

1 **Neotethys seawater chemistry and temperature at the dawn of**  
2 **the end Permian mass extinction**

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27 **ABSTRACT**

28 The end of the Permian was a time of great death and massive upheaval in the biosphere,  
29 atmosphere and hydrosphere. Over the last decades, many causes have been suggested  
30 to be responsible for that catastrophe such as global warming, anoxia and acidification.

31 The Gyanyima limestone block was an open ocean seamount in the southern Neotethys at  
32 subtropical latitude, and it affords us insight into open-ocean oceanographic changes  
33 during the end of the Permian. After careful screening using multiple tests, we  
34 reconstructed carbonate/seawater curves from the geochemical data stored in pristine  
35 brachiopod shell archives from the shallow water limestone of the Changhsingian  
36 Gyanyima Formation of Tibet. The reconstructed strontium isotope curve and data for the  
37 late Changhsingian is relatively invariant about 0.707013, but in the upper part of the  
38 succession the values become more radiogenic climaxing at about 0.707244. The  
39  $^{87}\text{Sr}/^{86}\text{Sr}$  curve and trend is similar to that observed for the Upper Permian succession in  
40 northern Italy, but dissimilar (less radiogenic) to whole rock results from Austria, Iran,  
41 China and Spitsbergen. The Ce/Ce\* anomaly results, ranging from 0.310 to 0.577 for the  
42 brachiopods and from 0.237 to 0.655 for the coeval whole rock before the event, and of  
43 0.276 for whole rock during the extinction event, suggest normal redox conditions. These  
44 Ce\* values are typical of normal open-ocean oxic water quality conditions observed in  
45 modern and other ancient counterparts. The biota and Ce\* information clearly discounts  
46 global anoxia as a primary cause for the end-Permian biotic crisis. Carbon isotopes from  
47 brachiopod shells and whole rock are relatively invariant for most of the latest Permian  
48 interval, which is in stark contrast to the distinct negative carbon isotope excursion  
49 observed near and about the event. Estimates of seawater temperature at shallow depth  
50 fluctuated from 22.2 to 29.0 °C up to unit 8-2, and then gradually rise from 29.7 °C in unit  
51 8-13 to values exceeding 35 °C at a stratigraphic level about 120 ky before the Permian-

52 Triassic boundary, and just before the onset of the extinction interval. This dramatic  
53 increase in seawater temperature has been observed in global successions from tropical  
54 to mid latitude and from restricted to open ocean localities (e.g., northern Italy, Iran). The  
55 brachiopod archive and its geochemical proxies from Tibet support the paradigm that  
56 global warming must have been an important factor of the biotic crisis for the terrestrial  
57 and marine faunas and floras of the late Paleozoic world.

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59 **Keywords:** brachiopods; carbon, oxygen and strontium isotopes; REE; seawater redox  
60 and temperature; Neotethys seawater

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### 63 **1. Introduction**

64 The Permian was the theatre of major global changes in the Earth's geodynamics,  
65 climate, and seawater/atmosphere geochemistry. In that changing world, the biotic  
66 response to flood basalt volcanism, high pCO<sub>2</sub> and associated rapid warming was  
67 dramatic (Retallack, 2013; Burgess et al., 2014). Facilitated by anoxia (Isozaki, 1997; table  
68 2, Brand et al., 2012a) and/or ocean acidification (Clarkson et al., 2015), it culminated in  
69 the end Permian mass extinction (e.g., Erwin, 2006; Shen et al., 2011; Brand et al.,  
70 2012a).

71 Notwithstanding the plethora of studies focusing on the end Permian-Early Triassic  
72 time interval and trying to determine the causes of the extinction, so far no single cause  
73 has been identified as the leading one. However, global warming is considered by some  
74 authors as the leading cause for the biotic crisis at the end of the Permian (Kearsey et al.,  
75 2009; Brand et al., 2012a; Chen et al., 2013; Retallack, 2013; Burgess et al., 2014).

76 Here, we provide new information on the end Permian mass extinction from a  
77 different perspective, focusing on a biogenic archive covering the pre-extinction interval,

78 and coming from a subtropical and open-ocean setting, which may be compared to the  
79 present-day Bermuda. In this perspective, after extensive screening, the biogenic archive  
80 was chosen to extract paleoenvironment information of fundamental importance in  
81 obtaining a pristine geochemical signal of the end Permian Neotethys seawater. This is  
82 why we selected brachiopods from among the inhabitants of Paleozoic shallow-water  
83 benthic communities, because they were sensitive to global changes in the oceans during  
84 this extreme event. Also, their potential for storing pristine archival information is high,  
85 since they precipitate a low-Mg calcite shell that resists diagenesis and are found to be low  
86 metabolic and physiologically unbuffered organisms (Payne and Clapham, 2012).  
87 Furthermore, brachiopods are also known to precipitate their shell (secondary-tertiary  
88 layers) in isotopic equilibrium with ambient seawater (e.g., Lowenstam, 1961; Carpenter  
89 and Lohmann; 1995; Parkinson et al., 2005; Brand et al., 2003, 2011, 2013). Thus, they  
90 are deemed one of the best carriers of primary proxies (trace elements,  $\delta^{13}\text{C}$ ,  $\delta^{18}\text{O}$ ,  
91  $^{87}\text{Sr}/^{86}\text{Sr}$ ,  $\Sigma\text{REE}$  and  $\text{Ce}^*$ ) able to unravel the seawater/atmosphere geochemistry and  
92 temperature during the Late Permian (e.g., Veizer et al, 1999; Brand et al., 2003; Korte et  
93 al., 2005; Zaky et al., 2015).

94 A limitation of the brachiopod archive is its discontinuous record due to the Signor-  
95 Lipps effect (Signor and Lipps, 1982) and to lithofacies change, thus resulting in an  
96 absence or scarcity of specimens in some sediments of the uppermost Permian  
97 succession (e.g., Angiolini et al., 2010). To overcome this difficulty, we selected the  
98 Gyanyima section in southwestern Tibet (Wang et al., 2010; Shen et al., 2010), which is  
99 characterized by high and continuous sedimentation during the latest Permian and by  
100 biostratigraphically constrained and well-preserved brachiopods up to a few meters below  
101 the Permian-Triassic boundary.

102 This leads us to the second focus of our research: the latest Permian interval or  
103 what we call the dawn of the extinction, which may have lasted hundreds to tens of

104 thousands of years (Shen et al., 2011; Brand et al., 2012a; Burgess et al., 2014), to infer  
105 what seawater conditions were like immediately prior to the extinction and the implications  
106 about the causal mechanism(s) and the timing of the extinctions itself. In particular, among  
107 the parameters that may have changed dramatically, we are mostly interested to  
108 investigate the temperature rise and the oxygenation state of seawater leading up to this  
109 most important event. Finally, the third focus concerns the settings of end Permian  
110 sequences. Many studies have described the end Permian crisis in equatorial settings  
111 such as South China, Iran and northern Italy (e.g., Shen et al., 2011; Brand et al., 2012a;  
112 Schobben et al., 2014 and references therein), but few describe in any detail what  
113 happened at higher latitudes (Shen et al., 2006).

114         The aim of our study is to investigate the geochemistry (trace elements,  $\delta^{13}\text{C}$ ,  $\delta^{18}\text{O}$ ,  
115  $^{87}\text{Sr}/^{86}\text{Sr}$ ,  $\Sigma\text{REE}$  and  $\text{Ce}^*$ ) of brachiopod shells from the Gyanyima section located at  
116 subtropical latitude in the southern Neotethys, to 1) interpret their biochemical response  
117 before and at the onset of the latest Permian event, 2) evaluate and constrain in time the  
118 temperature rise and seawater redox, and 3) garner a better understanding of how  
119 Neotethys seawater and/or Late Permian atmosphere may have changed before and  
120 during the Earth's greatest biotic crisis.

121

## 122 **2. Geological setting**

123         The Gyanyima section ( $30^{\circ}43'13.5''\text{N}$  and  $80^{\circ}41'42.4''\text{E}$ ) was studied by a number  
124 of authors (Wang and Xu, 1988; Guo et al., 1991; Shen et al., 2001, 2003; Crasquin-  
125 Soleau et al., 2007; Shen et al., 2010; Wang et al. 2010). It is located in Burang County,  
126 Ngari Region in southwestern Tibet, China, and is about 50 km northwest of the Town of  
127 Burang (Fig. 1). The section consists of 350 m of Lopingian-Lower Triassic reefal and  
128 bioclastic limestones, which outcrops 30–50 km south of the Indus-Tsangbo (=Yarlung-  
129 Zangbo) Suture Zone that separates the Lhasa Block from the Himalaya Tethys Zone to

130 the south. Based on its reefal carbonates and interbedded thick basalts, the large block  
131 that contains the Gyanyima section has been interpreted as a carbonate platform on a  
132 Neotethyan seamount (Shen et al., 2001, 2003, 2010).

133 The investigated section (Fig. 2) comprises the Lopingian Gyanyima Formation that  
134 is rich in foraminifers, corals, brachiopods and ostracods, and the overlying Triassic  
135 Lanchengquxia Formation. The Gyanyima Formation is subdivided into 10 units for a total  
136 thickness of about 310 meters (Fig. 2); units 1, 6, 9 and 10 are dominated by reefal  
137 limestone (bafflestone and framestone) with rare bioclastic limestone (packstone and  
138 grainstone). Unit 2 contains micritic limestone; units 3 and 4 consist of bioclastic limestone;  
139 unit 5 consists of submarine basalt; unit 7 comprises micritic limestone, with shale and  
140 reefal limestone; unit 8 and part of unit 9 contain cherty limestone. In particular, the  
141 limestone from unit 9 (sample T-9-33) to the base of the overlying Lanchengquxia  
142 Formation (unit 11; Y-11-1) consists of bioclastic packstones and grainstones with  
143 brachiopods, gastropods, echinoderms, bryozoans, foraminifers, green algae, and  
144 *Tubiphytes*, and alternating boundstone dominated by corals and sponges. These  
145 biofacies suggest infralittoral shallow to open subtidal environments around fair-weather  
146 wave base, and well oxygenated and normal salinity seawater during deposition of the  
147 Gyanyima sediments (see supplementary figure 2). The Triassic samples from unit 11 (Y-  
148 11-2 to Y-11-4) consist of fine-grained grainstone with crinoids, bivalves, ostracods,  
149 calcispheres, and widespread Fe-oxide covering the bioclasts, the matrix, and the  
150 syntaxial cements and locally concentrated along hardground/firmground thin horizons.  
151 These observations and the biogenic allochems record a deepening trend below fair-  
152 weather wave base, a crisis in the carbonate factory and lower sedimentation rates at the  
153 Permian-Triassic boundary in the Tibetan seamount. All samples from units 9 to 11  
154 (sample 11-4) show the same marine early diagenetic paragenesis with non-luminescent  
155 scalenohedral to equant calcite cement. Above level Y-11-34, the sediments are

156 pervasively dolomitized (equidimensional mosaics of zoned, Fe-rich dolomitic crystals),  
157 with microbialites. In fact, Shen et al. (2010, p. 4) reported thrombolites and stromatolite-  
158 like structures that possibly suggest restricted peritidal environments. However, due to the  
159 pervasive dolomitization, it is difficult to evaluate the original depositional depth of unit 11.

160         Except for the first meter of unit 11, we confirm that the overall succession was  
161 deposited in a normal marine, subtidal environment as suggested by Shen et al. (2010)  
162 and Wang et al. (2010). Wang et al. (2010) described a rich foraminiferal fauna from the  
163 Gyanyima Formation and subdivided it into two biozones: (1) a lower *Colaniella parva*  
164 Biozone, and (2) an upper *Colaniella parva-Dilatofusulina orthogonios-Urushtenella*  
165 Biozone. The upper biozone is late Changhsingian (Wang et al., 2010), and consequently  
166 that infers a lower Changhsingian age for the lower biozone. In fact, a recent discussion  
167 (Vachard, 2014) on the distribution of *C. parva* underscores the fact that it has not been  
168 found in rocks older than late Changhsingian. This suggests that the sediments of the  
169 Gyanyima Formation may be limited to the upper Changhsingian, as *C. parva* occurs in its  
170 basal unit. However, in the absence of other biostratigraphic data, we prefer to maintain a  
171 conservative approach, and follow Wang et al. (2010) who considered the 310 m  
172 sequence belonging to the Changhsingian.

173         Conodonts are rare in the Permian part of the Tibetan succession possibly due to  
174 the reefal environment (Shen et al., 2010), but they become abundant in the Triassic  
175 Lanchengquxia Formation. More specifically, the abundance of the Griesbachian (Induan)  
176 conodonts *Clarkina carinata* and *C. tulongensis* 1.3 m above the base of the  
177 Lanchengquxia Formation allows us to tentatively constrain the Permian-Triassic boundary  
178 at the Gyanyima section between horizons Y-11-1 and Y-11-2 (Shen et al., 2010, fig. 6;  
179 Appendix 3).

180

### 181 **3. Materials**

182 Rhynchonelliformea brachiopods and host limestone samples were collected from a  
183 number of horizons (Appendix 1B and 2) of the Gyanyima section (30°43'13.5"N,  
184 80°41'46.4"E) located in Burang County in the Ngari Region of southwestern Tibet, China  
185 (Shen et al., 2010). Nineteen species were investigated: the Productida *Costiferina spiralis*  
186 (Waagen 1884), *Costiferina subcostata* (Waagen, 1884), *Costiferina indica* (Waagen,  
187 1884), *Richthofenia lawrenciana* (de Koninck, 1863), *Marginalosia* sp. ind., and  
188 *Costatumulus* sp. ind.; the Orthida *Enteleles* sp. ind. and *Acosarina minuta* (Abich, 1878);  
189 the Rhynchonellida *Stenoscisma gigantea* (Diener, 1897) and *Stenoscisma* sp.; the  
190 Athyridida *Araxathyris* sp. ind.; the Spiriferida *Neospirifer* sp. ind., *Martinia* sp. ind.,  
191 *Permophricodothyris* sp. ind., and *Alphaneospirifer* sp.; the Terebratulida *Hemiptychina* sp.  
192 ind., *Notothyris* sp. ind., and *Dielasma* sp. ind. Their biostratigraphic frameworks have  
193 been studied in detail by Shen et al. (2010) and Wang et al. (2010) and the reader is  
194 referred to these articles for further information.

195

#### 196 **4. Methods**

197 Multiple screening tests were applied in order to evaluate the state of preservation  
198 of the shells (e.g., Brand et al., 2011; Ullman and Korte, 2015). Brachiopod shells were  
199 examined under scanning electron microscope (SEM), cathodoluminescence (CL) and  
200 polarizing microscope to evaluate the degree of preservation of shells.

201 For the microstructural investigation by SEM, specimens were cut along their  
202 longitudinal axis, embedded in resin, polished and then etched with 5% HCl for 15 s, gold-  
203 coated, and then scanned by SEM. SEM analyses were performed at NIGPAS using a  
204 LEO 1450 VP SEM and at the Department of Earth Sciences "A. Desio" with a Cambridge  
205 S-360 SEM.

206 Specimens were thin sectioned and uncovered thin sections were analyzed for  
207 luminescence evidence with a Nuclide ELM2 cold-cathode luminoscope operating at 10 kV



208 with a beam current of 5–7 mA. Electron beam exposure (before taking the photo) was  
209 limited to 15–30 s, so not to damage shell material due to excessive electron  
210 bombardment. Photographic exposure time was set to range from 1 to 4 seconds with a  
211 Nikon Coolpix 4500 operating at 200 ISO.

212 Samples for geochemical analyses were microdrilled from the cleaned mirror-image  
213 slab, under binocular microscope, from the central non-specialized innermost region (inner  
214 secondary layer and tertiary layer) of the ventral valve, or as specified in Appendix 3. We  
215 avoided sampling dorsal valves and specialized regions such as dental plates, the cardinal  
216 process, muscle scars, as well as the primary layer and the external part of the secondary  
217 layer, following the suggestions of Carpenter and Lohmann (1995), Parkinson et al. (2005)  
218 and Cusack et al. (2012). In selected specimens, we sampled specialized regions in order  
219 to evaluate the presence of a vital effect or kinetic fractionation related to different growth  
220 rates (Brand et al., 2013; Yamamoto et al., 2013).

221 A subset of brachiopods (42) and enclosing whole rock (38) was analyzed for trace  
222 elements and stable isotopes. About 20 mg of powder, weighed accurately of each sample  
223 was digested with 10 mL of purified 2 % (v/v) HNO<sub>3</sub>. Chemical modifiers (K and La  
224 solutions) were added to all solutions, where appropriate (Ca and Sr tests), to counter  
225 chemical interference. High-purity reference standards (Delta Scientific) were used for  
226 calibration of the atomic absorption spectrophotometer (AAS) for each element. All  
227 samples were analyzed on a VARIAN 400P AAS for Ca, Mg, Sr, Mn and Fe at Brock  
228 University. Long-term precision and accuracy, based on the mean of 84 analyses of  
229 standard reference material (SRM) NBS 633 was 5.28, 1.44 (Ca), 6.29, 1.12 (Mg), 8.61,  
230 0.69 (Sr), 5.36, 2.16 (Mn) and 11.12, 3.21 (Fe) relative percent ( $\pm\%$ ), respectively, of  
231 certified SRM values.

232 The brachiopod and whole-rock samples from Tibet were analyzed for carbon and  
233 oxygen isotopes at Memorial University. About 200  $\mu\text{g}$  of powder of each sample was

234 reacted with ultrapure 100 % orthophosphatic acid at 50°C in a Thermo-Finnigan  
235 Gasbench II, and liberated CO<sub>2</sub> gas was introduced into a Thermo-Finnigan Delta V mass  
236 spectrometer. Long-term precision and accuracy compared to NBS-19 standard values  
237 were better than 0.05 ‰ for both  $\delta^{13}\text{C}$  (+1.95 ‰) and  $\delta^{18}\text{O}$  (-2.20 ‰) certified values.

238 Strontium isotope analyses were performed on a subset of brachiopods at Ruhr  
239 University, Bochum. About 1 mg of each sample was digested with 2.5 N suprapure HCl  
240 for about 24 h at room temperature. This was followed by chromatographic separation with  
241 2.5 mL of AGW 50 x 8 (Biorad) cation exchange resin to obtain purified Sr. Samples were  
242 prepared and analyzed on a Finnigan MAT 262 7-collector solid-source mass  
243 spectrometer with single Re filament applying 1  $\mu\text{L}$  of ionization enhancing solution (Birck,  
244 1986). Loading blank was < 5 pg, column blank was < 1 ng, and reagent blank was < 0.01  
245 ppb. The mean of 279 analyses of NIST standard NBS 987 was 0.710242 with a mean  
246 standard error of 0.000002 ( $\pm 2$  se) and mean standard deviation of 0.000032 ( $\pm 2$  sd),  
247 whereas the mean of 253 analyses of the USGS EN-1 standard was 0.709162 with a  
248 mean standard error of 0.000002 ( $\pm 2$  se) and mean standard deviation of 0.000026 ( $\pm 2$   
249 sd). The strontium isotope values of this study were bracketed by and corrected to a  
250 nominal NBS-987 value of 0.710247 (McArthur et al., 2001).

251 Rare Earth element (REE) analyses were performed on some brachiopods and their  
252 enclosing rocks at Memorial University following the protocol described in Azmy et al.  
253 (2011, 2012) and Zaky et al. (2015). About 5 mg of powder of each sample was digested  
254 in 0.2 M HNO<sub>3</sub> for 70-80 min, analyzed by standard addition method using a Perkin Elmer  
255 Sciex Elan DRCII ICP-MS. Relative uncertainty (accuracy and precision) compared to  
256 SRM DLS 88a certified values are better than  $\pm 5$  % (Azmy et al., 2011). The weak acid  
257 leach used in this method only captures soluble elements associated with the soluble  
258 carbonate fraction and leaves the non-carbonate materials essentially untouched and a  
259 non-contributory factor to the reported trace and REE chemistry of the samples from Tibet.

260 For REE results, shale-normalized  $Ce/Ce^*_{SN}$  ( $Ce^*$ ) values of  $> 1$  represent anoxic  
261 depositional conditions, whereas  $< 1$  represent oxic ones (e.g., de Baar et al., 1988; Bau  
262 and Dulski, 1996; Azmy et al., 2011; Zaky et al., 2015). All geochemical results are found  
263 in Appendix 3.

## 264 **5. Results**

### 265 **5.1. End Permian extinction at Gyanyima, Tibet**

266 The faunal pattern of the latest Permian extinction in the Gyanyima section has  
267 been discussed by Shen et al. (2010) who showed it to be abrupt, similar to that observed  
268 in several other peri-Gondwanan and Tethyan successions (Angiolini et al., 2010; more  
269 references therein). However, based on the taxa distribution shown in Shen et al. (2010,  
270 fig. 2), we recalculated the position of the extinction boundary with the method of Wang  
271 and Marshall (2004), the pattern of extinction following Meldahl (1990), and the duration of  
272 the extinction according to the procedure by Wang et al. (2012); see Appendix 1A/B for  
273 details of the calculations. In doing this, we assumed uniform preservation as the facies is  
274 rather uniform up to the base of unit 11 (horizon Y-11-1). The results are plotted in Fig. 2  
275 and show, (1) the upper envelope of the extinction represented by the extinction boundary  
276 at the 96% confidence interval at which all taxa go extinct, (2) the hollow curve of taxa  
277 distribution which matches the pattern of a sudden extinction as simulated by Meldahl  
278 (1990), and (3) the stratigraphic interval of the maximum duration of the extinction. With a  
279 confidence interval of 95% the event took place over the topmost 9 m of the Gyanyima  
280 Formation. Assuming a constant sedimentation rate for deposition of the bioclastic and  
281 reefal limestones of unit 1 to base of unit 11 and a duration of about 2.238 My for the  
282 Changhsingian (Shen et al., 2011, 2013; Burgess et al., 2014), this is equivalent to a time  
283 frame of one million years per 121 m of sediment, excluding deposition of the basalts (unit  
284 5). Thus, the duration of the extinction event (at the 95% CI) corresponds to about 74 ky,  
285 to which we have to add the time for the deposition of 19 cm of condensed limestone

286 between horizon Y-11-1 and Y-11-2. This has been evaluated to correspond to 14 ky  
287 based on the depositional rate of condensed limestone and shale in North Iran (Schobben  
288 et al., 2014, Ghaderi et al., 2014). In conclusion, the maximum duration of the extinction at  
289 Gyanyima is 88 ky, which agrees well with estimates for the extinction interval of 60 ky  
290 proposed by Burgess et al. (2014), 83 ky by Wu et al. (2013) and of less than 200 ky by  
291 Shen et al. (2011). Thus, the fauna at Gyanyima confirms that the end Permian mass  
292 extinction was a geologically brief event.

## 293 **5.2. Microstructures**

294 Taxa belonging to the Order Productida show a laminar secondary layer where  
295 individual laminae are cross-bladed and 0.1–0.8  $\mu\text{m}$  thick (Fig. 3A). In *Costiferina* sp. ind.,  
296 the laminae consist of single blade/lath that are 1.5–2  $\mu\text{m}$  wide and are crossed by broad  
297 pseudopunctae with taleolae at the core (Fig. 3B). The considerable thickness of the  
298 species of *Costiferina* (i.e., *C. indica*) is achieved by secretion of a large number of packed  
299 laminae and not by the formation of a prismatic layer. *Richthofenia lawrenciana* has a  
300 laminar shell crossed by a large number of small pseudopunctae (Fig. 3C). Taxa belonging  
301 to the order Spiriferida have a secondary layer made of fibers with typical keel and saddle  
302 morphology (Fig. 3D), and sometimes associated with a prismatic tertiary layer (Fig. 3E).  
303 The Orthida have an endopunctate fibrous secondary layer, but no tertiary layer (Fig. 3F).  
304 Among the Rhynchonellida, *Stenosisma* sp. ind. possesses a shell with a secondary layer  
305 – of keel and saddle fibers - and a prismatic tertiary layer.

306 To evaluate the preservation of the original fabric, we make reference to Samtleben  
307 et al. (2001) and Garbelli et al. (2012), who assessed preservation by clustering  
308 microstructure into different morphotypes, based on the fabric of each layer, the  
309 morphology of the laminae, and the shape of individual fibers and/or prisms. Evaluation of  
310 the type of preservation for each shell analyzed by SEM is presented in Table 1 and  
311 summarized in Appendix 2.

### 312 **5.3. Cathodoluminescence**

313 To inspect the degree of diagenetic preservation/alteration of brachiopod low-Mg  
314 calcite, shells were analyzed by cathodoluminescence, a tool that easily discriminates  
315 post-depositional processes driven by meteoric fluid alteration. Calcite luminescence is  
316 governed by the molar ratio of Fe/Mn. High Mn content in the calcite lattice induces  
317 luminescence of the sample, whereas Fe is a quencher of luminescence in calcite (Machel  
318 et al., 1991; Machel, 2000). Mn is generally low (<200 ppm) in unaltered recent and fossil  
319 brachiopod shells (e.g., Brand et al., 2003), but it may be included in the calcite lattice  
320 during post-depositional diagenetic processes (Brand and Veizer, 1980).

321 We observed a number of different patterns of luminescence in our samples:

322 (1) Non-luminescent shells with some thin, faint luminescent bands (laminar fabric);

323 also these shells may have some fractures filled with brightly luminescent material

324 (Figs. 4A, 4B);

325 (2) Non-luminescent shells, which are uniformly dark (fibrous fabric); sometimes the

326 outer part of these shells shows faint to moderate luminescence; occasionally their

327 fractures are filled by luminescent diagenetic calcite (Figs. 4D, 4F, 4M);

328 (3) Slightly to moderately luminescent shells (all fabrics) (Figs. 4C, 4N);

329 (4) Non-luminescent shell with punctae filled by luminescent diagenetic calcite

330 (punctate fibrous fabric) (Fig. 4I, 4L).

331 In general, brachiopods of the Gyanyima section are mainly non-luminescent of types 1

332 and 2 (Appendix 2). Cathodoluminescence discloses the presence of dull luminescent

333 cement filling some shells and/or fractures (Figs. 4F, 4I, 4N), and in a few shells dolomite

334 (Fe-rich, zoned) crystals, locally, replace the inner part of the tertiary layer (Fig. 4H).

### 335 **5.4. Trace elements and stable isotopes**

336 The subset analyzed for trace elements shows that all the brachiopod low-Mg  
337 calcite has low Mn content (Appendix 3). Except for one specimen (PTT-38-1), in which  
338 Mn contents reach 130 ppm, all other samples have Mn <100 ppm and in most cases it is  
339 < 50 ppm (Appendix 3). Mn contents are much higher in the coeval whole rock and may  
340 reach up to 1192 ppm. Fe content ranges from 5 to 285 ppm in the brachiopod shells, and  
341 from 71 to 361 ppm in the enclosing rock. Sr content of brachiopod low-Mg calcite ranges  
342 from 78 to 694 ppm, but laminar shells have higher values than fibrous ones with a  
343 maximum of only 430 ppm. The whole-rock samples have Sr contents between 82 and  
344 293 ppm, with only two samples higher than 150 ppm (PPT-7-16m, PTT-8-14m). Mg  
345 contents range from 898 to 5136 ppm, but only three samples (PTT-16-4, PTT-37-3, PTT-  
346 38-4) have very high value up to 18,745 ppm. The rock matrix has a wide range from 2312  
347 to 57,812 ppm Mg (Appendix 3). The  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  values of shells (preserved and  
348 altered) range from +1.85 to +6.30 ‰ and from -12.26 to -2.19 ‰, respectively (Appendix  
349 3). Whole-rock  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  values range from +1.87 to +3.40 ‰ and from -9.35 to -2.37  
350 ‰, respectively (Appendix 3).

### 351 **5.5 Strontium isotope, $\Sigma\text{REE}$ and $\text{Ce}^*$**

352 The  $^{87}\text{Sr}/^{86}\text{Sr}$  ratio of all brachiopods (preserved and altered) ranges from a low  
353 value of 0.706958 to a high one of 0.707370, which are generally within the range of those  
354 reported for late Permian seawater (Appendix 3; Veizer et al., 1999). The  $\Sigma\text{REE}$  of the  
355 brachiopods ranges from 2.2 to 13.5 ppm, which brackets the values documented for  
356 modern and fossil shells with minimum alteration (Azmy et al., 2011, 2012; Brand et al.,  
357 2012a), and the whole rock  $\Sigma\text{REE}$  data from Tibet ranges from 10.6 to 94.9 ppm. The  
358  $\text{Ce}/\text{Ce}^*$  anomaly values (De Baar et al., 1988; Bau and Dulski, 1996; Azmy et al., 2011,  
359 2012, Brand et al., 2012a) for the brachiopods ranges from 0.310 to 0.578, which is  
360 matched by the  $\text{Ce}/\text{Ce}^*$  values of the enclosing rock ranging from 0.237 to 0.655 before  
361 the event, and of 0.276 for whole rock in the estimated extinction interval (Appendix 3). No

362 statistical difference at the 95 % confidence level was found between the brachiopods and  
363 coeval whole rock from Tibet ( $p= 0.775$ ; Table 2).

## 364 **6. Screening Process**

### 365 **6.1. Microstructure pattern and preservation of shells**

366 Brachiopod shells of the Gyanyima Formation generally show good preservation of  
367 their microstructure, even in cases with site specific and selective alteration. Single shells  
368 with laminar and fibrous secondary fabrics may display selective preservation of the  
369 original fabric, with some regions having a pristine and well-defined texture, whereas  
370 others are altered. This is readily observed in species with thick laminar shells such as  
371 those of *Costiferina*, where the outer shell lost its original fabric, but the inner one did not  
372 (Fig. 3A). Similarly, some shells of species of *Permophricodothyris* have a slightly altered  
373 fibrous secondary layer, whereas the inner tertiary prismatic layer is pristine (e.g., Azmy et  
374 al., 2006). In some specimens, the alteration proceeds in such a differential way that the  
375 fibrous layer is totally lost, but the inner prismatic layer maintains even fine details, such as  
376 growth bands.

377 Overall, diagenetic alteration differentially affected the shell fabric, with the laminar  
378 one frequently more altered than the fibrous or prismatic ones. This may be related to  
379 differences in texture and size of the structural units forming the shells, resulting in a  
380 different arrangement of the organic matter in the biocomposites (Garbelli et al., 2014). In  
381 fact, approximately 70% of fibrous shells are morphologically preserved, in contrast to less  
382 than 30% preservation of laminar ones. Interestingly, morphological alteration is quite  
383 evident in laminar shells of unit 9 of the Gyanyima section (Fig. 2), while no alteration trend  
384 is observed in their fibrous shell counterparts.

### 385 **6.2. Cathodoluminescence**

386 Luminescence is another tool for evaluating the preservation of shells. Most of our  
387 specimens exhibit dull or no luminescence indicating good preservation of the brachiopod

388 low-Mg calcite. Dull luminescence, in many cases, indicates relative preservation of  
389 geochemical signature although altered carbonates might still exhibit no luminescence due  
390 to high Fe contents (Rush and Chafetz, 1990) and the degree of carbonate luminescence,  
391 therefore, must be interpreted with caution. The two types of shell succession display a  
392 different pattern of luminescence related to their different modality of alteration. Diagenetic  
393 fluids may seep more readily through the laminar fabric than through the fibrous layer (Fig.  
394 4D, 4N), because the former is more porous (Garbelli et al., 2012). The low porosity of the  
395 secondary layer also results in the prismatic layer of Rhynchonellata being mostly non-  
396 luminescent, as they are less affected by Mn-enriched diagenetic fluids. Furthermore,  
397 other specific shell characteristics play a role in highlighting luminescence patterns, for  
398 example, the presence of dense pseudopunctae in *R. lawrenciana* produces inception  
399 horizons where fluids can easily infiltrate. In a similar way, punctae in fibrous fabrics leave  
400 voids after decomposition of the organic component, which may be filled later by  
401 luminescent diagenetic calcite (Fig. 4I, 4L).

402 Besides these fabric-controlled patterns, some shells show bright luminescence of  
403 the outermost layer (Figs. 4B, 4M), indicating that alteration driven by Mn-enriched  
404 diagenetic fluids was not strong enough to penetrate into the shell interior. In contrast,  
405 whole rock shows a broader range of luminescence due to the greater water/rock  
406 interaction ratio affecting the enclosing rock (Brand, 2004; Brand et al., 2012b). Whole  
407 rock from unit 9 contains euhedral, porphyritic dolomite crystals typical of an advanced  
408 degree of diagenesis corresponding to shallow burial (e.g., Haas et al., 2014) and high  
409 water-rock ratios (Banner and Hanson, 1990; Fig. 4C, 4H, 4M).

### 410 **6.3 Evaluation of trace elements**

411 Trace element contents are another tool for screening diagenetic overprints that  
412 may obscure the primary seawater signature in brachiopod low-Mg calcite. Comparing the  
413 geochemistry of brachiopod shells with that of coeval whole rock is a good test to evaluate



414 the influence of diagenetic alteration (e.g., Azmy et al., 2011, 2012; Brand et al., 2012b).  
415 Furthermore, we do not apply static threshold values for trace elements, but select the  
416 preserved brachiopod low-Mg calcite based on a comparison of the geochemistry with  
417 other screening results as well as with the enclosing rock for each individual bed/horizon  
418 (cf. Brand, 2004; Ullman and Korte, 2015).

419 Mn and Fe values show that most of the analyzed brachiopods did not undergo  
420 alteration. More than 90% of the investigated shells have Mg values ranging from 1591 to  
421 4000 ppm, a narrow and low range compared to that of the whole rocks. Some  
422 brachiopods exceed those values and Mg content may reach up to 18,745 ppm. These  
423 anomalous values are recorded by some specimens from unit 9 and are coupled with  
424 negative  $\delta^{18}\text{O}$  values (Appendix 3). Cathodoluminescence revealed that, in this  
425 stratigraphic unit, rock matrices and brachiopod shells were locally dolomitized (Figs. 4H,  
426 4M). This is consistent with the negative association between Mg and Sr (Fig. 5A, C).  
427 Modern brachiopods from a variety of depositional environments have Sr contents of 450–  
428 1928 ppm (Brand et al. 2003); diagenetic recrystallization of brachiopod low-Mg calcite  
429 leads to depletion of Sr, but enrichment of Mg, Mn and Fe (Brand and Veizer, 1980;  
430 Veizer, 1983; Banner and Hanson, 1990).

431 In comparison to modern brachiopods, the Sr values of the Tibet brachiopods fall in  
432 the low range and sometimes exceed the lower threshold of 350 ppm. However, Sr in  
433 brachiopod low-Mg calcite is tied to the Sr/Ca ratio of the seawater in which they thrived. In  
434 Permian seas (Hardie, 1996; Stanley, 2006), the preferred carbonate phase was  
435 aragonite, which is a more effective sink for seawater Sr than calcite (Stanley 2006).  
436 Accordingly, the Sr/Ca ratios of seawater and calcite are inferred to have been low during  
437 the Permian, when Sr-enriched aragonite was the preferred precipitate (Steuber and  
438 Veizer, 2002). Hence, the low Sr content in the brachiopod low-Mg calcite is most likely

439 due to shells secreted in seawater with low Sr/Ca ratios rather than diagenetic alteration,  
440 which is consistent with the shell preservation (fibrous/laminated calcite prisms, dull CL  
441 and preserved ultrastructure under SEM). In fact, low Sr contents have been documented  
442 for Permian brachiopod shells in earlier studies (e.g., Joachimski et al., 2005), making it  
443 difficult to apply strict cut-off values extrapolated from modern datasets to evaluate  
444 diagenetic overprinting of Sr contents. The relationship between Sr/Ca ratios and contents  
445 of other minor elements (e.g., Fe, Mn, and Mg) seems to be a very effective tool to  
446 discriminate between pristine and altered brachiopod low-Mg calcite.

#### 447 **6.4. Stable isotope Screening**

448 The degree of alteration for  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  in biogenic calcite are not always of  
449 similar magnitude, with a major role played by the isotopic composition of the post-  
450 depositional fluid and the environment of the fluid-rock system (Brand and Veizer, 1981;  
451 Banner and Hanson, 1990; Angiolini et al., 2012; Brand et al., 2011, 2012b). This type of  
452 differential alteration is clearly documented by the results – brachiopod and whole rock –  
453 from the Gyanyima section of Tibet (see for instance the results for the horizons 6-1 and 7-  
454 1 in Fig. 5B, D). The trends depicted by the carbonates in Figure 5 underscore the need  
455 for diagenetic screening at the horizon level, not on a collective scale that would miss  
456 subtle changes in isotopic compositions (cf. Brand, 2004; Brand et al., 2012b). All samples  
457 and results ( $\delta^{13}\text{C}$ ,  $\delta^{18}\text{O}$ ,  $^{87}\text{Sr}/^{86}\text{Sr}$  and temperatures) that are deemed altered by the  
458 screening process are reported in red font in Appendix 3, consequently, only the results  
459 deemed pristine will form the basis for the following discussion.

### 460 **7. Paleooceanography and paleoclimate of seamount Tibet**

#### 461 **7.1 Chemical Paleooceanography**

462 Strontium isotopes and REEs are powerful proxies, if preserved in archives, of  
463 oceanic and redox processes (e.g., Elderfield, 1981, 1990; Azmy et al., 2011; Azmy et al.,

464 2012; cf. Brand et al., 2012a; Zaky et al., 2015). The Sr isotope ratios of the brachiopods  
465 from units 1 through 8 vary from 0.706983 to 0.707056 and it is essentially invariant until  
466 the upper part of unit 8, where the ratio becomes more radiogenic, rising from 0.707023 to  
467 0.707244 (Fig. 6). The  $^{87}\text{Sr}/^{86}\text{Sr}$  trend for units 8 and 9 is similar to that recorded by whole  
468 rock data at Meishan, South China, although the values obtained from the pristine  
469 Gyanyima brachiopods are less radiogenic (Kaiho et al., 2001; Cao et al., 2009; cf. fig. 11,  
470 Brand et al., 2012a). In contrast, the  $^{87}\text{Sr}/^{86}\text{Sr}$  recorded by the brachiopods from Sass de  
471 Putia and Val Brutta in the Dolomites of northern Italy (Brand et al., 2012a) is similar in  
472 trend and absolute values to that from Tibet. However, whole rock  $^{87}\text{Sr}/^{86}\text{Sr}$  results from  
473 Iran (Liu et al., 2013) show a trend different from most other localities, posing questions  
474 about the reliability of  $^{87}\text{Sr}/^{86}\text{Sr}$  values obtained from whole rock (cf. Brand, 2004; Brand et  
475 al., 2012a).

476 The Ce/Ce\* anomaly values for the brachiopod shells and whole-rock samples are  
477 considered as one trend (Table 2) since they are not significantly different at the 95%  
478 confidence interval (brachiopods: N=13, mean  $0.3763 \pm 0.1019$ ; whole rock: N=38, mean  
479  $0.3859 \pm 0.1112$ ;  $p = 0.776$ ; cf. Zaky et al., 2015), and strongly suggest that seawater about  
480 the Tibetan seamount was oxic right up to and during the estimated extinction interval (Fig.  
481 6; De Baar et al., 1988; Bau and Dulski, 1996). This is in agreement with the findings of  
482 Zhao et al. (2013), who, based on conodonts, show Ce/Ce\* at Meishan being less than  
483 1.0 from Bed 24 to Bed 26, thus indicating oxic water conditions across the event; with  
484 those of Loope et al. (2013), who found rare earths and yttrium (REY), iodine  
485 concentrations indicative of oxygenated seawater before and after the extinction event in  
486 Cili, South China. In contrast, the Ce/Ce\* anomaly values of brachiopods and whole rock  
487 from northern Italy, Croatia, Slovenia, Austria, India and Meishan D of China (Brand et al.,  
488 2012a; Fio et al., 2010; Dolenc et al., 2001; Attrep et al., 1991; Algeo et al., 2007; Zhou  
489 and Kyte, 1988) are much more variable. It clearly suggests that open ocean seawater of

490 the southern Neotethys about the Tibet seamount was consistently oxic leading up to the  
491 end Permian mass extinction, whereas in other more restricted localities, redox may have  
492 been controlled by local conditions (cf. Brand et al., 2009, 2012a; Zaky et al., 2015).

## 493 **7.2 Paleoclimatology and SST**

494 Interpretation of the  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  record in terms of secular changes related to  
495 environmental and climate variation is complex, and should be based on isotope data from  
496 samples deemed to be pristine proxy according to exhaustive and multiple screening tests  
497 (SEM, CL, TE and SI) and from well-constrained environmental settings.

498 Shen et al. (2010, fig. 6) published  $\delta^{13}\text{C}$  values from whole rock of the Gyanyima  
499 Formation through the Permian-Triassic boundary, but brachiopod shells are more reliable  
500 archives of carbon and, especially oxygen isotope compositions (cf. Brand and Veizer,  
501 1980; Brand, 2004; Brand et al., 2012b). However, since brachiopods do not range  
502 stratigraphically into the end Permian event strata, we report both sets of data (Fig. 6;  
503 Appendix 3). The preserved brachiopod low-Mg calcite has a range of  $\delta^{13}\text{C}$  between 1.85  
504 and 5.69 ‰, with more negative values recorded in laminar shells of Strophomenata than  
505 in fibrous ones of the Rhynchonellata (Fig. 7). To standardize isotopic differences related  
506 to the fabric a correction of +2.0 ‰ was applied to the  $\delta^{13}\text{C}$  values of laminar shell  
507 Strophomenata, considering that laminar fabric usually shows lighter values than co-  
508 occurring fibrous ones (Garbelli et al., 2014), and we show the unadjusted as well as  
509 adjusted results in Figure 8. After the adjustment, the carbon isotope curve displays a  
510 generally steady value of  $\delta^{13}\text{C}$  fluctuating between 4.04 ‰ and 5.60 ‰, with an overall  
511 mean of 4.63 ‰. The adjusted  $\delta^{13}\text{C}$  of brachiopod calcite is approximately 2.5 ‰ more  
512 positive than the average value of the coeval whole rock reported by Shen et al. (2010,  
513 figs. 6 and 9) and in this study, and differences in the overall trend can be seen throughout  
514 the curve of the upper part (horizon 7-16 to 9-27) of the section at Gyanyima (Fig. 8). The  
515 steady positive values of  $\delta^{13}\text{C}$  up to unit 9 may be related to oceanographic processes. We

516 recognize that waters off the Tibet block were washed by warm and cool currents,  
517 respectively carrying larvae of Paleotethyan taxa mixing with peri-Gondwanan ones (Shen  
518 et al., 2010; Wang et al., 2010); thus at this convergence of warm and cool waters –  
519 seawater productivity kicks into high gear producing DIC with highly positive  $\delta^{13}\text{C}$  values.

520 In the upper part of unit 10, whole-rock samples record a slight negative shift in  $\delta^{13}\text{C}$   
521 (Fig. 8; Shen et al., 2010, fig. 6). Above, in the extinction horizon values increase and then  
522 show a mild depletion in the Lower Triassic beds. The lack of a negative carbon isotope  
523 excursion in brachiopods before and in whole rock before and during the event may reflect  
524 diagenesis and/or different mineralogical fractionation since the analysis was done on  
525 coral, sponge, echinoderm and algal grainstone, packstone and wackestone, not on pure  
526 micrite or single brachiopod shells. To be noted that carbon isotopes from whole rock of  
527 other Paleotethyan sections as those from North Iran (Gaetani et al., 2009, Schobben et  
528 al. 2014) show variable excursions ranging from  $\sim 1\text{‰}$  to more significant shifts of  $> 2\text{‰}$ .  
529 The lack of a negative carbon isotope excursion (nCIE) normally associated with the end  
530 Permian event should be taken as a sign of caution by all, considering the complicated  
531 nature of the carbon cycle and thus its expression in carbonate rocks and allochems.  
532 Therefore, we advise to consider detailed diagenetic and biologic screening of rock  
533 material spanning this and other important biotic events.

534 Brachiopod  $\delta^{18}\text{O}$  values show an evident trend of depletion in units 8 and 9 (Fig. 6).  
535 In fact, starting from the base of Gyanyima Formation and up to 44 meters below the  
536 Permian-Triassic boundary (base of unit 8),  $\delta^{18}\text{O}$  values range from  $-3.26\text{‰}$  to  $-2.72\text{‰}$   
537 (Table 3). In the overlying unit 8 there is a negative shift of about  $2\text{‰}$  (Fig. 6, Table 3),  
538 and in unit 9, at 13 meters below the Permian-Triassic boundary  $\delta^{18}\text{O}$  dramatically drops  
539 down to  $-6.01\text{‰}$ .

540 We calculated seawater temperatures from these oxygen isotope data, applying the  
541 oxygen isotope equation for synthetic calcite (Kim and O'Neill, 1997) and a recently

542 published oxygen isotope equation for brachiopod calcite (Brand et al., 2013). We also  
543 considered: 1) a seawater  $\delta^{18}\text{O}$  of +0.7 ‰ based on clumped isotopes of brachiopods from  
544 northern Italy (Brand et al., 2012a; Came et al., 2014), and 2) seawater  $\delta^{18}\text{O}$  of 0.0 and -  
545 0.5 ‰ for essentially ice-free subtropical latitudes during the Changhsingian (cf. Racki and  
546 Wignall, 2005; Fielding et al., 2008). The results are presented in Table 3.

547 Seawater temperatures, based on  $\delta^{18}\text{O}$  data from brachiopod low-Mg calcite, in the  
548 southern Neotethys Ocean (southwestern Tibet) during the Late Permian fluctuated from  
549 23.2 to 31.8°C, before the extinction event (Fig. 8, Table 3). This is consistent with the  
550 depositional environment of the Gyanyima Formation, which comprises subtidal reefal  
551 limestone of subtropical latitude in the southern Neotethys Ocean, but washed by warm  
552 Paleotethyan currents (Wang et al., 2010). Water temperatures at a depth of 10-15 m off  
553 Bermuda (in modern subtropical latitude) have a monthly range from 18.0 °C to 28.9°C  
554 and an annual average range of 22.4° to 24.3°C (Goodkin et al., 2008). Although, the  
555 temperature range obtained from Gyanyima brachiopods is about 4°C warmer than their  
556 modern counterparts off Bermuda, both are testimonials to their subtropical climates. This  
557 is also consistent with the climate models suggested for Late Permian oceans (e.g., Kiehl  
558 and Shields, 2005), which should have been warmer than present-day oceans.

559 The situation changes in the upper part of the Gyanyima Formation, where there is  
560 first an increase in temperature up to 32.6°C in unit 8, about 38 m below the PTB;  
561 seawater temperatures remained high up to unit 9, where a second increase in  
562 temperature exceeding 35°C is recorded from 13 to 9.5 m below the PTB. Considering  
563 constant sedimentation rates of ~ 121 m per million years, the highest temperature  
564 recorded in unit 9 occurred about 120 ky before the position of the Permian-Triassic  
565 boundary (Fig. 8). Thus, the increase in seawater temperature precedes the extinction  
566 event, as already suggested by Joachimski et al. (2012), and by Brand et al. (2012a).  
567 However, there is uncertainty in preservation and equilibrium of conodont apatite and,

568 unfortunately, brachiopod species at Gyanyima above unit 9 are not preserved to  
569 comment on the subsequent evolution of Neotethys seawater temperature.

570  $\delta^{18}\text{O}$  of the brachiopods from unit 8 and in the lower part of unit 9 do not record the  
571 cooling event envisaged by Shen et al. (2010) based on brachiopod associations, which  
572 was not however recorded by the associated foraminiferal assemblages (Wang et al.,  
573 2010). Consequently, the occurrence of peri-Gondwanan brachiopod taxa in this interval  
574 may be related to larval transport by currents rather than to a temporary cooling event.

575 In order to compare our data from the subtropical setting of Gyanyima with that of  
576 paleo-equatorial localities in the Late Permian, we recalculated seawater temperatures  
577 from Sass de Putia and Tesero (Dolomites, N Italy; Brand et al., 2012a), using the recently  
578 published oxygen isotope equation of Brand et al. (2013). The average background  
579 temperature before the events appears to be slightly lower than previously assessed at 28-  
580 32°C, but remains higher than present equatorial seawater temperature, which ranges  
581 from 24 to 29°C (Jimenez, 2001; Colin, 2002; Brand et al., 2013). As a consequence, in  
582 the Late Permian, the difference in seawater temperatures between an equatorial  
583 restricted environment (Dolomites, northern Italy) and a subtropical open-ocean one  
584 (Gyanyima, Tibet) was approximately 4°C. Interestingly, closer to the event the  
585 background temperature difference of 4°C between equatorial and subtropical seawaters  
586 disappears (Fig. 8). This is in agreement with models of global warming, predicting that  
587 initial warming is faster at mid and high latitudes in comparison to those of tropical settings  
588 (e.g., Brand et al., 2014), but later on differences in temperature along latitudes or different  
589 environments become less marked with time (Kiehl and Shields, 2005).

590 The subtropical climate conditions for the Gyanyima Formation agree with records  
591 from other uppermost Permian successions (Kearsey et al., 2009; Brand et al., 2012a;  
592 Joachimski et al., 2012; B. Chen et al., 2013, Schobben et al., 2014) and they have been  
593 interpreted as caused by the emission of the huge quantity of greenhouses gases by the

594 Siberian Traps (e.g., Reichow et al., 2009; Svensen et al., 2009; Grasby et al., 2011; Brand  
595 et al., 2012a; Burgess et al., 2014). It is unequivocal, based on several biotic archives and  
596 geochemical proxies from a number of localities, that end Permian global warming started  
597 prior to the mass extinction event, and was far-reaching throughout the Tethys.

## 598 **8. Conclusions**

599 The end Permian mass extinction reached all corners of the globe including the seamount  
600 of Gyanyima, Tibet in the southern Neotethys. The geochemistry of pristine brachiopods  
601 from the open-sea Gyanyima Formation provides valuable insights into the oceanographic  
602 conditions of the Neotethys before the onset of the end-Permian mass extinction event:

- 603 1) After intensive and detailed screening of all available material (brachiopod shells  
604 and whole-rock samples) we re-constructed high-resolution strontium, carbon,  
605 redox and temperature curves for the subtropical latitude, open sea of the southern  
606 Neotethys during the Late Permian.
- 607 2) Ce/Ce\* anomaly of brachiopods (0.310 to 0.577) and whole rock (0.237 to 0.655)  
608 before and in whole rock (0.276) during the estimated extinction interval suggests  
609 that the southern Neotethys seawater was oxic, which is well in agreement with  
610 modern counterparts and suitable for its rich invertebrate fauna.
- 611 3)  $\delta^{13}\text{C}$  from brachiopod shells displays generally invariant values fluctuating between  
612 4.04 ‰ and 5.60 ‰ up to unit 9, while two whole rock samples from unit 10 record a  
613 mild negative excursion before the Permian-Triassic boundary, which is ascribed to  
614 diagenesis.
- 615 4) Based on pristine brachiopod  $\delta^{18}\text{O}$  and a seawater  $\delta^{18}\text{O}$  composition of -0.5 ‰,  
616 seawater temperature at coral reef depth varied about 26°C from unit 1 to the base  
617 of unit 8 of the Gyanyima Formation. Subsequently, temperature of southern  
618 Neotethys seawater exceeded 35°C at about 120 ky before the Permian-Triassic  
619 boundary, just prior to the extinction event, which is similar to the seawater



620 temperatures recorded in northern Italy during this time, supporting the paradigm  
621 that global warming must have been an important factor of the biotic crisis  
622 5) The pristine brachiopod archive from the open-ocean seamount Tibet in the  
623 southern Neotethys Ocean supports subtropical oceanic conditions right before the  
624 biotic event, but was followed by extreme warming to levels similar to those  
625 experienced at equatorial end Permian localities.

626

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639

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916

917 Figure captions

918 Fig. 1. Late Permian paleogeographic reconstruction showing the position of the Gyanyima  
919 block and of the Permian-Triassic boundary sections of the Dolomites (Italy) and Meishan  
920 (South China, red stars; modified after Muttoni et al. 2009). The inset provides greater  
921 detail of the geographic location of the Gyanyima section.

922 Fig. 2. Stratigraphic log showing the lithology and last occurrences of taxa in the Gyanyima  
923 Formation (modified after Shen et al. 2010). The range extensions (blue line) are  
924 calculated using the equation given by Strauss and Sadler (1989); the red line is the  
925 extinction boundary that lies above the highest fossil presence (Wang and Marshall, 2004)  
926 and below the first occurrence of Triassic conodonts, which is about 1.3 m above the base  
927 of the Lanchengquxia Fm. (Shen et al. 2010). The orange band indicates the extinction  
928 duration with a confidence interval of 95% following the methods of Wang et al. (2012)  
929 (Appendix 1A/B). On the right, the plot the stratigraphic abundance versus last occurrence  
930 below the extinction horizon shows that the extinction was sudden (Meldahl, 1990).

931 Figure 3. A - specimen 79 (8-14), laminar secondary layer of *Costiferina indica* with typical  
932 cross-bladed pattern; laminae are formed by structural units packed together with parallel  
933 longitudinal axes, organized in distinct packages, each with blade axis orientations  
934 approximately perpendicular to the adjacent one, as shown by the alternation of  
935 longitudinal (l) and cross (c) sections of the laminae; B - specimen 13 (7-5), details of a  
936 pseudopuncta of *Costiferina* sp. ind. formed by inwardly deflected laminae around a solid  
937 rod of calcite called taleola (t); C - specimen 52 (6-12), laminar secondary layer of  
938 *Richthofenia lawrenciana* crossed by numerous pseudopunctae (arrows); D - specimen  
939 19 (9-23), cross section of fibers of the secondary layer of *Permophricodothyris* sp. ind.  
940 ind.; E - specimen 83 (T9-23), prismatic tertiary layer of *Permophricodothyris* sp. ind. ind.  
941 showing micrometric bands which represent steps of growth; F - specimen 41 (6-12),  
942 fibrous secondary layer of *Acosarina* sp. ind. crossed by endopunctae (sp) which deflect  
943 the fibers outward; G - specimen 77 (8-13), well preserved laminar secondary layer of  
944 *Costiferina indica* showing a fracture (arrows) filled by diagenetic calcite (outlined); H -  
945 specimen 70 (6-15), altered fibrous secondary layer of *Permophricodothyris* sp. ind. in  
946 which the fibers lose their outline and are partially amalgamated.

947 Figure 4. A - specimen 75 (8-2), laminar secondary layer of *Costiferina indica* showing  
948 pattern of non luminescent and faint luminescent bands; B - specimen 63 (6-12), laminar  
949 secondary layer of *Costiferina subcostatus* showing a slightly luminescent region external  
950 rim(sl), a non luminescent inner region (nl) and a fracture-filled luminescent diagenetic



951 calcite (arrow); C - specimen 86 (9-27), secondary shell of *Costiferina subcostatus* with a  
952 network of fractures filled by luminescent diagenetic calcite (arrow), crossing non  
953 luminescent shell (nl); the matrix (m) is luminescent; D - specimen 36 (9-23), non  
954 luminescent prismatic shell (s) of *Permophricodothyris* sp. ind.; E/F - specimen 48 (9-23),  
955 shell of *Stenosisma* sp. ind. in transmitted light and cathodoluminescence respectively;  
956 the shell is non luminescent; the internal cavity is (s) filled by fibrous radial calcite cement  
957 (c) of three zones (c1, c2, c3); G/H - specimen 81 (9-23), shell of *Neospirifer* sp. ind. in  
958 transmitted light and cathodoluminescence respectively; the outer secondary layer (f) is  
959 slightly luminescent (sl) and the inner prismatic one (p) is non luminescent (nl) but partially  
960 dolomitized by luminescent zoned dolomite rhombs (d); I / L – specimen 58 (9-23), non  
961 luminescent fibrous secondary layer of *Notothyris* sp. ind. (s) crossed by endopunctae  
962 which are filled by luminescent diagenetic calcite and an internal cavity filled by diagenetic  
963 calcite with different zones of luminescence (c1, c2 and c3) similar to fig. F; M – specimen  
964 37 (9-23), luminescent secondary (l) and non luminescent tertiary (nl) layers of  
965 *Permophricodothyris* sp. ind. with a few fractures (arrow) filled by diagenetic luminescent  
966 calcite and partially dolomitized matrix (m); N - specimen 78 (8-14), secondary laminar  
967 layer of *Costiferina indica* (s) showing alternation of luminescent and faint luminescent  
968 bands; the internal cavity is filled by calcite cements (c). In all figures (m) indicates the  
969 matrix.

970 Fig. 5. Strontium, magnesium, carbon and oxygen isotope trends with progressive post-  
971 depositional alteration in brachiopods and whole rock from Tibet. The depletion of Sr and  
972 enrichment of Mg is typical for post-depositional alteration in the presence of meteoric  
973 water (Brand and Veizer, 1980). Enrichment in the light carbon and oxygen isotope values  
974 depends on the isotopic composition of the diagenetic fluid (cf. Brand and Veizer, 1981;  
975 Banner and Hanson, 1990; Brand, 2004). Material from beds 6-1 (A, B) and 7-1 (C, D)  
976 show trends typical of diagenetic alteration; a screening concept applied to all horizons  
977 (Brand et al., 2011; Ullman and Korte, 2015).

978 Fig. 6. Chemostratigraphic and temperature trends for the Changhsingian succession of  
979 the Gyanyima Formation of seamount Tibet. The Sr isotope trend is invariant until the base  
980 of unit 8, subsequently values become increasingly radiogenic. Carbon isotope  
981 compositions are variable throughout the succession, but show no negative carbon isotope  
982 excursion, with whole rock values more negative by about 2‰ compared to brachiopod  
983 ones. Oxygen isotope compositions are equally invariant through units 6 and 7, followed

984 by negative excursions in units 8 and 9. Ce\* anomaly values of brachiopods and whole  
985 rock are consistently less than unity and thus infer oxic seawater conditions throughout the  
986 sequence. Temperature is relatively invariant until the base of unit 8, but then it takes a  
987 dramatic and sudden leap to higher values. We present brachiopod  $\delta^{13}\text{C}$  before (green  
988 empty symbols) and after (green filled symbols) adjustment for fabric control (see text; cf.  
989 Garbelli et al., 2014). Filled and cross symbols represent preserved and partially altered  
990 specimens respectively.

991

992 Fig. 7. Cross plot of  $\delta^{13}\text{C}$  in Strophomenata and Rhynchonellata shells. It is evident that  
993  $\delta^{18}\text{O}$  composition of brachiopod taxa of the two classes has a similar range of values ,  
994 whereas the  $\delta^{13}\text{C}$  composition is conspicuously different, as also highlighted by the box  
995 plots in the right corner. Filled, cross and empty symbols represent preserved, partially  
996 altered and altered specimens respectively.

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999 Fig. 8. A close-up of the carbon, strontium and temperature trends from horizon 7-16 to 9-  
1000 27 of the Gyanyima Formation of Tibet. Brachiopod and whole rock  $\delta^{13}\text{C}$  is invariant right  
1001 up to onset and into (only whole rock data) the end Permian mass extinction event. The  
1002 slight negative excursion of  $\delta^{13}\text{C}$  values noted in horizon T-10-40 and T-10-41. is ascribed  
1003 to diagenetic alteration. In contrast, strontium isotopes and water temperature show  
1004 significant radiogenic and positive (warming) offsets approaching the event. We present  
1005 brachiopod  $\delta^{13}\text{C}$  after adjustment for fabric control. Filled and cross symbols represent  
1006 preserved and partially altered specimens respectively.

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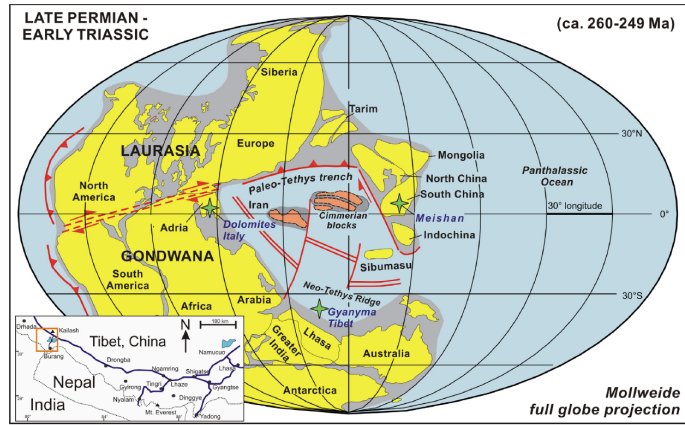
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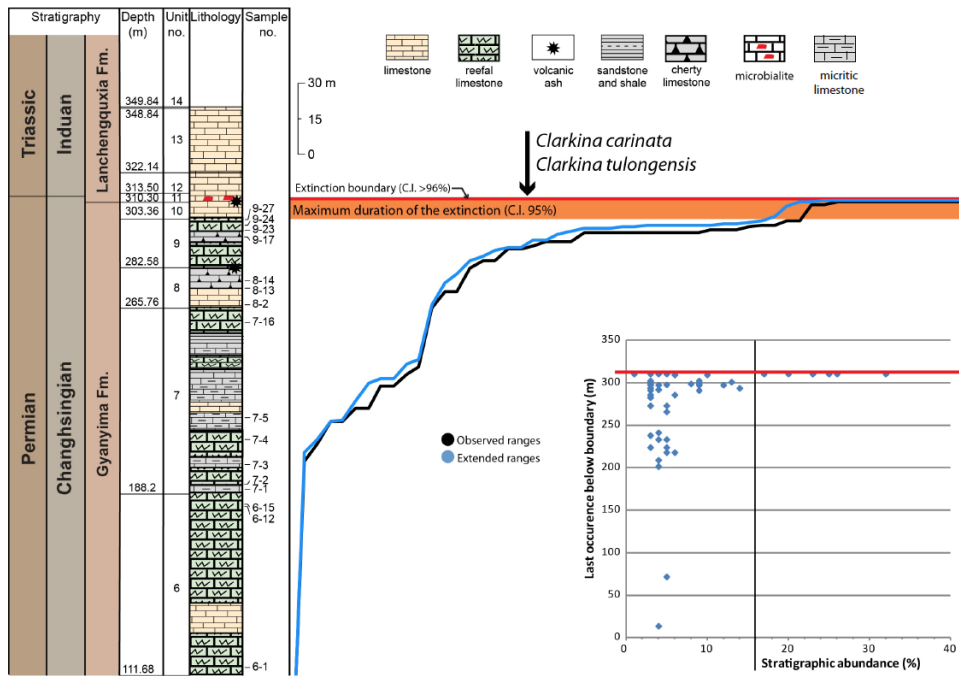
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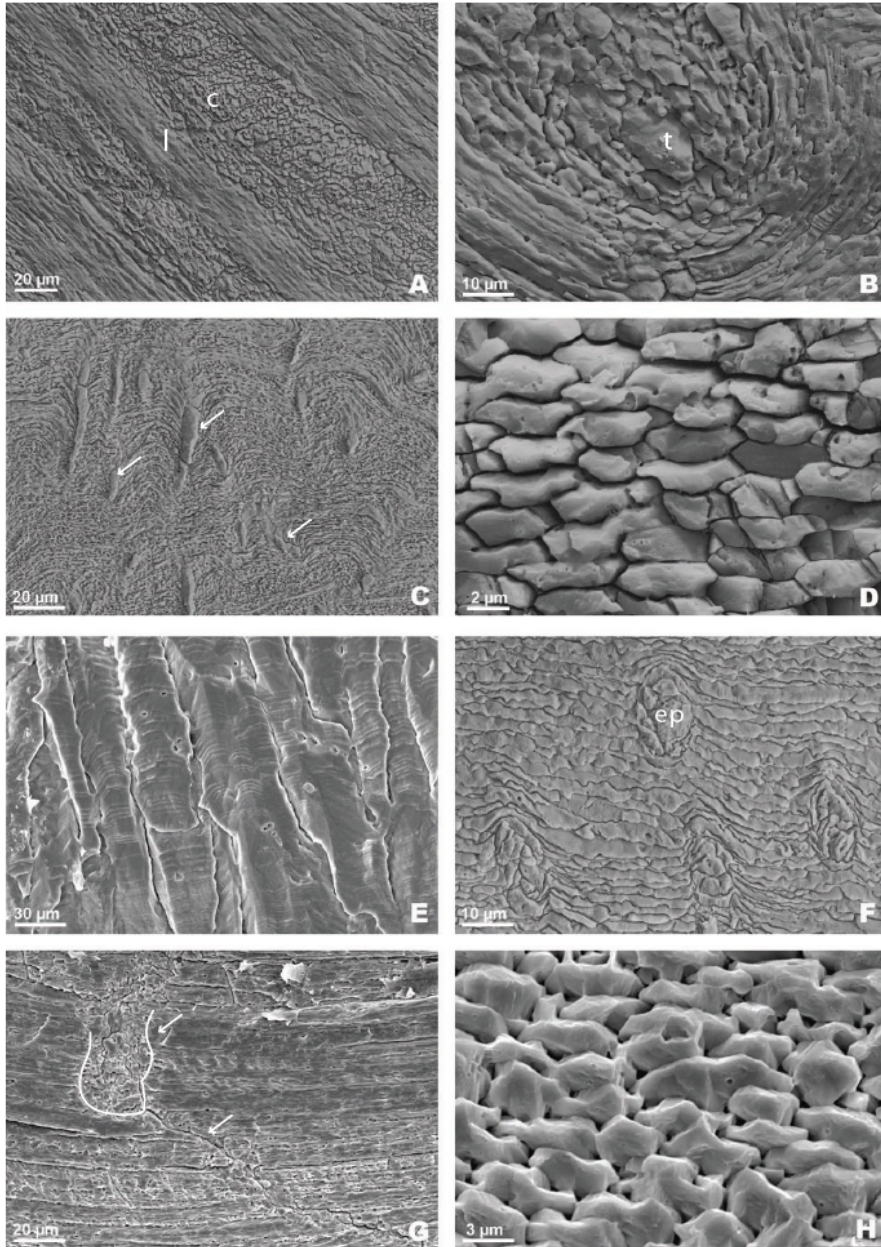
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Figure 1



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Figure 2



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Figure 3

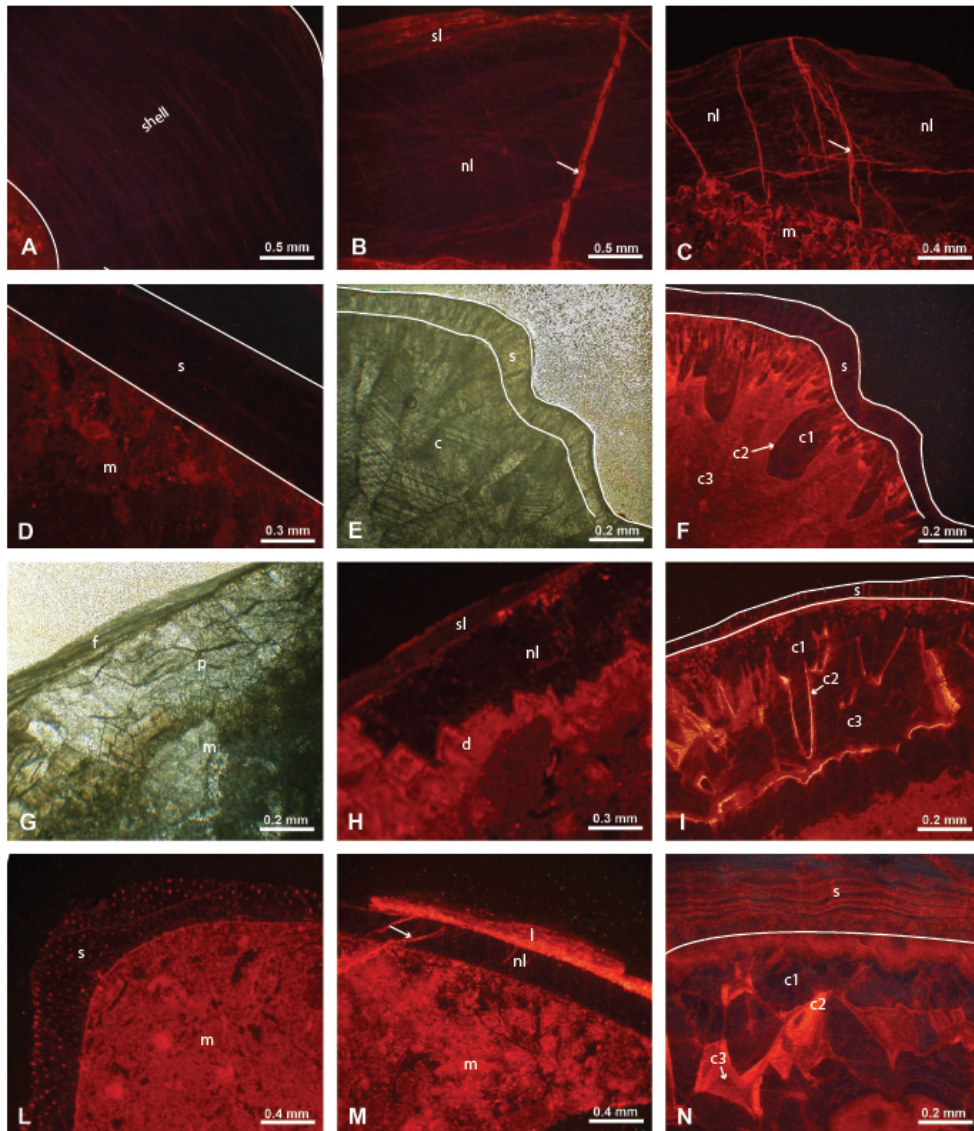


Figure 4

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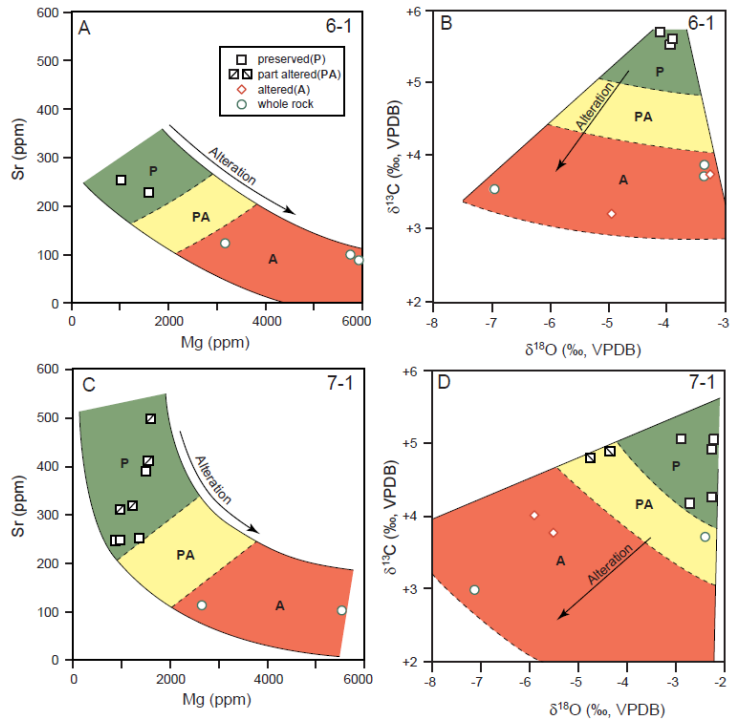


Figure 5

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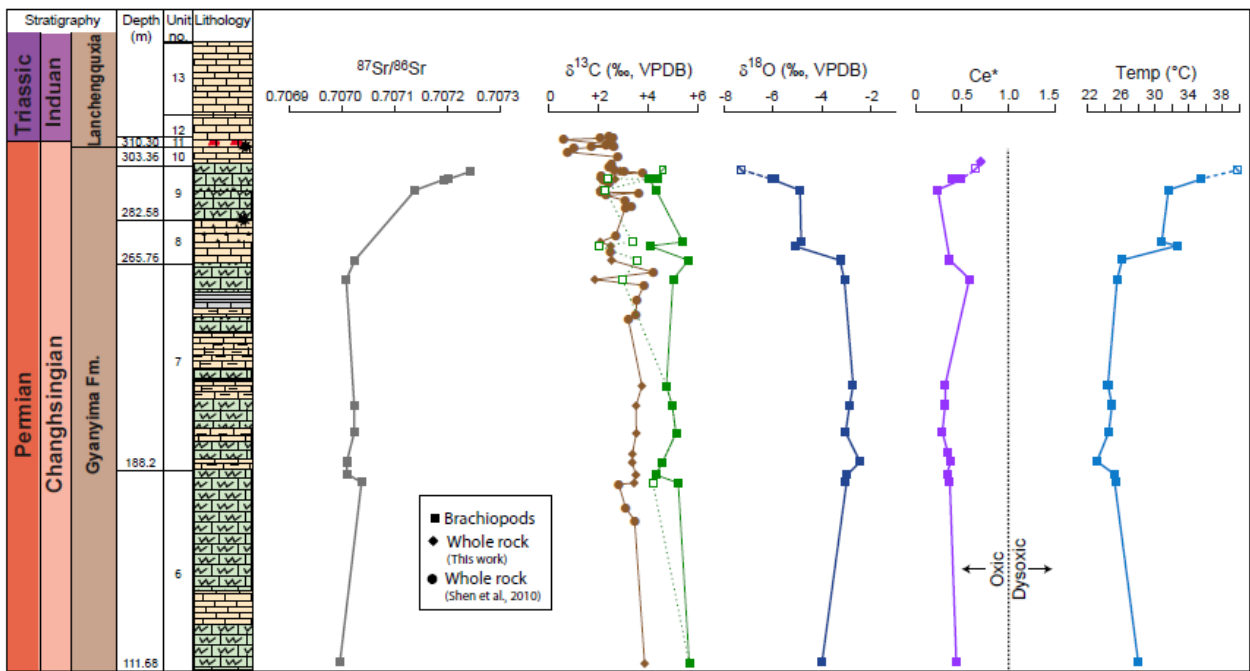
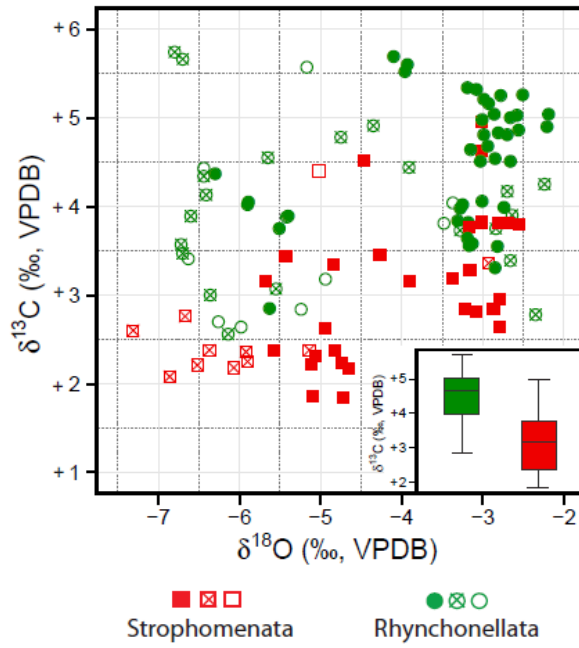


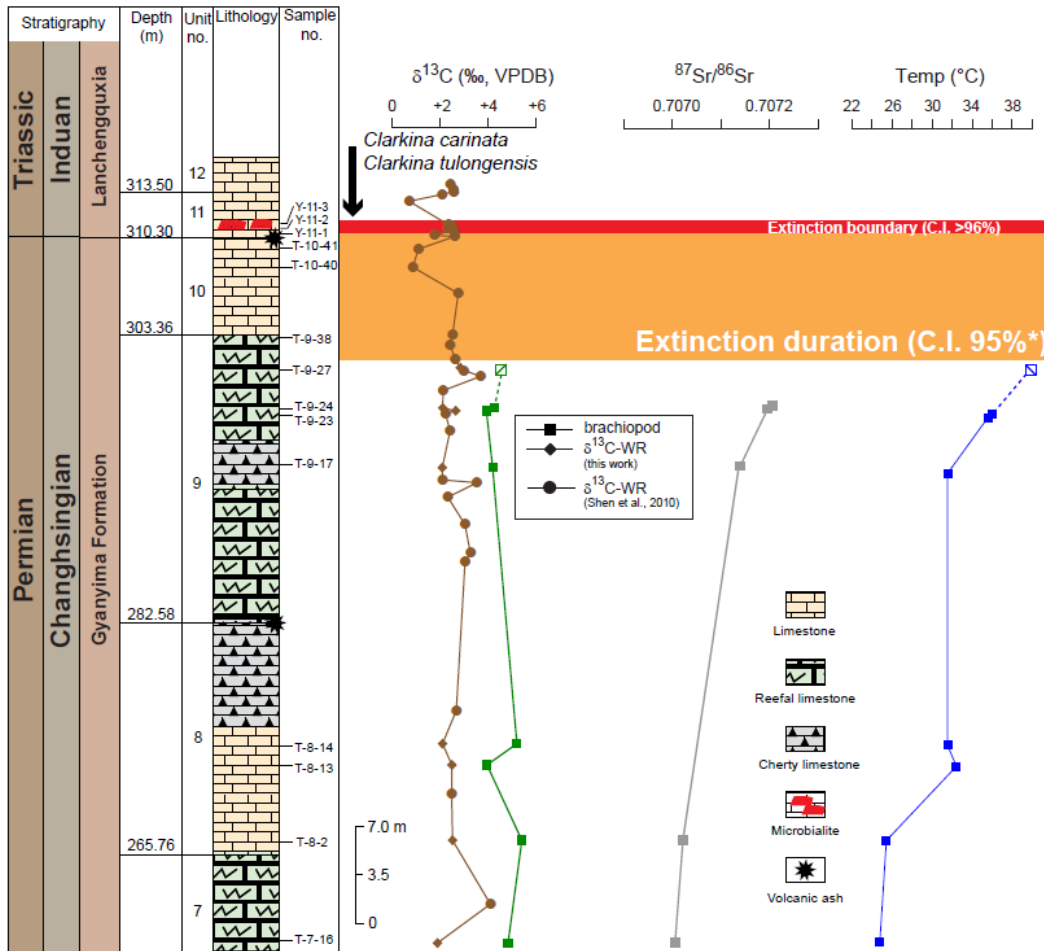
Figure 6

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Figure 7



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Figure 8

**Table 1**  
Type of fabric preservation observed at SEM.

RP	Recrystallized primary layer, with calcite crystals oriented approximately perpendicular to the outer surface of the shell.
WL	Well preserved laminar secondary layer; the fabric is uniform and homogeneous, with regularly arranged interstitial spaces between structural units (blades/laths) (Fig. 3A); blades/laths have approximately the same size and show lateral continuity.
AL	Altered laminar secondary layer with heterogeneous fabric; blades/laths and interstitial spaces are randomly distributed; single blades lack lateral continuity and are irregular in shape and size; there are frequent fractures filled by diagenetic calcite.
WF	Well preserved fibrous secondary layer, showing fibers with a well-defined keel and saddle outline in cross section.
CF	Secondary layer with coarse angular profile of fibers in cross-section; this is considered an intermediate level of alteration.
AF	Altered fibrous secondary layer; fibers are amalgamated and their outline is no longer recognizable.
P	Prismatic tertiary layer with well-defined prisms with prominent growth lines, occasional calcite twinning.

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**Table 1**

Horizon	Sample #	Material	ΣREE	Ce/Ce*	Interpretation
6-1	PTT-4 m	Whole rock	21.201	0.421	Oxic
	PTT-55-1	Brachiopod (?)	24.798	0.532	Oxic
6-12	PTT-52 m	Whole rock	12.549	0.422	Oxic
	PTT-52-3	Brachiopod	9.103	0.310	Oxic
7-5	PTT-11 m	Whole rock	22.152	0.308	Oxic
	PTT-11-3	Brachiopod	11.835	0.311	Oxic
7-16	PTT-16-25	Brachiopod	13.487	0.578	Oxic
8-2	PTT-16 m	Whole rock	17.139	0.360	Oxic
	PTT-14 m	Whole rock	40.219	0.413	Oxic
	PTT-14-3	Brachiopod	2.242	0.354	Oxic
9-17	PTT-28 m	Whole rock	92.778	0.238	Oxic
9-23	PTT-38 m	Whole rock	22.785	0.588	Oxic
9-24	PTT-22 m	Whole rock	36.651	0.538	Oxic
	PTT-23 m	Whole rock	54.921	0.291	Oxic
Whole rock	N = 9	Mean: 0.398	SD: 0.11	p = 0.775	
Brachiopods	N = 5	Mean: 0.417	SD: 0.13		

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**Table 2**



Horizon	Seawater ‰ carbonate	+0.7 (B)	0.0	-0.5	+0.7 (K)	0.0	-0.5
6-1	-3.99 (3)	32.7	30.3	28.6	37.0	33.4	30.8
6-12	-2.99 (14)	29.4	27.0	25.3	31.8	28.2	25.7
6-15	-2.95 (15)	29.3	26.8	25.1	31.6	28.0	25.5
7-1	-2.44 (5)	27.3	24.9	23.2	29.0	25.5	23.0
7-3	-2.78 (5)	28.6	26.2	24.5	30.7	27.2	24.7
7-4	-2.93 (5)	29.1	26.7	25.0	31.5	27.9	25.4
7-5	-2.72 (3)	28.6	26.2	24.4	30.4	26.9	24.4
7-16	-3.08 (5)	29.7	27.3	25.5	32.3	28.7	26.2
8-2	-3.26 (3)	30.2	27.8	26.1	<b>33.2</b>	29.6	27.1
8-13	-5.11 (2)	36.7	<b>34.3</b>	32.6	43.1	39.3	36.6
8-14	-4.85 (3)	<b>35.9</b>	<b>33.5</b>	31.8	41.7	37.9	<b>35.2</b>
9-17	-4.87 (7)	<b>35.9</b>	<b>33.5</b>	31.8	41.8	38.0	<b>35.3</b>
9-23	-6.01 (6)	39.9	37.4	<b>35.7</b>	48.1	44.2	41.5
9-24	-6.05 (3)	40.0	37.5	<b>35.8</b>	48.4	44.4	41.7
9-27	-7.31 (1, altered)	44.3	41.9	40.1	55.6	51.6	48.7

Note: temperature regimes: red-unsuitable; bold – pushing the thermal adaptive limit (threshold) for brachiopods.

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Table 3