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Oxidative stress in health and disease: role of PKC ζ and p66^{Shc}

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ABSTRACT

Reactive oxygen species (ROS) are short-living and highly reactive molecules formed by incomplete one-electron reduction of oxygen. Mitochondria produce low levels of ROS as an inevitable consequence of oxidative metabolism. Low levels of ROS are normally reduced by non-enzymatic and enzymatic oxidizing agents, such as glutathione, thioredoxin, superoxide dismutase (SOD), catalase and peroxidases. Oxidative stress results from exposure to high levels of ROS, which are not detoxified by cellular antioxidant agents, and produces cellular damage due to the oxidation of cellular constituents. ROS, however play not only a damaging role: recent studies have demonstrated that they also actively participate in a diverse array of biological processes, including normal cell growth, induction and maintenance of the transformed state, and cellular senescence. Moreover, ROS can also provide a signaling function, by acting as an intracellular messenger involved in the transduction of the signaling of cytokines such as TNF- α and IL-1 β . We focused our attention on the effect of ROS in the activities of two proteins: PKC ζ and p66^{Shc}. On the one hand, PKC ζ is a serine-threonine kinase belonging to the atypical subfamily of PKC proteins. We showed that in an in vitro model redox stress induces PKC ζ translocation from the cytosol, where it is located in resting conditions, to the nucleus. Nuclear PKC ζ protects cells from apoptotic stimuli such as H₂O₂ or ceramide and this protective effect is reverted by the selective inhibition of the nuclear pool of the protein. The protective effect of nuclear PKC ζ is involved in the chemoresistance of tumor cells to chemotherapeutic drugs, as demonstrated by the fact that the selective inhibitor of nuclear PKC ζ can revert the chemoresistance, suggesting nuclear PKC ζ as a suitable target in anticancer therapies. On the other hand p66^{Shc} is a Shc protein involved in stress responses, in particular it is activated by redox stress and it produces ROS itself. Our purpose is to investigate a possible involvement of p66^{Shc} in two different phenomena: autophagy and adipogenic transdifferentiation of skeletal muscle cells by comparing wt mice with p66^{Shc} KO mice. Autophagy is a general term referring to pathways for the degradation of cellular constituents (cytosol and organelles) by the autophagolysosome; it is activated mainly by nutrient starvation and it plays a dual role: it is primarily a surviving mechanism, but it also leads to cell death (called type II cell death) thus possibly acting as an alternative to apoptosis. Starting from this notion, and from

the fact that p66^{Shc} KO cells are protected from apoptosis, we investigated a possible role of p66shc as a key element in the switch from apoptosis to autophagy. We observed that, while in wt cells redox stress induces apoptosis, cells lacking p66^{Shc} in the same condition activate the autophagic pathway. We are now trying to investigate the biological effect of these observation. The second aspect of our work is the investigation of a putative role of p66^{Shc} in the adipogenic transdifferentiation of skeletal muscle precursor cells. To this purpose we used an in vivo model and we observed that mice lacking p66^{Shc} exposed to muscle damage (e.g. freeze injury or redox stress) show lower adipocyte accumulation than wt mice, thus suggesting a role of p66 in the activation of adipogenic differentiation pathway. The key purpose of this work is the evaluation of many different effects of ROS production, looking for possible molecular targets to fight pathological processes such as chemoresistance and adipogenic degeneration of skeletal muscle.

ABSTRACT (ITALIANO)

Le specie reattive dell'ossigeno (ROS) sono piccole molecole altamente reattive e a breve emivita, che si formano in seguito alla riduzione incompleta di molecole di ossigeno. Piccole quantità di ROS vengono fisiologicamente prodotte a livello del mitocondrio come conseguenza inevitabile del metabolismo ossidativo. Bassi livelli di ROS vengono normalmente ridotti da agenti ossidanti, enzimatici e non, quali il glutatione, la tioredoxina, la superossido-dismutasi (SOD), la catalasi e la perossidasi. Lo stress ossidativo è una condizione derivante dall'esposizione ad elevati livelli di ROS, che non vengono completamente eliminati da agenti antiossidanti, e produce danno cellulare dovuto all'ossidazione di componenti cellulari. I ROS, tuttavia, non hanno soltanto un effetto dannoso: studi recenti, infatti, hanno dimostrato che i ROS partecipano attivamente ad un'ampia serie di processi biologici, inclusi la crescita cellulare, l'induzione ed il mantenimento di stati trasformati e la senescenza cellulare. Inoltre, i ROS svolgono anche il ruolo di molecole segnale agendo come messaggeri intracellulari coinvolti nella trasduzione del segnale di citochine, quali TNF α ed IL-1 β . Nel presente lavoro, abbiamo focalizzato la nostra attenzione sull'effetto dei ROS sulla funzione di due proteine: PKC ζ e p66^{Shc}. PKC ζ è una serin-treonin chinasi appartenente alla sottofamiglia delle PKC atipiche. Abbiamo dimostrato che, in vitro, uno stress redox induce la traslocazione di PKC ζ dal citosol, dove è localizzata in condizioni di riposo, al nucleo. La porzione di PKC ζ nucleare protegge le cellule dagli effetti apoptotici di vari stimoli, quali alte concentrazioni di H₂O₂ o ceramide, e questo effetto protettivo viene annullato dall'inibizione selettiva della porzione nucleare di PKC ζ . L'effetto protettivo di PKC ζ , inoltre, risulta essere coinvolto nello sviluppo di chemoresistenza da parte di cellule tumorali, come dimostrato dal fatto che l'inibizione selettiva della porzione nucleare della chinasi può ridurre questo fenomeno, suggerendo che PKC ζ potrebbe rappresentare un possibile target nello sviluppo di farmaci coadiuvanti terapie antitumorali. p66, invece, è una proteina adattatrice appartenente alla famiglia delle proteine Shc ed è coinvolta nella risposta cellulare a stress ossidativi; in particolare p66 viene attivata in seguito ad uno stress redox e, quando attivata, può agire da ossido reduttasi mitocondriale producendo ROS. Il nostro principale scopo nel presente lavoro, è stato quello di analizzare un possibile ruolo di p66 in due diversi fenomeni: l'autofagia e il differenziamento adipocitario di precursori miogenici,

attraverso la comparazione di topi wt e di topi KO per p66. Il termine autofagia fa riferimento ad un meccanismo di degradazione di componenti cellulari (citosol ed organelli), da parte di strutture digestive dette autofagolisosomi. L'autofagia viene attivata principalmente in seguito alla deprivazione di nutrienti e svolge principalmente due ruoli: da una parte, infatti, agisce come meccanismo di sopravvivenza in condizioni metaboliche avverse, d'altro canto può culminare nella morte cellulare (è conosciuta come morte cellulare di tipo II), agendo in questo modo come meccanismo alternativo rispetto all'apoptosi. Partendo da questa nozione, nonché dal fatto che cellule KO per p66 sono protette dall'apoptosi, abbiamo analizzato un possibile ruolo di p66 come elemento chiave nello switch fra apoptosi ed autofagia. Abbiamo osservato che, mentre in cellule wt, uno stress redox induce apoptosi, cellule p66KO nelle stesse condizioni attivano la via autofagica; stiamo adesso cercando di comprendere l'effetto biologico di queste osservazioni. Il secondo aspetto del nostro lavoro consiste nell'analisi di un possibile ruolo di p66 nel differenziamento adipocitario di precursori miogenici localizzati a livello del muscolo scheletrico. A tale scopo abbiamo utilizzato un modello in vivo ed abbiamo osservato che topi privi di p66 in seguito ad un danno muscolare (indotto, per esempio, in seguito a freeze-injury o ad uno stress redox), presentano un minor accumulo di adipociti rispetto ai wt, suggerendo un ruolo di p66 nell'attivazione di vie di differenziamento adipogenico. Lo scopo principale di questo lavoro è stata la valutazione di alcuni dei diversi effetti dovuti alla produzione di ROS, attraverso l'analisi di possibili target molecolari utili per intervenire in processi patologici quali la resistenza a farmaci chemioterapici e la degenerazione grassa del tessuto muscolare scheletrico in cui lo stress ossidativo sembra svolgere un ruolo di primo piano.

INTRODUCTION

REACTIVE OXYGEN SPECIES

Reactive oxygen species (ROS) are defined as molecules or ions formed by the incomplete one-electron reduction of oxygen. There are two types of ROS: free radicals, which contains one or more unpaired electron in their external molecular orbital, such as superoxide ($O_2^{\cdot-}$) or hydroxyl radicals (HOO^{\cdot}), and non radical species, such as hydrogen peroxide (H_2O_2). ROS are produced by the cells during their normal metabolism and the major source of ROS are mitochondria, in particular the respiratory chain. The respiratory chain, located in the inner mitochondrial membrane, is composed of four multimeric integral membrane protein complexes (I-IV), coenzyme Q and cytochrome c (cyt c), a peripheral protein that binds to the outer surface of the inner membrane. The respiratory chain complexes I and III are the primary mitochondrial sources of univalent reduction of O_2 into superoxide. Superoxide can be rapidly converted into H_2O_2 by superoxide dismutase (SOD); two different SOD isoforms exist in the cell: CuZnSOD, that resides in the mitochondrial intermembrane space and in the cytosol and needs Cu or Zn as cofactors, and MnSOD, which is localized in the mitochondrial matrix and needs Mn as cofactor. Once generated, uncharged H_2O_2 moves across mitochondrial membranes into the cytosol, where it can be converted to water by catalase or glutathione peroxidase. Otherwise, in presence of transition metals (such as Fe^{2+}), H_2O_2 can be converted to hydroxyl radicals (OH^{\cdot}), which are highly reactive. Other sources of free radicals are the endoplasmic reticulum system, the NADPH oxidase complex and the nitric oxide synthase (NOS), which produces nitric oxide (NO^{\cdot}) from arginine. NO^{\cdot} is reactive a radical having a very short half-life, which can react with superoxide to form peroxynitrite ($ONOO^{\cdot}$), a non radical species, which is capable of modifying the structure and function of proteins. In

physiological conditions cells employ a number of mechanisms to scavenge and detoxify ROS to maintain a permissive redox environment. The glutathione redox couple (GSH/GSSG) is the primary cellular redox buffer: GSH is cysteine containing tripeptide that can directly scavenge ROS or act as a cofactor for glutathione peroxidase. GSH is subsequently reduced by glutathione reductase which uses NADPH as a substrate. NADH/NAD⁺ couple also play a role in redox buffering, as thioredoxin, a disulphide containing protein that can directly scavenge H₂O₂ as part of thioredoxin reductase and thioredoxin peroxidase system. ROS detoxification also involves enzymes such as SOD, which catalyzes the dismutation of O²⁻ into H₂O₂ and O₂, and catalase, which detoxifies H₂O₂. ROS are chemically reactive molecules that play both toxic and essential functions in living organisms. A moderate increase in ROS can promote cell proliferation and differentiation, through the regulation of the activity of enzymes such as ribonucleotide reductase, they can mediate inflammation by stimulating cytokine production and they can eliminate pathogens and foreign particles. On the other hand, excessive amount of ROS (condition known as redox stress or oxidative stress) can cause damage to the cells. Many components of cells can be target for ROS-induced oxidation: DNA, in presence of excessive ROS levels, undergoes oxidative damage, which can be assessed by analyzing the levels of 8-hydroxideoxoguanosine which accumulates in DNA; mitochondrial DNA (mtDNA) is particularly susceptible to oxidative damage, probably because it is closer to the site of ROS generation. ROS can also produce oxidative damage to proteins and lipids. Lipid peroxidation consists of the interaction of OH[•] radical with unsaturated bonds in a membrane lipid and it affects membrane permeability and function. Therefore, maintaining ROS homeostasis is crucial for normal cell growth and survival, for this reason cells finely control the balancing of ROS generation and scavenging. An increase in ROS, indeed, is associated with many pathological states, such as abnormal cancer cell growth and diabetes.

Compared with normal cells, malignant cells seem to function with higher levels of endogenous oxidative stress in culture and in vivo (Szatrowski and Nathan 1991; Kawanishi and Murata 2006). For example, leukemic cells freshly isolated from blood samples show increased ROS production compared with normal lymphocytes (Zhou, McEarchern et al. 2003; Kamiguti, Serrander et al. 2005), while in solid tumors oxidized DNA bases and lipid peroxidation products have been observed ((Patel, Rawal et al. 2007; Kumar, Koul et al. 2008). Moreover, malignant cells show an

alteration in the regulation of redox homeostasis due to a reduction in the activity of ROS-scavenging enzymes. The precise pathway leading to redox stress in cancer cells remains yet unclear, but many intrinsic and extrinsic pathways are thought to be involved in oxidative stress during cancer development. Some examples of intrinsic factors are represented by the activation of oncogenes (such as those associated with tumor transformation like Ras, Bcr-Abl, c-Myc), by the aberrant metabolism, by mitochondrial dysfunctions and by the loss of functional p53. Mitochondrial DNA (mtDNA) mutations have also been shown to be correlated with increased ROS levels in certain types of cancer cells, because several protein components of the electron transport chain are encoded by mtDNA, so mutations of these genes cause impairment in electron transfer, leading to leakage of electrons and generation of superoxide. At an advanced disease stage, redox stress becomes part of a vicious cycle, in which ROS induce gene mutations, thus leading to further metabolic dysfunction and ROS generation. Moreover, in physiological conditions, p53 acts as a transcription factor which regulates, among the others, the expression of genes involved in the regulation of the redox state. One of the most common feature of cancer cells is the loss of function of p53, which produces redox imbalance, high mutagenesis and aggressive tumor growth. Extrinsic mechanism leading redox stress in cancer cells are due to the interaction of cancer cells with the microenvironment: examples of extrinsic factors are represented by the presence of inflammatory cytokines, such as $TNF\alpha$, by macrophages, by an imbalance of nutrients and by the presence of an hypoxic environment. Increased ROS stress in cancer cells correlates with the aggressiveness of tumor and with poor prognosis and this aspect is in apparent contradiction with the proapoptotic effect of ROS which should, on the contrary, promote the elimination of cancer cells. This contradiction can be explained by the fact that it has been observed that cancer cells can survive oxidative stress thanks to the acquirement of adaptive mechanisms consisting of an increased ROS scavenging activity. During malignant transformation, therefore, oncogenic signals both induce ROS generation to stimulate cell proliferation through redox-sensitive transcriptional factors, and promote antioxidant adaptive mechanisms to minimize oxidative damage. All of these mechanisms also confer to cancer cells an increased capacity to tolerate exogenous stress and insults, so they are involved in the development of drug resistance which is one of the main characteristic of cancer. An increase in ROS production can also influence the viability of cells: dependent on the impact of ROS, the cells can either repair the damage or activate pathways leading cellular suicide through

apoptosis, a form of cell death that occurs during several pathological situations in multicellular organisms, characterized by cell shrinkage, chromatin condensation DNA fragmentation and formation of “apoptotic bodies”. The execution of the apoptotic program consists mainly in the activation of the caspase cascade. Caspases are cysteine-containing, aspartic-acid specific proteases which exist as zymogens in the soluble cytoplasm, mitochondrial intermembrane space and nuclear matrix of all cells. The cascade of caspases ends in the cleavage of many protein targets, for example endonucleases which, once activated, enter the nucleus and are responsible for DNA fragmentation. Many studies investigated the role of redox stress in the regulation of apoptosis, underlying an apparent contradictory effect of ROS. Indeed, incubating cells with exogenous oxidants or adding redox-active compounds triggers apoptosis, but the mechanism is not yet completely clear. One possibility is that ROS produce a damage which, when sensed by the cell, activate the apoptotic machinery; one example is the detection of DNA damage by p53. One other explanation is that ROS, at low concentration, can activate caspases thus inducing apoptosis, but the most important mechanism leading ROS-induced apoptosis is the impairment of mitochondrial function. ROS indeed seem to be involved in the mitochondrial permeability transition (MPT), in particular they increase the efflux of mitochondrial Ca^{2+} through the MPT and the efflux of Ca^{2+} disrupts the ion homeostasis and causes drastic changes in mitochondrial ultrastructure and functional activity. MPT results in mitochondrial failure which can lead to necrosis due to ATP depletion, or, if MPT occurs in a subpopulation of mitochondria and the remaining organelle can maintain membrane potential and produce enough ATP, to caspase activation and apoptotic program. Finally, ROS can induce apoptosis by leading the release of cytochrome C from mitochondrial intermembrane space. Cytochrome c, indeed, is normally bound to the inner mitochondrial membrane, associated with the anionic phospholipid cardiolipin: the binding of cytochrome c with cardiolipin is fundamental to maintain cytochrome c into mitochondria. ROS induces cardiolipin oxidation, thus decreasing its binding affinity for cytochrome c, which, after the outer mitochondrial membrane permeabilization, can be released into the cytosol, where it binds to Apaf1 thus forming the so-called apoptosome, which recruits and binds the procaspase 9, which, when activated, starts the apoptotic program.

Oxidative stress moreover, both is affected by and affects autophagy, a process by which eukaryotic cells degrade and recycle macromolecules and organelles. First of all, autophagy is involved in the

clearance of damaged mitochondria, thus decreasing their potential oxidative damage; this process, named mitophagy, plays an important role in protecting cells from ROS-induced cell death. Moreover, oxidized substrate proteins can be eliminated through chaperone-mediated autophagy and they can translocate to lysosomes more efficiently than their unaltered counterparts. ROS, in particular mitochondrial ROS, might also have a signaling role in autophagy, as demonstrated by many evidences collected so far. For example, it has been demonstrated that TNF- α , induces accumulation of H₂O₂ through the inhibition of mitochondrial electron transfer, thus inducing the expression of Beclin-1 and leading to autophagic cell death. (Djavaheri-Mergny, Amelotti et al. 2006). Moreover, rapamycin in yeast and NGF-deprivation in neurons lead to lipid peroxidation, which in turn activates autophagic cell death (Kirkland, Adibhatla et al. 2002; Kissova, Deffieu et al. 2006). Nutrient starvation, moreover, induces an accumulation of H₂O₂, probably through phosphoinositide III kinase (PI3K), H₂O₂ oxidizes Atg4, a protease which activation is necessary for autophagosome formations (Scherz-Shouval, Shvets et al. 2007), thus inducing autophagy.

AUTOPHAGY

The term “autophagy” literally means “self-eating” and it refers to an highly conserved process in eukaryotes by which long-lived cytosol proteins and organelles are delivered to lysosomes for bulk degradation. This process allows the elimination of damaged, aberrant or aggregated proteins, thus protecting cells from their potential damaging effects. At least, three different types of autophagy have been described. The most extensively characterized is macroautophagy, in which portions of cytosol and entire organelles are engulfed by double-membrane structures called autophagosome, which then fuse with lysosomes, thus forming a single-membrane structure called autophagolysosome, in which luminal content is degraded and resulting elements (in particular macromolecules necessary to sustain cell metabolism) return into the cytosol for cell reactions (Klionsky and Emr 2000). The regulation of macroautophagy is complex and it is not yet completely clear. Its major negative regulator is the mammalian Target Of Rapamycin (mTOR), which inhibits the formation of autophagosomes: mTOR acts mainly as an aminoacid sensor and in nutrient starvation conditions, when the availability of aminoacids decreases, it is inhibited thus triggering autophagy . The same effect can be induced by rapamycin itself, a chemical inhibitor of mTOR. In my work I will focus my attention in particular on macroautophagy, so I will refer to it simply as autophagy. The second type of self-eating is microautophagy, in which the engulfment is made directly by the lysosomal membrane; differently from macroautophagy, microautophagy is not activated by nutrient deprivation. One particular type of microautophagy is micropexophagy, the highly selective degradation of peroxisomes described in yeast as crucial in response to oxidative stress. The third type of self-eating is chaperone-mediated autophagy (CMA), in which cytosolic proteins showing a specific pentapeptide lysosomes-targeting motif (the consensus sequence KFERQ) are recognized by a complex of chaperone proteins and targeted to lysosomal membrane, where they bind to the lysosome-associated membrane protein LAMP2a. Substrate proteins are then unfolded and transported to the lysosomal lumen for degradation . One example of protein degraded through this pathway is represented by the amyloid β precursor protein (APP).

Autophagy was morphologically first identified in 1960s in mammalian cells, however the molecular machinery regulating this process has not yet been completely elucidated. More than 20 genes, named autophagy-related genes (ATG) involved in the regulation of this process have been

identified both in yeast and in mammals. Autophagy occurs at a basal level in normal growing conditions, however certain types of environmental stress (such as nutrient starvation) result in a dramatic induction. Autophagy mainly consists in a membrane-trafficking process in which a large number of cytoplasmic components are non-selectively enclosed within a double-membrane structure named autophagosome and delivered to the vacuole-lysosome for degradation and recycling. The biogenesis and consumption of autophagosomes can be divided into four steps: 1) induction of vesicle formation mainly by nutrient deprivation; 2) engulfment of the selected cargo by a membrane and fusion of the extremities of the surrounding membrane to generate a double-membrane vesicle; 3) fusion of the autophagosome with the vacuole in yeast or with the lysosome in mammals (this process is mediated by a fusion machinery similar to the one used by Golgi and endosome-derived vesicles to fuse with vacuoles, including SNARE proteins, a RAB-GTPase and a class C vps complex); 4) vesicle breakdown, consisting in the release of the inner vesicle (called autophagic body) into the vacuolar or lysosomal lumen. Each step of this process is finely regulated by specific proteins; the fundamental step allowing the correct execution of the autophagic process is the closure of autophagosome. In this step the protein Atg8 and its mammalian orthologues, LC3, GATE16 and GABARAP, play a key role. Atg8/LC3 is an ubiquitin-like protein and it is produced in an inactive form which serves as a substrate for the cysteine protease Atg4, which cleaves its substrate thus exposing a glycine residue at its C-terminus. This form of Atg8/LC3, named form I, is unconjugated and soluble and it diffuses throughout the cytosol. During autophagy, form-I Atg8/LC3 becomes phosphatidylethanolamine (PE)-conjugated and membrane-bound, thus producing form-II which is bound to the autophagosome membrane. This process is catalyzed by an ubiquitination-like reaction performed by an E1-like enzyme, Atg7, and an E2-like enzyme, Atg3 (Hanada, Satomi et al. 2009). Many studies demonstrated that a defect in LC3 function or activation leads to the failure of autophagosome closure, thus underlying a central role of Atg8/LC3 in the correct formation of autophagosomes (Fujita, Hayashi-Nishino et al. 2008; Sou, Waguri et al. 2008). The translocation of the protein Atg8/LC3 from cytosol to autophagosomal membranes following autophagy induction is largely implied for the study of the apoptotic process: a fusion protein of LC3 with a fluorescent protein (GFP or YFP), indeed, allows to visualize the localization of the protein: in resting condition, LC3 is diffused in the cytosol, while upon starvation it shows a punctuate localization, due to its incorporation in the membrane of the forming vesicles.

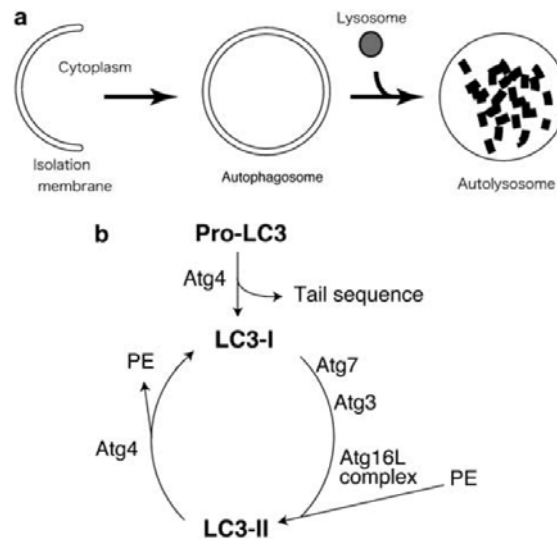


Fig. 1: Schematic representation of autophagosome formation and of post-transcriptional LC3 modifications

The protein TOR is one of the most important regulators of the autophagic process. The protein was originally identified by genetic screens in *S.cerevisiae* and subsequently in other organisms including fungi, mammals, flies and worms (Crespo and Hall 2002; Crespo, Diaz-Troya et al. 2005). TOR is present as a single gene in most eukaryotes, but some organisms, like yeast and fungi, show two *TOR* genes. The TOR kinases are large (about 270kDa) proteins that assemble into two structurally and functionally distinct complexes termed TORC1 and TORC2. In yeast TORC1 contains either TOR1 or TOR2, KOG1, TGO89 and LST8, whereas TORC2 includes TOR2, LST8, BIT61, AVO1 and AVO3; mammalian TOR (mTOR), similarly, associates with raptor (homologue of KOG1) and mLST8 to form mTORC1, while it associates with mLST8, rictor and SIN1 (homologues respectively of AVO3 and AVO1) to constitute mTORC2. The complex mTORC1 is sensitive to the inhibitory effect of rapamycin: rapamycin, indeed, after forming a complex with FKBP12, can inhibit the proper interaction between mTOR and raptor. This complex is involved in the regulation of cell growth and, under favorable growth conditions it is active and it promotes ribosome biogenesis and initiation of the translation by inducing the phosphorylation of the protein S6 kinase (S6K) (Hara, Maruki et al. 2002). The TORC1 complex is a master controller of protein synthesis integrating signals from growth factors and nutrient conditions of the cell. TORC1, indeed, regulates protein synthesis by directly phosphorylating 4E binding protein 1 (4EBP1) and

p70S6K (S6K) translation initiation factors which are important to mRNA translation, thus increasing the level of proteins involved in proliferation, cycle progression and survival pathway. Moreover, TORC1 acts as an aminoacid sensor and regulates the autophagic process. TORC2, otherwise, regulates cell growth in a rapamycin-insensitive manner; in particular it is involved in the control of cell polarity through the regulation of actin cytoskeleton polarization. I will focus my attention on the complex TORC1, being it involved in the regulation of autophagy. In particular, TORC1 acts as a negative regulator of autophagy by sensing environmental change, in particular, it acts as a sensor for a variety of upstream signals, like growth factors, insulin, aminoacids such as leucine and glutamine, and intracellular levels of ATP, phosphatidic acid, and inorganic polyphosphates . In mammalian cells, mTOR is regulated by pathway PI3K-Akt, in particular it has been shown that Akt indirectly stimulates TORC1 activity (Sekulic, Hudson et al. 2000).

It has been observed that mTOR regulates autophagy by controlling the phosphorylation state of Atg13: in nutrient-rich conditions Atg13 is highly phosphorylated and it shows a low affinity for Atg1, thus repressing autophagy; under starvation conditions or following a rapamycin treatment, mTOR is inhibited and Atg13 is partially and rapidly dephosphorylated and it interacts with Atg1 with an high affinity. Once the complex Atg13-Atg1 is formed, it interacts with multiple proteins, such as Vac8, Atg11 and Atg17 (Hosokawa, Hara et al. 2009). The formation of this multiproteic complex plays an important role in the regulation of the autophagosome biogenesis.

APOPTOSIS

Apoptosis (also known as programmed cell death) is a physiological process used to eliminate superfluous, damaged, infected or aged cells in multicellular organisms. Apoptosis is an highly orchestrated program of cell removal necessary to the organism in many contexts, like immune response, infection or elimination of DNA damage, because it minimizes the damage to surrounding viable tissues. During apoptosis, indeed, the cellular architecture is dismantled in an highly controlled way and apoptotic cells show a series of typical morphological features, like chromatin condensation, phosphatidylserine exposure, mitochondrial fragmentation (due to the action of Drp1, which oligomerizes around mitochondria and induces their fission in a GTP-dependent manner) membrane blebbing and cell shrinkage, which leads to the fragmentation of the cell into small vesicular bodies which can be taken up by macrophages. The apoptotic process is complex and finely regulated, and its dysregulation can lead to many diseases like neurodegeneration, autoimmunity and cancer. In particular, while uncontrolled proliferation and reduced sensitivity to apoptotic signals are classic hallmarks of oncogenic transformation, excessive and inappropriate apoptosis is the basis of neurodegenerative diseases like Alzheimer disease. Molecularly, the execution of the apoptotic program, is due to a family of cysteine proteases called caspases (cysteine aspartic-specific proteases), that cleave substrates at the N-terminal side of a specific aspartic-acid residue. Caspases are synthesized as inactive zymogens (procaspases), that require proteolytical cleavage to form the large and small subunits of the active enzyme; the activation can happen through autoproteolysis or by other activated caspases. Apoptosis in mammals can be initiated through two different pathways: the extrinsic pathway involves extracellular ligands, while the intracellular pathway involves the release of molecules from mitochondria intermembrane space. In both cases, the apoptotic program is a two step proteolytical pathway: the first step consists of the activation of “initiator caspases” (caspase 9 and caspase 8), while the second step consists in the activation of “executioner caspases” (caspase 3 and caspase 7), that cleave a number of cellular proteins to drive forward the biochemical events that culminate in death and dismantling of the cell. Some example of classical downstream target of executioner caspases are: the fibrous protein of nuclear lamin, which cleavage alters the nuclear characteristic of nuclear envelop, ICAD/DFF45, which cleavage determines DNA fragmentation, p21 kinase and

PARP. As just said, apoptosis can be triggered through two different pathways. The extrinsic pathway is activated by extracellular molecules binding to the Fas/APO-1 transmembrane protein, which is a member of the tumor necrosis factor receptor (TNFR): when Fas/APO1 binds to its receptor, it induces the recruitment of procaspase 8 thanks to the adaptor protein FADD (Fas-associated death domain-containing protein). Upon recruitment to the receptor complex, caspase 8 becomes activated through autoproteolysis and subsequently cleaves and activate caspase-3. On the other end, in the intrinsic pathway, a central role is played by mitochondria. The central event in this case, indeed, is the mitochondrial outer membrane permeabilization (MOMP), which allows the escape of proapoptotic molecules from mitochondria, including the second mitochondria-derived activator of caspase (Smac, also known as Diablo) and cytochrome c. In particular, the intrinsic pathway is activated by cellular stresses such as DNA damage, heat shock, oxidative stress and many other forms of cellular damage, which result in caspase activation through the release of cytochrome c from mitochondria following MOMP and the subsequent formation of the apoptosome, a large caspase activating complex, composed of seven Apaf-1 subunits binding cytochrome c, dATP and procaspase 9. Apaf1 is the mammalian homologue of Ced4 and it consists of three functional domains: an N-terminal CARD (caspase-recruitment domain), a central nucleotide-binding domain and a twelve to thirteen WD40 repeats at the C-terminus of the molecule. In the absence of an apoptotic stimulus, Apaf1 exists in a monomeric form and the WD40-repeat region maintains it in an autoinhibited state. When an apoptotic stimulus activates the intrinsic pathway, cytochrome c is released from mitochondria and it binds to the WD40-repeat region of Apaf1. When Apaf1 binds cytochrome c, the autoinhibition is removed and the dATP bound to the nucleotide binding site is hydrolyzed to dADP. In this form Apaf1 can oligomerize, thus forming a seven-member ring acting as a recruitment platform for procaspase9 , which is activated, thus starting the proteolytical apoptotic cascade. Interestingly, inside mitochondria cytochrome c is present in two different location: a minor pool is free in inter-membrane space, and a major pool is enclosed in cristae (Delivani and Martin 2006). The release of cytochrome c after MOMP is performed in two following steps: first the soluble pool and then the pool present in cristae (Scorrano, Ashiya et al. 2002). The opening of cristae junction plays a key role in apoptosis because in some cell types the soluble cytochrome c is not sufficient to induce the formation of the apoptosome. The morphology of cristae junction and their opening during apoptosis are regulated

by Opa1, a large GTPase also involved in the inner mitochondrial membrane opening (Frezza, Cipolat et al. 2006).

The mitochondrial pathway (also known as intrinsic pathway) of apoptosis is regulated by members of the Bcl2 family proteins. The Bcl2 gene was originally identified as a proto-oncogene involved in the translocation of human follicular lymphoma (Tsujimoto, Ikegaki et al. 1987) and, in contrast to many oncogenes it does not trigger cell proliferation but it promotes cell survival under negative conditions. Bcl2 is the prototype of a large family of proteins which share a large degree of homology although they exert many different functions: in particular some of them play an anti-apoptotic role, while some other proteins act as proapoptotic mediators. Anti-apoptotic proteins, like Bcl2, BclxL, BclW and Mcl1, have usually four Bcl2 homology (BH) domains, while proapoptotic proteins display either three BH domains (BH1, BH2 and BH3), like Bax and Bak, or only the BH3 domain, like Bid, Bim and Bad, Noxa and Puma. Anti-apoptotic Bcl2 protein acts by binding and sequestering proapoptotic proteins, including activator BH3-only proteins, but this is not their only mechanism. Our group indeed, showed that Bcl2 is also involved in the regulation of Ca^{2+} homeostasis, in particular it induces Ca^{2+} depletion from endoplasmic reticulum, thus avoiding the Ca^{2+} overload to mitochondria, and the following MOMP (Pinton, Ferrari et al. 2001). On the other hand, Bax and Bak directly participate in the formation of pores in the outer mitochondrial membrane (Chami, Prandini et al. 2004). In healthy cells Bax is located in the cytosol, with a minor pool loosely attached to mitochondria. Upon several apoptotic stimuli, BH3 only proteins like Bim and Bid induce a conformational change in its structure which targets it to the MOM. When it is located to the MOM, Bax can oligomerize, thus forming pores and determining MOMP (Schafer, Quispe et al. 2009). Bak, instead, is constitutively inserted in the MOM, where it is bound to and inhibited by VDAC2, BclxL and Mcl1 (Cheng, Sheiko et al. 2003; Willis, Chen et al. 2005). Bax oligomerization, otherwise, requires BH3 only proteins to induce MOMP (Korsmeyer, Wei et al. 2000). Finally, BH3 only proteins can be divided into two classes: on one hand “activator BH3 only proteins” can directly bind to Bax and Bak and recruit them to the MOM, for example Bid, Bim, Map1 and Puma exert this function; on the other hand, “sensitizers BH3only proteins” act by competitively inhibiting the anti-apoptotic members of the Bcl2 members, for example Bad selectively binds Bcl2 and BclxL, while Noxa specifically binds Mcl-1 (Fletcher and Huang 2006).

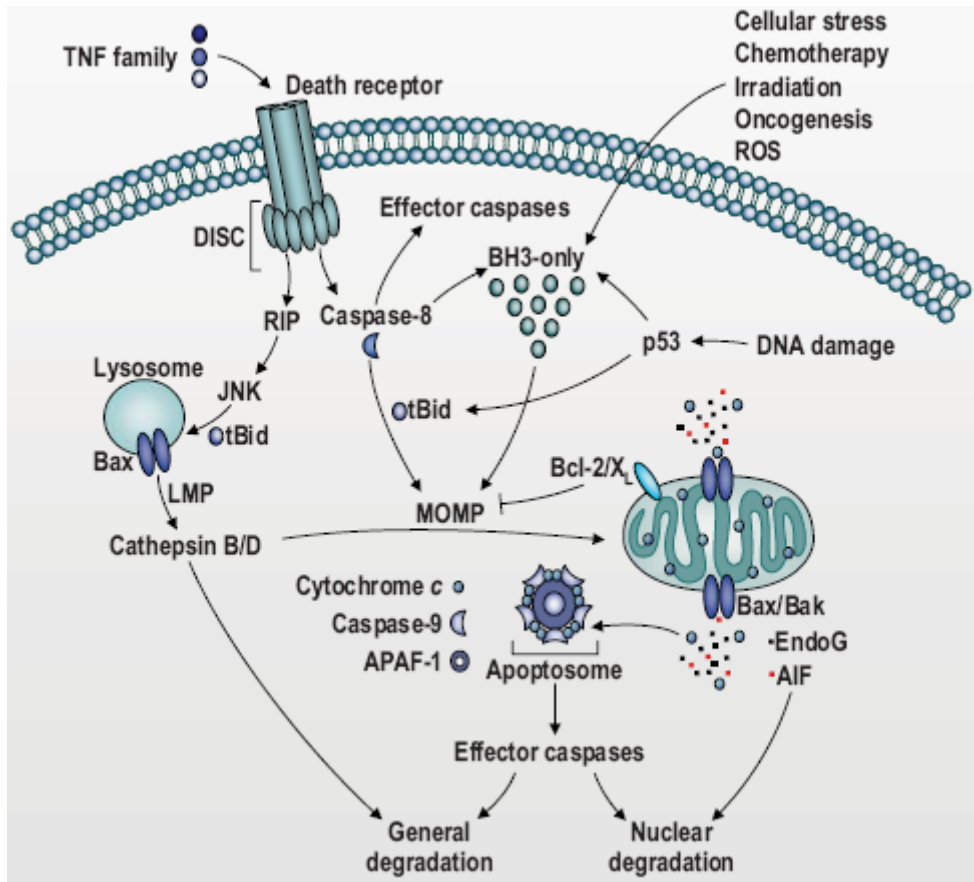


Fig. 2: Schematic representation of the two major apoptotic pathways: the intrinsic pathway and the extrinsic pathway.

THE CROSSTALK BETWEEN APOPTOSIS AND AUTOPHAGY

Both apoptosis and autophagy are highly regulated processes and increasing evidences suggest a possible relation among these pathways. Apoptosis is a mechanism of controlled cell death which allows the elimination of damaged or unnecessary cells without damaging contiguous cells. Autophagy, on the other end, can be involved in the turnover of long-lived proteins and whole organelles, but it also act as a process by which cells adapt their metabolism to starvation induced by decreased extracellular nutrients or by decreased intracellular metabolite concentrations that result from the loss of growth-factor signaling: through the catabolism of macromolecules, autophagy generates metabolic substrates to sustain the metabolic needs of the cell. In this conditions autophagy *de facto* suppresses cell death thus avoiding apoptosis, but in several other scenarios it constitutes an alternative pathway to cellular demise, called autophagic cell death (type II cell death). To simplify we can say that two different types of interplay between the two processes can be observed:

- ✓ Both apoptosis and autophagy act as alternative cell death pathways;
- ✓ Autophagy acts as antagonist to block apoptotic cell death by promoting cell survival;

The interconnection between apoptosis and autophagy has been studied in different cell types and in different conditions. A suitable model to study this relation, which is collocated in the first scenario, is represented by the mouse embryonic fibroblasts (MEFs) of *Bax-Bak* double knockout (*Bax*^{-/-} *Bak*^{-/-}). These cells, lacking two multiprotein members of the Bcl2 family, are unable to activate the intrinsic apoptotic pathway because these proteins are required for MOMP. It has been observed that, when treated with DNA damaging agents such as etoposide (a topoisomerase-2 inhibitor) *Bax*^{-/-} *Bak*^{-/-} MEFs fail to undergo apoptosis, as expected, but instead they manifest a massive autophagy followed by massive cell death, thus suggesting that autophagy can act as an alternative pathway to apoptosis (Shimizu, Kanaseki et al. 2004). Another example of this correlation among autophagy and apoptosis was observed both in mouse L929 and in human Jurkat T cell lymphoma, where the chemical inhibition of caspases induces autophagic cell death (Madden, Egger et al. 2007). Otherwise, often autophagy is activated to protect cells from

apoptosis in adverse conditions. For example, in an IL3-dependent immortalized cell line, IL3 withdrawal activates a month long autophagic process causing a severe reduction of cell size and the removal of most cytoplasm: inhibition of autophagy in this case kills these cells, thus demonstrating that in this case autophagy acts as a protective mechanism (Lum, Bauer et al. 2005). This hypothesis is further supported by the observation that both in HeLa and HCT116 cancer cells the inhibition of autophagy, either at early or late stages, results in an accelerated apoptotic cell death (Boya, Gonzalez-Polo et al. 2005; Gonzalez-Polo, Boya et al. 2005). In vivo, moreover, it has been observed that the neuron-specific knockout of Atg5 or Atg7 causes neurodegeneration, accumulation of cytoplasmic inclusion bodies and apoptotic cell death (Hara, Nakamura et al. 2006; Komatsu, Kominami et al. 2006). Autophagy protective effect against apoptosis is not only due to its capacity to defend cells from nutrient deprivation: autophagy, indeed, is also essential to allow the elimination of cell “waste”, represented by protein aggregates and harmful organelles. For example, it has been observed in mutant mice in which Atg5 or Atg7 is depleted an increased development of neurodegeneration also in non stressed cells, due to the formation of inclusion bodies containing protein aggregates (for example of mutant huntingtin, a protein which results mutated in familial forms of Parkinson’s disease). Curiously it has been observed that rapamycin treatment, which induces autophagy, can cause a 50% decrease of cell size thus reducing the susceptibility of cells to apoptotic stimuli; this observation could be explained, for example by the fact that damaged mitochondria are eliminated through mitophagy to protect cells from their potential damaging effects (Fumarola, La Monica et al. 2005).

The crosstalk between apoptosis and autophagy is clearly demonstrated by the evidences collected so far, but it also is suggested by the fact that many common players can be observed among the two processes. For example, Atg5 is one of the basic components of the autophagic machinery and it is essential for the elongation of the autophagosome membrane during vacuole formation. Atg5 overexpression, otherwise, not only induces autophagy, but also can activate apoptotic cell death. It has been observed indeed that Atg5, upon proper stimuli, can undergo proteolysis, thus generating a 24kDa fragment which translocates to mitochondria where it promotes MOMP (Yousefi, Perozzo et al. 2006). Moreover, Atg5 can also directly interact with FADD, thus stimulating the extrinsic apoptotic pathway (Pyo, Jang et al. 2005). Another important player of the autophagic machinery is beclin-1 which, when associated with its binding partners (VPS34 and UVRAG),

regulates the initial steps of autophagy. Interestingly, beclin-1 contains a BH3 domain, similar to that of Bcl2 protein which allows its binding to antiapoptotic Bcl2 homologues. Beclin1, indeed, can be inhibited by multidomain proteins of the Bcl2 family, including Bcl2 itself, BclxL and Mcl1 (Furuya, Yu et al. 2005; Pattingre, Tassa et al. 2005; Maiuri, Criollo et al. 2007; Maiuri, Le Toumelin et al. 2007). The binding of beclin-1 to these proteins avoids its association with its binding partners, thus inhibiting autophagy. The autophagy-inhibitory effects of Bcl2 or BclxL depend on their subcellular localization: only ER-localized but not mitochondrial proteins can bind to and inhibit beclin-1. Signals that promote autophagy induce the disruption of the association among Bcl2/BclxL and beclin1: for example, phosphorylation of beclin1 by the death-associated protein kinase (DAPk) or Bcl2 phosphorylation by JNK trigger the release of beclin-1 and the induction of autophagy; alternatively, other BH3-only proteins, such as BNIP3 competitively displaces beclin-1.

PROTEIN KINASE C

Protein kinase C (PKC) is an ubiquitous family of enzymes that consists of 10 structurally related 70-80 kDa serine/threonine kinases. PKCs have a multitude of cellular substrates and they are involved in a great array of biological processes. The mammalian PKC isotypes have been grouped into three small subfamilies according to their structural properties and their regulation (Mellor and Parker 1998):

- ✓ conventional PKC (cPKCs): $\alpha, \beta I, \beta II$ (produced by an alternatively spliced gene) and γ . These isotypes are activated by phosphatidylserine (PS) in a Ca^{2+} -dependent manner and by diacylglycerol (DAG), which increases their specificity for PS and shifts the affinity for Ca^{2+} into the physiological range. cPKC can also be activated by phorbol ester (PMA), which eliminates the requirement for DAG and increases the Ca^{2+} concentration necessary for activation.
- ✓ novel PKC (nPKCs): ϵ, η, δ , and θ . These proteins are Ca^{2+} -insensitive and they are activated by DAG or PMA in presence of PS.
- ✓ Atypical PKC (aPKCs): λ/ι and ζ . These isoforms are Ca^{2+} -insensitive, nor do they respond to DAG or PMA.

Structure of PKC

The examination of the protein sequence of different PKC isoforms, shows a clear homology between the members of the same subfamily. In all cases highly conserved regions have been shown to define protein domains which confer a specific localization or a specific activating input to the isotype. The single polypeptide chains of each isoform contain conserved (C) and variable (V) regions and are composed of a catalytic and a regulatory domain. The catalytic domain is active without cofactors after proteolytical removal of regulatory domain. In the regulatory region we can find different domains playing different roles.

- ✓ C1 domain is defined by two zinc-finger motifs (C1a and C1b) and each motif has a conserved pattern of cysteine and histidine residues, responsible for the coordination of two Zn^{2+} ions. C1 domain represents the binding site for PMA and DAG, which compete for the

binding to the site. Structural studies demonstrated that in presence of PMA this domain forms an hairpin-like hydrophobic structure that mediates PKC interaction with the membrane. C1 site is present in all PKC isoforms, also in aPKC which are DAG and PMA-insensitive; this can be explained by the fact that aPKC only shows C1a motif which confers lower affinity to PMA and DAG than C1b, as demonstrated by mutational studies.

- ✓ C2 domain is found in the cPKC immediately C-terminal to the C1 domain and it is composed by two four-stranded antiparallel β -sheets forming a compact β -sandwich. This domain binds two Ca^{2+} ions thanks to five aspartate residues, thus triggering a conformational change which allows the binding of a phospholipid. nPKC and aPKC show C2-like domains in which one or more of the aspartate residues necessary for Ca^{2+} binding are missing. Probably the role of C2-like domain is the regulation of protein-protein interaction.
- ✓ The pseudosubstrate domain is present in all PKCs subfamilies and it is located at the N-terminus of the C1 domain. The pseudosubstrate is a sequence which retains the hallmarks of a PKC phosphorylation site, but it has an alanine at the predicted serine/threonine phosphorylation site. In resting conditions the pseudosubstrate site interacts with the catalytic domain and it is responsible for the intramolecular suppression of its catalytic activity.

Also in the catalytic region different regions playing different roles can be found:

- ✓ The V3 region is a variable region separating the regulatory domain from the catalytic domain. It is sensitive to the proteolytic activity of Ca^{2+} -dependent proteases like trypsin or calpain and the cleavage of this region allows the removal of regulatory domain and the activation of the protein.
- ✓ The C3 domain is an highly conserved region presenting the binding site for ATP.
- ✓ The C4 domain is the proper kinasic domain where the transfer of a phosphate group to the substrate takes place.

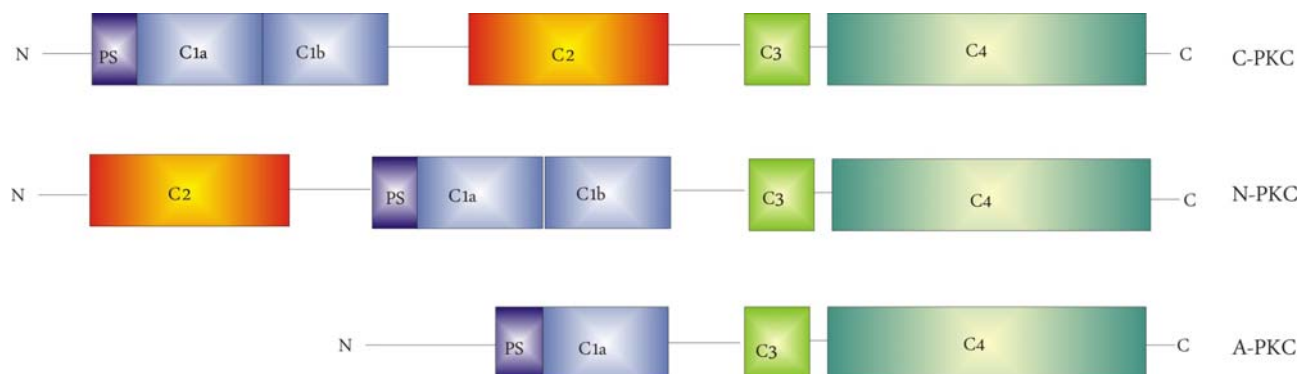


Fig. 3: Schematic representation of different PKC subfamilies structure: classical PKC (C-PKC), novel PKC (N-PKC) and atypical PKC (A-PKC).

PKC regulation and localization

PKCs are produced as inactive precursors which are then phosphorylated in three different sites. Once fully processed and phosphorylated, PKCs can respond to different second messengers, depending on their C1 or C2 domains, and they phosphorylate their downstream targets. Activated PKCs are subjected to phosphatase activity and downregulation by ubiquitination and proteasomal degradation. PKCs display different sensitivities toward activators. The most important activators of PKC are Ca^{2+} , PMA, DAG and PS, which bind to their specific site (see PKC structure), by inducing a conformational variation and the subsequent dissociation of the regulatory domain. Removal of regulatory domain can also be produced by the protelytical cleavage of V3 region. Phorbol esters mimic the action of second-messenger DAG but, while production of second messenger DAG in response to physiological stimuli is transient due to the rapid metabolic conversion of DAG, phorbol esters are more stable, so their action is much more prolonged. DAG and PMA are not the only lipidic mediators involved in PKC activation: nPKC and aPKC, for example, can be activated by products of Phosphoinositide 3-kinase (PI3-K), while aracidonic acid increases DAG effects at low Ca^{2+} concentrations; ceramide, finally, can activate both members of aPKC family. Another interesting aspect of PKC regulation is the localization of different isoforms which results from the interaction with specific proteins. There are many proteins binding kinases and, while some of them act as a substrate, some others are involved in defining their localization to specific cellular compartments. For example, while vinculine, MARKS (myristoylated alanine-rich C kinase substrate), α and γ adducine,

glycogen syntase and annexin I and II act as PKC substrates, RACKS (receptors for activated kinases), upon binding to specific sequences, drive PKC activation state and define their subcellular distribution, thus leading the association with phospholipide to form stable membrane complexes. Binding of PKC to membrane induces conformational changes that expose the binding site of the kinase domain. The subsequent downstream events include activation of the MEK-ERK and PI3K-AKT pathways. PKCs activation therefore is accompanied by their translocation, so to better understand the specific action of each isoform it is very important to know their localization in resting condition and upon stimulation. Through the construction of chimeric proteins given by the fusion of different PKC isoforms with Green Fluorescent Protein (GFP) it was possible to define the localization of different PKCs isoforms by using digital microscopy techniques, (Rizzuto, Carrington et al. 1998). In resting conditions PKCs localization is mainly cytosolic, but for PKC δ and PKC ϵ it was also described a localization at the Golgi apparatus, while for PKC δ a nuclear localization was described. Upon stimulation, represented for example by oxidative stress, the α , β , γ and δ isoforms translocate to the plasma membrane, while λ and ζ isoforms translocate to the nucleus (Rimessi, Rizzuto et al. 2007). The nuclear translocation of some PKC isoforms is made possible by the fact that in the regulatory domain of these isoform two sequences named Nuclear Localization Sequence (NLS) and Nuclear Export Sequence (NES) can be found and these sequences regulate the trafficking of the protein into and out of the nucleus (Perander, Bjorkoy et al. 2001).

Atypical PKC

Our study focused the attention on the ζ member of the atypical subfamily of PKC. In the last years many studies were performed to enlighten the role of this protein in cell life and the mechanisms in which it is involved.

First of all PKC ζ (and its homologue λ) is a critical mediator of mitogenic signal, so it is directly involved in cell proliferation. The mechanism is not yet completely clear, but it seems to be based on the interaction of the enzyme with RAS protein. Moreover PKC ζ can activate both MAPK and MEK, thus inducing cycline synthesis and, consequently, cellular proliferation (Kampfer, Windegger et al. 2001; Cohen, Lingen et al. 2006).

Another important aspect is the involvement of PKC ζ in the physiological action of insulin. A study performed on 3T3-L1 cells demonstrated that upon insulin stimulation PKC ζ is activated through the action of lipidic mediators such as PI3K (Bandyopadhyay, Sajan et al. 2002). Moreover it has been demonstrated that PKC ζ overexpressing cells, upon insulin stimulation, show an increased translocation of GLUT-4, demonstrating an involvement of the protein in the regulation of glucose homeostasis insulin-regulated (Liu, Yang et al. 2007). PKC ζ , finally, is involved in the synthesis insulin-stimulated of proteins, through the activation of the kinase p70S6K which regulates the proteic transcription (Chou, Hou et al. 1998).

Our work is focused on the involvement of PKC ζ in the regulation of apoptosis. Apoptosis is a mechanism of programmed cell death in which, following cell damage, mitochondria release cytochrome C, which allows the activation of APAF-1 and the consequent activation of caspase cascade. In resting conditions, caspase 9 is inactive thanks to the phosphorylation of the serine 144. PKC ζ is one the kinases responsible for the phosphorylation of caspase 9, so it is involved in its inactivation. This effect collocates PKC ζ among proteins with an antiapoptotic action (Brady, Allan et al. 2005).

PKC ζ , therefore, is involved in two basic processes of cell life: cell proliferation and apoptosis. Interestingly the altered regulation of these processes represents the basis for the tumorigenic process, thus suggesting a possible involvement of PKC ζ in this event. Many studies have been performed to investigate this possible role. For example Filomenko, in 2002, demonstrated that, in leukemic cells (U937), the treatment with chemoterapeutic agents such TNF α and etoposide (a topoisomerase II inhibitor) induces a transient increase in PKC ζ phosphorylation and subsequent activation, thus reducing cell sensitivity to the antitumoral agent. The same effect was described on cancer cells from colon (HT29) (Filomenko, Poirson-Bichat et al. 2002). In the same year Bezombes performed another study on leukemic cells (HL60 and U937) which demonstrated that PKC ζ increases the resistance of cells to chemoterapeutic drugs such as Daunorubicine (DNR) and 1-b-D arabinofuranosylcytosine (ara-c), two drugs commonly used in antileukaemic therapies, thanks to their ability to induce apoptosis through sphingomyelinase activation and ceramide production. PKC ζ , indeed, can reduce apoptosis by inactivation of

sphingomyelinase and subsequent reduction of ceramide production (Bezombes, de Thonel et al. 2002). More recently, the same group showed that the overexpression of PKC ζ resulted in the abrogation of UV-C induced sphingomyelinase activation and subsequent lack of ceramide production and apoptosis inhibition; moreover PKC ζ overexpression results in a decrease in UV-C induced ROS production (Charruyer, Jean et al. 2007). All these studies underline a central role of PKC ζ in the development of resistance to chemotherapeutic drugs, thus suggesting the kinase as a possible target to increase the effectiveness of anticancer therapies.

Protein kinase C and redox stress

Several unique structural aspects of PKC make it an highly susceptible direct target for oxidants as well as chemopreventive oxidants. Both the regulatory and the catalytic domains of PKC contain cysteine-rich regions that are targets for redox regulation. Currently the accumulating evidences suggest a model in which selective oxidative modifications of the regulatory domain leads to constitutive activation, whereas higher concentrations of oxidants react with catalytically important cysteine residues and inactivate the enzyme. For example the high concentration of cysteine residues in the zinc fingers of the regulatory domain make it an attractive target for redox regulation; moreover the strong binding of redox-inert zinc to thiolates enhances their redox sensitivity: the charged zinc-thiolate are, indeed, susceptible to oxidation by negatively charged oxidants. Oxidative modifications of zinc thiolates releases zinc from these proteins, thus presumably modifying their structure and functions. In the case of PKCs oxidants destroy the zinc-finger conformation, thus producing the loss of phorbol ester binding activity and of autoinhibitory function and permitting a cofactor-independent catalytic activity. The catalytic domain of PKC also contains several cysteines that are required for functional kinase activity. Unlike the zinc-thiolates, catalytic domain cysteine are uncoordinated and they are free to react with alkylating agents and antioxidants in their oxidized form. Certain chemopreventive and growth-inhibiting agents can inactivate PKC by oxidizing the thiols that are needed for catalytical activity. One of the most used substances to mimic redox stress is represented by hydrogen peroxide (H₂O₂). Both regulatory and catalytic domain of PKC are susceptible to oxidative modification of H₂O₂. In particular at lower concentrations H₂O₂ selectively modifies the regulatory domain; as a consequence, the kinase activity becomes

cofactor-independent. On the contrary, sustained peroxide treatment causes PKC downregulation. For example PKC β can be activated by low H₂O₂ concentrations (100 μ M), as demonstrated by two independent studies performed by our group . In 2007, indeed we demonstrated that in presence of low H₂O₂ concentrations PKC β is activated resulting in the phosphorylation of p66Shc which can be recognized by the isomerase Pin and can translocate to mitochondria where it can act as an oxidoreductase (Pinton, Rimessi et al. 2007). In 2008 we published a work demonstrating that redox stress induced by hyperglycemia, but also low concentration of H₂O₂, induce PKC β activation, and the subsequent activation of the adipogenic differentiation program (Aguiari, Leo et al. 2008).

p66

In mammals, three different *Shc* genes have been found: *ShcA*, *ShcB* and *ShcC*. In my work I focused my attention on the *ShcA* gene, coding for two mRNA species: p66^{Shc} and p46/p52^{Shc} (which generates two proteins, p46^{Shc} and p52^{Shc}, because of an alternative translation start). Each *ShcA* protein shows three identical functional domains:

- ✓ An N-terminal phospho-tyrosine-binding domain (PTB), which can bind to phospholipids, implying a role of PI3K in the activation of *Shc* proteins; this domain is slightly truncated in the p46^{Shc} isoform;
- ✓ A central proline-rich domain (CH1);
- ✓ A carboxyl terminal Src homology 2 (SH2) domain, which is important for the interaction with some receptors, such as EGF-receptor and ErbB-2.

The p66^{Shc} isoform differs from p46 and p52 for an additional N-terminal proline-rich domain (CH2).

All three *ShcA* proteins, but in particular p46 and p52, are involved in the mitogenic signaling and, as a consequence, in oncogenesis, by regulating the signaling mediated by the receptor tyrosine kinase. The activation of the *ShcA* proteins is due to their phosphorylation which can be induced through different pathways: on one hand insulin induces tyrosine phosphorylation of *Shc* proteins via a PI3K-dependent mechanism involving the PTB domain (Kavanaugh and Williams 1994), on the other hand, the phosphorylation of tyrosines in the CH1 domain of *Shc* is due to the interaction among the SH2 domain of the protein and the EGF-receptor, which acts as a tyrosine-kinase. Tyrosine phosphorylation of p46 and p52 enables them to bind to the adaptor protein Grb2, which then recruits the guanine nucleotide exchange factor SOS, causing Ras activation and the subsequent activation of the MAP kinase cascade. The isoform p66 only plays a marginal role in this pathway: it competes, indeed, with p46 and p52 for Grb2 binding, thus acting as a dominant negative regulator of p46 and p52-mediated Ras signaling (Bonfini, Migliaccio et al. 1996; Migliaccio, Mele et al. 1997; Faisal, Kleiner et al. 2004; Yannoni, Gaestel et al. 2004). The main role of p66 is due to its involvement in the regulation of the redox stress response. p66, indeed, is mainly localized into the cytoplasm and it has been demonstrated that, in redox stress conditions, it can be phosphorylated by PKC β at the Ser36, which is critical for its function. When

phosphorylated, p66 is recognized by the prolyl-isomerase Pin1 and it can translocate to mitochondria, where it acts as an oxidoreductase and increases mitochondrial ROS production (Pinton, Rimessi et al. 2007). When located in the mitochondrial intermembrane space, p66 oxidizes cytochrome c, making it unavailable to reduce oxygen to water (Nemoto, Combs et al. 2006). A fraction of the mitochondrial electron flow is, therefore, deviated to the production of H₂O₂, which induces the opening of the PTP, the subsequent MOMP and the release of cytochrome c which induces apoptosis. So we can say that p66 sensitizes the cells to the deleterious effects of ROS, thus increasing their sensitivity to apoptosis. Interestingly, it has been observed that the knocking out of the p66 gene in mice determines a lifespan extension of 30%, without alterations in food intake or weight gain (Migliaccio, Giorgio et al. 1999): wild-type mice, indeed, have an average lifespan of 761 days, whereas heterozygous or homozygous mice have, respectively, an average lifespan of 815 or 973 days. Functional studies suggest that this effect on the lifespan should be due to an higher capacity of p66^{Shc^{-/-}} to perform detoxification from ROS and to repair damaged DNA. p66^{Shc^{-/-}} mice, moreover, survive 40% longer than wt mice to an intraperitoneal injection of paraquat, an oxidant-generating compound, thus suggesting that p66^{Shc} is involved in the resistance to an acute redox stress. At the cellular level, p66^{Shc} plays a crucial role in the regulation of oxidative stress response and apoptosis. Mouse embryonic fibroblasts (MEFs) derived from p66^{Shc^{-/-}}, indeed, show a basal level of ROS production comparable to that of wt cells, but p66^{Shc^{-/-}} cells show a lower level of ROS production upon H₂O₂ treatment and an increased resistance to apoptosis (Migliaccio, Giorgio et al. 1999; Pinton, Rimessi et al. 2007).

ROS play a crucial role in many processes, thus suggesting that p66 should act as a central actor in many phenomena. For example, many pathological conditions are accompanied by an increase of ROS production, so the study of a possible p66Shc involvement in these phenomena should suggest a possible molecular target to attend. First of all, diabetes affects more than 150 million people worldwide and it is estimated it would increase in the next years. Among the full spectrum of biochemical effects of high glucose, generation of ROS is one of the main pathophysiological mechanisms linking hyperglycemia to atherosclerosis, nephropathy and cardiomyopathy, which are the main complications accompanying diabetes. For this reason, a possible involvement of p66Shc in these phenomena was hypothesized. In 2003 Pelicci and his group investigated the role of p66 in atherosclerosis, thus underlying that p66 loss reduces vascular cell apoptosis and early

atherogenesis in mice following high-fat diet (Napoli, Martin-Padura et al. 2003). The same group observed that p66 is involved both in age-related and in diabetes-induced endothelial dysfunction, thus suggesting that p66-produced ROS play a central role in age-associated diseases and also that p66Shc acts as a downstream target of hyperglycemia-activated PKC β (Francia, delli Gatti et al. 2004; Camici, Schiavoni et al. 2007). Diabetes-associated hyperglycemia also causes an enhanced glomerular cell death by apoptosis, generating the so called diabetic nephropathy. In this regard a study performed in 2006 showed that p66 loss protects from this complication by blocking hyperglycemia-induced ROS production and oxidant-dependent renal tissue injury (Menini, Amadio et al. 2006). Finally, it has been demonstrated that p66 ablation prevents oxidative damage in cardiac progenitor cells and myocytes, thus preserving cardiac function in diabetes and reducing the complications consisting of the reduction of left ventricular compliance and consequent impairment of systolic function and heart failure which are associated with this pathology (Ceselli, Jakoniuk et al. 2001). A recent study performed by Pelicci and co-workers also underlines an involvement of p66 in the development of obesity: in adipocytes, when activated by insulin indeed p66 generates H₂O₂ thus reducing mitochondrial oxygen consumption and favoring triglyceride accumulation. However, mice lacking p66, show an increased basal metabolism, a reduced fat development, an increased insulin sensitivity of peripheral tissues and a reduction of body weight (Berniakovich, Trinei et al. 2008). Finally, a study performed on the skeletal muscle of mice, demonstrated that p66 deletion results in a faster regeneration of skeletal muscle following ischemia or cardiotoxin treatment due to reduced redox stress; moreover, satellite cells of p66Shc^{-/-} mice proliferate faster and display an higher rate of spontaneous differentiation that wt cells (Zaccagnini, Martelli et al. 2007).

All of this observation suggest an apparently unsolvable contradiction: why does the natural selection conserved a gene which apparently only plays damaging functions? The answer to this question is not yet known and for this reason many efforts are concentrated to clarify all possible p66Shc action, in order to understand why a so damaging gene has been conserved by the nature.

AIMS

ROS are highly reactive molecules or ions formed by the incomplete one-electron reduction of oxygen. In healthy conditions ROS are produced by the cell mainly at the mitochondrial level, as a consequence of the activity of the respiratory chain, and then they are eliminated by a series of scavenging molecules and enzymes in order to minimize their damaging effects. Many pathological conditions are characterized by an imbalance among ROS production and ROS scavenging, which results in an accumulation of ROS. Tumor development is an example of condition characterized by an accumulation of ROS. Malignant cells, indeed, show higher levels of endogenous oxidative stress, mainly due to a decrease in the activity of the scavenging enzymes and to an increase of ROS production as a consequence of an impaired activity of the respiratory chain due to an accumulation of mtDNA mutation. Also diabetes is characterized by an increase in cellular ROS production determined by hyperglycemia, which is a key feature of this disease. Protein kinase C ζ (PKC ζ) is a serine-threonine kinase belonging to the atypical subfamily of PKC. PKC is an ubiquitous family of kinases consisting of 10 members performing a multitude of functions in cell life and death. All the members of the PKC family are sensitive to the redox state and they can be activated by an increase of radical oxygen species (ROS). In this work we focused our attention on one particular isoform of the atypical subfamily: PKC ζ . In particular we have investigated the behavior of this protein in condition of redox stress and its effects on cell life: we observed that redox stress induces a translocation of PKC ζ from the cytosol, where it is located in resting condition, to the nucleus and that this translocation exerts a protective effect from apoptotic stimuli. Many previous studies suggested that PKC ζ is directly involved in the development of chemoresistance of cancer cells (Bezombes, de Thonel et al. 2002; Filomenko, Poirson-Bichat et al. 2002). The purpose of our work was to confirm an hypothesis which puts in the same picture all the previous observations: in cancer cells an increase of ROS production and a reduction of the scavenging systems activity produces an oxidative stress which activates PKC ζ thus inducing its translocation to the nucleus; this translocation is a key event to determine an increased resistance to chemotherapeutic drugs, as observed by other groups.

Another protein involved in redox stress regulation and sensitivity is p66, an adaptor protein known to be involved in aging and in many pathological conditions like diabetes and its complications. Many studies in the last years were focused in dissolving the apparent contradiction around p66: why the evolutionary selective pressure conserved this apparently damaging gene? Mice knockout for p66, indeed, show a lifespan extension of 30% compared to the wt mice, p66^{Shc-/-} mice, moreover, are protected by obesity and by the complications associated with diabetes. Our group investigated the effect of p66 on cell viability showing that, following a redox stress which activates the kinase PKC β , p66 is phosphorylated on the Ser36 and, in this form, it is recognized by the prolyl isomerase Pin1, which modifies its conformation thus allowing p66 translocation to mitochondria, where it acts as an oxidoreductase and it increases mitochondrial ROS production, thus rendering cells more sensitive to the apoptotic effects of an exogenous redox stress. P66Shc^{-/-}, indeed, are more resistant to the apoptotic effects of H₂O₂, thus confirming a central role of the protein in the sensitivity to apoptosis (Pinton, Rimessi et al. 2007). The following year our group published an other work showing that hyperglycemia induces an increase in ROS production which activates PKC β thus inducing the adipogenic differentiation of muscle derived stem cells, which were expected to differentiate into myotubes, thus suggesting a central role for PKC β in this “transdifferentiation” program (Aguiari, Leo et al. 2008). In both cases ROS-induced PKC β activation plays a key role and, intuitively, p66 is also involved in the adipogenic transdifferentiation of muscle derived stem cells. The purpose of our work was double: on one hand we wanted to investigate a possible role of p66 in the adipogenic transdifferentiation of muscle-derived stem cells as downstream target of PKC β and to reproduce our previous observation in an in vivo model; on the other hand we wanted to investigate a possible role of p66 in regulating autophagy and in particular we hypothesized a possible role of p66 as a switch among the apoptotic and the autophagic pathways.

PKC ζ RESULTS

Protein kinase C is a family of ubiquitous protein kinases consisting of 10 members classified in three different subfamilies: conventional PKC (cPKCs) α , β I, β II (produced by an alternatively spliced gene) and γ ; novel PKC (nPKCs) ϵ , η , δ , and θ ; atypical PKC (aPKCs) λ / ι and ζ . In this work we focused our attention on one particular isoform of the atypical subfamily: PKC ζ . In particular we have investigated the behavior of this protein in condition of redox stress and its effects on cell life: we observed that redox stress induces a translocation of PKC ζ from the cytosol, where it is located in resting condition, to the nucleus and that this translocation exerts a protective effect from apoptotic stimuli. Interestingly we also observed that this protective effect is directly involved in the chemoresistance of cancer cells to chemotherapeutic drugs like doxorubicin.

As demonstrated by our group in a previous report (Rimessi, Rizzuto et al. 2007), oxidative agents induce modifications of the intracellular distribution of specific PKC isoforms in HeLa cells and remarkable differences were observed among the different subgroups. The approach we used to monitor the localization of PKC proteins by digital microscopy was based on the construction of a chimeric protein PKC-GFP, where the cDNA coding for each isoform was fused with the HA1 epitope derived from haemagglutinin and with the green fluorescent protein (GFP). As shown in Fig.1A, in HeLa cells the chimeric protein PKC ζ -GFP uniformly localizes throughout the cytoplasm in resting conditions, as expected for resting PKC, while upon oxidative stress conditions it partly translocates to the nucleus. Redox stress conditions were mimicked in vitro by a 1mM hydrogen peroxide treatment, a concentration inducing, after 2 hours, about 45% of cell death (data not shown). The trafficking cytosol-nucleus is due to the fact that, in the regulatory domain of PKC ζ two different regions can be found: a nuclear localization sequence (NLS), responsible for PKC ζ nuclear translocation, and a nuclear export sequence (NES), responsible for its diffusion from the nucleus to the cytoplasm; these regions are responsible for the continuous shuttling of atypical PKC between the cytoplasm and the nucleus (Perander, Bjorkoy et al. 2001). To exclude that PKC ζ activation and translocation was due to its overexpression we also monitored endogenous behavior by using specific antibodies: as shown in Fig.1B, in resting conditions the protein is diffused into the cytoplasm, while in oxidative conditions it is redistributed mainly into the nucleus

and partly into the cytoplasm. Hydrogen peroxide is not the only stimulus inducing PKC ζ nuclear translocation: also UVc treatment, indeed induce nuclear PKC ζ translocation (data not shown). We then correlated the nuclear translocation of PKC ζ mediated by oxidizing agent with its effect on cell viability. Two approaches were performed: the microscopic assessment of cell survival (apoptotic counts), and the caspase-3 activity assay (see materials and methods). HeLa cells were transiently transfected with PKC ζ -GFP alone, and 36 h after transfection they were treated with different concentrations of H₂O₂ in order to underline a possible dose-dependence of the effect . After 2 h of incubation with the oxidative stimulus, we observed an apparent increase in the percentage of PKC ζ -expressing cells after treatment (Fig. 1C-I). The increase in the percentage of positive cells correlates with a pro-survival role of the expressed chimera compared to mock cells. The pro-survival effect we observed resulted proportional with the increase of H₂O₂ concentration; this observation is apparently in contrast with the apoptotic effect of H₂O₂ which, in this case, plays a dual role: on one hand it induces PKC ζ translocation to the nucleus, thus protecting PKC ζ overexpressing cells from its own damaging effect, on the other hand it acts as an apoptotic stimulus thus reducing the total number of living cells. Similar results were obtained by monitoring the Caspase-3 activity, expressed as normalized value of fluorescence intensity (Fig. 1C-II). At H₂O₂ 1mM, the levels of caspase-3 activity in PKC ζ -overexpressing HeLa cells were lower than H₂O₂-treated control cells. Moreover we observed that the inhibition of PKC ζ , mediated by specific PKC ζ -Pseudosubstrate-Myristoylated Inhibitor, restores the apoptotic-sensitivity in H₂O₂-treated cells, thus suggesting that the protective effect is specifically due to PKC ζ . Overall, these data indicate that the nuclear PKC ζ -redistribution, promoted by oxidative agent, enhances pro-survival response. Finally, to verify if the antiapoptotic effect we observed is specific for PKC ζ , we compared the effects on apoptosis of the activation and localization of different PKC isoforms upon an oxidizing treatment: HeLa cells were transiently transfected with PKC ζ -GFP, PKC α -GFP, PKC ϵ -GFP and mitochondrial-GFP (Mock) respectively, and treated with 1mM H₂O₂ for 2 hours. Through apoptotic count assay we observed that the apoptotic sensitivity results unchanged in mock, PKC α and PKC ϵ -overexpressing cells, while the pro-survival capacity of PKC ζ in these conditions, was confirmed (Fig1D).

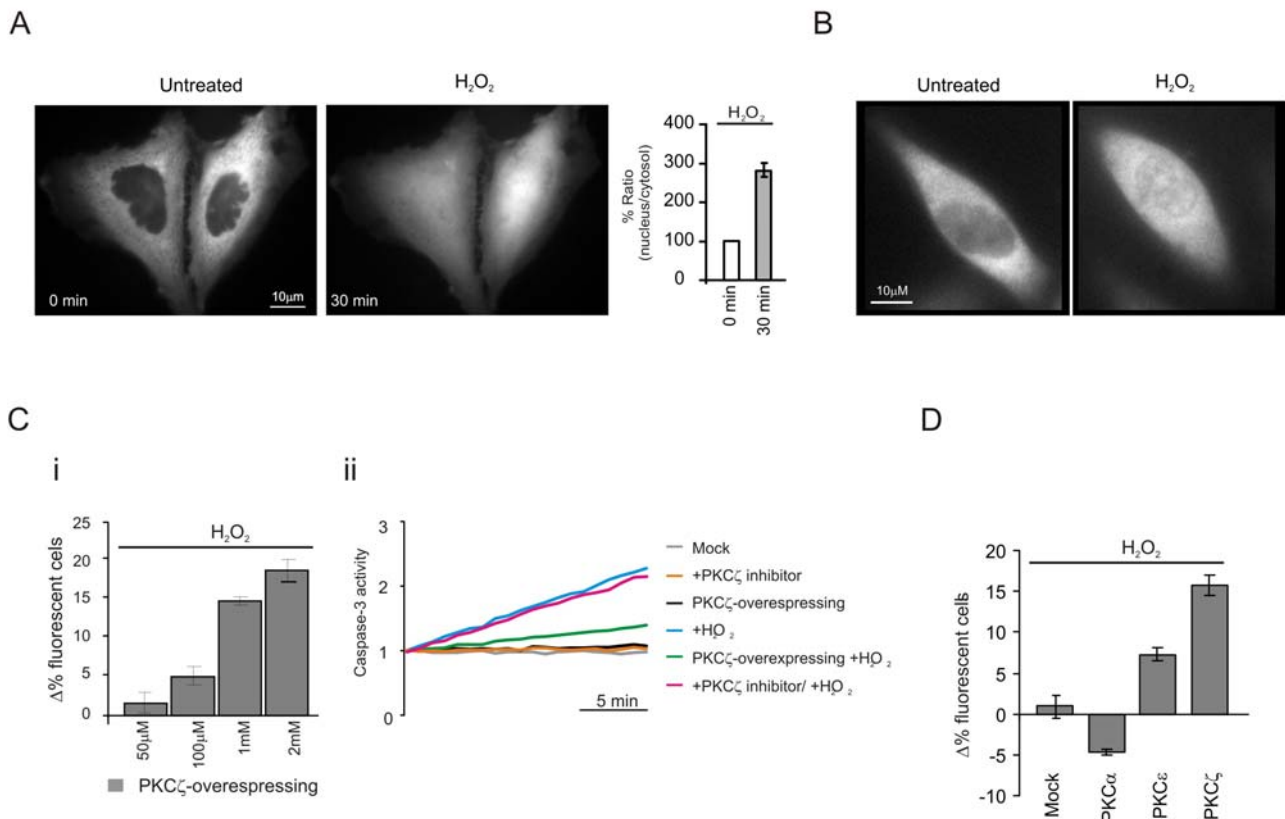


Fig. 1: Redox stress induces PKC ζ nuclear translocation protecting PKC ζ overexpressing HeLa cells by its own apoptotic effects. A) PKC ζ GFP overexpressing HeLa cells in resting condition (left) and after a 30 minutes treatment with H_2O_2 1mM (right); histograms represent the ratio between the nuclear fluorescence and the cytosolic fluorescence, expressed as a percentage, before and after the treatment. B) Immunofluorescence of endogenous PKC ζ in HeLa cells in resting conditions and following a 30 minute treatment with H_2O_2 1mM. C) i) Apoptotic counts in PKC ζ -GFP overexpressing HeLa cells: the histograms represents the difference of percentage of fluorescent cells on the total number of living cells following a 30min treatment with different H_2O_2 concentrations (50uM, 100uM, 1mM, 2mM), compared to resting conditions; ii) Caspase 3 activity assay: the caspase 3 activity is expressed as a normalized value of fluorescence intensity. Gray line: untreated HeLa cells; orange line: HeLa cells treated with PKC ζ pseudosubstrate-myristoylated commercial inhibitor; black line; PKC ζ -GFP overexpressing HeLa cells; cyan line: mock HeLa cells treated with 1mM H_2O_2 ; green line: PKC ζ -GFP overexpressing HeLa cells treated with 1mM H_2O_2 ; purple line: PKC ζ -GFP overexpressing HeLa cells treated both with the pseudosubstrate- myristoylated inhibitor and with H_2O_2 1mM. D) Apoptotic counts in HeLa cells overexpressing chimeric protein of different PKC isoforms (PKC α , PKC ϵ , PKC ζ) fused with GFP, compared to mock cells: the histograms represent the difference of percentage of fluorescent cells on the total number of living cells following a 30 minutes treatment with H_2O_2 1mM compared to resting conditions.

PKC ζ -translocation prevents cell death induced by apoptotic stimuli

Two important points emerged, so far: I) the oxidative stress promotes the nuclear translocation of PKC ζ ; II) the nuclear localization of the kinase protects cells from oxidative stress. We then tried

to investigate the role of the nuclear translocation of PKC ζ and first of all we asked if the pro-survival effect of nuclear PKC ζ is specific for oxidative damage and if nuclear PKC ζ -redistribution also protects cells from other apoptotic agents. As shown in figure 2A, we analysed the effects on the kinase distribution and cellular viability in PKC ζ -GFP overexpressing HeLa cells treated with different apoptotic agents: a calcium-dependent agent (ceramide 30 μ M), a strong serine-threonine phosphatase inhibitor (okadaic acid 0.5 μ M) and a monoclonal anti-FAS antibody (4 μ g/ml) were used to induce apoptosis. After a two hours treatment, we monitored the PKC ζ distribution by digital imaging microscopy and we investigated the cellular viability by apoptotic count assay, as described above. The same experiments were performed in PKC ζ -GFP expressing cells pre-treated with H₂O₂ to promote the preventive nuclear translocation of the chimera. To induce PKC ζ nuclear translocation by minimizing the apoptotic effect of hydrogen peroxide, we treated HeLa cells with 100 μ M H₂O₂ for 30 minutes: this concentration only induces $4.9 \pm 1.1\%$ of cell death in HeLa cells and in $\sim 40\%$ of cells, the nuclear PKC ζ -redistribution, was evident (Fig.2A). The apoptotic effects were compared: as shown on the panels 2A-I, 2A-II and 2A-III (on the left), no change in the distribution of kinase was induced by the apoptotic agents in PKC ζ -GFP expressing cells. The observation that none of the apoptotic agents we analyzed induces *per se* PKC ζ translocation to the nucleus correlates with their effect on cell viability of PKC ζ expressing cells, which are not protected by their apoptotic effects (ceramide-treated cells: $\Delta\% -5.3 \pm 1.11$; okadaic acid-treated cells: $\Delta\% 2.0 \pm 1.21$; anti-Fas-treated cells: $\Delta\% \Delta\% -1.4 \pm 1.73$). PKC ζ expressing cells are protected by the apoptotic agents only if they are previously treated with 100 μ M H₂O₂ to induce a preventive nuclear translocation of the kinase: in this case PKC ζ expressing HeLa cells are protected by the apoptotic effect of ceramide ($\Delta\% +12.1 \pm 0.95$) and of okadaic acid ($\Delta\% +14.5 \pm 1.23$), but not by the apoptotic effect of anti-FAS ($\Delta\% 1.6 \pm 1.57$). This observation appears to be contradictory with our hypothesis, but it can be explained by the fact that anti-FAS alters H₂O₂-induced PKC ζ nuclear localization, as shown in Fig.2A right panel. Moreover Anti-fas is different from the other stimuli we observed, because it activates the extrinsic apoptotic pathway instead of the mitochondrial one. All these observations further suggest that PKC ζ , when localized into the nucleus, protects cells from apoptosis induced by different stimuli. To determine whether the pro-survival effect was caused predominantly by nuclear localization of PKC ζ , independently from

redox stress conditions, HeLa cells were treated with leptomycin B (LMB, 4ug/ml 2h) which inhibits the NES-dependent nuclear export of many proteins (and among them also PKC ζ) by interfering with the binding of NES domain to CRM1/exportin 1 (Kudo, Matsumori et al. 1999). This inhibition leads to considerable nuclear accumulation of endogenous PKC ζ (Fig. 2C-II). We have evaluated the effects of this phenomenon on cellular viability, in ceramide-treated cells. As shown in Fig.2CI, the pre-treated LMB cells were preserved from ceramide-induced apoptosis ($\Delta\%$ cells viability in pre-treated LMB cells: -13.4 ± 7.03 vs. -47.2 ± 8.33 in LMB untreated cells, after apoptotic treatment). No change in apoptotic sensitivity was observed in LMB-treated HeLa cells ($\Delta\%$ cells viability in pre-treated LMB cells: -11.0 ± 3.38).

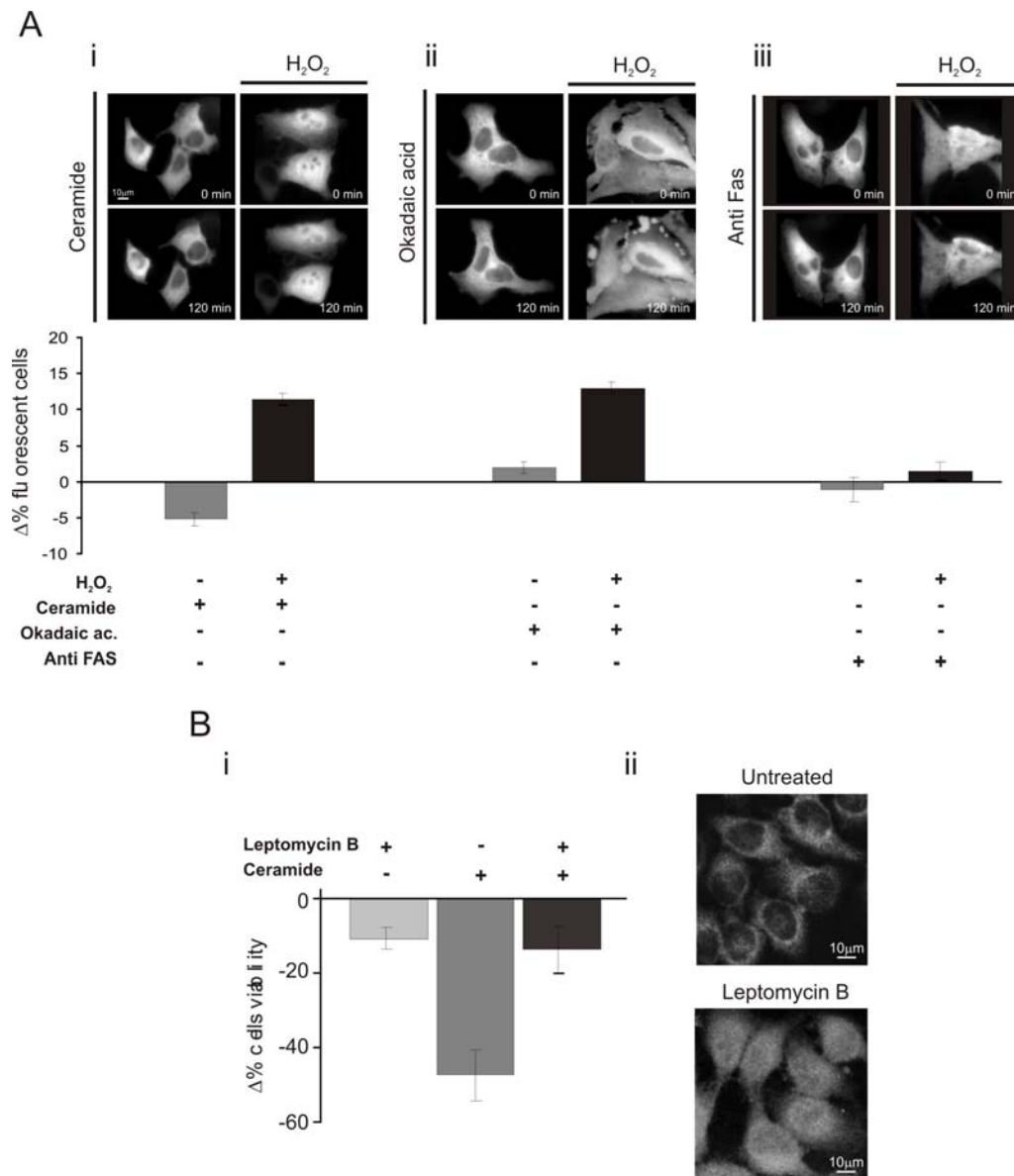


Fig.2

Fig. 2: Nuclear PKC ζ protects HeLa cells by different apoptotic stimuli. A) H₂O₂ (100uM 30 min.) pretreatment induces PKC ζ nuclear translocation in PKC ζ -GFP overexpressing cells thus protecting them from the apoptotic effects of (i) ceramide (30uM 2 h) and (ii) okadaic acid (0.5uM 2h), (iii) but not from the apoptotic effects of anti-Fas (4ug/ml). The upper panels show PKC ζ -GFP overexpressing HeLa cells following the apoptotic stimulus (left) and following the apoptotic stimulus but previously accompanied by H₂O₂ 100uM pretreatment (right). The lower panel shows apoptotic counts of PKC ζ -GFP overexpressing HeLa cells; the histograms represents the difference of percentage of fluorescent cells on the total number of living cells following the different treatments compared to resting conditions. B) (i) LMB (4ug/ml 2h) pretreatment induces PKC ζ nuclear accumulation in PKC ζ -GFP overexpressing cells, thus protecting them from the apoptotic effects of ceramide (30uM 2h); the histograms represent the difference of percentage of fluorescent cells on the total amount of living cells after the treatment compared to resting conditions. (iii) Immunofluorescence of endogenous PKC ζ : effect of LMB 4ug/ml 2h.

Nuclear chimeras of PKC ζ prevent apoptotic death by ceramide

The data collected so far clearly suggest a pro-survival pathway mediated by the nuclear localized PKC ζ . To better investigate this pathway we obtained nuclear-targeted PKC ζ chimeras. This approach allowed us to selectively investigate the role of nuclear PKC ζ independently from redox stress conditions. To completely sort PKC ζ to the nucleus, a nuclear targeting sequence from Glucocorticoid Receptor was fused with PKC ζ cDNA at its C terminus (nuPKC ζ , Fig. 3A-I). The digital images showed both nuclear and partly cytosolic distribution of the chimera. To completely focus on the nuclear portion of the kinase, a constitutively-active nuclear-targeted PKC ζ was obtained from nuPKC ζ by eliminating its regulatory domain, containing both the autoinhibitory domain and the nuclear import and export sequences (nuPKC ζ -act, Fig. 3A-II). Specific nuclear staining was detected in nuPKC ζ -act expressing HeLa cells. To better validate the specific localization of our chimeras, a quantification of the nuclear distribution of the chimeric protein was performed in HeLa cells transfected with PKC ζ -GFP and both nuclear chimeras respectively. As emerges from figure 3B, the level of nuclear localization of nuPKC ζ -act (expressed as a ratio among nuclear and cytosolic fluorescent intensity) was more than ten-fold higher than PKC ζ -GFP and only five-fold higher than nuPKC ζ (nuPKC ζ -act 4.71 ± 0.80 or nuPKC ζ 2.76 ± 0.41 vs. 0.41 ± 0.03 for PKC ζ -GFP). To confirm the specific pro-survival role of nuclear PKC ζ , we then carried out apoptotic count measurements in PKC ζ -GFP, nuPKC ζ , and nuPKC ζ -act expressing cells exposed to the Ca²⁺-dependent apoptotic stimulus ceramide. According to our hypothesis, the preventive nuclear distribution and activation of nuPKC ζ -act chimera mediated by deprivation of regulatory domain prevented apoptotic cell death induced by ceramide (Fig. 3C), suggesting that the forced recruitment of constitutively active PKC ζ into the nucleus, represents a pivotal step for the pro-survival cellular response (cell viability in nuPKC ζ -act cells: $\Delta\% 12.6 \pm 0.40$ vs -5.3 ± 1.11 in PKC ζ -GFP expressing HeLa cells). Interestingly, the nuclear localization of the kinase, if not accompanied by its constitutive activation, only partially affected the apoptotic sensitivity to ceramide, as demonstrated by nuPKC ζ expressing cells (nuPKC ζ expressing cells $\Delta\% -6.3 \pm 1.08$ vs -5.3 ± 1.11 in PKC ζ -GFP expressing HeLa cells). All these results contribute to underlying the pleiotropic role of PKC ζ in apoptosis. PKC ζ has been reported to be anti-apoptotic (Berra, Diaz-

Meco et al. 1993), and so far its effect was described to be mostly exerted at the transcriptional level, for example through the control of the expression levels of Bcl-2 family members and other apoptotic regulators (Filomenko, Poirson-Bichat et al. 2002). According to these observations we showed that active-PKC ζ translocates into the nucleus thus preventing cell death induced by different apoptotic agents. Moreover, many observations demonstrated an involvement of PKC ζ overexpression in the development of chemoresistance by neoplastic cells (Bezombes, de Thonel et al. 2002) and it was described that PKC ζ inhibition promotes apoptosis in leukemic cells exposed to etoposide and tumor necrosis factor α , as well as it sensitizes to etoposide tumor cells grown in nude mice. These results suggest thus that PKC ζ reduces the sensitivity of cancer cells to chemotherapeutic agents and they indicate that this kinase may be a useful target for tumor cell chemosensitization. However, it is quite difficult to design an effective therapeutic approach selectively based on PKC ζ , because of the high structural affinity among the different PKC isoforms, and because of the complexity of the functions and of the interactions of PKC ζ and its non specific action. A key purpose of our efforts was to develop specific PKC ζ -inhibitor which selectively impairs the nuclear PKC ζ -activity, indeed starting from the observations showing PKC ζ as a target for tumor cells chemosensitization, a selective nuclear inhibitor will contribute to potentiate the activity of the chemotherapeutic agents. To obtain a selective nuclear PKC ζ inhibitor we applied the same strategy previously used to obtain nuclear PKC ζ -chimeras. The peptide sequence corresponding to the pseudosubstrate domain on PKC ζ (thirteen amino acids in length at positions 113-125), and thereby inhibiting the kinase activity in resting conditions (Laudanna, Mochly-Rosen et al. 1998; Dang, Fontayne et al. 2001) was fused at the C terminus of the chimeric protein given by the fusion of GFP and nuclear targeting sequence (nu-inhPKC ζ). Fig.3D shows a representative scheme of the inhibitor, and its specific nuclear distribution in HeLa cells. The protective effect of the inhibitor was assessed in apoptotic count experiments, where nu-inhPKC ζ expressing HeLa cells were pretreated with LMB and exposed to apoptotic agent (Fig. 3E). As describe above, the LMB treatment induces nuclear accumulation of endogenous PKC ζ by inhibiting the nuclear export system, thus protecting cells by ceramide-induced apoptosis (Fig.2C). In nu-inhPKC ζ expressing cells, the nuclear distribution of PKC ζ -inhibitor (which does not affect cell viability per se –data not shown-) impaired the pro-survival effect mediated by the treatment with LMB. The inhibitor,

indeed, markedly reverted the sensitivity to ceramide-induced apoptosis in LMB pre-treated cells, whereas, no change was observed in nu-inhPKC ζ expressing cells only treated with LMB ($\Delta\%$ cells viability in pre-treated LMB cells: -4.6 ± 2.31 vs -60.0 ± 8.60 after ceramide treatment). A marked increase in the incidence of apoptosis ceramide-induced was also assed in nu-inhPKC ζ expressing cells without LMB pretreatment ($\Delta\%$ cells viability: -48.6 ± 8.71).

In conclusion, different experimental approaches allowed us to evidence an important effect of nuclear PKC ζ in the regulation of the sensitivity to apoptotic stimuli. Moreover, we showed the possibility to affect the fate of cells, either promoting cell survival (through the expression of nuclear PKC ζ -chimeras) or cell death (through the expression of nuclear PKC ζ -inhibitor).

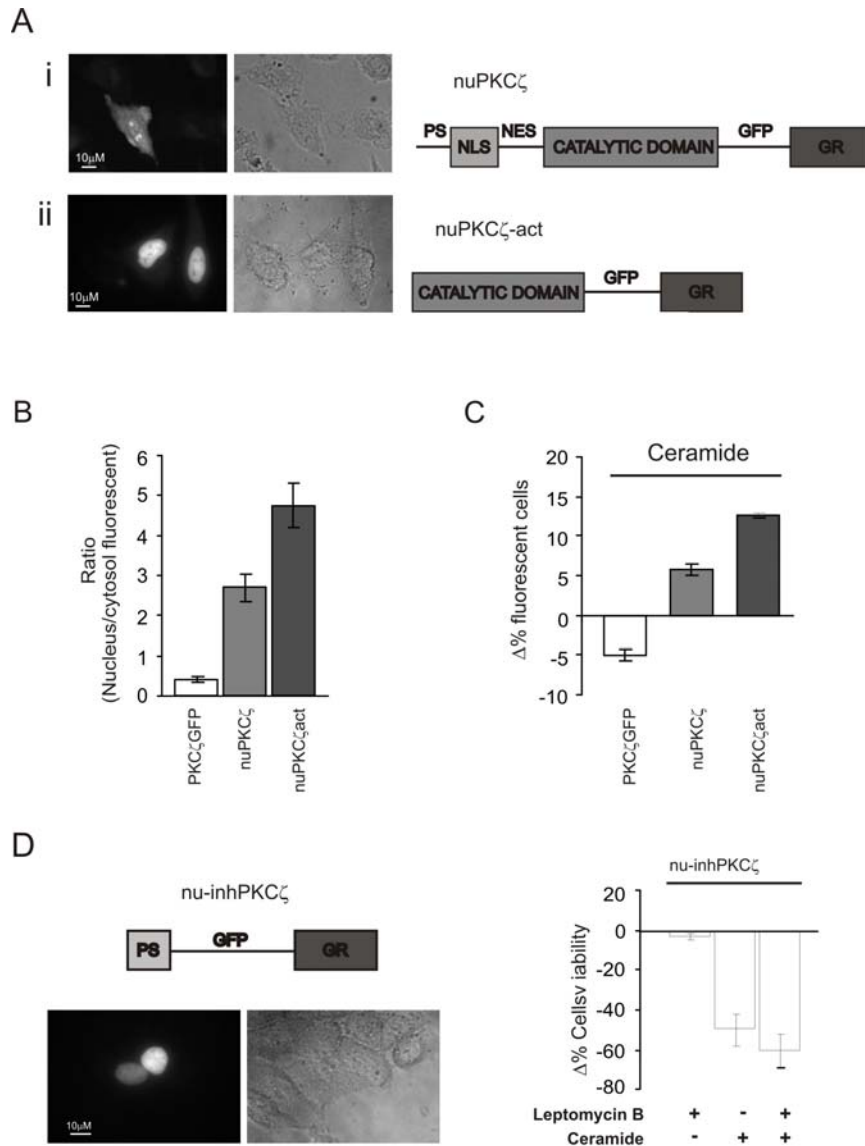


Fig.3

Fig. 3: Effects of constitutively nuclear PKC ζ (nuPKC ζ), constitutively active and constitutively nuclear PKC ζ (nuPKC ζ -act) and selectively nuclear PKC ζ inhibitor (nu-inhPKC ζ) on cell viability. A) Cellular localization and structure of the chimeric proteins nuPKC ζ (i) and nuPKC ζ -act (ii). B) Nuclear localization of the different PKC ζ mutants: the histograms represent the ratio between the nuclear fluorescence and the cytosolic fluorescence, expressed as a percentage, of the three chimeric proteins in resting conditions. C) Apoptotic counts of HeLa cells expressing the different PKC ζ mutants following a ceramide treatment (30 μ M 2h); the histograms represents the difference of percentage of fluorescent cells on the total amount of living cells after the treatment compared to resting conditions. D) Cellular localization and structure of the selectively nuclear PKC ζ inhibitor (nu-inhPKC ζ). E) Effects of nu-inhPKC ζ overexpression on cell viability following LMB (4 μ g/ml 2h) pretreatment and/or C2-ceramide (30 μ M 2h) treatment. The histograms represents the difference of percentage of fluorescent cells on the total amount of living cells after the treatment compared to resting conditions.

The nuclear PKC ζ -inhibitor reverts the apoptotic effect of Doxorubicin in chemotherapeutic-resistant cells

In order to validate the efficacy of the selectively nuclear PKC ζ -inhibitor, we tested it on HeLa cells resistant to the commonly used chemotherapeutic agent doxorubicin. We selected doxorubicin-resistant HeLa clones (DrHeLa cells) by culturing HeLa cells in presence of a low doxorubicin concentration (2 μ M). We then evaluated the chemosensitivity of our DrHeLa clones by treating two of them (clone1-2) with the antineoplastic drug (2 μ M) and assessed the rate of cell death in comparison with mock HeLa cells. After 36 h of treatment with the chemotherapeutic agent, we observed a marked resistance of DrHeLa clones to the compound used, whereas mock cells displayed a high rate of cell death (Fig. 4A).

Recent evidences further suggests the involvement of oxidative stress in anticancer drug resistance (Trachootham, Lu et al. 2008). Cancer cells are able to survive to intrinsic oxidative stress through an adaptation-process involving the activation of redox-sensitive survival machineries. Indeed, according to these observation, we observed a significant increase of ROS production in DrHeLa clones compared to mock cells (Fig. 4B). The increased amount of ROS levels favours the nuclear translocation of PKC ζ in doxorubicin-resistant HeLa cells, as shown in Fig. 4C (clones 1-2), as demonstrated by the fact that the nuclear level of the kinase was more than three-fold higher in anticancer drug-resistant cells than mock cells (mock cells: 0.43 ± 0.04 vs 1.31 ± 0.16 1.11 ± 0.10 in clone 1 or 2, respectively). To ensure that the nuclear PKC ζ -activity selectively confers the anticancer drug resistance, we tested the effect of nuclear PKC ζ -inhibitor on cell survival of DrHeLa cells. As expected, the expression of nu-inhPKC ζ did not affect *per se* the viability of resistant cells (Fig. 4D), but following a doxorubicin treatment (3h or 24h), nu-inhPKC ζ expression in DrHeLa cells, abolished the resistance of cells to the anticancer drug, making them drug-sensitive (nu-inhPKC ζ expressing DrHeLa cells, clone1: 46.8 ± 5.23 vs 28.3 ± 2.75 or 26.1 ± 1.33 after 3h or 24h of doxorubicin-exposition, respectively; clone2: 41.9 ± 5.66 vs 25.7 ± 1.45 or 16.6 ± 1.74).

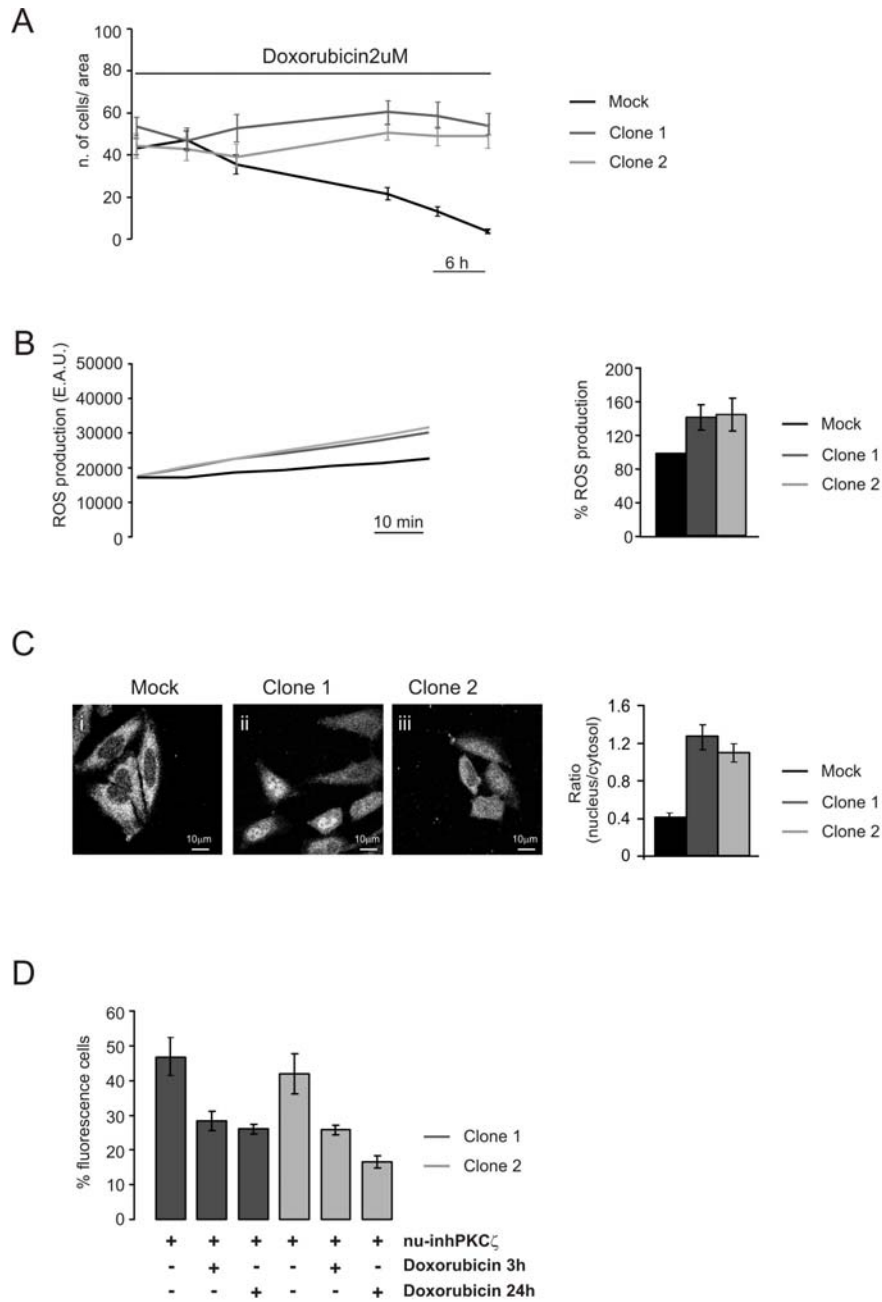


Fig.4

Fig. 4: Role of PKC ζ nuclear translocation in chemoresistance. A) Doxorubicin resistance of two different clones selected by culturing HeLa cells in presence of Doxorubicin 2 μ M. The graph represents a time course of cell viability of 3 different clones of HeLa cells: a mock clone (black line) and two selected doxorubicin-resistant clones of HeLa cells (grey and dark gray). B) Time course of ROS production, expressed as normalized values of fluorescence intensity of the three clones (mock and doxorubicin-resistant HeLa cells). The histograms represent the increase in ROS production expressed as a percentage. C) Immunofluorescence of endogenous PKC ζ in mock HeLa cells and in two different clones of doxorubicin-resistant HeLa cells. The histograms on the right represents a ratio between the nuclear fluorescence and the cytosolic fluorescence in the three different clones. D) Apoptotic counts of two different clones of doxorubicin-resistant HeLa cells transiently expressing nu-inhPKC ζ . The histograms represents the difference of percentage of fluorescence following different doxorubicin treatment (2 μ M 3h or 24h) compared to resting conditions.

PKC ζ DISCUSSION

Protein kinase C ζ (PKC ζ) is a serine-threonine kinase belonging to the atypical subfamily of PKC. PKC is an ubiquitous family of kinases consisting of 10 members performing a multitude of functions in cell life and death. All the members of the PKC family are sensitive to the redox state and they can be activated by an increase of radical oxygen species (ROS) (see Introduction). ROS are highly reactive molecules or ions formed by the incomplete one-electron reduction of oxygen. In healthy conditions ROS are produced by the cell mainly at the mitochondrial level, as a consequence of the activity of the respiratory chain, and then they are eliminated by a series of scavenging molecules and enzymes in order to minimize their damaging effects. Many pathological conditions are characterized by an imbalance among ROS production and ROS scavenging, which results in an accumulation of ROS. Tumor development is an example of condition characterized by an accumulation of ROS. Malignant cells, indeed, show higher levels of endogenous oxidative stress, mainly due to an increase of ROS production as a consequence of an impaired activity of the respiratory chain due to an accumulation of mtDNA mutations (Czarnecka, Kukwa et al. 2010). As just said, the different members of PKC show a sensitivity to the redox state, indeed they can be activated by an increase of ROS levels. PKCs activation is expressed as a translocation from the cytoplasm, where they are located in resting conditions, to a particular cell compartment, depending on the single isoform. In particular, a study performed by our group, investigated the behavior of different PKC isoforms belonging from the classical and novel subfamilies upon activation, showing that following activation they preferentially translocate to the plasma membrane. In this work, we focused our attention on PKC ζ , a member of the atypical subfamily which shows a different behavior compared to the other members: indeed, when activated by redox stress (mimicked by H₂O₂ 1mM treatment) PKC ζ , both the endogenous and the overexpressed, translocates to the nucleus (Fig.1A 1B). This behavior is due to the presence in its regulatory domain, of two regions, called the nuclear localization sequence (NLS) and the nuclear export sequence (NES), which regulate its trafficking into and out of the nucleus. In this case H₂O₂ acted as a stimulus inducing PKC ζ nuclear translocation, but it also is classically known as an apoptotic stimulus activating the intrinsic apoptotic pathway. For this reason we investigated the effect on cell

viability of PKC ζ nuclear translocation through the apoptotic counts and we interestingly observed that PKC ζ overexpressing cells are protected by the damaging effects of H₂O₂. We further confirmed this observation by performing a caspase-3 activity assay (see Material and methods) which showed that the protective effect of PKC ζ against the damaging effects of H₂O₂ are due to a reduced activation of caspase-3 and of the apoptotic pathway. This effect, moreover, is specific for PKC ζ activation, as demonstrated by the fact that PKC ζ inhibition with a specific commercial inhibitor (see Material and Methods) can revert the effect, thus inducing caspase 3 activation. The central role of nuclear PKC ζ in the protective effect we observed was further confirmed by the specific inhibition of nuclear PKC ζ by a nuclear inhibitor obtained by the autoinhibitory domain of the kinase targeted to the nucleus (Fig.3D). Moreover, the specificity of PKC ζ effect was confirmed by the fact that, in the same conditions, other PKC isoforms do not show any significant effect on cell viability compared to PKC ζ , as expected considered that the other PKC isoform do not show a nuclear translocation. Paradoxically we also observed that PKC ζ protective effect increases with the increase of H₂O₂ concentration (Fig.1C). This effect is due to the fact that in this case H₂O₂ plays a dual effect: on one hand indeed, according to our previous observations, it induces PKC ζ specific nuclear translocation, thus protecting PKC ζ overexpressing cells from apoptotic cell death, while on the other hand it acts as an apoptotic stimulus acting on the intrinsic pathway, thus inducing PTP opening, MOMP and consequently cell death. The global effect is an increase of the percentage of PKC ζ overexpressing cells on the total amount of living cells. The protective effect of PKC ζ , moreover, is not specific for redox stress damaging effects: the kinase, indeed, can also protect from other apoptotic stimuli such as the Ca²⁺-dependent agent C2-Ceramide (30 μ M) and the strong serine-threonine phosphatase inhibitor Okadaic acid (0.5 μ M). According to our previous observations PKC ζ does not protect cells *per se*, but it exerts its effect only when located into the nucleus, as demonstrated by pretreating cells with a low H₂O₂ concentration (100 μ M), which induces the translocation without significantly affecting cell viability (Fig.2A). An apparently discordant observation concerns the effect of PKC ζ following the apoptotic stimulus Anti-Fas (4 μ g/ml): in this case, indeed, PKC ζ does not show any protective effect, nor *per se*, nor following H₂O₂ pretreatment. However Anti-Fas, is different from the other stimuli used in our experiments because, while H₂O₂, C2-ceramide and Okadaic acid activate the intrinsic apoptotic pathway, Anti-

Fas acts activating the extrinsic one, thus suggesting a specific effect of nuclear PKC ζ on the mitochondrial pathway. Moreover, as shown in Fig.2AIII, Anti-Fas seems to act on the permeability of nuclear membrane, thus altering PKC ζ nuclear localization. The key role of PKC ζ nuclear localization in the antiapoptotic effect we observed was further confirmed by using other different approaches: on one hand, indeed, we induced PKC ζ nuclear accumulation by pretreating PKC ζ overexpressing cells with Leptomycin B (LMB), which alters the nuclear export (Fig.2CII), while on the other hand we obtained chimeric proteins, such as nuPKC ζ , a constitutively nuclear PKC ζ , and nuPKC ζ -act, a constitutively active and constitutively nuclear PKC ζ (Fig.3A, Fig.3B). As expected, in both cases we confirmed our previous observation: when located into the nucleus, PKC ζ protects cells from apoptotic stimuli like C2-ceramide (Fig.2C, Fig.3C). This hypothesis was further confirmed by the specific inhibition of nuclear PKC ζ (Fig.3D), which does not alter cell viability per se (data not shown), but it can revert the protective effect of nuclear PKC ζ after LMB pretreatment (Fig.3E). Many studies performed by different groups (Bezombes, de Thonel et al. 2002; Filomenko, Poirson-Bichat et al. 2002) suggested a role for PKC ζ in the development of resistance of cancer cells to chemotherapeutic drugs such as the topoisomerase 2 inhibitor etoposide and Doxorubicin. For this reason, we tried to investigate if the nuclear localization of the kinase is involved in this phenomenon. To this purpose we tested the effect of specific nuclear PKC ζ inhibition on the chemoresistance of two different Doxorubicin-resistant HeLa clones. These cells are characterized by an increase in ROS levels compared to control HeLa cells (Fig.4B) and, as expected, in these conditions endogenous PKC ζ is partly located into the nucleus. (Fig.4C). Our previous data suggest that nuclear PKC ζ should be involved in Doxorubicin-resistance, as demonstrated by the effect of nuclear specific PKC ζ inhibition, which significantly reverts Doxorubicin resistance (Fig.4D). All these data suggest a central role of nuclear PKC ζ in regulating cell viability, and in particular the sensitivity to apoptotic cell death, thus altering the resistance of cancer cells to the effect of chemotherapeutic drugs. These observations suggest a possible therapeutic approach to increase the efficacy of antineoplastic drugs by modulating nuclear PKC ζ . Many aspects, however, should be more deeply investigated: the antiapoptotic role of PKC ζ indeed was already described, but the previous works concerning this topic only suggest an effect at the cytosolic level (for example through sphingomyelinase inactivation - (Bezombes, de Thonel et al.

2002)- or caspase 9 activation -(Brady, Allan et al. 2005)-), while this work describes an effect at the nuclear level. Moreover we did not described the molecular pathway activated by nuclear PKC ζ . To further analyze this aspect an *in silico* analysis could be helpful to select some genes correlated with the protein. Moreover, a microarray analysis performed on a clone of nuPKC ζ -act could suggest some possible target involved in the antiapoptotic effect of nuclear PKC ζ , thus enlightening the molecular pathway involved in the effect we described.

p66 RESULTS

p66 is an adaptor protein known to be involved in aging and in many pathological conditions like diabetes and its complications. Many studies in the last years were focused in dissolving the apparent contradiction around p66: why the evolutionary selective pressure conserved this apparently damaging gene? Mice knocked-out for p66, indeed, show a lifespan extension of 30% compared to the wt mice, p66^{Shc^{-/-}} mice, moreover, are protected from obesity and from the complications associated with diabetes. Our group investigated the effect of p66 on cell viability showing that, following a redox stress which activates the kinase PKC β , p66 is phosphorylated on the Ser36 and, in this form, it is recognized by the prolyl-isomerase Pin1, which modifies its conformation thus allowing p66 translocation to mitochondria, where it acts as an oxidoreductase and it increases mitochondrial ROS production, thus rendering cells more sensitive to the apoptotic effects of an exogenous redox stress. p66^{Shc^{-/-}}, indeed, are more resistant to the apoptotic effects of H₂O₂, thus confirming a central role of the protein in the sensitivity to apoptosis (Pinton, Rimessi et al. 2007). The following year our group published another work showing that hyperglycemia induces an increase in ROS production which activates PKC β thus inducing the adipogenic differentiation of muscle derived stem cells, which were expected to differentiate into myotubes, thus suggesting a central role for PKC β in this “transdifferentiation” program (Aguari, Leo et al. 2008). In both cases ROS-induced PKC β activation plays a key role and, intuitively, p66 is also involved in the adipogenic transdifferentiation of muscle derived stem cells. The purpose of our work was dual: on one hand we wanted to investigate a possible role for p66 in the adipogenic transdifferentiation of muscle-derived stem cells as downstream target of PKC β and to reproduce our previous observation in an in vivo model; on the other hand we wanted to investigate a possible role of p66 in regulating autophagy and in particular we hypothesized a possible role of p66 as a switch among the apoptotic and the autophagic pathways.

p66 and the adipogenic differentiation of muscle-derived stem cells

In the work published by our group in 2008 (Agiari, Leo et al. 2008) we showed that the exposure of a culture of muscle-derived stem cells to high glucose concentrations (25mM), determines in an unidentified precursor cell type (expected to differentiate into skeletal muscle) the activation of the adipogenic program, as demonstrated by an increase in the expression level of PPAR γ and Srebp-1c, two classical transcription factors involved in the activation of the adipogenic program. The key event of this process is the activation of PKC β induced by the hyperglycemia-induced ROS production. The first purpose of our work was to confirm in an *in vivo* model our observations: we asked, indeed, if the activation of PKC β also plays a role in inducing the adipogenic differentiation of skeletal muscle precursor *in vivo*. The model we used is the tibialis anterior of mice belonging to the C57BL6 strain. The first approach we chose was the electroporation, because it is the most efficient method to introduce exogenous genes into skeletal muscle cells (Smith and Nordstrom 2000). Our purpose was to induce, at the same time, PKC β overexpression in order to mimic the experiments performed *in vitro*, and a degenerative-regenerative response of skeletal muscle thus activating stem cells, by modulating the voltage. We applied a voltage of 330V/cm, chosen as a compromise between the necessity to obtain a good percentage of transfection and, at the same time, a degenerative response, revealed by the presence of fibers showing central nuclei. According to our hypothesis, this voltage should determine an inflammatory response (Babiuk, Baca-Estrada et al. 2004), which induces ROS production, thus reproducing *in vivo* the same conditions we created *in vitro* (Fig.5).

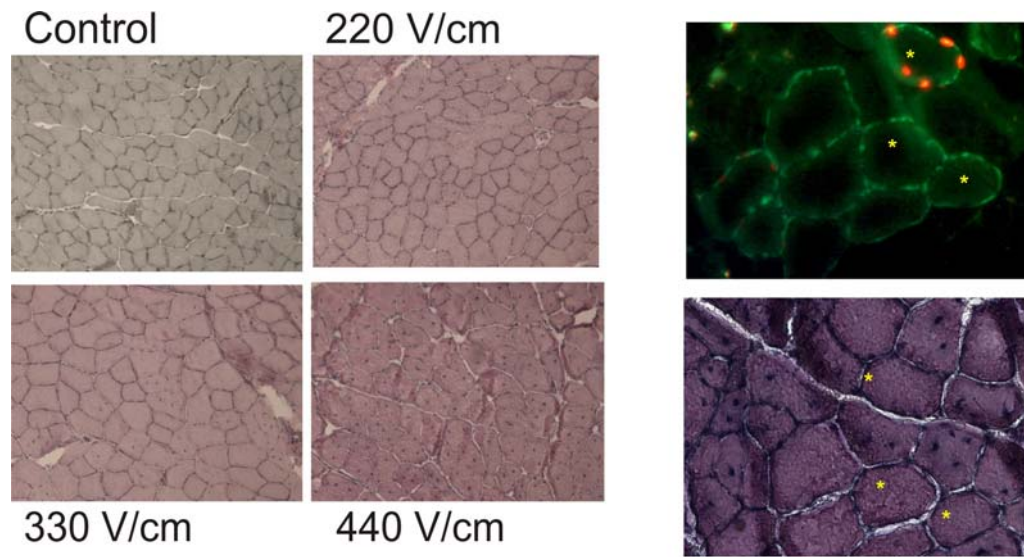


Fig.5

Fig. 5: Induction of muscle damage by electroporation. A) Hematoxylin/Eosin staining of transversal sections of T.A. muscles. T.A. were electroporated with different voltages (220V/cm, 330 V/cm, 440V/cm). B) GFP staining and Hematoxylin/Eosin staining of transversal sections of T.A. muscles. T.A. were cotransfected with PKC β -GFP and H2-RED Histon to mark nuclei.

These experiments, however showed no adipocytes accumulation in PKC β overexpressing muscles, because through the electroporation we only transfected the mature fibers and not the precursor cells which are responsible for the adipogenic differentiation (Dona, Sandri et al. 2003). For this reason we used a new approach based on the activity of endogenous PKC β : we induced a massive damage to the skeletal muscle of mice by using different approaches (Fig.6A): freeze injury (i), glycerol injection (50%v/v, ii) H₂O₂ injection (250mM, iii) and . The first kind of muscle damage we tested was freeze injury: we cut the skin and exposed the tibialis anterior, then we applied to the muscle a plate previously frozen in liquid nitrogen. For H₂O₂, or glycerol injection, after cutting the skin, we injected 50 μ l of the solution into the muscle. 7 days after the damage, the animals were sacrificed and the tibialis anterior was extracted, frozen in 2-metilbutane and transversal section were obtained, both to extract proteins or to perform different stainings such as haematoxylin/eosin staining, to analyze the morphological features of the muscle, or Oil RedO (ORO) staining, which marks adipocytes, by accumulating into the lipid droplets. Interestingly, 7 days after freeze-injury-induced damage we observed an ORO positive staining, due to an accumulation of adipocytes among the muscle fibers. Because this approach allowed us to reproduce *in vivo* the model we

described *in vitro*, we applied it to answer our question: does PKC β activation plays a role in inducing the adipogenic differentiation of skeletal muscle precursor *in vivo*? To answer this question, being impossible to selectively transfect the precursor cells with PKC β gene, we decided to compare wt mice with p66Shc $^{-/-}$ mice, being p66Shc the putative downstream target of PKC β . We applied freeze injury on the tibialis anterior muscle of wt and p66Shc $^{-/-}$ mice and after 5 days we sacrificed the animals and extracted the muscles, because it has been described that lipid accumulation after freeze injury increases from 3 to 5 days after the damage (Wagatsuma 2007). Interestingly, while ORO staining didn't show any difference between wt and p66 KO mice (data not shown), a biochemical analysis performed by western blot of Srebp-1c, a transcriptional factor involved in the initial phases of the adipogenic program which, for example, mediates insulin effects in hepatic gene expression, showed that while in resting conditions no differences are detectable in different tissues of wt and p66KO mice (Fig.6B), after freeze injury p66KO mice show a reduced level of cleaved Srebp-1c, resulting in a reduced activation of the adipogenic program, as expected according to our hypothesis (Fig.6C). The fact that ORO staining does not evidence lipid accumulation in this conditions is probably due to the fact that the adipogenic program is in an initial phase and lipid accumulation is not yet detectable. Moreover, recent evidences suggest that Srebp-1c cleavage and subsequent activation can be also induced by ER-stress, for example in liver (Kaplowitz and Ji 2006), so we analyzed the levels of ER-stress of wt and p66KO skeletal muscle, by measuring the expression levels of Xbp1 and GRP78, a well known marker of ER stress and, according to our previous observation, we observed that p66KO also show a reduced level of ER-stress after freeze injury Fig.6C). The same observation were collected after a damage induced by redox stress produced by an H₂O₂ injection: a reduced ER-stress in the p66KO corresponds to a reduced cleavage of Srebp-1c and a consequent reduction of the adipogenic program activation, suggesting a role of p66 in the activation of the adipogenic program induced by redox stress.

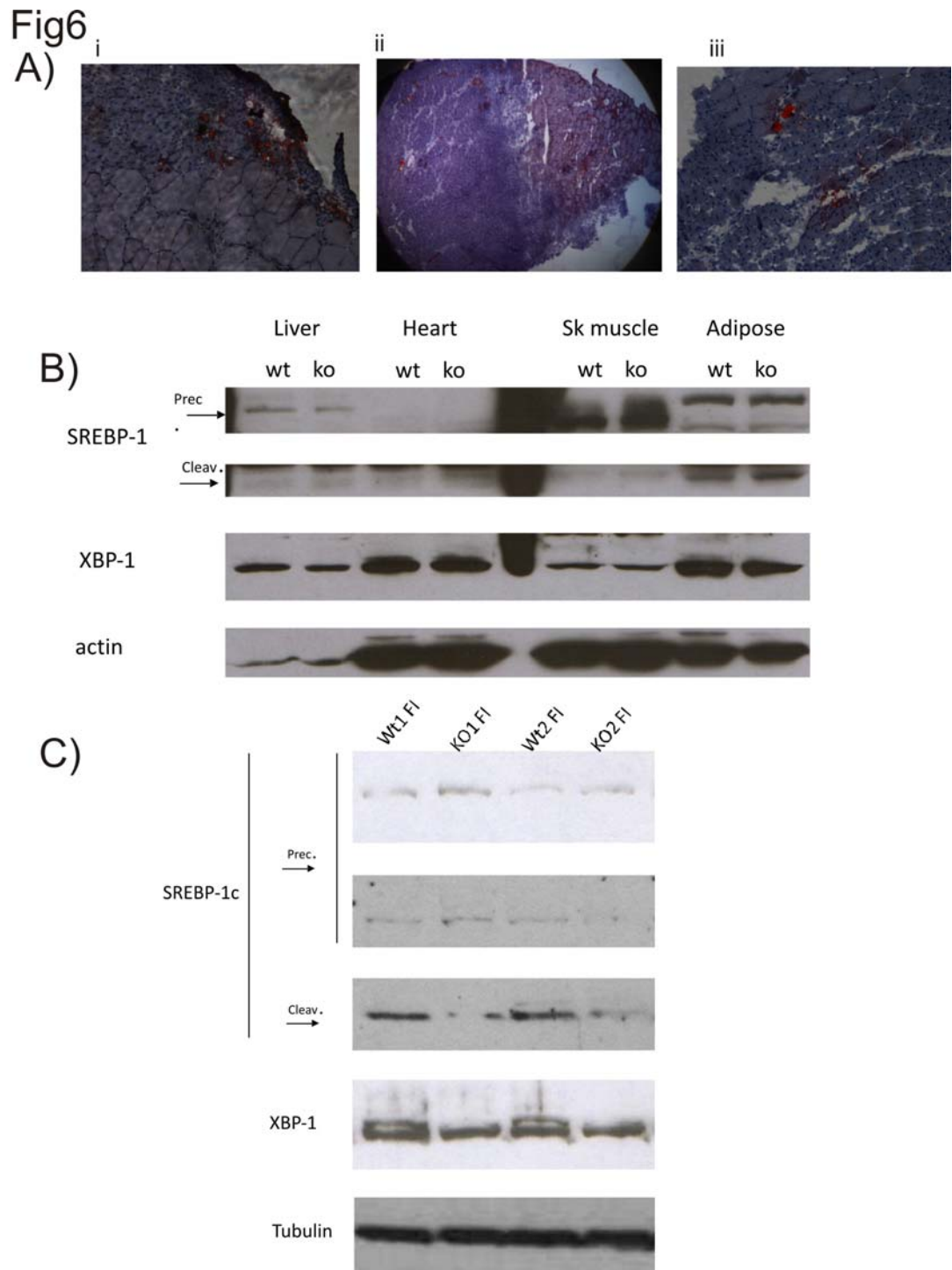


Fig. 6: Freeze-injury and glycerol injection induce intramuscular adipocytes accumulation. A) Oil RedO staining of transversal sections of T.A. muscles. Degenerative-regenerative response was induced by freeze-injury (i), glycerol injection (50% v/v) (ii) or H₂O₂ injection (250mM) (iii). B) Levels of endogenous Srebp-1c and Xbp1 in resting condition of different tissues of wt or p66KO mice, detected by Western blot analysis of tissue lysates. C) Levels of endogenous Srebp-1c and Xbp1 of T.A. of Wt mice compared to p66KO mice after freeze-injury. The level of the protein was detected by Western blot analysis of muscle lysates.

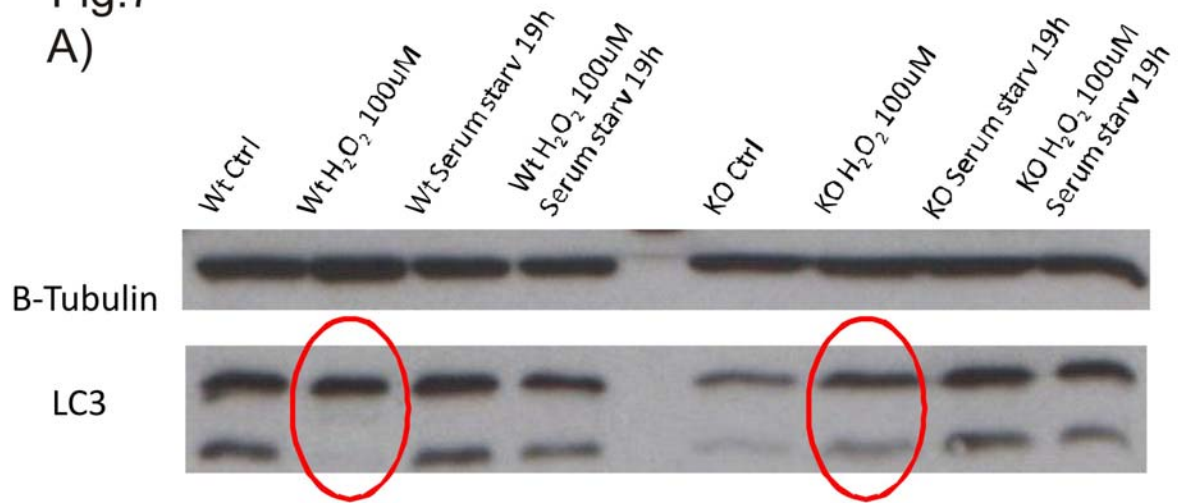
p66 and autophagy

As demonstrated by our group, p66 plays a key role in regulating the sensitivity of cells to the apoptotic effect of redox stress. Moreover increasing evidence suggest that it exist a tight crosstalk between the apoptotic and the autophagic pathway, as demonstrated by the fact that the two events share many molecular regulators. The first purpose of our work was to investigate a possible involvement of p66 in the autophagic pathway. We obtained primary cultures of myotubes (see Material and methods) from wt and p66KO mice and removed the serum for 19 hours. The activation of the autophagic pathway was analyzed by Western blot of LC3, the most known marker of autophagy, which is lipidated when autophagy is active. In serum starvation condition no difference was detectable between wt and p66KO cells. Basing on the fact that p66 is directly involved in ROS sensitivity, we hypothesized that the protein could be involved in ROS-induced autophagy. To confirm this hypothesis, we treated myotubes with H₂O₂ 100uM. Interestingly, in this condition we observed that, while in wt cells autophagy is blocked, in KO cells redox stress induces the autophagic pathway (Fig.7A). This effect, otherwise, is not dose dependent. When cells are treated with 1mM H₂O₂, indeed, no difference is detectable between wt and KO cells: in both cases the autophagic pathway is activated (data not shown). We confirmed the effect of p66 on autophagy following a redox stress in an in vivo model: we injected H₂O₂ 2mM in the tibialis anterior of wt and KO mice and after 5 days we sacrificed the animals and extracted the muscle. According to our previous data, we observed that also in vivo a redox stress blocks autophagy in wt animals, while it induces autophagy in KO animals (Fig.7B). These data suggest that p66 not only is involved in the sensitivity of ROS-induced apoptosis, as previously demonstrated, but it also is involved in ROS-induced autophagy. The different behavior of wt and KO cells and animals, indeed suggests a possible role of p66 as a switch between the two processes: wt cells, following a redox stress activate the apoptotic pathway involving p66 and its mitochondrial oxidoreductase activity, while KO cells, being unable to activate the apoptotic pathway involving p66, activate the autophagic pathway, as an alternative death program (Fig.7C-Hp1). Another possible explanation of the different level of lipidated LC3 upon redox stress between wt and p66KO cells is that p66 could be involved in autophagosome degradation: in this case the amount of lipidated LC3 in KO

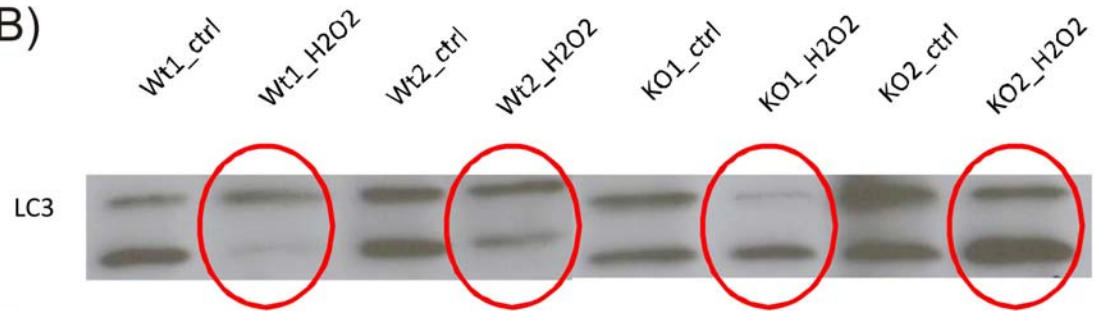
cells should mark an autophagosome degradation instead of an induction of the autophagic pathway (Fig.7C-Hp2).

Fig.7

A)



B)



C)

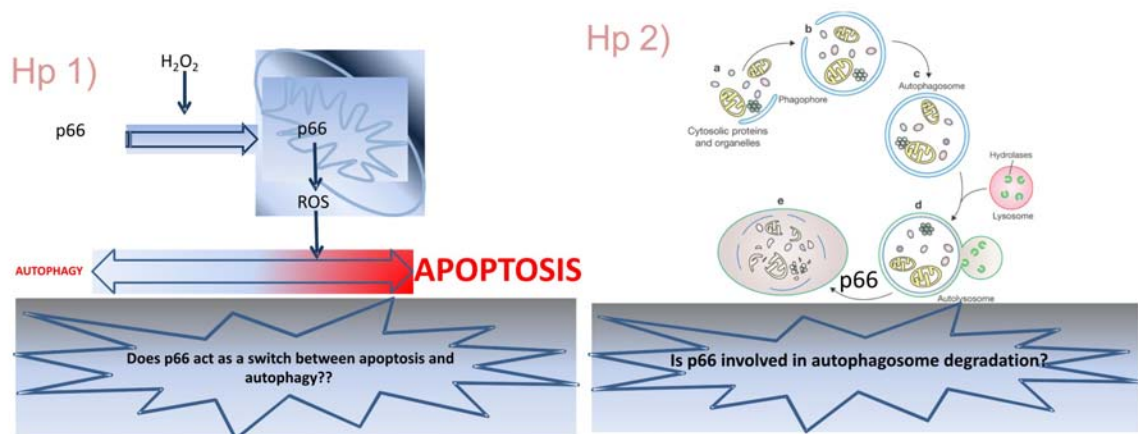


Fig. 7: Role of p66 in the regulation of autophagy. A) Level of endogenous LC3 in myotubes from Wt and KO mice in different conditions: serum starvation 19h, H₂O₂ treatment 100uM 2h and serum starvation 19h in presence of H₂O₂ 100uM. B) Level of endogenous LC3 in lysates from Wt and KO T.A. in control muscles compared to H₂O₂ 250mM-injected muscles. Muscle lysates were obtained 7 days after the injury. C) Models representing two possible hypothesis explaining p66 involvement in autophagy regulation.

p66 DISCUSSION

p66Shc is an adaptor protein known to be involved in the regulation of lifespan, in different pathological conditions, and in many processes like adipogenesis (Wagatsuma 2007) and muscle regeneration (Zaccagnini, Martelli et al. 2007), mainly thanks to its activity as a mitochondrial oxidoreductase (Giorgio, Migliaccio et al. 2005). Different works by us and other groups underlined a central role of ROS in the control of cell viability and of adipogenesis. In particular, we suggested a central role of the pathway ROS-PKC β in these phenomena. In mef cells, indeed, redox stress induced by H₂O₂ treatment activates PKC β which phosphorylates the protein p66. In this form p66 is recognized by the prolyl-isomerase Pin1, which induces a conformational change in p66 thus allowing its translocation to mitochondria, where it acts as an oxidoreductase and sensitizes cells to the apoptotic effects of redox stress. P66 KO mef cells otherwise are protected by apoptosis in the same conditions (Pinton, Rimessi et al. 2007). The pathway ROS-PKC β is also involved in the mechanisms allowing the adipogenic differentiation of pluripotent muscle-resident precursors. Myogenic precursors, indeed, when cultured in presence of high glucose concentration (25mM) generate adipocytes because hyperglycemia induces an increase of ROS production thus activating PKC β and this event is the basis for the activation of the adipogenic program (Aguiari, Leo et al. 2008). Many aspects, otherwise, need to be further investigated. First of all the cell type responsible for the adipogenic differentiation has not yet been identified: many undifferentiated cell types, indeed, can be involved in this event, such as:

- ✓ satellite cells, which are undifferentiated cells located between sarcolemma and basal lamina, committed to myogenic lineage; they are quiescent in adult skeletal muscle but upon injury and mechanical load of muscle fibers, they activate, proliferate and eventually generate a pool of predetermined myoblasts which terminally differentiate into myotubes by fusion;
- ✓ stem cells, which have a strong capacity for self-renewal (superior to that of satellite cells) and have the ability to undergo multipotent differentiation into muscle, blood, vessels, peripheral nerve.

Recent evidences identified a new mesenchimal progenitor PDGF α -positive, distinct from satellite cells, which, when activated by a muscle damage (induced by glycerol injection), can differentiate into adipocytes (Uezumi, Fukada et al. 2010). Moreover, the pathway involving PKC β and its downstream target has not yet been identified, but an overview of our previous data suggests p66 as a suitable PKC β downstream target also in the activation of the adipogenic program.

Being adipogenic degeneration a common feature of many pathological diseases such as Duchenne muscular dystrophy, but also type II diabetes, obesity and age-related sarcopenia, the investigation of the mechanisms regulating this process should represent the basis for a therapeutical approach. For this reason, our purpose was to confirm the pathway described *in vitro* in an *in vivo* model, represented by the skeletal muscle (tibialis anterior) of mice, in particular we compared wt mice with p66 KO mice. The first approach we used was to induce PKC β overexpression in myotubes and, at the same time to induce a degenerative-regenerative response by electroporating the muscle with an opportune voltage (330V/cm). This approach, otherwise, failed because it did not induce PKC β overexpression in the myogenic precursors involved in the differentiation, but only in mature myotubes which are obviously not involved in adipogenic differentiation. For this reason we decided to work on the endogenous PKC β and we induced muscle degenerative-regenerative response (which is accompanied by an activation of myogenic precursors) by inducing freeze injury or injecting H₂O₂ (250mM) or glycerol (50%v/v). Interestingly, we observed that, 5 days after the muscle damage, nor wt nor p66KO show adipocytes accumulation according to Oil RedO staining, but the biochemical analysis showed a reduced Srebp-1c cleavage in p66KO extracts compared to wt. Srebp1c is a transcription factor involved in the control of cholesterol homeostasis and it is known to be activated by cleavage during the early phases of adipogenic program. The apparently discordant results obtained with Oil RedO staining, which does not show any significant difference in adipocytes accumulation between wt and KO mice, should be due to the fact that the adipogenic process is in an initial phase, making adipocytes undetectable. Another possible explanation, which need to be further investigated, resides in the fact that recent observations suggest Srebp-1c as a marker of ER-stress: in liver, indeed, the unfolded protein response produced by ethanol, induces Srebp-1c activation and subsequent cleavage. According to these observations we investigated the ER stress levels of wt and p66KO muscles after damage by analyzing the classical markers of ER stress Xbp-1 and Grp78 and we observed that p66KO mice show a lower

level of ER stress. To establish if in our experimental conditions muscle damage only induces ER stress or if it also determines adipocytes accumulation, we should analyze other markers of adipogenic differentiation, such as the transcription factor PPAR γ and we also should analyze the effect of muscle damage after a longer period to allow a massive adipocytes accumulation in the case that the adipogenic program is activated. Moreover, to confirm the involvement of PKC β in our *in vivo* model, we should design a protocol to silence PKC β in the myogenic precursors and in this condition PKC β knockdown muscles should show the same behavior of p66 KO mice. Finally, once enlightened the molecular mechanisms allowing the adipogenic differentiation of cells committed to the myogenic lineage, our purpose should be to confirm the therapeutical interest of our observations by modulating this pathway in a pathological model represented, for example, by diabetic ob/ob mice or obese “high fat diet” mice.

The second aspect we investigated was a possible involvement of p66 in the regulation of autophagic process. In particular, the crosstalk between apoptosis and autophagy represents an interesting topic whose molecular basis have not yet been completely elucidated. Our previous data suggest a clear role of p66 in sensitizing cells to the apoptotic effects of redox stress, for this reason we decided to investigate its role in the autophagic process. We interestingly observed that, while in starvation-induced autophagy no significant difference was detectable between wt and p66 KO myotubes, if the starvation is accompanied by redox stress (H₂O₂ 100 μ M), wt mice do not activate autophagy, while KO activate a massive autophagy, both *in vitro* (cultured myotubes) and *in vivo* (tibialis anterior). This observation can be explained by the fact that in redox stress conditions, while wt cells (being sensitized to apoptosis by p66 activation) activate the apoptotic pathway, p66 KO cells activate the autophagic program. These observations suggest, on one hand, that in redox stress conditions autophagy is activated as an alternative to apoptosis and, on the other hand, that p66 can act as a molecular switch between the two pathways. To confirm this hypothesis we should observe in KO cells a decrease of caspase activity, specular to the increase of LC3 lipidation and the restore of p66 should revert this effect. Another possible explanation for the effects we have seen is that p66 could be involved in the “off mechanisms” of autophagy, in particular in autophagosomes degradation, independently from its effects on apoptosis. To verify this second hypothesis we will monitor p62 degradation. p62, indeed, is a protein known to interact with LC3 and to be degraded by autophagy (Ichimura, Kumanomidou et al. 2008) and, if p66 is necessary to

switch off autophagy (as LC3 II accumulation in p66 KO could suggest), p62 should not decrease with the progress of the autophagic process.

The data we collected so far are simply preliminary data which need to be considerably investigated, but overall they suggest that p66 is involved in a multitude of phenomena. The molecular mechanisms of these pleiotropic effects need to be deeply investigated in order to selectively modulate each effect, thus making p66 a suitable target to modulate different cellular mechanisms.

MATERIAL AND METHODS

Isolation and Culturing of Myotubes and adipocytes

Primary cultures of skeletal muscle were prepared from newborn mice (2-3 days). In brief, posterior limb muscles were removed and dissociated by two successive treatments with 0.125% trypsin in phosphate-buffered saline. The first harvest, which contains mostly fibroblasts and endothelial cells, was discarded. The remaining cell suspension was filtered through a double gauze. Cells were collected by centrifugation for 10 min at 1200 rpm (Heraeus Minifuge GL), resuspended in HAM'S F12, supplemented with 10% fetal bovine serum and then plated in 3-cm Petri dishes at a density of 1.2 million cells/dish. After 15 minutes non adherent cells were seeded into 3 cm dishes coated with 10% collagen. After 24 hours the culturing medium was changed with DMEM supplemented with 2% horse serum to increase myogenic differentiation. Adipocytes were obtained by culturing adherent cells obtained after preplating in presence of 25mM glucose in DMEM medium.

Imaging techniques

All imaging experiments were carried out on Zeiss Axiovert 200 inverted microscopes, equipped with cooled CCD digital cameras. Z-series of images were acquired at 0.5 μm distance, deconvolved using a custom-made algorithm and 3D reconstructed as described previously (Carrington et al., 1995; Rizzuto et al., 1998b).

Caspase-3 assay

After inducing apoptosis cells were harvested, washed in phosphate-buffered saline (PBS) and then a lysate was obtained by subjecting the cells to a freeze-thaw cycle. During cell lysis, a solution of 2X reaction buffer was prepared according to datasheet. The lysated cells were then centrifuged to pellet the cellular debris. 50 μL of the supernatant from each sample were then transferred to individual microplate wells. 50 μL of the 1X cell lysis buffer was used as a no-

enzyme control to determine the background fluorescence of the substrate. As an additional control, 1 μ L of the 1 mM Ac-DEVD-CHO inhibitor stock solution was added to selected samples. The samples were incubated at room temperature for 10 minutes. The remaining samples (without inhibitor) were stored on ice during this time. Then a 2X substrate working solution was prepared according to datasheet and 50ul were added to each sample which than has been incubated for 30 minutes at room temperature. The fluorescence of each sample (excitation/emission \sim 496/520 nm) was then measured by using appropriate excitation and emission filters. Because the assay is continuous, measurements were performed at multiple time points.

PKC ζ -based constructs

Human PKC ζ cDNA (1779 bp), fused with the GFP cDNA, was cloned into the expression vector pcDNA3. To obtain the nuclear localization of the kinase, the coding sequence of nuclear localization regions of Glucocorticoid receptors (GR- 354bp) was fused with the chimeric protein PKC ζ GFP. The Constitutively active PKC ζ mutant was obtained by substituting the entire PKC ζ cDNA with the catalytic domain (1014 bp) while the nuclear PKC ζ inhibitor was obtained by substituting the entire PKC ζ cDNA with the autoinhibitory domain.

Intracellular ROS measurements

Intracellular ROS generation was measured with 5-(and-6)-chloromethyl-2,7_-dichlorodihydrofluorescein diacetate, acetyl ester (CM-H2DCFDA) (Invitrogen), which is cell-permeant. After ester hydrolysis, the probe is trapped as a nonfluorescent probe, 5-(and-6)-chloromethyl-2,7_-dichlorodihydrofluorescein. After its oxidation by ROS, 5-(and-6)-chloromethyl-2,7_-dichlorofluorescein (CM-DCF) green emission was recorded at 520 nM. Cells were loaded with 10_ μ M CM-H2DCFDA at 37°C in modified KRB at 5.5 or 25 mM glucose. After 30 min, laser scanning confocal microscopy images were obtained. Acquisitions were made every 1 s for 500 s.

Animals and In Vivo Transfection Experiments

Experiments were performed on adult C57BL6 mice. In vivo transfection experiments were performed as described previously by injecting DNA and then electroporating the muscle (Sandri et al., 2004). In some experiments, TA were injected with glycerol (50% v/v), cardiotoxin (10 μ M) or H₂O₂ (250mM) or freeze injury was performed. To induce autophagy mice were starved for 18 hours.

Muscle samples and Immunohistochemical staining

Muscles were dissected carefully, freed from any visible fat and blood and rapidly frozen in liquid nitrogen-cooled isopentane. Serial sections (6 μ m) were thaw mounted on uncoated precleaned (96% ethanol) glass slides. Before processing, or storage at -70°C , the samples were air dried for 15 min.

ORO staining: Oil red O was obtained from Fluka Chemie (C.I. number 26125; Buchs, Switzerland) and dissolved to a stock solution by adding 500 mg oil red O to 100 ml 60% triethyl-phosphate [(C₂H₅)₃PO; Fluka Chemie]. Prior to staining, a 36% triethyl phosphate working solution, containing 12 ml oil red O stock solution and 8 ml deionised water, was prepared. This solution was filtered through Whatman paper number 42 (Whatman, Maidstone, UK) to remove crystallised oil red. Serial sections were fixed (1h) using 3.7% formaldehyde solution in deionised water. Excess formaldehyde was removed by three rinses in deionised water for 30 s. Subsequently, the sections were immersed in the working solution of oil red O for 30 min. Hereafter, sections were washed with three exchanges of deionised water for 3 times 30 s. If desired, sections were counterstained using Mayer's haematoxylin for 60 s to visualise nuclei. Then, sections were rinsed with running tap water for 10 min and covered with a coverslip using Fluoromount mounting medium (Sigma).

Hematoxylin\Eosin staining was performed using a kit by Bio-Optica.

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