samples tended to decrease 311 (ca. 25 to 60% depending on the pigment), while from 6 to 14 days of cultivation their pigments 312 tended instead to increase independent of the unit of measure employed (ca 1.5 to 2 times, Fig. 3A-313 F). Differently, such trends were not observed for treated samples. In detail, when considering the 314 pigment content per cell (Fig. 3 B, D, F), an evident decrease was observed throughout the 315 experiment: 64, 68 and 77% decrease for Chl a, Chl b and Car, respectively, from 0 to 6 days, and a 316 further significant, though smaller, decrease by 37 (p<0.001) and 27% (p<0.01) for Chl a and Chl b, 317 respectively, during the time interval 6-14 days of mixotrophic cultivation. In the latter interval, no 318 differences were observed for the Car content (p=0.569). The pigment content expressed as %DW, 319 so on a biomass basis, did not show a similar trend (Fig. 3A, C, E). During the first time interval (0-320 6 days), Chl a content increased significantly by about 30% (p<0.001) and Chl b by about 12% 321 (p<0.05), while Car decreased by about 26% (p<0.001); only during the second time interval (6-14 322 days), all pigments strongly decreased (about 4 times less Car and nearly 6 times less Chl; p<0.001 323 in all cases). Concomitantly, in comparison with controls all pigments were less abundant in treated 324 cells on a cell basis (40-80% depending on the pigment; p<0.001), while, on a biomass basis, in 325 glucose-treated algae an increase by about 40-45% (p<0.001) occurred at day 6 and was followed by a strong decline (about 80%; p<0.001) at the 14<sup>th</sup> day. 326

Photosynthetic pigments, however, maintained a quite stable stoichiometry, the Chl*a*/Chl*b* molar ratio was indeed substantially unchanged between samples (differences <10%; p=0.08 at all three experimental times considered). Total Chls/Car ratio was significantly lower only at the end of the experiment, showing a 41% difference between treated and control algae (p<0.01) and a 48% difference when mixotrophic algae were compared between time 6 and 14 days (p<0.01) (Fig. 3G,</li>
H). In fact, at the 6<sup>th</sup> day, the 20% difference in the ratio between control and treated samples was
not significant (p=0.098).

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335 *3.3.2. PSII fluorescence analyses* 

336 The effects on the use of light energy of *N. oleoabundans* cultivated mixotrophically or337 autotrophically were evaluated by PAM fluorimetry.

338 Starting from values of the PSII maximum quantum yield  $(F_V/F_M)$  around 0.600 at the beginning of experiment, both autotrophic and mixotrophic samples were characterised by increased  $F_V/F_M$  ratios 339 at the 6<sup>th</sup> day of cultivation. The value was significantly higher in glucose-treated cells than in 340 341 autotrophic controls (+12%; p<0.001), 0.764 and 0.674 respectively (Fig. 4A). Differently, at the 14<sup>th</sup> day of experiment, F<sub>V</sub>/F<sub>M</sub> value in mixotrophic algae decreased to 0.608, i.e. 13% lower than 342 343 that recorded for controls (p<0.05), which instead maintained stable values during time. In parallel, 344 these differences in PSII efficiency were accompanied by interesting photoinhibition data (Fig. 4B). In fact, exposure to high light (1100  $\mu$ mol<sub>photons</sub> m<sup>-2</sup> s<sup>-1</sup>) did not influence the photosynthetic 345 346 efficiency of the treated samples, which gave not significantly different responses from those of 347 controls after both 6 days (lower value, but p=0.132) and 14 days of cultivation (higher value, but 348 p=0.072) (Fig. 4B). Furthermore, a study on the use of the light energy absorbed by the algae was 349 performed after 6 and 14 days of cultivation, separating the three fractions in which energy itself is 350 converted: Y(PSII), Y(NO) and Y(NPQ) (Fig. 4C-H). As regards Y(PSII), i.e. the operating PSII 351 efficiency in the light, in mixotrophic algae it was about 50% higher as compared to controls at the  $6^{th}$  day (p=0.032), but strongly decreased at the end of experiment, showing values more than 3 352 353 times lower than that of controls (p=0.015) (Fig. 4C). The corresponding induction/relaxation 354 kinetics detailed better this response, showing the strong impact of light on old mixotrophic cells, 355 which had Y(PSII) values around 0.100 during the high light exposure (Fig. 4D). In addition, 356 Y(NO), i.e. the energy constitutively dissipated as heat or fluorescence emission by non-functional

357 and closed PSII, was significantly lower in treated cells after 6 days of cultivation (p=0.023), but increased, even if not significantly (p=0.077), at the 14<sup>th</sup> day (Fig. 4E). In the induction/relaxation 358 359 curves, controls at both times of cultivation and glucose-treated cells at the  $6^{th}$  day were 360 characterised by a relative stability during light exposure, while mixotrophic cells at the late 361 stationary phase of growth showed a decreasing trend of Y(NO) values already starting from the 362 first minute of high light exposure (Fig. 4F). Finally, Y(NPQ) values, which represent lightdependent dissipation mechanisms for energy dissipation as heat, were substantially the same in 363 control and mixotrophic cells at the  $6^{th}$  day of cultivation, but were significantly higher (p=0.041) in 364 treated cells vs controls at the 14<sup>th</sup> day (Fig. 4G). Interestingly, the corresponding kinetics 365 366 maintained quite similar features in both controls and in 6-day mixotrophic cells, reaching a plateau 367 during the light exposure, which conversely did not characterise the glucose-treated cells after 14 368 days of cultivation (Fig. 4H).

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# 370 *3.4. Biochemical properties of algae useful for biotechnological application*

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### 372 *3.4.1. Total proteins*

With an initial total protein content of about 13% DW (corresponding to 7.5  $\mu$ g 10<sup>-6</sup> cells), at the 6<sup>th</sup> 373 374 day of cultivation, in the whole mixotrophic population proteins, expressed as percentage on DW, 375 was about 3 times higher than in controls (p<0.001) (Fig. 5A). However, at the same cultivation 376 time, the amount of proteins accumulated inside cells of control and glucose-treated samples was 377 not significantly different (Fig. 5B) (p=0.49). Conversely, at the late stationary phase of growth, *i.e.* at the 14<sup>th</sup> day, total proteins were unequivocally lower in treated samples as compared to controls, 378 379 both considering the single cells and the biomass (43-60% depending on the considered parameter) 380 (p<0.05) (Fig. 5). An evident decrease in protein content was also observed by comparing treated 381 samples after 6 and 14 days of cultivation, irrespective of parameter through which such amount 382 was expressed (p<0.001).

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## 384 *3.4.2. Lipid quantification and characterisation*

385 Starting from samples characterised by a total lipid content of about 14-16% DW, further analyses were performed at the 14<sup>th</sup> day of cultivation, when mixotrophic cells were full of lipid globules 386 387 (Fig. 2F-H). For comparison of lipid production and quality of *N. oleoabundans* under mixotrophic 388 conditions, lipids were extracted and thoroughly analysed at the late stationary phase of growth by 389 using both glucose-treated algae, supported by the morphological observations described in this 390 paper (Fig. 2), and mixotrophic algae cultivated in the presence of an apple waste product (AWP), 391 according to a previous work [14]. Table 1 shows the lipid composition (% DW) of N. 392 oleoabundans under control and mixotrophic conditions. The total lipid content under control 393 conditions was 20.3% DW and increased significantly (p<0.05) up to 27.06% DW and 27.59% DW 394 in cells grown with glucose and AWP, respectively (Table 1). Neutral lipids increased significantly 395 in mixotrophy (Table 1), reaching up to ca. 76% and ca. 71% of total lipids under glucose and 396 AWP conditions, respectively, TAGs being the only source of fatty acids. Neither diacylglicerols 397 nor monoacylglicerols were detected.

398 The fatty acid profiles of N. oleoabundans grown under control and mixotrophic conditions are 399 shown in Table S1. The most important fatty acids were the saturated palmitic (C16:0), the 400 monounsaturated oleic (C18:1n-9c) and the polyunsaturated linoleic (C18:2n-6c) and linolenic 401 (C18:3n-3) acids (Table S1; Fig. 6A). However, the lipid classes showed differences in their 402 proportions (Table S1; Fig. 6B), specially in the neutral fraction. The percentages of saturated fatty 403 acids (SFAs) were significantly higher in cultures grown with glucose (ca. 27.6%) and AWP (ca. 404 33.6%) when compared to control conditions (ca. 22%). The monounsaturated fatty acids (MUFAs) 405 were the major class under mixotrophy. They were significantly higher (p<0.05) than in controls, 406 reaching the maximum average value (ca. 55.5%) in the glucose-treated cells because of a high 407 content of oleic acid (ca. 53%), while for the AWP-cultured algae they remained at slightly lower 408 values (MUFA, 36.7%; oleic acid, 32%). Regarding polyunsaturated fatty acids (PUFAs), there was

a significant decrease in the mixotrophic condition as compared with the control one (Table S1; Fig.
6B). In particular, PUFA levels decreased significantly owing to a decline in the proportion of
linolenic acid, from *ca*. 19 % in controls to *ca*. 2% in glucose medium and to 9% in AWP medium
(Table S1; Fig. 6B).

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# 415 **4. Discussion**

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*N. oleoabundans* is widely considered an important microalga to be potentially used as a green feedstock of lipids for biofuel production [8,10,23,24,36,37]. An interesting opportunity is given by the mixotrophic behaviour of the alga, which both promotes biomass productivity, combining photosynthesis with sugar uptake, and lipid accumulation [11,14,18]. For the biotechnological exploitation of the alga, we investigated the link between photosynthesis, biomass productivity and lipid synthesis.

423 An interesting aspect emerging from the mixotrophic growth kinetics seemed to be its apparent 424 discrepancy with biomass productivity, since the higher cell density observed at 6-7 days for 425 mixotrophic algae compared to controls (around 10-12×) was accompanied by relatively low values 426 of biomass (Fig. 1). However, this is justified by some characteristics of the single cell biomass and 427 size. In fact, 6-days mixotrophic cells were very numerous, but lighter and smaller than control 428 cells, while 14-days mixotrophic cells were again very numerous, but heavier and bigger (Fig. 1A, 429 C). Accordingly, the life cycle of *N. oleoabundans* is characterised by the release of young small 430 cells from sporocysts and their subsequent maturation to larger cells [38,39]. Our results support the 431 inference by de Winter et al. [40] that the cell cycle of N. oleoabundans plays an important role in 432 biomass production owing to the differences in cell morphology occurring during the life cycle. We 433 extend this observation to the mixotrophic mode of cultivation. We observed that after 6 days of 434 cultivation with glucose, the cells consumed almost all nitrogen in the culture medium for their 435 duplication and, at the same time, they also absorbed and used part of the glucose [18]; 436 subsequently, they increased their size exploiting the uptake of the glucose still available in the 437 medium, the starch consumption and the photosynthetic activity to produce carbohydrates and 438 lipids, i.e. N-free molecules. By comparison, autotrophic algae consumed only a low quantity of 439 nitrate up to 14 days, as also reported in a recent work on N. oleoabundans [41]. Moreover, in 440 mixotrophic glucose-treated cells after 6 days of cultivation, the protein content per cell was similar 441 to that of controls, sign of a sufficient supply of nitrogen in the culture medium and of its efficient 442 employment by cells. Concomitantly, actively photosynthesizing cells showed a very large pyrenoid 443 surrounded by starch shells linked to RuBisCO activity, but accumulated also many non-444 photosynthetic stromatic starch granules [42]. Therefore, the cells, during the first phase of 445 cultivation, employed exogenous glucose in the culture medium to produce starch as a storage of 446 exceeding reducing power and carbon skeletons [43,44]. Subsequently, they gradually started to use 447 the carbon deriving from starch degradation to produce lipids. The metabolic pathways of starch 448 and lipids share, in fact, common precursors [44]. This behaviour was also found in N. 449 oleoabundans cultivated with AWP as a mixotrophic substrate [11,14].

450 Interestingly, as regards specific photosynthetic aspects highlighted by ultrastructural analyses, the 451 plastids of 6-days mixotrophic cells were characterised by a strong appression of thylakoids, 452 probably due to a new effective set up of thylakoid membranes, which ensured an ability of 453 photochemical energy conversion even higher than in controls, as attested by  $F_v/F_M$  ratio (Fig. 4A). 454 Interestingly, this seemed not to be linked to a quantitative variation in LHCII antennae, in fact, 455 Chla/b molar ratio, which reflects LHCII amount [45-47], was substantially unchanged between 456 control and glucose-treated samples (Fig. 3G). The analyses of photosynthetic pigments also gave 457 further information. In fact, a significant decrease in the photosynthetic pigment content per cell, in 458 line with previous observations [18], was detected. Such decrease, which was not associated with 459 important variations in the stoichiometry of pigments, testifies to a switch of the metabolism from 460 autotrophic to mixotrophic. Many microalgae, including those belonging to Neochloris genus,

461 reduce their photosynthetic pigment content under mixotrophy, i.e. under a cultivation condition 462 less influenced by light availability than autotrophy [11,19,48,49]. However, by expressing pigment 463 accumulation as % DW, after 6 days of cultivation, the mixotrophic algal biomass contained larger 464 amounts of pigments compared to controls, making mixotrophic N. oleoabundans an interesting 465 candidate in applicative activities as a source of coloured molecules, i.e. chlorophylls and 466 carotenoids to be employed as pigments and/or antioxidants [50]. The high quantity of pigments 467 found in the mixotrophic biomass was related to the specific cell characteristics at 6 days: each 468 mixotrophic single cell contained a small quantity of pigment (because cells were indeed small), but 469 the whole algal biomass was rich in pigments (because cells were very numerous). After the 470 duplication phase, the entrance of cells in the stationary phase of growth was accompanied by 471 events repeatedly reported, such as decrease in pigment content, decrease in photosynthetic activity, 472 reduction of thylakoid system and, concomitantly, lipid production [11,14,16,18-21,39].

473 From analyses, it was clear that mixotrophy had a very strong impact on the organisation of the 474 photosynthetic apparatus in N. oleoabundans. Important modulations of the photosynthetic activity 475 appeared to follow both the switch of metabolism to mixotrophy and the transition to the lipid 476 production phase. This presupposes a different availability of reducing equivalents in different 477 phases and a very modulable use of light energy depending on the growth phase. This hypothesis 478 was tested through an energy partitioning approach, i.e. calculating the quantum yields of PSII 479 photochemistry (Y(PSII)) and competing regulatory (Y(NPO)) and non-regulatory (Y(NO)) 480 dissipative processes [51]. Values of Y(PSII), Y(NO) and Y(NPQ) after 6 days of cultivation 481 indicate that mixotrophic cells "work" even better than controls during a high light exposure. In 482 particular, they are able to maintain a high Y(PSII) by keeping Y(NO) at a low value. Y(NO) can be 483 used as a simple index of the reduction state of plastoquinones in the photosynthetic membranes 484 [52]. To ensure a good preservation of photosystems under high light, plants, algae included, aim at 485 minimizing the reduction state of plastoquinone [53]. From this point of view, mixotrophic cells 486 succeeded in keeping the photosynthetic electron transport chain under control better than

487 autotrophic cells. The biochemical reason for this is unknown, but it evidently results in the 488 increased thylakoid appression observed with TEM. The very active photosynthesis allowed cells to 489 sustain all cell syntheses and possibly also the uptake of glucose from the medium and its 490 temporary storage as stromatic starch. However, it should be noted that in static mixotrophic N. 491 oleoabundans, cultivated with a glucose-rich waste (AWP), the Y(PSII) was also higher than in 492 autotrophic cultures, but this was linked to a different modulation of energy use [more Y(NPQ)], 493 showing that different culture conditions play important roles in the photosynthetic metabolism 494 under mixotrophy [14]. As expected, a drastic drop in Y(PSII) occurred during the stationary phase 495 of growth. However, the decay in Y(PSII) was not mainly the consequence of more reduced 496 plastiquinones; this was testified by a non-significant increase in Y(NO) at the steady state. 497 Conversely, mixotrophic cells emphasised their ability to safely dissipate the excess of energy as 498 attested by increased Y(NPQ) [51]. While for autotrophic samples and for 6-day mixotrophic cells 499 the generation of Y(NPQ) was dominated by a rapid induction of the  $\Delta pH$ -dependent quenching 500 (qE) [54], in 14-day mixotrophic algae a different situation occurred. In fact, in the mixotrophic 501 cells at the stationary phase of growth, the fast induction of qE was followed by a second, evident 502 induction phase. Such induction was slower but progressive up to the end of the light exposure and 503 can be attributed to a qZ quenching dependent on the production of the dissipative carotenoid 504 zeaxanthin [54]. qZ corresponds to a component of thermal dissipation, characterised by a slower 505 induction in the light as compared to qE, i.e. in the order of some minutes [54]. It is attributed to 506 the conversion of the carotenoid violaxanthin to the dissipative carotenoid zeaxanthin, a process 507 triggered by  $\Delta pH$  that enhances the potential of photoprotection of the thylakoid membrane [54]. 508 Our finding was consistent with the lower Chl/Car molar ratio recorded at the end of experiment. In 509 other words, after the consumption of nitrogen, but with glucose still available [18], N. 510 oleoabundans cells down-regulated PSII activity, but were still capable of preserving the 511 photosystem from photo-inhibition. In this way, photosynthesis could continue to cooperate in 512 providing energy to the growing cells. The availability of reducing power and ATP, generated by photosynthesis and respiration, not only allows cell enlargement, but also triggers the shift from metabolism to the lipid synthesis. It is interesting to observe that, in a previous work, AWP was also able to induce lipid synthesis, but this was associated with a higher reduction of the membrane carriers and with a down-regulation of Y(NPQ) [14]. So, the hallmark of lipid synthesis induction is presumably the decay in Y(PSII). It is not known if a different partitioning of energy has impact on lipid profile.

520 In the present study, the total lipids in mixotrophic cultures of N. oleoabundans increased as 521 compared to controls as a result of TAG accumulation. However, a minor lipid content in glucose-522 treated cells was observed by comparison with the content reported by Giovanardi and co-workers 523 [18], probably because of the variability of the experiments. No previous data are available for 524 AWP. Yang et al. [55] found for Chlorella pyrenoidosa C-212 that the supplied energy (light and 525 glucose) was not utilised efficiently in the mixotrophic cultivation due to a decrease in pigment content of the cells. Despite these differences, our results indicated that, under mixotrophy, the cells 526 527 channelise the excess of carbon and energy into TAGs predominantly made of saturated and 528 monounsaturated fatty acids, which represent up to 83% and 70% of the total fatty acids in glucose 529 and AWP treatments, respectively.

530 For biodiesel purposes, fatty acid profiles rich in SFAs and MUFAs, which can be transesterified to 531 produce biodiesel [56], are the most interesting profiles. Structural lipids typically have high PUFA 532 contents, which are employed for pharmaceutical or food applications. In general, SFA production 533 is favoured under heterotrophic conditions, while high PUFA (C16:3 and C18:3) contents are 534 mainly produced under autotrophy [57]. According to their behaviour patterns, mixotrophy could be 535 interpreted as an intermediate alternative, since both light and glucose are sources for ATP 536 production in mixotrophic cultures [55]. In this study, the concentration of TAGs, enriched in SFAs 537 and MUFAs, increased significantly under mixotrophy, while PUFAs decreased. As reported by 538 Knothe [58], the fatty acid profiles, enriched in MUFAs and, particularly, in oleic acid improve the

<sup>519</sup> 

539 quality of biodiesel helping to balance its oxidative stability and cold flow properties. In the present 540 study, at the stationary phase of growth the cultures supplemented with glucose showed the highest 541 MUFA percentage owing to levels of oleic acid up to 53% of total fatty acids. This value is higher 542 than others reported in N. oleoabundans under N-stress conditions (e.g. 36% [8] and 46.5% [10] of 543 oleic acid in neutral lipid fraction). On the other hand, oleic acid reached up to ca. 32% in AWP-544 cultured cells at the late stationary phase. The differences observed in SFA and MUFA proportions 545 between the mixotrophic treatments may be due to the carbon source that was used. On the whole, 546 the total amount of organic carbon made available by the AWP, was estimated to be 3.35% (w/v), glucose, fructose and sucrose being the carbohydrates present in AWP [11]. Morales-Sanchez et al. 547 548 [37] reported that N. oleoabundans did not use sucrose or fructose for metabolism under strict 549 heterotrophic conditions. Thus, our species growing in AWP under mixotrophy may present a 550 limited carbon source to synthesize MUFAs, which require more energy for their synthesis. Glucose 551 possesses more energy content per mol compared with other substrates [57]. A limited ability to 552 synthetize MUFAs could also have an impact on the photosynthetic membranes. The pattern of 553 glycolipids, which characterize thylakoids, remained similar in AWP and controls, while it changed 554 in favour of MUFAs in glucose-grown cells. Only the latter proved to be permissive for a sufficient 555 photo-protection, which was not achievable in AWP-grown algae to the same extent and resulted in 556 a higher reduction state of the membranes [14]. Therefore, the oils accumulated in N. 557 *oleoabundans*, grown in the presence of glucose as the only carbon source, are enriched in oleic 558 acid and exhibit a combination of improved fuel properties with emphasis in cold flow issue.

Regarding PUFAs, the European EN 14214 standard limits linolenic acid's methyl ester (ALA) for vehicle use to 12% (w/w) and the methyl esters with four and more double bonds to a maximum of 1% (w/w). These limits are essential to avoid autoxidation deriving from the presence of double bonds in the chains of many unsaturated fatty acid methyl esters (FAMEs), which cause problems during fuel storage [59]. In this study, the levels of PUFAs in *N. oleoabundans* were lower under mixotrophic rather than autotrophic conditions. For example, the SFA+MUFA/PUFA ratios were
1.19, 6.07 and 2.69 under control, glucose and AWP treatments, respectively, indicating that the 565 566 minimum PUFA levels and particularly the ALA ones (ca. 2%) were obtained in cells grown with 567 glucose. Although the oils extracted from mixotrophic cultures of N. oleoabundans presented ALA 568 contents within specifications, the oils that come from cultures growing in glucose obtained the best 569 performance in terms of oxidative stability. The reduction of both thylakoid membranes and 570 photosynthetic pigments (Chla, Chlb and carotenoids) during mixotrophy observed in this study 571 may partially explain the PUFA decrease. In addition, the sampling times may also have had an 572 influence on the proportions of lipid classes, since, as an example, Shishlyannikov et al. [60] 573 reported a different lipid profile in different growth phases in the diatom Synedra acus.

574

We found that mixotrophic cultivation of *N. oleoabundans* with glucose promotes biomass yield, which can be used in different fields since the chemical composition of the biomass differs after different time of cultivation. The creation of a reducing environment due to the photosynthetic activity, together with alterations of N:C ratio, promoted lipid synthesis. Interestingly, lipid profile and photosynthetic properties are substrate-dependent, glucose being linked to the best oil profile for biodiesel production.

581

## 582 **5. Aknowledgements**

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588

## 589 **6.** Author contributions

590 Conception and design: CB, SP

- 591 Analysis and interpretation of the data: CB, CP, SP, PL, LF, MG
- 592 Drafting of the article: CB, CP, SP
- 593 Critical revision of the article for important intellectual content: SP, PL
- 594 Technical support: MG, LF, AS, DC
- 595 Collection and assembly of data: CB, CP, AS, DC, MG

# **Table 1**

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# 

Conditions	Total lipids (% DW)	Neutral lipids (% DW)	Glycolipids (% DW)	Phospholipids (% DW)
Glucose	$27.06^b\pm0.63$	$20.55^{\text{d}} \pm 1.99$	$4.04^f {\pm}~0.85$	$2.47^{g}\pm0.55$
AWP	$27.59^{b} \pm 2.47$	$19.51^{d} \pm 1.91$	$6.86^{e} \pm 1.06$	$1.44^{\rm h} {\pm}~0.43$

## 603 Table legends

604

- 605 **Table 1.** Total lipid and lipid fractions -neutral lipids, glycolipids and phospholipids- (in percentage
- 606 of dry weight biomass = % DW) of *N. oleoabundans* growing under different culture conditions
- 607 (control, glucose, AWP). Values are means  $\pm$  standard deviations of two or three replicates.
- 608 Differences were not significant (p>0.05) for groups with the same superscript.

609

#### 611 Figure legends

612

613 Figure 1. Growth parameters of N. oleoabundans cultivated under autotrophic and mixotrophic 614 (glucose-induced) conditions for 14 days. A) Growth kinetics plotted using a logarithmic scale. B) 615 Biomass yield expressed as grams of algal dry weight per litre. C) Single cell biomass expressed as 616 micrograms of algal dry weight per one million cells. D) Biomass productivity, as grams of algal 617 dry weight per litre per day, during the 0-6 days and 6-14 days of cultivation intervals. In A, solid 618 black line = autotrophic cultures; dash black line = mixotrophic cultures. In B-D, black histograms 619 = autotrophic cultures; white histograms = mixotrophic cultures. Data refer to means  $\pm$  standard 620 deviations ( $n \ge 3$ ). Asterisks identify significant differences between control and mixotrophic 621 samples: \*,  $p \le 0.05$ ; \*\*,  $p \le 0.01$ ; \*\*\*,  $p \le 0.001$ .

622

Figure 2. TEM images of *N. oleoabundans* cells after 6 (2A-D) and 14 (Fig. 2E-H) days of
cultivation under autotrophic control (Fig. 2A, E) and mixotrophic conditions (Fig. 2B-D, F-H). p,
pyrenoid; m, mitochondrion; n, nucleus; v, vacuole; s, stromatic starch; L, lipid droplets; arrow,
thylakoids. Bars: 1μm.

627

**Figure 3.** Photosynthetic pigments content and their molar ratios in control and glucose-cultivated *N. oleoabundans* cells at the inoculum time (0 days), the late exponential (6 days) and late stationary (14 days) phases of growth. Pigment concentrations are reported both as percentage of dry weight (% DW) (A, C, E) and as nanomoles per million of cells (nmol<sub>pigment</sub> 10<sup>-6</sup> cells) (B, D, F). Black histograms = autotrophic cultures; white histograms = mixotrophic cultures. Data refer to means ± standard deviations (n ≥ 3). Asterisks identify significant differences between control and mixotrophic samples: \*\*, p ≤ 0.01; \*\*\*, p ≤ 0.001.

636 Figure 4. Chlorophyll fluorescence parameters of control and glucose-cultivated N. oleoabundans 637 cells at the inoculum time (0 days), the late exponential (6 days) and late stationary (14 days) 638 phases of growth. A) PSII maximum quantum yield  $(F_V/F_M)$ . B) Photoinhibition values. C, D) 639 Actual vield of PSII, Y(PSII); E, F) vield of constitutive thermal dissipation and fluorescence 640 emission, Y(NO); and G, H) yield of non-photochemical quenching, Y(NPQ). In C, E and G yields 641 are expressed, while D, F and H report the corresponding induction/relaxation kinetics. In A-C, E 642 and G, black histograms = autotrophic cultures; white histograms = mixotrophic cultures. In D, F 643 and H, solid black line = autotrophic cultures at 6 (black squares) and 14 days (black circles) of 644 cultivation; dash black line = mixotrophic cultures at 6 (open squares) and 14 days (open circles) of cultivation; white rectangle on the top = 5 min high light exposure (induction phase); black 645 646 rectangle on the top = 5 min dark exposure (relaxation phase). Data refer to means  $\pm$  standard 647 deviations ( $n \ge 5$ ). Data refer to means  $\pm$  standard deviations ( $n \ge 5$ ). Asterisks identify significant differences between control and mixotrophic samples: \*,  $p \le 0.05$ ; \*\*\*,  $p \le 0.001$ . 648

649

**Figure 5.** Total proteins content in control and glucose-cultivated *N. oleoabundans* cells at the late exponential (6 days) and late stationary (14 days) phases of growth. Protein concentrations are reported both as percentage of dry weight (% DW) (A) and as micrograms per million of cells ( $\mu$ g 10<sup>-6</sup> cells) (B). Black histograms = autotrophic cultures; white histograms = mixotrophic cultures. Data refer to means ± standard deviations (n ≥ 3). Asterisks identify significant differences between control and mixotrophic samples: \*, p ≤ 0.05; \*\*\*, p ≤ 0.001.

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**Figure 6.** Data on lipid analyses on control and mixotrophic cultures (glucose- and AWP-cultured cells) of *N. oleoabundans* at the late stationary phase of growth. A) Major fatty acids (in percentage of total fatty acids = %) in the TAG fraction. B) Relative proportions of fatty acid classes (SFA, MUFA and PUFA in %) in the TAG fraction. In A and B, the values presented are means  $\pm$ standard deviations of 4 replicates. 662 References

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