

Direct observation of aqueous secondary organic aerosol from biomass-burning emissions

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The mechanisms leading to the formation of secondary organic aerosol (SOA) are an important subject of ongoing research for both air quality and climate. Recent laboratory experiments suggest that reactions taking place in the atmospheric liquid phase represent a potentially significant source of SOA mass. Here, we report direct ambient observations of SOA mass formation from processing of biomass-burning emissions in the aqueous phase. Aqueous SOA (aqSOA) formation is observed both in fog water and in wet aerosol. The aqSOA from biomass burning contributes to the “brown” carbon (BrC) budget and exhibits light absorption wavelength dependence close to the upper bound of the values observed in laboratory experiments for fresh and processed biomass-burning emissions. We estimate that the aqSOA from residential wood combustion can account for up to 0.1–0.5 Tg of organic aerosol (OA) per y in Europe, equivalent to 4–20% of the total OA emissions. Our findings highlight the importance of aqSOA from anthropogenic emissions on air quality and climate.

particulate matter | air quality | secondary organic aerosol | biomass burning | aqueous processing

Organic aerosol (OA) is a dominant component of atmospheric aerosol. Field observations indicate that processed, that is, secondary OA (SOA), dominates over primary OA worldwide (1–3). Bottom-up and top-down estimates confirm that SOA accounts for up to 76% of ambient OA (1, 4). However, the estimates of global SOA budget are still largely uncertain (1, 5).

An increasing number of experimental and modeling studies point toward aqueous-phase chemistry as a significant missing pathway for SOA formation (6–8). To date, most studies have investigated the formation of aqueous SOA (aqSOA) from natural biogenic emissions (6–8). Nevertheless, anthropogenic emissions, such as wood burning for residential heating, can contribute to the formation of aqSOA, both in urban and rural areas. In fact, water-soluble organic species (WSOC) can account for more than 50% of wood-burning emissions (9–11). Monosaccharides, dicarboxylic acids, and phenols emitted during wood combustion can act as aqSOA precursors (12–15). A few studies investigated formation and properties of aqSOA from biomass combustion through laboratory photochemical oxidation of single compounds, such as levoglucosan and phenolic species (12, 13, 16–18). However, ambient observations of aqSOA formation in environments with high wood-burning emissions are very limited. Phenol oligomers, which are tracers of primary wood burning and aqueous-phase processing of wood-burning emissions, were observed in Fresno in Winter 2006, but concentration and properties of the related aqSOA were not reported (16). The influence of aqueous-phase chemistry for SOA formation was suggested again in Fresno in Winter 2010 (19), but the oxidation level [oxygen-to-carbon ratio (O:C)] of the Fresno SOA was lower than expected, compared with the O:C of aqSOA formed from oxidation of wood-burning emissions in laboratory experiments (12–14, 16, 20).

To identify, quantify, and characterize aqSOA from biomass burning under real ambient conditions, we investigated the OA properties of ambient aerosol and fog water samples collected in the Po Valley (northern Italy) during the cold season, when the region is characterized by temperature below 10 °C, high relative humidity, and significant emissions from wood combustion from residential heating (21). We showed the usefulness of molecular tracer detection by on-line measurement techniques to track the formation of ambient aqSOA. We also infer that oxidation of biomass-burning emissions in wet aerosol is taking place mainly through the formation of hydroxyl/ether groups and oligomerization reactions. Finally, we show that aqSOA originating from biomass-burning emissions are strong absorbers of UV and visible light, thereby contributing to a positive direct forcing.

Discussion

Ambient Observations of SOA Formed in the Aqueous Phase. The observations discussed here were obtained during two different field experiments. The first study was performed at the rural site of San Pietro Capofiume (SPC), near Bologna, in Fall 2011, whereas the second experiment took place at an urban background site in Bologna during Winter 2013.

The Fall 2011 campaign at SPC (SPC2011) was characterized by several fog episodes. The evaporation of fog left behind particles enriched in oxidized OA. To study the specific effect of fog dissipation on OA properties, we focused on four dissipation

Significance

Organic aerosol (OA) is a dominant component of atmospheric aerosol worldwide, and it is recognized as a key factor affecting air quality and possibly climate. Observations indicate that more than one-half of the global OA is of secondary origin. Traditional models typically underpredict secondary organic aerosol (SOA) mass, suggesting that a complete knowledge of SOA formation mechanisms is lacking. We show that aqueous-phase processing of biomass-burning emissions contributes to SOA formation. Such aqueous SOA absorbs UV and visible light more efficiently than other OA components. Aqueous chemistry processing of biomass-burning emissions should be taken into account in air quality and climate models for a correct description of the global OA budget and its climate-relevant optical properties.

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events that were not perturbed by transport of air masses from surrounding areas (*Supporting Information, 1. Identification of Radiative Fog Dissipation Events at San Pietro Capofiume During the 2011 Study* and Table S1). The OA was analyzed by the Aerodyne (Aerodyne Research) high-resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS) (22). The campaign results have been reported in a previous work (23). In this study, the SOA mass spectrum for each fog event is obtained as the total OA minus the primary OA, as determined by positive matrix factorization (PMF) analysis; the spectrum of fog water samples is instead measured via off-line analysis (see *Methods* for details). The SOA spectra after fog dissipation are very similar to the OA spectrum in fog water (Fig. S1), with a Pearson correlation coefficient (r) larger than 0.96 in all cases (and $P < 0.001$). This result indicates that the OA species observed in the aerosol particle phase after fog dissipation were originally present in fog water.

The field experiment performed in Bologna in Winter 2013 (Bologna2013) was characterized by temperatures below 10 °C, high relative humidity, and stagnant air. Although dense fog events did not occur in the urban setting, the ambient relative humidity was typically above 70%, favoring the presence of wet aerosol particles. Similar to SPC2011, we applied PMF analysis (24) on the HR-ToF-AMS data collected in Bologna2013, to deconvolve the total OA signal in various components (*Supporting Information, 4. Positive Matrix Factorization Analysis, 4.1. Positive Matrix Factorization of HR-TOF-AMS OA Data During Bologna 2013*). Among the different OA types, we identified an oxygenated organic aerosol (OOA) component originating from biomass burning that has mass spectral features similar to the SOA observed in SPC2011 after fog dissipation (correlation with $r = 0.94$ and $P < 0.001$), therefore suggesting influence of aqueous-phase processing. From now on, we refer to these SOAs as aqSOAs. The spectra of the aqSOA for SPC2011 and Bologna2013 are shown together in Fig. 1A, and they show characteristic signals at m/z 29 (CHO^+), m/z 43 ($\text{C}_2\text{H}_3\text{O}^+$), m/z 44 (CO_2^+), and m/z 60 ($\text{C}_2\text{H}_4\text{O}_2^+$). In the following paragraphs, we report a series of findings to support our hypothesis that these SOAs are indeed aqSOAs.

The first evidence of aqSOA formation is given by the correlation of these SOA samples with a tracer of liquid phase chemistry, that is, the hydroxymethanesulfonate (HMS). HMS is formed from the complexation of sulfite and bisulfite with aqueous formaldehyde (25–28). During both campaigns, HMS was detected by the HR-ToF-AMS and was also measured by proton NMR (H-NMR) (*Methods* and *Supporting Information, 2. Tracers of Aqueous-Phase Chemistry* and Fig. S2). For SPC2011, the aqSOA concentration increased together with the HMS signal after fog dissipation ($r = 0.77$, $P < 0.001$). Because photochemistry prevents HMS formation, the correlation of HMS with aqSOA could not be justified by radiation increase during the first hours after sunrise, when fog dissipates. Instead, the data indicate that HMS and aqSOA were formed in the same environment, that is, in the aqueous phase, consistent with previous literature (8, 29). Good correlation ($r = 0.68$, $P < 0.001$) is found between aqSOA and HMS signal for Bologna2013 as well. In addition, Fig. 1B shows that aqSOA in Bologna correlates well ($r = 0.73$, $P < 0.001$) with the aerosol liquid water content (ALWC) estimated from particle composition (*Methods*), confirming that the aqueous phase was the formation medium for this OA.

Second, we consider the elemental composition of the aqSOA (Table 1). The O:C ratios observed for the aqSOA in this study are around 0.61–0.62 as calculated with the Aiken ambient (AA) method (Table 1). The corresponding ambient improved (AI) O:C ratios (0.81–0.84) are similar to the AI O:C ratio of aqSOA obtained from laboratory oxidation of phenolic compounds (13, 16). Enhanced O:C ratios (AA O:C > 0.52) have also been reported for laboratory-generated SOA from the photooxidation of organic precursors in the aqueous phase (14, 20). Moreover, higher-than-usual O:C of SOA observed in various environments

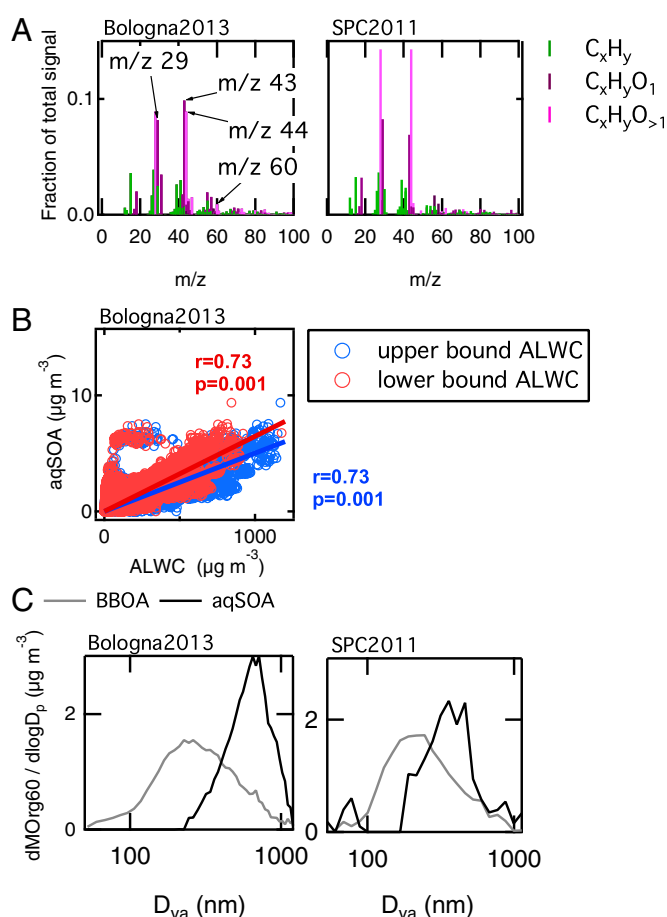


Fig. 1. Evidence of aqSOA formation. (A) Comparison of aqSOA mass spectra in Bologna2013 and in SPC2011; the spectra are highly correlated ($r = 0.94$, $P < 0.001$). Notably, the mass spectrum of the SPC2011 aqSOA has a much larger CO_2^+ fraction than the spectrum of Bologna2013 aqSOA. (B) Scatter plot of aqSOA vs. the estimated aerosol liquid water content (ALWC) for Bologna2013 (upper and lower bounds). (C) Normalized size distribution of mass fragment m/z 60 (Org60) in BBOA-rich particles (i.e., samples with BBOA organic mass fraction larger than 70%) and in aqSOA-rich particles (i.e., samples with aqSOA organic mass fraction larger than 30%, and corrected for BBOA contribution).

at high relative humidity have been correctly modeled and explained solely by an aqueous-phase chemistry scheme (7).

Last, we consider the particle size distribution of the aqSOA. Fig. 1C shows the size distribution of the mass fragment at m/z 60 ($\text{C}_2\text{H}_4\text{O}_2^+$; exact mass, 60.0206), or Org60, a marker for biomass burning (30) and a component of aqSOA mass spectrum. During the Bologna2013 campaign (Fig. 1C, Left), the size distribution of Org60 for the aqSOA factor shows a median mass diameter above 700-nm D_{va} , consistently with aqueous-phase reaction products (31). Additional particle time-of-flight (pToF) size distributions for other aerosol species during Bologna2013 are reported in Fig. S3. For SPC2011 (Fig. 1C, Right), the pToF size distributions of organic and inorganic chemical species are analyzed in a previous work (23). They are characterized by smaller mean diameters, due to efficient fog-scavenging occurring almost every night, preventing particle growth (23). Nevertheless, the Org60 for the biomass-burning OA (BBOA) and aqSOA factors behave consistently with what observed in Bologna2013, with the size distribution of the Org60 in aqSOA peaking at higher size (~ 500 -nm D_{va}) compared with the Org60 in BBOA (200-nm D_{va}).

Biomass-Burning Emissions as Precursors of the aqSOA. In both datasets, we observe the presence of the guaiacol dimer ($\text{C}_{14}\text{H}_{14}\text{O}_4^+$),

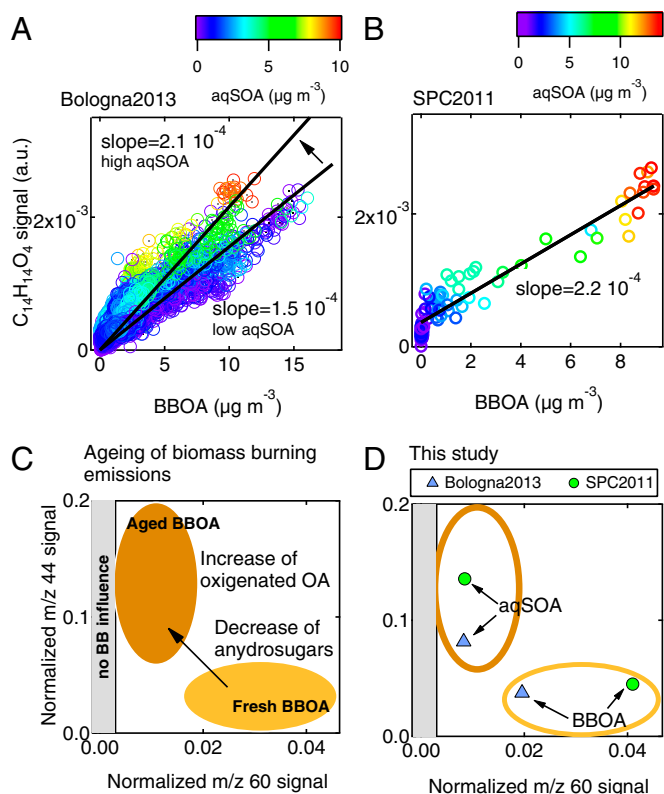


Fig. 2. Influence of biomass-burning emissions on aqSOA formation. (A and B) Scatter plots of the guaiacol dimer signal ($C_{14}H_{14}O_4$) vs. the BBOA loadings (in micrograms per cubic meter) color-coded as a function of aqSOA concentration in Bologna2013 and SPC2011. For the Bologna2013 dataset, the two slopes correspond to high ($>4 \mu\text{g}\cdot\text{m}^{-3}$) and low ($<0.3 \mu\text{g}\cdot\text{m}^{-3}$) aqSOA concentration as reported; for the SPC 2011 dataset, only the data after fog dissipation are reported. (C) Schematic representation of aging of wood-burning emissions as observed in laboratory and field experiments using HR-ToF-AMS parameters, that is, normalized mass spectrum signal at m/z 44 (f44), which is a proxy of OA oxygenation degree, and normalized mass spectrum signal at m/z 60 (f60), which is a proxy of anhydrosugar content. The gray shaded area corresponds to f60 lower than 0.003 and indicates no influence of biomass burning (33). (D) BBOA and aqSOA factors corresponding to Bologna2013 (light blue triangles) and SPC2011 (light green circles) in the f44 vs. f60 space.

oligomerization and dark chemistry processes promoting the formation of ethers and hydroxyl groups in the wet aerosol sampled during Bologna2013 (8, 29, 36).

Atmospheric Implications. Part of the OA emitted during biomass combustion absorbs UV and visible light with a higher wavelength dependence than black carbon (BC). For this reason, the light-absorbing fraction of OA is known as “brown carbon” (BrC) (37). Here we show that the biomass-burning aqSOA contributes to the BrC budget and absorbs light more efficiently than fresh biomass-burning emissions. Fig. 4A shows that when the OA is dominated by fresh biomass burning and the contribution of aqSOA is negligible, the absorption Ångström exponent (AAE) (i.e., the light absorption wavelength dependence) is close to 2, as expected from literature (38). When the fraction of the biomass-burning aqSOA becomes larger, the AAE increases, with values up to 5. This result agrees with measurements of optical properties of aqSOA produced in laboratory experiments from photooxidation and nitration of phenolic compounds (13, 17, 39). The large AAE indicates that this OA component absorbs radiation efficiently in the near-UV and visible region, possibly contributing to a net positive radiative forcing.

Although it has been suggested that light-absorbing OA can at most contribute up to 10% of total aerosol solar light absorption

(40), many climate models still treat OA as purely scattering species, therefore underestimating their potential for light absorption. Some difficulties still exist in predicting OA absorption in general, and even more in the case of biomass-burning OA due to its complex nature (41, 42). However, recent laboratory results indicate that optical properties of biomass combustion aerosol can be successfully parameterized based on the BC-to-OA ratio (41). Fig. 4B shows such parameterization (black curve) applied to our ambient data. The similarity between ambient data and the parameterized curve is consistent with the limited contribution of fossil fuel combustion to the OA loading. In addition, our observations indicate enhanced absorption in the near-UV region associated with aqSOA formation. At low BC-to-OA ratios, corresponding to more processed aerosol and higher aqSOA fraction, the observed AAE is larger (up to 50%) than what is observed in laboratory experiments of wood-burning emissions subjected to gas phase oxidation (41).

The aging of biomass-burning emissions in the aqueous phase impacts air quality as well. In Europe, combustion of biomass for residential heating has increased in recent years. One study at a rural site in northern Italy showed that SOA from biomass burning in winter accounts for 10% of organic carbon and 7% of fine particle mass (43); in the urban area of Paris, processing of biomass-burning emissions accounted for up to 40% of the OA during another field study (44); similarly, in rural areas nearby London, aged biomass-burning emissions are a likely important contribution to the overall OA (45). This study highlights the relevance of aqueous-phase chemistry for processing of wood-burning emissions. The impacts on the European air quality are potentially relevant. In fact, several European areas, characterized by large wood-burning emissions for residential heating, experience humid weather and frequent fog events during the winter season (46). We assume that the ratio of aqSOA to elemental carbon (EC) from wood burning observed in the Po Basin (refs. 1–5 and this study) (Fig. S10) can be applied to a larger scale. Using the European budget of residential wood-burning EC emissions (about 0.1 Tg per y) (47), the upper bound of the biomass-burning aqSOA budget would range between 0.1 and 0.5 Tg of OA per y (Supporting Information, 5. aqSOA Budget), equivalent to 4–20% of the estimated total primary OA emissions (48).

In conclusion, our ambient observations demonstrate that aqSOA originating from wood-burning emissions is a potentially important and unaccounted player in air quality budget and climate forcing balance. Future studies are needed to better constrain the chemical mechanisms responsible for aqSOA formation and to identify gas and particle-phase aqSOA precursors.

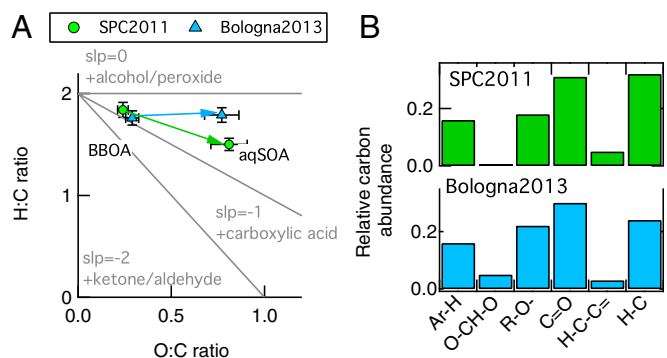


Fig. 3. aqSOA chemical properties. (A) Van Krevelen (VK) diagram of the BBOA and aqSOA PMF factors obtained from the HR-ToF-AMS data analysis for both SPC2011 (green) and Bologna2013 (light blue). The line connecting BBOA and aqSOA has a close to zero for Bologna2013 and -0.5 for SPC2011, indicating different chemistry processing leading to aqSOA formation in the two environments. (B) Organic functional group composition of the aqSOA factors identified by PMF analysis of H-NMR spectra in SPC2011 (green) and Bologna2013 (light blue).

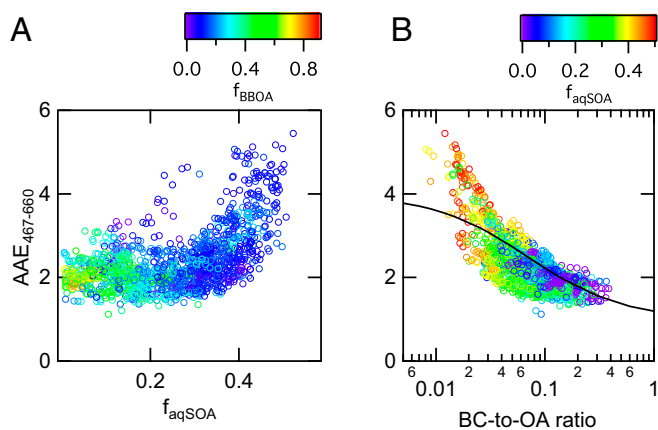


Fig. 4. aqSOA optical properties. Light absorption wavelength dependence as a function of aqSOA biomass-burning mass fraction ($f_{aqSOA} = aqSOA/OA$) (A) and of the BC–OA ratio (B). The black line in B is the AAE fitting curve describing optical properties of fresh and aged biomass-burning aerosol (33). Markers are color-coded as a function of primary biomass-burning organic mass fraction ($f_{BBOA} = BBOA/OA$) (A) and aqSOA organic mass fraction (B). The optical data are available only for the Bologna2013 dataset.

Methods

The chemical and microphysical properties of submicron aerosol particles were investigated in San Pietro Capofiume (44°39'15" latitude, 11°37'29" longitude), a rural site located at 30 km northeast of Bologna (Italy), and in an urban background site in Bologna (44°31'29" latitude, 11°20'27" longitude) in the framework of the Agenzia Regionale per la Prevenzione, l'Ambiente e l'Energia (ARPAE) Emilia Romagna Supersite project. Field experiments in SPC started on November 15 and ended on December 1, 2011 (23). The experiment in Bologna started on January 29 and ended on February 22, 2013.

OA Measurements. The chemical composition of atmospheric aerosol particles were characterized on-line with a HR-ToF-AMS (Aerodyne Research) (22). We report data collected using the V-ion mode, which had a resolution of 2,200 during both campaigns. Aerosol particles were dried below 30% relative humidity with a Nafion drier. OA components were identified by PMF analysis of HR-ToF-AMS organic mass spectra (24). Details of PMF analysis of HR-ToF-AMS data for the SPC2011 campaign are reported elsewhere (23), whereas the results of PMF analysis on HR-ToF-AMS data during Bologna2013 are reported in *Supporting Information, 4. Positive Matrix Factorization Analysis, 4.1. Positive Matrix Factorization of HR-ToF-AMS OA Data During Bologna 2013*.

Submicron particles were sampled for off-line analysis by H-NMR (49). Particles were collected on prewashed and prebaked quartz fiber filters (Pall; 9-cm diameter) by a dichotomous sampler at a constant nominal flow of 300 L·min⁻¹. We collected two samples each day, one from 9:00 AM to 5:00 PM local time (LT) and one from 5:00 PM to 9:00 AM LT. Filters were stored at 4 °C before analysis. OA components were identified by PMF analysis of H-NMR organic spectra. Details of PMF analysis of H-NMR data on both the SPC2011 and Bologna2013 datasets are reported in *Supporting Information, 4. Positive Matrix Factorization Analysis, 4.2. Factor Analysis of H-NMR Spectra*, and Fig. S9.

Polar organic compounds (vanillin, vanillic acid, syringol, syringic acid, and pyrogallol) were quantified using off-line GC/MS analysis (50).

Determination of aqSOA from the HR-ToF-AMS Datasets. The mass spectrum of OA dissolved in fog water for the SPC2011 dataset was measured by HR-ToF-AMS analysis of re-aerosolized fog water samples. To make sure that the re-aerosolized fog water was representative of the original

sample, we verified that the nitrate-to-organic and the sulfate-to-organic ratios from HR-ToF-AMS analysis were within 20% (measurement uncertainty level) of the ratios measured by ion chromatography and thermal analysis.

At SPC, the strong modulation of OA properties caused by fog made it difficult to isolate more than one oxidized OA component. To better define the aqSOA in the SPC2011 HR-ToF-AMS data, we removed from the total OA matrix the contribution of the primary OA components [hydrocarbon-like organic aerosol (HOA) and BBOA] as determined by PMF analysis (23). The residual OA mass spectra (identified as aqSOA) was calculated using Eq. 1 as follows (19):

$$aqSOA = ORG - (tsHOA \times msHOA) - (tsBBOA \times msBBOA), \quad [1]$$

where ORG is the organic mass spectra matrix from AMS analysis, tsHOA and tsBBOA are the time series of the HOA and BBOA factors, whereas msHOA and msBBOA are their mass spectra.

The aqSOA in the Bologna2013 dataset was identified via PMF analysis of the OA HR-ToF-AMS measurements (*Supporting Information, 4. Positive Matrix Factorization Analysis, 4.1. Positive Matrix Factorization of HR-ToF-AMS OA Data During Bologna 2013*). PMF identified a hydrocarbon-like component (HOA) related to urban traffic, BBOA, and three OOA components (Fig. S5). One of the OOAs was identified as aqSOA as described in *Supporting Information, 4. Positive Matrix Factorization Analysis, 4.1. Positive Matrix Factorization of HR-ToF-AMS OA Data During Bologna 2013*.

ALWC Estimates. Lower and upper bounds of ALWC were estimated using the extended aerosol inorganic module (E-AIM–module 4) (51). The model calculates the content of water in liquid phase based on inorganic ions and OA concentration. The molar concentrations of organic and inorganic species measured with the HR-ToF-AMS were used as input variables. Particles were assumed to be neutral. This assumption is supported by the observation that during the SPC2011 experiment, the mean pH of fog water samples was 6.8. Overall, long-term, continuous measurements at SPC have shown a progressive decrease of fog water acidity over the last 20 y, with values approaching neutrality during the last few years (52). Due to the limited knowledge of the OA molecular composition, we estimated the lower bound of ALWC by neglecting the contribution of OA, and the upper bound by assuming OA properties equivalent to those of oxalic acid [a highly hygroscopic OA component with k -Köhler equal to 0.48 (53)]. The lower and upper bound of ALWC differ on average by 25%.

Aerosol Optical Property Measurements. During Bologna2013, the spectral optical properties of the ambient aerosol in the visible range were measured on-line (54). The dry aerosol absorption coefficient σ_a was measured by a three-wavelength particle soot absorption photometer (PSAP) (Radiance Research) at 467, 530, and 660 nm. Raw PSAP data were corrected according to previous work (55). The procedure requires wavelength-resolved scattering coefficient (σ_s), which was extrapolated from measurements of the dry aerosol σ_s at 450, 525, and 635 nm, performed by an integrating nephelometer (Ecotech; mod.Aurora 3000). All measurements with $\sigma_a < 1 \text{ Mm}^{-1}$, $\sigma_s < 10 \text{ Mm}^{-1}$ were eliminated to reduce experimental errors. Data affected by dust presence were discarded based on scattering and absorption properties (56). These instruments were set up on the same inlet system as the HR-ToF-AMS. External air was pumped in the cabin into a stainless-steel tube (length, 4.0 m) by an external pump ensuring a laminar flow (Reynolds number, <2,000). The cabin was conditioned at 20–25 °C to dry sample air based on the difference between air temperature and dew point.

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