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Abstract: In this contribution sedimentary facies analysis and geological 3D modeling are applied to constrain the sequence stratigraphy of a complex stratigraphic interval in the Late Triassic of the Dolomites and allow to highlight the interaction of sea level and climate changes. This multidisciplinary approach was key to disentangle the timing of climatic change vs. sea level fluctuation and their effects on a shallow water carbonate depositional system. The "Carnian Pluvial Event", a global episode of climate change worldwide documented at low latitudes, involved increased rainfall and possibly global warming. This climatic event, predates a drop of sea-level and caused the demise of microbialdominated high-relief carbonate platforms that dominated the Dolomites region and was followed by a period characterized by the coexistence of small microbial carbonate mounds and loose arenaceous carbonates. A subsequent sea level fall brought to the definitive disappearance of microbialites and shallow water carbonates switched to ramps dominated by loose carbonate sediment. The climateinduced crisis of Early Carnian shallow water carbonate systems of the Dolomites generated a geological surface similar to a drowning unconformity, although no transgression occurred. The sudden infilling of basins at the end of the Early Carnian was the result of the climatic-induced switch from high-relief carbonate systems characterized by steep slopes to a gently inclined ramp, rather than by the continuous progradation of a high-relief microbial platform. Results show that the evolution of carbonate systems of the Dolomites at the end of the Early Carnian cannot be interpreted in the light of sea level changes only, pointing out that ecological changes can induce significant modifications in depositional geometries. This case study may serve as a conceptual model for the sedimentary evolution of carbonate systems subject to ecological crisis that do not evolve in platform drowning because of a lack of accommodation.

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Prof. David J. Bottjer Palaeogeography, Palaeoclimatology, Palaeoecology Editor

I submit for your kind attention the manuscript entitled "Sequence stratigraphy after the demise of a high-relief carbonate platform (Carnian of the Dolomites): sea-level and climate disentangled", with the hope that it can be published in Palaeogeography, Plaeoclimatology, Palaeoecology.

I believe that this contribution is of interest for the broad community of earth scientists, as it brings new data useful to constrains the sequence stratigraphy of a complex stratigraphic interval in the Late Triassic of the Dolomites allowing to further detail the the effects of the interaction of sea level and climate changes on carbonate platform systems.

The study has been carried out coupling facies analysis and 3D geological modeling techniques. This multidisciplinary approach was key to disentangle the timing and interaction of climatic change vs. sea level fluctuation.

The evolution of the sedimentary system described in this paper can be summarized as follows: A worldwide documented Late Triassic climatic event increased rainfall and possibly led to global warming triggering the demise of the microbial-dominated high-relief carbonate platforms of the Dolomites. After this event, a period of coexistence of small microbial carbonate mounds and loose arenaceous carbonates is documented. A subsequent sea level fall brought to the complete disappearance of microbialites and shallow water carbonates switched to ramps dominated by associations typical of a cool-water factory mixed with siliciclastic sediment.

The climate-induced crisis of microbial-dominated high-relief carbonate platforms generated a geological surface similar to a drowning unconformity, although no transgression was involved. This observation highlights a known caveat of the sequence stratigraphy of carbonates: ecological changes determine significant changes in depositional geometries, and so the evolution of carbonate systems cannot be interpreted or predicted on the basis of the observations of sea level change only. This case study may serve as conceptual model for the interpretation of the evolution of carbonate system in times of ecological crisis.

Sincerely,

Giovanni Gattolin (on behalf of all authors) Facies analysis and geological 3D modeling applied to constrain sequence stratigraphy A multidisciplinary approach to disentangle climatic vs. sea level change effects The switch from microbial to cool-water factory results triggered by climate change A carbonate system subject to ecological crisis that does not evolve in a drowning Generation of a surface similar to a drowning unconformity without a transgression

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2	(Carnian of the Dolomites): sea-level and climate disentangled.
3	
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10	
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18	episode of climate change worldwide documented at low latitudes, involved increased rainfall and
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transgression occurred. The sudden infilling of basins at the end of the Early Carnian was the result 26 27 of the climatic-induced switch from high-relief carbonate systems characterized by steep slopes to a 28 gently inclined ramp, rather than by the continuous progradation of a high-relief microbial platform. 29 Results show that the evolution of carbonate systems of the Dolomites at the end of the Early 30 Carnian cannot be interpreted in the light of sea level changes only, pointing out that ecological 31 changes can induce significant modifications in depositional geometries. This case study may serve 32 as a conceptual model for the sedimentary evolution of carbonate systems subject to ecological 33 crisis that do not evolve in platform drowning because of a lack of accommodation.

34

#### 35 Key Words

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37 Sequence stratigraphy, carbonate platform demise, climate change, Dolomites, Triassic.

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#### 39 1. Introduction

40 Sequence stratigraphy is a powerful tool to understand the history and the evolution of depositional systems and sedimentary basins (e.g., Vail et al., 1991; Catuneanu, 2006; Catuneanu et al., 2011). It 41 42 has been successfully applied in a variety of geodynamic settings to both siliciclastic (Galloway and Williams 1991; Helland-Hansen 1992; Mellere and Steel 1995; Miall and Arush 2001; Catuneanu et 43 44 al., 2002; Cantalamessa et al., 2005; Breda et al., 2009a; Galloway 2008; Miall et al., 2008; among 45 others) and carbonate systems (De Zanche et al. 1993; Pasquier and Strasser 1997; Gianolla et al. 1998; Gianolla and Jacquin 1998; Pomar and Tropeano 2001; Mateu-Vicens et al., 2008; among 46 47 others). However, the sequence stratigraphic interpretation of carbonate systems still has significant 48 limitations, related to the capability of some carbonate platforms to produce sediment in situ, at a rate that is influenced by oceanographic and climatic parameters more than by sea level change 49 50 (Schlager, 1991; 1993; Schlager et al., 1994; Pomar, 2001). One singularity of carbonate platforms 51 is that they can drown, that is, the platform top can be submerged below the photic zone; in that

case, the platform cannot catch up to sea level and the carbonate production is irreversibly shut 52 down (Schlager 1981; 1999a, b; Hallock and Schlager 1986). The geometry and facies of drowned 53 54 carbonate platforms mime a rise of the sea level even during periods of sea level stability. In the 55 specific case of carbonate platforms drowning, the special behavior of carbonate systems has been 56 often related to a change in the ecology or biology of carbonate producers, in turn commonly linked 57 to climate and/or environmental changes (Schlager 1981; Hallock and Schlager 1986; Jenkyns 58 1991; Mutti et al. 1997, 2005; Weissert et al. 1998; Wilson et al. 1998; Mutti and Hallock 2003; 59 Schlager 2003; Pomar et al., 2004; Föllmi and Godet 2013; Godet et al. 2013; among others). In the 60 Triassic of the Dolomites (North-eastern Italy, Fig. 1a), sedimentary sequences are dominated by 61 carbonates. During the last decades, several sequence stratigraphic interpretations of these 62 successions were proposed (Brandner, 1984; De Zanche et al., 1992; De Zanche et al., 1993; Ru ffer and Zu hlke, 1995; Neri and Stefani, 1998; Gianolla et al. 1998; Neri et al., 2007) that had 63 to face the paradox of drowning platforms, at times of prolonged and intense subsidence as the 64 65 Middle Triassic (De Zanche et al., 1995; Blendinger et al., 2004; Preto et al., 2005; Brack et al., 66 2007).

67

68 At the end of the early Carnian, a major event of carbonate platform demise is recorded in the Dolomites: carbonate production in high-relief platforms suddenly shuts off and is replaced by the 69 deposition of mixed carbonate-siliciclastic sediments deposited on a low-relief ramp depositional 70 71 profile (Heiligkreuz Formation in Neri et al 2007, ex Dürrenstein Formation of De Zanche et al., 72 1993; Preto and Hinnov, 2003; Bosellini et al., 2003; Stefani et al., 2010; Fig. 2). This crisis of 73 high-relief carbonate platforms is not confined to the Dolomites. In fact, it was initially identified in 74 the Northern Calcareous Alps of Austria (Schlager and Schöllenberger, 1974) and then recognized at the scale of the Tethys ocean (e.g., Simms et al., 1995; Hornung et al., 2007a; Preto et al., 2010). 75 76 This crisis is now considered as one of the many effects of a global episode of climate change, the "Carnian Pluvial Event" (CPE) of Simms and Ruffell (1989). The CPE corresponds to an increase 77

in rainfall and runoff at low latitutes, reflected in sedimentological, geochemical and palynological
proxies (e.g., Simms and Ruffel, 1989, 1990; Simms et al., 1995; Roghi, 2004; Prochnow et al.,
2006; Preto et al., 2010; Nakada et al., 2014), triggered by a major perturbation of the global carbon
cycle (Dal Corso et al., 2012). Oxygen isotopes of conodont apatite also suggest that the CPE
corresponds to an episode of global warming (Hornung et al., 2007b; Rigo and Joachinski, 2010).
The onset of the CPE is tightly constrained in the area of Rifugio Dibona (Dal Corso et al., 2012),
where the outcrops considered in this study are located.

85

86 In the Dolomites, the interpretation of the stratigraphic interval around the CPE, in terms of sequence stratigraphy, is challenging. In literature the ramp deposits formed after the demise of 87 high-relief early Carnian platforms are interpreted as a complete 3<sup>rd</sup> order depositional sequence. 88 89 The sea level fall at the base of this interval is considered the cause of the demise of these carbonate platforms (De Zanche et al. 1993; Gianolla et al. 1998; Bosellini et al., 2003). However, this 90 91 interpretation does not explain the change in the type of carbonate sediments and is incongruous 92 with most episodes of carbonate platform drowning of the Mesozoic (Schlager, 1981; 1999a, b; 93 2005; Weissert et al., 1998). The suite of climatic and geochemical changes recorded at the CPE, 94 and its global rather than regional distribution, are in fact remarkably similar to those of major 95 Mesozoic Oceanic Anoxic Events (Preto et al., 2010). These events are associated to ecological crises of carbonate platforms, including commonly their drowning (e.g., Jenkyns, 1985; 1991; 96 97 Weissert et al., 1998; Léonide et al., 2012).

98 In this work, a reappraisal of the sequence stratigraphy of the Heiligkreuz Formation (youngest 99 early Carnian) is endeavored in the light of the analogy between the CPE and other Mesozoic 100 perturbations of the carbon cycle, and their effects on carbonate platforms. The focus is put on the 101 sequence boundary at the base of the Heiligkreuz Formation, with the aim of disentangling the roles 102 of sea level change and environmental factors on the demise of the underlying high-relief carbonate 103 platforms. To this end we applied 3D modeling techniques coupled with facies analysis to assess the

relative timing of the platform demise with respect to the sedimentary record of sea level fall and 104 subaerial exposure. Our data suggest that the demise of high-relief carbonate platforms occurred 105 106 before sea level fall and the consequent subaerial exposure; depositional geometries mimicking a 107 sequence boundary were thus generated and are unrelated to an actual decrease in accommodation, in analogy to typical drowning unconformities (type 3 SB of Schlager, 1999b). Differently from 108 episodes of drowning of the Middle Triassic, however, the CPE and the related demise of high-109 110 relief carbonate platforms occurred at a time of tectonic quiescence, at the end of a long-term depositional cycle (Gianolla and Jacquin, 1998), when accommodation in the area was created at a 111 112 slow rate. The case study of the Carnian of the Dolomites thus shows that climate-induced crises of 113 carbonate systems can generate surfaces similar to drowning unconformities not related to times of 114 prolonged transgression.

115

## 116 2. Geological setting

117 During the Middle-Late Triassic, the Dolomites (North-eastern Italy, Fig. 1a) were located in the western portion of the Tethys Ocean (Ziegler, 1988; Dercourt et al., 1993) at northern tropical 118 119 latitudes (Fig. 1b; Muttoni et al., 1996; Broglio Loriga et al., 1999; Flügel, 2002). The area was 120 characterized by a "chess-board-like" paleotopography featuring domains with different subsidence rates (horsts and grabens) and bounded by roughly North-South and East-West faults (Masetti and 121 Neri, 1980; Blendinger, 1986; De Zanche 1993; Gianolla et al., 1998; Preto et al., 2011). Often, 122 123 horsts hosted the onset of isolated high-relief carbonate platforms dominated by Microbial to 124 Tropical carbonate factories (sensu Schlager 2003), while in grabens a carbonate to siliciclastic basinal sedimentation took place (Masetti e Neri 1980; Gaetani et al., 1981; Bosellini 1984; 125 126 Bosellini et al. 2003; Neri et al. 2007; Preto el al. 2011; among others). Toward the end of the early Carnian, a progressive slowdown of the high subsidence rates along with a strong siliciclastic input 127 128 to marginal basins resulted in the flattening of this complex paleotopography. Contemporaneously, 129 an important turnover in carbonate factories and carbonate platform geometries occurred and

Microbial to Tropical factories were replaced by Cool-water to Tropical factories (sensu Schlager, 130 2003) which originated ramp geometries (Bosellini et al., 2003; Preto and Hinnov, 2003; Stefani et 131 132 al., 2010). The demise of the last generation of high-relief Carnian carbonate platforms (Fig. 2; 133 Cassian 2 platforms in De Zanche et al. 1993) is attributed to two main factors: (1) the subaerial 134 exposure they suffered following an important sea level drop and (2) the considerable siliciclastic 135 input (in turn triggered by the sea level drop) which can be detrimental to the carbonate production 136 (Russo et al., 1991; Keim et al., 2001; Bosellini et al., 2003). This sea level drop constitutes the 137 lower sequence boundary of a third order depositional sequence (Fig. 2; Car 3 in De Zanche et al. 138 1993; Gianolla et al., 1998), object of this study.

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## 140 **3. Methods**

A three-dimensional model of the southern walls of Tofana di Rozes (see Fig. 1a for location; Fig. 141 3a) was created with the photogrammetric software Agisoft<sup>™</sup> Photoscan. Forty-six highly 142 143 overlapping photographs were taken from a working distance of ~ 4 km using a Sony  $\alpha 200$  DSLR 144 camera (resolution = 10.2 megapixel) coupled with a Minolta AF 100-200 f4.5 zoom lens (selected 145 focal length = 100mm, f = 9). To scale and georeference the model 20 GPS points, well 146 recognizable both on the field and on the photographs, were taken with the aid of a Royaltek RBT-2200 bluetooth GPS (average uncertainty in the specific conditions ~ 1 - 5 m) coupled with a HP 147 iPAQ 214 handheld pc running Esri® ArcPAD<sup>™</sup> 7.0. A three-dimensional model of the Dibona 148 149 Hut outcrop (Fig. 1a for location; Fig. 4a) was acquired through an Optech Ilris 3D terrestrial laser scanner (wavelength 1500 nm, acquisition speed 2500 points per second). The working distance 150 was ~ 60 m and the resolution of the point clouds is ~ 1 point every 4 cm. To georeference the 151 152 model, the GPS position of 6 targets was taken using a high precision Base-Rover Topcon HiPer® Pro system (uncertainty ~ 3 cm). 153

Sedimentary facies were defined in the field and through petrographic analysis, using standard
sedimentology methods (e.g., Tucker and Wright, 1990; Flügel, 2004).

#### 157 4. Lithozones

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159 The sedimentary succession was divided into six lithozones. Each of these lithozones is 160 characterized by a different facies association, stratal patterns and depositional geometries. A brief 161 description of lithozones is provided below; for a more detailed description the reader is referred to Preto and Hinnov (2003) and Gattolin et al. (2013). 162 163 164 Lithozone 1 (L-1) 165 This lithozone was observed only at Tofana di Rozes, where it constitutes a wedge pinching out 166 toward the East. Its maximum thickness is ~ 200 m on the western side of the outcrop (Figs. 3b, c). 167 It is mainly constituted by m thick clinoforms of pervasively dolomitized limestone. Locally 168 169 megabreccias, with m to tens of m large boulders, were identified. Dolomitization hampers the observation of original facies. L-1 pertains to the platform slope of one of the Carnian high-relief 170 carbonate platforms (Cassian 2 of De Zanche et al. 1993; Gianolla et al 1998). The correspondent 171 margin and platform top facies are not observable in the Dibona and Tofane area, but have been 172 173 described in neighboring outcrops at Falzarego Pass (Fig. 1a; Breda et al., 2009b). The microbial 174 character of these platforms is known, however, from allocthonous boulders and carbonate grains in 175 the adjacent basins (Russo et al., 1997; Keim and Schlager, 1999; 2001; Preto, 2012). Except for the

- toe of slope portion, which is often characterized by megabreccias, they are dominated by microbial
- 177 boundstones.

178

179 Lithozone 2 (L-2)

The boundary between the first and the second lithozone was observed at Tofana di Rozes. It is 181 constituted by a sharp, ~ E dipping by-pass surface on top of the last slope clinoform of L-1. L-2 is 182 constituted by lenticular-shaped carbonate bodies (mounds) mainly made up of microbial 183 184 boundstone (Figs. 3b, c; 5), interlayered and laterally onlapped by dm thick beds of arenaceous grainstones with bivalves, gastropods, peloids, plant remains (Fig. 6). Mounds are the dominant 185 186 facies at Tofana di Rozes, where they present maximum size (10-100 m), while arenaceous 187 grainstones prevail at Dibona Hut (Fig. 5; 6). Cm- dm thick beds of calcsiltite and shale are rare at 188 both localities (Fig. 7).

At Dibona Hut, the last part of this lithozone is constituted by a ~ 3 m thick sequence of m-scale 189 190 beds with a highly erosive base, made up of arenaceous-conglomeratic grainstones (main components are volcanic rock fragments, quartz, molluscs, echinoderms, plant debris and rare 191 amber droplets; Breda et al., 2009b) which testifies the onset of mass flows. These coarse grained 192 beds are overlaid by a 30 m thick clinostratified body (L-2-CLINO in Fig. 4c) which dm-scale beds 193 are essentially made up of arenaceous grainstone (main components are bivalves, gastropods, 194 195 peloids, plant remains and echinoderms), with plane parallel bed joints. Beds are grouped in bedsets which present foresets dipping ~  $25^{\circ}$  toward the E (after correction of tectonic tilt) and topsets 196 progressively lowered toward the E (Fig. 4c). These clinostratified bedsets represent the two-197 198 dimensional along-dip cut of a sedimentary body which along-strike geometry is not visible due to exposure bias. As the three dimensional geometry of clinoforms is not observable, it is not possible 199 to actually interpret the sedimentary body. The high dip-angle of the beds and the amplitude of the 200 bedsets constrain the shortlist to two possibilities: a delta (implying an along-strike lobate shape of 201 202 clinoforms) or a coastal prograding wedge (implying an along-strike rectilinear shape of 203 clinoforms), but a further distinction between them is not possible. The clinostratified body is onlapped by tabular dm to m beds of often dolomitized arenaceous grainstone (L-2-ONLAP in Fig. 204 205 4c).

### 207 Lithozone 3 (L-3)

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209 This lithozone consists of an alternation of dm to m thick dolostone beds, with peloids, often 210 capped by stromatolitic lamination, sheet cracks and planar fenestrae, and dm-scale calcarenite 211 beds. Both facies are characterized by burrows and by a rooted or karstic horizon at the top of the beds. Over the karstified top of some beds, thin layers of dark clays and shales are present and 212 display roots, plant fragments, amber, pyrite and coal. Rare dm beds of massive arenites were 213 214 observed and among them the most evident are located in the lower portion of this lithozone. This 215 stacking of facies suggests a peritidal/paralic environment (see interval D of Preto et al. 2003 and 216 interval 1 of Gattolin et al. 2013). Dolostones and grainstones represent the normal peritidal cycles, 217 clays and shales the development of littoral swamps (paralic). Massive arenites are rare episodes of 218 high continental sediment discharge into the basin while some of them represent lags. At Tofana di Rozes, the boundary between L-2 and L-3 is marked by a well developed karstic surface that toward 219 220 the West interests also L-1 (Fig. 8). At Dibona Hut, L-3 directly overlies L-2-ONLAP and no 221 evidences of karstification have been observed between them.

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223 Lithozone 4 (L-4)

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The limit between the third and the fourth lithozone is gradational. Due to the absence of a sharp boundary between them it has been arbitrary placed at the first occurrence of well developed planar cross stratification within the succession. Those sedimentary structures are common in L-4 and were observed in dm thick beds, mainly made up of oolitic-bioclastic calcarenites. Sets of laminae alternately migrating in two opposing directions can be found. Dm-scale beds of fine to medium grained arenite, mainly made up of quartz, chert, feldspar and lithic grains are also observed, with local presence of cm to dm-scale cross stratification, at times migrating in two opposing directions.

Levels of imbricated bivalve shells (Coquina) can be found at the base of calcarenites and arenites. 232 Dm thick beds of calcsilities to calcarenites with mud interbeds, characterized by the presence of 233 ripples, are common. The most represented grains in this facies are peloids. The general structure of 234 235 this facies is flaser-bedding to wavy-bedding as a function of variable mud content. Cm- dm thick 236 beds of dark shales and siltites rich in plant remains are locally characterized by the presence of 237 isolated ripples made up of calcarenites, producing lenticular bedding. White wackestone to grey 238 marly wackestone, not showing sedimentary structures, and massive dm beds of mixed carbonate-239 siliciclastic to pure siliciclastic arenites, were rarely observed. The whole L-4 is often interested by burrows. The facies stacking pattern suggests that L-4 deposited in a subtidal environment. 240

Calcarenites and arenites with planar cross bedding and foresets alternatively migrating in opposing
directions, as well as flaser to wavy to lenticular bedding, indicate that the dominant mechanism of
sediment transport was reversing tidal currents. Episodes of subaerial exposure are almost absent
(see interval 2 of Gattolin et al., 2013 for details).

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246 Lithozone 5 (L-5)

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The boundary between L-4 and L-5 is gradational, it has been placed at the complete disappearance 248 249 of tractional sedimentary structures. L-5 consists of dm to m thick nodular beds of often dolomitized limestones and marly limestones. Ammonoids and conodonts were found at Dibona. 250 Some ammonoids were collected also in the Col dei Bos area, ~ 500 m West of Tofana di Rozes 251 (Preto and Hinnov, 2003; Breda et al., 2009b). Cm to dm beds of grav marls with Chondrites and 252 253 locally with pyrite nodules were locally observed. The absence of tractional sedimentary structures and of evidences of subaerial exposure, together with the nodular bed joints and the fossils content 254 255 (ammonoids), suggest a completely subtidal origin for this lithozone, deeper than L-4, and a temporary partial starvation of the system (see interval 3 of Gattolin et al., 2013 for details). 256

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258 Lithozone 6 (L-6)

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The sixth lithozone (L-6, Figs. 3c and 4c) consists of ~ 30 m of massive dolostone. Sedimentary 260 structures are obliterated by dolomitization, only ooids are locally recognizable. Observations on 261 the same interval carried out by Gattolin et al. (2013, see their interval 4) on outcrops neighboring 262 the Dibona and Tofane area, reveal the presence of dm to m thick beds of dolostones (Lastoni di 263 Formin; Fig. 1a) and mixed oolitic-siliciclastic arenites (Falzarego Pass, Valparola Pass, Lastoni di 264 Formin; Fig. 1a) with planar cross bedding and herringbone cross bedding. The absence of subaerial 265 exposure surfaces and the presence of planar and herringbone cross bedding suggest that L-6 266 deposited in a subtidal environment, dominated by tidal currents. The top of L-6 is marked in the 267 268 whole area by a well developed karstic surface (Fig. 9). 269 270 Lithozone 7 (L-7) 271 272 This lithozone (L-7; Figs. 3c and 4c) consists of dm thick beds of aphanitic, mottled dolostones alternated to dark clays and represents a marginal marine/paralic environment. L-7 constitutes the 273 basal part of the Travenanzes Formation, a mixed siliciclastic/carbonate succession of alluvial plain 274 275 to floodbasin to tidal flat environments that deposited during Upper Carnian on a wide, low-relief 276 coastal area (Breda and Preto, 2011). 277 278 5. Sequence stratigraphy 279 280 The described succession, together with observations made on stratal patterns, depositional geometries and erosional surfaces led to disentangle the sequence stratigraphy of the studied 281 interval. The sequence stratigraphic interpretation of the succession is provided below using the 282

283 standard terminology of Catuneanu et al. (2009; 2011). As in the previous sequence stratigraphic

interpretations of this interval (De Zanche et al., 1993; Gianolla et al., 1998) and according to Vail
et al. (1991), the sequence boundary is placed at the base of the falling stage systems tract .

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# 287 **5.1** 1<sup>st</sup> depositional sequence

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289 Highstand systems tract

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The pervasively dolomitized clinostratified sedimentary body outcropping at the base of the Tofana 291 292 di Rozes (L-1; Fig. 3) represents the slope of the last Carnian high-relief carbonate platforms in the Dolomites area (Cassian 2 of De Zanche et al. 1993; Gianolla et al. 1998). In terms of geometry, the 293 294 toe of slope rapidly advances basinward. The shoreline trajectory, which is marked by the trajectory 295 of the platform margin, is not observable in this outcrop, but it is known that this generation of 296 carbonate platforms always presents a downward-concave prograding shoreline trajectory, suggesting a gradual decrease of the accommodation rate (e.g., Bosellini, 1984; Biddle et al., 1992). 297 298 This observation enables to interpret the L-1 as an highstand systems tract (Fig. 10; Car 2 HST of De Zanche et al. 1993; Gianolla et al. 1998). 299 The upper bed joint of the youngest clinoform of L-1 paleoslope is characterized by the presence of 300 a by-pass surface without evident erosion features (e.g., karst). At Tofana di Rozes, L-2 is 301 302 dominated by carbonate mounds directly overlaying this surface (Fig. 5) which occur along the 303 whole slope length. Here mounds and arenaceous grainstones of facies L-2 fill the accommodation space up the shelf break of the underlying high-relief platform, from which the clinoforms of facies 304 L-1 originate (Fig. 3). This testifies that, during the deposition of L-2, the sea level was still as high 305 306 as during the deposition of L-1, so that L-2 is still part of the highstand systems tract of the first depositional sequence (Fig. 10). The difference in the abundance of carbonate mounds vs. 307 308 arenaceous grainstones observed in L-2 between Tofana di Rozes and Dibona Hut outcrops (Figs. 5; 309 6) is due to their different paleotopographic location. Tofana lies on the high paleo-slope of the310 Cassian platform, while Dibona was closer to the basin depocenter (Gattolin et al. 2013).

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# 312 **5.2** 2<sup>nd</sup> depositional sequence

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314 Falling stage systems tract

315

The  $\sim 3$  m thick coarse grained interval found at the top of L-2 and representing the onset of mass 316 317 flow deposits, is interpreted as the beginning of the sea level fall and consequent increase of sediment discharge in the basin. A sharp increase in sediment grain size is testified also at the 318 coeval outcrop of Borca di Cadore and in the whole Cadore area (Neri et al., 2007; Breda et al., 319 320 2009b). The basal surface of forced regression (Hunt and Tucker, 1992) can be placed at the base of 321 this coarse grained interval (Fig. 10). At Tofana di Rozes, this surface coincides with a well developed subaerial exposure surface, showing karst (Fig. 8). The clinostratified bedsets, made up 322 323 of arenaceous grainstones, which constitute the lower portion of the cliff observed at Dibona Hut (L-2-CLINO; Fig. 4), irrespective of their interpretation as a delta body or a coastal prograding 324 wedge, record a fall of the sea level (e.g., Massari et al., 1999; Hernández-Molina et al., 2000; 325 Tropeano and Sabato 2000; Pomar and Tropeano 2001; Massari and D'Alessandro 2010). The 326 327 offlapping geometry evidences an overall progradation of the shoreline along a descending, low 328 angle trajectory. Consequently, together with the last coarse grained portion of the L-2, the L-2-CLINO represents the falling stage system tract of the second depositional sequence (Fig. 10; Car 3 329 of De Zanche et al. 1993; Gianolla et al. 1998). The stair-stepping surface at the top of the 330 331 clinostratified sedimentary body (L-2-CLINO; Fig. 4) represents the correlative conformity (sensu Hunt and Tucker, 1992, Fig. 10). Being in a paleotopographical higher position with respect to 332 333 Dibona (Gattolin et al. 2013), the falling stage system tract is not recorded at Tofana di Rozes. Instead, a well developed karst surface is produced by subaerial exposure on top of L-2 (Figs. 8, 334

335 10). More to the West, e.g., at Falzarego Pass (Fig. 1a), this karstified surface merges with the
336 sequence boundary and lies on top of the platform interior facies of the older Cassian platform, a
337 time equivalent of facies L-1 (Fig. 10).

338

339 Lowstand systems tract

340

At Dibona Hut, above the correlative conformity (*sensu* Hunt and Tucker, 1992), the dolomitized
arenaceous grainstones beds (L-2-ONLAP; Fig. 4) onlapping the clinoforms represent the base of
the lowstand system tract (Fig. 10). This interval, coherently with the paleotopography of the area,
deposited only at Dibona Hut which was in a more basinal setting than Tofana di Rozes.

345

346 Transgressive systems tract

347

At Dibona Hut, the basal portion of L-3 often displays massive arenites with basal lags, which lie 348 on the kastified top of the underlying peritidal cycles and evolve into the subtidal portion of the 349 following cycle. These are thus interpreted as transgressive lags formed on a formerly emerged 350 coastal area and are evidence of a transgressive ravinement surface (Cattaneo and Steel, 2003). The 351 L-3 marks a sharp change in lithology with respect to the pervasively dolomitized L-2 ONLAP (see 352 353 Fig. 4c) and is characterized by shallow water peritidal-paralic deposits of tidal-flat/lagoon, 354 cyclically subjected to subaerial exposure and soil development (interval D of Preto and Hinnov, 355 2003; interval 1 of Gattolin et al., 2013). At Tofana di Rozes, L-3 directly overlies in disconformity 356 the karstic surface on top of L-2 and L-1 (i.e. the sequence boundary; Figs. 3; 10). The facies association observed in L-4 is typical of a mainly subtidal environment dominated by tidal currents 357 and therefore identifies a marked deepening of the depositional environment with respect to L-3 358 359 (interval E-F of Preto and Hinnov 2003; interval 2 of Gattolin et al. 2013). Deepening takes on in L-360 5, which finer grain-size and nodular bed joints testify the deepest environment of the entire

361	depositional sequence (interval 3 of Gattolin et al. 2013). In this work, L-3 is attributed to the
362	transgrassive systems tract because the lag deposits at the base of peritidal cycles are interpreted as
363	minor ravinement surfaces at the beginning of a slow transgression. Thus, Lithozones L-3, L-4 and
364	L-5 represent the transgressive system tract of the second depositional sequence (Fig. 10; Car 3 of
365	De Zanche et al. 1993; Gianolla et al. 1998). Alternatively, these peritidal cycles may be seen as
366	representing tidal-flat and lagoon environments protected by a prograding shoal barrier. In this
367	alternative interpretation, L-3 belongs to the lowstand systems tract and the transgressive systems
368	tract is made up of L-4 and L-5. The maximum flooding surface (Frazier, 1974; Posamentier et al.,
369	1988) is likely placed within L-5 as confirmed by the occurrence of open marine fossils
370	(ammonoids and conodonts; Preto and Hinnov, 2003) and the absence of indicators of high
371	hydraulic energy, implying sedimentation below the wave base.
372	
373	Highstand systems tract
374	
375	Above, L-6 is coarser grained and characterized by well developed planar to herringbone cross
376	bedding, thus recording a return to shallower conditions with respect to L-5. The observed facies
377	association suggests a subtidal, tide dominated sedimentary environment similar to that of facies
378	association L-4. L-6 is observed in the whole Dolomites area (interval H of Preto and Hinnov, 2003;
379	Neri et al., 2007) and testifies for an important shift of the coast line toward the basins (interval 4 of
380	Gattolin et al. 2013). This lithozone constitutes the HST of the second depositional sequence (Fig.
381	10; Car 3 of De Zanche et al. 1993; Gianolla et al. 1998).
382	The well developed karstic surface on top of L-6 in the study area is observed at a regional scale in
383	the Dolomites and beyond, and is the subaerial unconformity that marks the boundary with the
384	subsequent depositional sequence (Fig. 9; Car 4 of De Zanche et al. 1993; Gianolla et al. 1998).
385	Above it, an abrupt landward shift in facies is observed, with the deposition of the coastal sediments

386 of the Travenanzes Formation, here represented by dm thick beds of aphanitic and mottled

387 dolostones alternated with dark clays (L-7) and suggesting marginal/paralic environments (Breda388 and Preto, 2011).

389

#### 390 6. Discussion

391 6.1 Role of 3D modeling in the study of depositional geometries

392

During the last three decades, several interpretations were proposed for the stratigraphic succession 393 outcropping at Dibona Hut, and in particular for the clinostratified body of L-2-CLINO (Fig. 4). 394 Bosellini et al. (1982) and later Doglioni and Carminati (2008) interpreted this body as a 395 396 tectonically tilted block, bounded by an angular unconformity at its top. Preto and Hinnov (2003) instead interpreted this sedimentary body as a prograding shoal barrier with a tabular geometry. The 397 inaccessibility of this outcrop is the main cause for the lack of an unambiguous interpretation which 398 399 was carried out on the basis of local observations of sedimentary facies coupled to panoramic views (in the field or on photographs), which are essentially bi-dimensional, and thus affected by 400 perspective distortion. Only the use of three dimensional acquisition and modeling techniques 401 402 allowed to retrieve quantitative information and observe the true geometry of the outcrop (see in particular L-2-CLINO and L-2-ONLAP in § 4; Fig. 4). The stair stepping surface at the top of L-2-403 404 CLINO, which was a key feature to identify and define the falling stage system tract of the second 405 depositional sequence, could only be recognized and traced on the remote-sensed 3D geological model of the outcrop (Fig. 4). Differently from the previous sequence stratigraphic interpretation 406 (De Zanche et al., 1993; Gianolla et al., 1998), the lower portion of the Heiligkreuz Formation is 407 408 now interpreted to be the last part of the highstand system tract (L-2) of the first depositional sequence (Fig. 10; Car 2 in De Zanche et al., 1993; Gianolla et al., 1998) and not as the lowstand 409 410 system tract of the second depositional sequence (Car 3 in De Zanche et al., 1993; Gianolla et al., 1998). This is confirmed by the observations carried out from the 3D model of the Tofana di Rozes 411 412 outcrop. Here, the maximum altitude reached by the mounds of facies L-2, recorded by gps-aided

mapping, is the same of the shelf break of the underlying high-relief platform once the original
depositional geometry is restored in the 3D environment of the geological model. This implies that
during the growth of mounds the sea level was still as high as during the development of the
underlying high-relief platform, and so the L-2 s.s. is part of the highstand of the first depositional
sequence.

418 Photogrammetry and terrestrial laser scanning are methods which allow the rapid acquisition of 419 field data on a variety of scales, from a metre-scale outcrop to the km scale of a mountain slope. 420 These data can be used as the base for accurate three-dimensional models of sedimentary bodies. 421 Here we have shown that not only 3D acquisition techniques can speed-up the field work and 422 increase accuracy, but also provide the means for interpretations that would be otherwise impossible on inaccessible or exceedingly wide outcrops. In this case, the definition of an accurate sequence 423 stratigraphy across the Carnian Pluvial Event in the Dolomites was only possible using the 3D 424 425 reconstruction of the outcrops.

426

427 6.2 What triggered the platform demise?

428

The demise of the Carnian high-relief carbonate platforms (L-1; the Cassian 2 of De Zanche et al. 429 1993; Gianolla et al. 1998) was attributed by the previous authors (De Zanche et al., 1993; Gianolla 430 431 et al., 1998) to a subaerial exposure, and a sequence boundary (sensu Vail et al., 1991) was placed just above the demised platform and its slopes (base of Car 3 sequence in De Zanche et al., 1993; 432 Gianolla et al., 1998). This work highlighted that the sea level fall occurred at a later stage with 433 434 respect to the high-relief platform demise. After the Cassian platform demise, small, mainly microbial mounds nucleated all along the abandoned slopes (cf. also Keim et al., 2006). The 435 436 nucleation of mounds was probably possible because of the shut-down of the underlying high-relief platform (Fig. 5) and interrupted the slope-shedding (Kenter, 1990; Keim et al. 2006). Boulders and 437 other platform-derived sediments, typical of the Cassian platforms (e.g., Reijmer, 1998; Preto, 438

2012), are in fact not found associated with mounds of lithozone L-2. Mounds are rather 439 interfingered with arenaceous grainstones made up of prevailing skeletal grains (Figs. 6; 7), which 440 441 are only a minor component in the high-relief Cassian platforms (Kenter 1990, Russo et al. 1997, 442 Reijmer 1998; Keim and Schlager 1999, 2001). These observations are confirmed at Lavarella by Keim et al. (2001, 2006; see Fig. 1a for location). Microbial carbonate mounds (Fig. 5) can be 443 444 interpreted as relics of the Cassian Microbial factory (sensu Schlager 2003) that try to hold up the 445 crisis. They are tightly interfingered with arenaceous grainstones that highlight the onset of a 446 important siliciclastic input and of a different carbonate factory producing mostly loose skeletal 447 grains (Figs. 6, 7). At Tofana di Rozes, this unit onlaps the Cassian platform slope up to the shelf 448 break (Fig. 3) and is capped by the same karstic surface found at the top of the Cassian platform (Fig. 8), thus, the main episode of sea level fall is subsequent to the high-relief carbonate platform 449 demise and to the establishment of mounds on the abandoned slope. Sea level fall thus did not 450 451 trigger the crisis of the high-relief microbial platform.

452

In Late Triassic high-relief carbonate platforms of the Dolomites (Cassian sensu De Zanche et al. 453 454 1993), the carbonate production was dominated by microbialites (Russo et al., 1997; Keim and 455 Schlager 1999, 2001). Being independent from light availability, microbialites are less influenced by sea level variations with respect to metazoan reefs. Microbial platforms are usually characterized 456 by a carbonate production zone spread from shallow to deep waters (down to 200-300 m depth; 457 Kenter 1990; Della Porta et al. 2003, 2004; Kenter et al. 2005; Marangon et al. 2011). Data from the 458 459 geological record demonstrate that carbonate production rates of healthy Tropical and Microbial carbonate factories are high enough to keep the pace of eustatic variations (Schlager 1981; 1999a,b; 460 2003), and in fact the demise of a carbonate platform is generally caused by pulses of tectonic 461 subsidence or by climatic events (Schlager 1981). In the Dolomites, a slow-down of subsidence is 462 463 observed during the development of the last generations of Carnian high-relief platforms (Bosellini 1984; Gianolla and Jacquin, 1998; Bosellini et al. 2003; Stefani et al., 2010). The following 464

depositional sequence (second depositional sequence of this work) testifies the complete filling of 465 sedimentary basins and a flattening of the paleotopography (Fig. 2), so that important subsidence 466 467 pulses can be excluded. The most probable trigger for the demise of Cassian platforms is thus an 468 episode of climatic change, and specifically the onset of the Carnian Pluvial Event (CPE of Simms and Ruffell 1989; Preto et al. 2010; Dal Corso et al. 2012). The negative carbon isotope excursion 469 470 that defines the CPE was identified in the Milieres section (Dal Corso et al., 2012), near Rifugio 471 Dibona, and can be traced in the demise surface at the top of the high-relief microbial platform of 472 Tofane. Being a humid period, the CPE determined an increase of hinterland weathering and rivers 473 runoff, reflected in an important input of siliciclastics to the basins. This should have been coupled 474 with an increase in available nutrients which triggered the change of carbonate factory (e.g.,

475 Hallock and Schlager 1986; Mutti and Hallock, 2003; Pomar et al., 2004; Schlager, 2005; Keim et476 al., 2006).

477

At Dibona Hut, mounds were found only under the mass flow deposits and the clinostratified body representing the falling stage systems tract. Above the falling stage systems tract, microbial mounds disappear completely. The demise of the lower Carnian Cassian platforms of the Dolomites was thus a two-step process, in which a first climate and/or oceanographic event (the CPE) killed the km-scale microbial platforms, and then a sea level drop led to the definitive efface of the microbial carbonates. Apart from exposing the shelf, sea level fall may have further stressed the microbial carbonate systems by increasing the siliciclastic and nutrient input.

485

486 6.3 Comparison with Picco di Vallandro and the ecological control on platform geometry487

The change in depositional geometry described in the previous paragraphs is documented also in a
few more outcrops of the Dolomites, as the Lavarella slope (Keim et al., 2001; 2006), the eastern
flanks of the Sella massif at Passo Campolongo (Keim et al., 2001), and Picco di Vallandro

(Rudolph et al., 1989; Biddle et al., 1992). At la Varella and Passo Campolongo unfavourable 491 outcrop conditions prevent a complete reconstruction of this interval, while at Picco di Vallandro, 492 493 depositional geometries of the early Carnian carbonate platform were carefully reconstructed by 494 Rudolph et al. (1989) and Biddle et al. (1992) (Fig. 11A, B) that recognized repeated episodes of progradation and retrogradation of the platform. They interpreted a sedimentary body of massive 495 496 dolomite capping the basinal sediments of the San Cassiano Formation as the last episode of strong 497 progradation of the clinostratified high-relief early Carnian platform. An alternative interpretation 498 can be proposed on the basis of the work of Russo et al. (1991) and our own observations. In that view the massive dolomite body lies above a facies association made up of small microbial mounds, 499 500 skeletal and oolitic grainstones and dark marls (Member A of Russo et al., 1991) which is perfectly comparable to the here described L-2 (§ 4). Since clinoforms are not visible in the field we suggest 501 that the last phase of progradation identified by Rudolph et al. (1989) and Biddle et al. (1992) at 502 Picco di Vallandro is not the last progradation phase of the high-relief platform, but rather 503 represents a low-angle carbonate system bearing small microbial mounds that follows its demise, in 504 505 analogy to L-2 (§ 4). This unit filled the residual basin initially onlapping the slopes of the high-506 relief platform at Picco di Vallandro after its demise (Member A of Russo et al., 1991). In the impossibility of identifying internal bedding surfaces, it was interpreted as a strong progradation 507 508 phase (Rudolph et al., 1989; Biddle et al., 1992). This evolution can be also interpreted in terms of ecological vs. hydrodynamic accommodation (Pomar, 2001; Pomar et al., 2004). The early Carnian 509 high-relief microbial platform built up to sea level, and its steep slopes determined the formation of 510 a well defined basin. During this phase, accommodation can be interpreted as "ecological" on the 511 platform top. After the demise of this platform, however, a mixed sedimentation of shale-sand and 512 513 loose carbonate grains took place. Being not early cemented, these sediments settled below the wave base, in accordance with a deeper, hydrodynamic equilibrium profile (Pomar, 2001) and thus 514 515 infilled the residual basins from their bottom. The carbonate body that immediately followed the

516	demise sit on this infilling sequence and extended basinward much more rapidly, and with much
517	less inclined internal bedding, than the underlying platform clinoforms.
518	In sum, sedimentological evidences coupled with changes in the geometry of carbonate bodies
519	recognized in the Cortina area and their comparison to Picco di Vallandro enable to reinterpret the
520	patterns of progradation, basin infilling and ramp development (Fig. 12) at the end of the early
521	Carnian of the Dolomites (Figs. 11; 12) and link them to climatic forcing rather than to changes in
522	sea-level.
523	
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907 Captions

908

Fig. 1. (A) Location and map of the study area. Stars indicate the studied outcrops (see Fig. 3A,
4A), triangles the major mountain tops (elevations in meters) and dots the main towns. (B) Position

911 of the Dolomites during Middle-Late Triassic.

912

Fig. 2. Lithostratigraphic scheme (modified from Preto and Hinnov, 2003) of the studied interval in 913 914 the Cortina-Tofane area. The Heiligkreuz Formation, is highlighted in grey. The platform (to the 915 left) corresponds to Tofana di Rozes area, the basin (to the right) corresponds to Dibona Hut area. 916 Ammonoid symbols indicate ammonoid occurrences in the area. (A) Names of lithostratigraphic units (from Neri et al., 2007). (B) Ammonoid biostratigraphy (from Mietto and Manfrin, 1995). (C-917 D) Chronostratigraphy stages and substages. The clinostratified body of Dibona Hut was 918 919 represented with tabular progradation in Preto and Hinnov (2003) but is here reinterpreted as a 920 clinostratified prograding body with descending trajectory of the shelf edge (see L-2 clino in § 4 921 and Fig. 4C, and § 5).

922

Fig. 3. (A) The outcrop on the southern wall of the Tofana di Rozes. The white rectangle indicates
the area of interest i.e. the stratigraphic interval object of this study. (B) Photogrammetric three
dimensional model of the outcrop (only the area of interest was modeled). (C) Line drawing of the

926 outcrop. Black dashed lines indicate the lithozones limits (see the § 4for a description of facies and927 stratal relationships). F7 indicates the location of Fig. 7.

928

Fig. 4. (A) The Dibona Hut outcrop. (B) Three dimensional model of the outcrop. This model is a
three dimensional point cloud obtained by a terrestrial laser scanner. (C) Line drawing of the
outcrop. Black dashed lines indicate the lithozones limits, grey continuous lines the bedding in the
second lithozone (see § 4 for a description of facies and stratal relationships).
Fig. 5. Outcrops at the boundary between L-1 and L-2 at Tofana di Rozes and their interpretation.

935 The boundary between L-1 and L-2 is constituted by a E dipping by-pass surface which developed
936 on the top of the youngest L-1 clinoform. This surface is onset by lenticular-shaped carbonate
937 bodies (mounds) mainly made up of microbial boundstone.

938

Fig. 6. (A) Outcrop of L-2 at Dibona Hut and (B) its interpretation. Lenticular-shaped carbonate
bodies (mounds) mainly made up of microbial boundstone are interlayered and laterally onlapped
by dm thick beds of arenaceous grainstones.

942

Fig. 7. (A) Stratigraphic log of a loose sediment intercalation in the L-2 at Tofana di Rozes and (B)
a detail of it. This intercalation lies between two carbonate mounds and is mainly made up of cmdm thick beds of grainstone (and its dolomitized counterpart), arenaceous grainstones, calcsiltite
and shales. The location of this stratigraphic log is highlighted in Fig. 3C.

947

Fig. 8. (A) Western portion of Tofana di Rozes outcrop and (B) its interpretation. Here the L-1 is
directly overlaid by the L-3. The boundary between L-1 and L-3 is constituted by a karstic surface.

951 Fig. 9. Karst at the top of the L-6 at Lastoni di Formin (see Fig. 1A for location).

953	Fig. 10. Sequence stratigraphic correlation of three schematic stratigraphic logs representing the
954	sequences outcropping at Tofana di Rozes, Dibona Hut and Lastoni di Formin/Falzarego
955	Pass/Valparola Pass (see Fig. 1a for locations). The Lastoni di Formin/Falzarego Pass/Valparola
956	Pass log has been summarized according to Bosellini et al (1978), Preto and Hinnov (2003);
957	Gattolin et al. (2013). The figure not in scale, the thickness of lithozones is only indicative. HST =
958	highstand system tract, FSST = falling stage system tract, LST= lowstand system tract, TST =
959	trasgressive system tract. SU = subaerial unconformity, BSFR = basal surface of forced regression
960	(Hunt and Tucker, 1992), CC = correlative conformity ( <i>sensu</i> Hunt and Tucker 1992), TRS =
961	trasgressive ravinement surface (Cattaneo and Steel, 2003); MFS = maximum flooding surface
962	(Frazier, 1974; Posamentier et al., 1988). In this scheme the L-2 has been comprised in the TST but
963	it can be alternatively considered as part of the LST (see § 5).

964

965 Fig. 11. (A) Interpretation of the Picco di Vallandro area modified from Rudoolph et al. (1989) and 966 Biddle et al., (1992). The Cassian 2 (sensu De Zanche et al., 1993) is the last generation of Carnian high-relief carbonate platforms (L-1 in this paper), its basinal equivalent is the San Cassiano Fm. 967 (De Zanche et al., 1993); Heiligkreuz Fm. is used sensu Neri et al. (2007) and corresponds to the 968 969 lithozones from L-2 to L-6 described in this paper (see Fig. 2 for details on stratigraphy). (B) Detail 970 of the South-western sector modifed from the Fig. 5 of Biddle et al. 1992 displaying the closure of 971 the basin and the consequent end of the high-relief platform progradation. (C) New interpretation of 972 the Picco di Vallandro area according to Russo et al., (1991) and our own data. Member A of Russo 973 et al., (1991) corresponds to the interval between the surface of demise of high-relief platforms and the base of the falling stage systems tract, in turn correspondent to the L-2 of this paper. Thus the 974 975 infilling of the basin is subsequent to the high-relief platform demise and the change in depositional geometry is related to the climate change triggering the carbonate factory turnover. 976

- 978 Fig. 12. Schematic reconstruction of the key depositional phases observed in the studied
- 979 stratigraphic interval.























#### Figure11 NE



SW

Figure12

