



**MTHFR promoter methylation might mitigate the effect of smoking at the level of LINE-1 in cleft lip tissues – a preliminary study**

Journal:	<i>Birth Defects Research</i>
Manuscript ID	BDR-21-0068.R1
Wiley - Manuscript type:	Research Article
Date Submitted by the Author:	18-Jul-2021
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Key Words:	Non-syndromic cleft lip with or without cleft palate, DNA methylation, MTHFR c.677C>T, MTHFR promoter methylation, LINE-1

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Manuscripts

This is the peer reviewed version of the following article: Khan, M.F.J, et al. (2021) 'MTHFR promoter methylation might mitigate the effect of smoking at the level of LINE-1 in cleft lip tissues: A preliminary study', Birth Defects Research 13(20), pp. 1463-1469, which has been published in final form at <https://doi.org/10.1002/bdr2.1959>. This article may be used for non-commercial purposes in accordance with Wiley Terms and Conditions for Self-Archiving.

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4 **1** *MTHFR* promoter methylation might mitigate the effect of smoking at the level of  
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6 **2** *LINE-1* in cleft lip tissues – a preliminary study  
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48  
49 **27** **Funding information.** This study was partly supported by FAR-2018 grant from University  
50 of Ferrara and from Department of Biomedical and Specialty Surgical Sciences, Section  
51 of Medical Biochemistry, Molecular Biology and Genetics, University of Ferrara, Italy,  
52 Grant/Award Number: 62040 and 0039804

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4 **Abstract**  
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6 **Background:** The medial and maxillary aspects of the upper lip originate at separate  
7 embryonic stages and therefore may experience different maternal exposure patterns  
8 which may affect methylation. Based on this hypothesis, we investigated the level of  
9 methylation of the methylene tetrahydrofolate reductase promoter gene (*mMTHFR*) in  
10 tissues from cleft lip, and *mMTHFR* levels by *MTHFR* c.677C>T genotype. We further  
11 investigated whether *mMTHFR* mitigates the effect of smoking on long interspersed  
12 nuclear element (LINE-1) methylation in these tissues.  
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19 **Methods.** DNA extracted from medial and lateral tissues of 26 infants with non-  
20 syndromic cleft lip with or without cleft palate (nsCL/P) was bisulfite converted and  
21 *mMTHFR* was measured on a pyrosequencer. LINE-1 methylation and *MTHFR*  
22 c.677C>T genotype data were obtained in our previous study.  
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26 **Results.** There was no substantial difference in *mMTHFR* ( $p=0.733$ ) and LINE-1  
27 ( $p=0.148$ ) between the two tissues. *mMTHFR* was not influenced by *MTHFR* c.677C>T  
28 genotype, but there was suggestive evidence that the difference was larger among infants  
29 exposed to maternal smoking compared to non-exposed. LINE-1 methylation differences  
30 were significant ( $p=0.025$ ) in infants born to non-smoking mothers, but this was not  
31 apparent ( $p=0.872$ ) in infants born to mothers who smoked. Our Pearson's correlation  
32 analysis suggested a weak inverse association between *mMTHFR* and LINE-1 ( $r=-0.179$ ;  
33  $p=0.381$ ).  
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41 **Conclusion.** Our preliminary observation of differences in patterns of *mMTHFR* levels  
42 in lip tissue suggests the interplay of gene and environment in establishment of  
43 methylation in tissues at both sides of cleft lip. This requires investigation in a larger  
44 cohort, integrated with metabolic assessment.  
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48 **Keywords:** Non-syndromic cleft lip with or without cleft palate, DNA methylation,  
49 *MTHFR* c.677C>T, LINE-1, *MTHFR* promoter methylation  
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## 59 Introduction

60 Orofacial clefts (OFCs) are collectively among the most common human congenital  
61 anomalies that can occur in isolation or as part of a syndrome (Mossey et al., 2011). Some  
62 environmental and multiple genetic risk factors have been identified for non-syndromic  
63 form of OFCs (Leisle & Marazita, 2013; Khan et al., 2018a, Mossey et al., 2017; Raut et  
64 al., 2019; Johnson & Little, 2008; Little, Cardy, & Munger, 2004) but the causes of these  
65 defects remain largely unknown.

66 OFCs develop in early life, when the embryo is extremely susceptible to perturbation of  
67 the in-utero environment (Dixon, Marazita, & Beaty, 2010). Among environmental  
68 factors, tobacco smoking has been found to influence facial morphology (Xuan et al.,  
69 2016), and is reported to be the most consistent and strongest risk factor for OFCs (Raut  
70 et al., 2019). Such perturbation of the early life environment affects developmental  
71 programming in the embryo, with sustained changes potentially detectable in tissues from  
72 medial nasal and maxillary sides of the upper lip in cases with non-syndromic cleft lip  
73 with or without cleft palate (nsCL/P) as observed by our group in recent studies (Khan et  
74 al., 2018b, Khan et al., 2018c, Khan et al., 2019a, Khan et al., 2019b).

75 Methylene tetrahydrofolate reductase (MTHFR) is the rate-limiting enzyme in the one-  
76 carbon cycle, a pathway that is critical to metabolism of folate. Folate is a specific nutrient  
77 involved in development of craniofacial structures (Jiang, Bush, & Lidral, 2006) and  
78 provides methyl group for DNA methylation (Sinclair et al., 2007). MTHFR activity is  
79 mainly regulated by the combination of two mechanistic aspects – 1) variants within the  
80 gene that essentially acts at the level of enzyme activity and specificity; 2) methylation  
81 of the gene promoter that mainly affects level of expression. Both the c.677C>T  
82 (rs1801133) and c.1298A>C (rs1801131) variants of the *MTHFR* gene have been  
83 demonstrated to reduce enzyme activity (Liew & Gupta, 2015; van der Put et al., 1998).  
84 The effect of both these variants have been investigated in relation to nsCL/P in the index  
85 child, and/or one or both parents but the results from these studies have been inconclusive  
86 (Rai, 2018; Mossey, & Little, 2002; Reutter et al., 2008; Shaw, Todoroff, Finnell, Rozen,  
87 & Lammer, 1999; Zhou et al., 2020). Our group; however, considered an alternative  
88 mechanism involving DNA methylation to decipher the role of *MTHFR* gene variants in

89 nsCL/P, and found that a variant in *MTHFR* gene plays a role in the establishment of  
90 methylation in cleft lip tissues (Khan et al., 2019b).

91 We know that methylation within *MTHFR* promoter (*mMTHFR*) contributes to variation  
92 in *MTHFR* protein activity similar to that conferred by *MTHFR* variants (Coppede,  
93 Denaro, Tannorella, & Migliore, 2016), and has been shown to contribute to many  
94 developmental (Asim, Agarwal, Panigrahi, Sai, yed, & Bakshi, 2017; Coppede et al.,  
95 2016) and pregnancy related disorders (Ge et al., 2015; Mishra et al., 2019). However, to  
96 our knowledge, there appears to be no evidence of information regarding the methylation  
97 profile of *mMTHFR*, or the contribution of *MTHFR* variants to *MTHFR* methylation level  
98 in nsCL/P. Therefore, we undertook this preliminary study to assess the level of  
99 *mMTHFR*, and further investigate the relationship between *MTHFR* c.677C>T variant  
100 and *mMTHFR* utilizing tissues from medial and lateral aspects of the upper lip in  
101 individuals with nsCL/P.

102 *mMTHFR* could also be involved in differences in regulation of methylation repair  
103 activity and hence might contribute to individual differences by altering enzyme activity.  
104 This could either affect the availability of activated methyl group or increase the rate of  
105 loss of methylation (over time) in response to exposures associated with demethylation  
106 such as cigarette smoking (Beach et al., 2017). Alternatively, when *MTHFR* is more  
107 active, the availability of methyl group is more likely enhanced, potentially alleviating  
108 the impact of exposures such as smoking that would otherwise cause demethylation  
109 (Beach et al., 2017; Stover, 2009). Intrigued by this concept, we examined whether  
110 *mMTHFR* in the indexed infant could mitigate the adverse effects of active maternal  
111 smoking exposures, and potentially be reflected as changes in LINE-1 methylation level  
112 – widely accepted to be a proxy for overall genomic DNA methylation content (Lisanti  
113 et al., 2013).

## 114 **Materials and Methods**

115 Tissue samples from the medial and lateral sides of cleft lip were collected from 26 cases  
116 with nsCL/P that were recruited between 2016 and 2018 in the Centre for Orofacial Clefts  
117 and Craniofacial Anomalies, San Paolo Hospital, Milan, Italy (PENTACLEFT: prot. no.  
118 08–2011). Our sample included 13 female and 13 male cases. Fifteen cases had cleft lip

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4 119 and 10 had cleft lip and palate (phenotypic data missing for one case – due to  
5 120 mismatching). Among the mothers of these 26 cases, fifteen were non-smokers and eight  
6 121 actively smoked during the periconceptional period – 3 months before to 3 months after  
7 122 conception (smoking data missing for three mothers – due to non-response on survey).

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12 123 The DNA extracted from tissues were bisulfite converted and methylation of the *MTHFR*  
13 124 gene promoter was measured using PyroMark Q96 predesigned CpG assay  
14 125 (#PM00000091) on a PyroMark Q96 ID pyrosequencer (Qiagen GmbH, Hilden,  
15 126 Germany), with minor modification of the method previously described (Khan et al.,  
16 127 2018b). Briefly, the amplification of bisulfite converted DNA was performed by PCR  
17 128 with *MTHFR* primer, and pyrosequencing done using *MTHFR* specific sequencing  
18 129 primers. The predesigned assay contained the following sequence 5'-  
19 130 GGTCAGTACCGATGGGGGCGAGGAYACGGGC-3' (prior to bisulfite  
20 131 conversion) including 3 CpG sites to assess in promoter region of *MTHFR*. The  
21 132 nucleotide dispensation order was:  
22 133 TGTCATGATGATATCGAGTGGTCGAGATATCG. LINE-1 methylation and  
23 134 *MTHFR* c.677C>T genotype data for this cohort were obtained in our previous study  
24 135 (Khan et al., 2019b). The Kolmogorov-Smirnov test showed that the data were normally  
25 136 distributed, hence, parametric comparison of within and between samples were performed  
26 137 using Student's *t*-test. In addition, we report parametric effect size estimate (Hedge's  $g_s$ )  
27 138 associated with independent sample Student's *t*-test, and Pearson's correlation to  
28 139 determine relationship between m*MTHFR* and LINE-1 (Pautz, Olivier, & Steyn, 2018;  
29 140 McLeod, 2019). Considering the total of 26 cases included in this study, we calculated  
30 141 statistical power using G\*POWER software 3.1.9.2 version (Faul, Erdfelder, Lang, &  
31 142 Buchner, 2007). Considering a two-tailed *t*-test and an equally serious  $\alpha$  &  $\beta$  error ( $\beta/\alpha =$   
32 143 1), an effect size  $d_z$  of 0.2, we calculated the power (1- $\beta$ -error) of 0.60.

## 33 144 **Results**

34 145 We found a non-significant difference of 1.3% in m*MTHFR* between medial and lateral  
35 146 tissues ( $p=0.733$ ; Table 1). m*MTHFR* was not influenced by *MTHFR* c.677C>T genotype  
36 147 (Table 2). Similarly, LINE-1 methylation was not significantly different (1.7%) across  
37 148 tissues in this cohort ( $p=0.148$ ; Table 1).

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4 149 To examine the role of *mMTHFR* as a source in compensating for the effect of smoking  
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6 150 on LINE-1 methylation level, we compared the level of methylation in *MTHFR* and  
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8 151 LINE-1 in medial and lateral tissues between infants born to mothers who smoked in the  
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10 152 periconceptual period and infants of non-smoking mothers. Among infants exposed to  
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12 153 smoking, the difference in *mMTHFR* was larger (6.1%) but showed a lowered level of  
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14 154 methylation ( $p=0.293$ ; Table 1) compared to infants born to non-smoking mothers in  
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16 155 whom *mMTHFR* was similar ( $\sim 38\%$ ) in the two tissues ( $p=0.866$ ; Table 1). Interestingly,  
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18 156 LINE-1 methylation differences were significant ( $p=0.025$ ) in infants born to non-  
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20 157 smoking mothers; this however, was not apparent ( $p=0.872$ ) in infants born to mothers  
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22 158 who smoked, with medial and lateral tissues showing equal level (72%) of methylation  
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24 159 (Table 1).

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26 160 Comparisons between these groups were non-significant for both *mMTHFR* ( $p=0.554$ )  
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28 161 and LINE-1 ( $p=0.209$ ). We also calculated the effect size (ES) for between comparisons  
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30 162 and found a small ES for *mMTHFR* ( $gs=0.26$ ). While LINE-1 showed medium ( $gs=0.56$ )  
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32 163 ES, our Pearson's correlation analysis suggested a weak inverse association between  
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34 164 *mMTHFR* and LINE-1 ( $r= -0.179$ ;  $p=0.381$ ).

## 35 36 37 165 **Discussion**

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39 166 In this preliminary study, we found a small non-significant difference in *mMTHFR* and  
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41 167 LINE-1 methylation across medial and lateral tissues. A difference in *mMTHFR* was  
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43 168 observed in infants of mothers who smoked but not among infants born to non-smoking  
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45 169 mothers. By contrast, a significant difference in LINE-1 methylation was apparent in  
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47 170 infants born to non-smoking mothers, but not in infants born to smoking mothers.

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49 171 Our observation of small changes in methylation in *mMTHFR* and LINE-1 is compatible  
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51 172 with reports that the magnitude of epigenetic effect associated with exposure in children  
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53 173 is generally small; large changes may not be compatible with continued development  
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55 174 (Breton et al., 2017). Hence, a small imbalance in methylation in progeny cells of the  
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57 175 medial and maxillary tissues might result in an apparently small distinction between  
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59 176 sufficient and insufficient methylation. Insufficient methylation might in turn interfere  
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177 with the fusion process, so leading to the development of a cleft lip. Persistence of this  
178 small imbalance throughout pregnancy and into the postnatal period would be manifested

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4 179 as differences in tissues from medial and lateral side of cleft lip. Such a difference could  
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6 180 therefore provide insight into epigenetic effects of early life environmental exposures  
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8 181 (Richmond et al., 2017).  
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10 182 The pattern of m*MTHFR* levels suggests that nearly equal levels of folate are available  
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12 183 for tissues developing at distinct embryonic periods, but the level of availability could  
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14 184 likely be influenced by external factors such as smoking (Nafee, Farrell, Carroll, Fryer,  
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16 185 & Ismail, 2008). Importantly, we found lower m*MTHFR* in the smoking group that  
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18 186 suggests an increase in folate availability. This increased folate availability might provide  
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20 187 methyl-group to mitigate/overcome the effect of smoking resulting in the observation of  
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22 188 nearly equal levels of LINE-1 methylation in the medial and lateral tissues (72%). The  
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24 189 lower m*MTHFR* level in the smoking group further suggests smoking-associated  
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26 190 demethylation at a single gene promoter, whereas there was little difference in global  
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28 191 methylation. This is in line with the suggestion that small changes in global methylation  
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30 192 of developing tissues might have substantial effects in the longer term (Breton et al.,  
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32 193 2017). Pearson's correlation analysis showed a weak association between m*MTHFR* and  
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34 194 LINE-1. This provides some support for a role of m*MTHFR* in moderating epigenetic  
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36 195 response to smoking, and our previous findings that lip tissues are highly responsive to  
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38 196 maternal environmental exposures (Khan et al., 2018b). We acknowledge that our results  
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40 197 are based on small sample size, because collecting tissues from the cleft cases presents  
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42 198 considerable challenges (Stock et al., 2016). We did not correct for multiple comparisons  
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44 199 because reducing the risk of type I error can be at the expense of increasing type II error,  
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46 200 and because of the preliminary nature of the study, identifying hypotheses for further  
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48 201 investigation (Perneger 1998; Armstrong, 2014). A limitation of using tissue from the  
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50 202 clefts is the difficulty of obtaining an appropriate reference group from which lip tissue  
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52 203 samples could be collected. This problem arises from concerns about ethical issues and  
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54 204 selection bias and is highly likely to be encountered in other studies.

51 205 Our result is consistent with previous reports involving a large number of healthy Italian  
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53 206 participants showing no association of *MTHFR* c.677C>T with m*MTHFR* indicating that  
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55 207 c.677C>T variant does not act as a *cis* regulatory element to regulate its own gene  
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57 208 promoter (Piras et al., 2020; Coppede et al., 2019; Ni et al., 2017), although there are  
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59 209 reports that *MTHFR* c.677C>T genotype influences m*MTHFR* (Mandaviya et al. 2017;  
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4 210 Nash et al., 2019). Accordingly, we found that *mMTHFR* in TT homozygotes are  
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6 211 hypomethylated in both medial and lateral tissues, which seems to reflect a compensatory  
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8 212 higher expression of *MTHFR* gene. This is in line with a previous report of the complexity  
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10 213 of the effect of *MTHFR* variants on DNA methylation (De Gobbo, Price, Hanna, &  
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12 214 Robinson, 2018). A comprehensive metabolic assessment is necessary to advance our  
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14 215 understanding of one-carbon nutrients on DNA methylation involved in nsCL/P.

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16 216 The investigation of LINE-1 methylation in response to smoking does not necessarily  
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18 217 reflect changes in methylation at specific loci that have been reported to be influenced by  
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20 218 smoking (Andersen, Dogan, Beach, & Philibert, 2015). Hence, for understanding aspects  
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22 219 of the apparent mitigating effect of *mMTHFR* on smoking, we in future plan investigation  
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24 220 based on larger samples, and genetic loci/CpGs previously identified as being associated  
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26 221 with smoking and also implicated in non-syndromic OFCs (Joubert et al., 2016). Another  
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28 222 potential limitation of this study is non availability of RNA from these tissues to access  
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30 223 relationship between *mMTHFR* and its expression (mRNA level) - which can be  
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32 224 modulated by other epigenetic processes such as histone modification and micro-RNAs.  
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34 225 In this regard, there is evidence suggesting that miRNAs (miR-324-3p and miR-223), are  
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36 226 able to regulate *MTHFR* gene in salivary cells taken from nsCL/P cases (Grassia et al.,  
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38 227 2018). Once tissue collections still in process, are completed, we also plan to investigate  
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40 228 miRNAs and functional analysis in these tissues to better understand the complex  
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42 229 aetiology of nsCL/P.

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44 230 In conclusion, our study highlights the interplay of gene and environment in moderating  
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46 231 the establishment of methylation in medial and maxillary sides of the upper lip tissues.  
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48 232 The study requires replication in a larger study, including genes associated with smoking  
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50 233 and oral clefts. We consider that the study further champions the potential value of  
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52 234 investigating lip tissues, integrated with metabolomics for nutrient assessment, in order  
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54 235 to develop a clearer understanding of the aetio-pathogenesis of non-syndromic orofacial  
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56 236 clefts.

### 57 237 **Acknowledgements**

58  
59 238 The authors thank the patients and their families for their participation in the study. We  
60  
239 acknowledge the support received from the Department of Neuroscience and

1  
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4 240 Rehabilitation, Section of Medical Biochemistry, Molecular Biology and Genetics,  
5  
6 241 University of Ferrara, Italy (n. 62040 & 0039804). Our sincere thanks to Dr. Houda  
7  
8 242 Oudouche, Department of Humanities, University of Ferrara, Italy and Lab. Group  
9  
10 243 members for their assistance. JL holds a Distinguished Research Chair in Chronic Disease  
11  
12 244 Epidemiology and Control.

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Table 1. Mean methylation level (%) at LINE-1 and *MTHFR* gene promoter (m*MTHFR*) in medial and lateral cleft tissues.

Mean  $\pm$  standard deviation (SD) values of total non-syndromic CL/P cases or cases categorized by smoking and non-smoking, along with mean difference, 95% confidence interval (C.I) and nominal *p*-value of *t*-test.

<b>Infant DNA</b>	<b>Medial side</b> Mean $\pm$ SD	<b>Lateral side</b> Mean $\pm$ SD	<b>Mean difference</b> (95% C.I.)	<b><i>p</i>-value</b>
<b>LINE 1 (26)</b>				
<b>Total (n=26)</b>	<b>73.19 <math>\pm</math> 2.57</b>	<b>71.50 <math>\pm</math> 4.65</b>	1.69 (-0.64 to 4.02)	<i>p</i> =0.148
<b>Non-smoking</b> (n=15)	<b>73.78 <math>\pm</math> 2.48</b>	<b>70.22 <math>\pm</math> 4.95</b>	3.55 (0.51 to 6.59) <b><i>p</i>=0.025</b>	<i>p</i> =0.209
<b>Smoking (n=8)</b>	<b>72.00 <math>\pm</math> 2.56</b>	<b>72.38 <math>\pm</math> 5.15</b>	-0.38 (-5.70 to 4.94) <i>p</i> =0.872	
<b>m<i>MTHFR</i> (26)</b>				
<b>Total (n=26)</b>	<b>37.04 <math>\pm</math> 1.63</b>	<b>35.69 <math>\pm</math> 1.85</b>	1.34 (-6.69 to 9.38)	<i>p</i> =0.733
<b>Non-smoking</b> (n=15)	<b>37.50 <math>\pm</math> 1.82</b>	<b>38.28 <math>\pm</math> 1.81</b>	-0.77 (-10.33 to 8.77) <i>p</i> =0.866	<i>p</i> =0.554
<b>Smoking (n=8)</b>	<b>31.13 <math>\pm</math> 11.50</b>	<b>25.00 <math>\pm</math> 11.73</b>	6.12 (-6.60 to 18.85) <i>p</i> =0.293	

Abbreviations: n, number of cases; SD, standard deviation; CI, confidence interval.

Footnote: Maternal smoking data was available for only 23 cases.



Table 2. *mMTHFR* level (%) in medial and lateral cleft lip tissues of total non-syndromic CL/P cases, stratified by *MTHFR* c.677C>T genotype.

<b>MTHFR c.677C&gt;T</b>	<b>Medial side Mean ± SD</b>	<b>Lateral side Mean ± SD</b>	<b>Mean difference (95% C.I.)</b>	<b><i>p</i>-value*</b>
<b><i>mMTHFR</i> (26)</b>				
CC (n=7)	<b>44.57 ± 1.46</b>	<b>37.71 ± 2.11</b>	6.86 (-17.57 to 31.28)	ref.
CT (n=13)	<b>38.54 ± 16.62</b>	<b>37.77 ± 18.90</b>	0.7 (-9.97 to 11.51)	<i>p</i> =0.649
TT (n=6)	<b>25.00 ± 12.36</b>	<b>28.83 ± 15.94</b>	-3.83 (-22.28 to 14.61)	<i>p</i> =0.390

Abbreviations: n, number of cases; SD, standard deviation; CI, confidence interval; ref., reference.

\*Nominal *p*-value of comparisons of mean difference between medial and lateral sides considering CC genotype as reference.

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4 **1** *MTHFR* promoter methylation might mitigate the effect of smoking at the level of  
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6 **2** *LINE-1* in cleft lip tissues – a preliminary study  
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48 **27** **Funding information.** This study was partly supported by FAR-2018 grant from University  
49 of Ferrara and from Department of Biomedical and Specialty Surgical Sciences, Section  
50 of Medical Biochemistry, Molecular Biology and Genetics, University of Ferrara, Italy,  
51 Grant/Award Number: 62040 and 0039804  
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4 **Abstract**

5  
6 **Background:** The medial and maxillary aspects of the upper lip originate at separate  
7 embryonic stages and therefore may experience different maternal exposure patterns  
8 which may affect methylation. Based on this hypothesis, we investigated the level of  
9 methylation of the methylene tetrahydrofolate reductase promoter gene (*mMTHFR*) in  
10 tissues from cleft lip, and *mMTHFR* levels by *MTHFR* c.677C>T genotype. We further  
11 investigated whether *mMTHFR* mitigates the effect of smoking on long interspersed  
12 nuclear element (LINE-1) methylation in these tissues.  
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15 **Methods.** DNA extracted from medial and lateral tissues of 26 infants with non-  
16 syndromic cleft lip with or without cleft palate (nsCL/P) was bisulfite converted and  
17 *mMTHFR* was measured on a pyrosequencer. LINE-1 methylation and *MTHFR*  
18 c.677C>T genotype data were obtained in our previous study.  
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20 **Results.** There was no substantial difference in *mMTHFR* ( $p=0.733$ ) and LINE-1  
21 ( $p=0.148$ ) between the two tissues. *mMTHFR* was not influenced by *MTHFR* c.677C>T  
22 genotype, but there was suggestive evidence that the difference was larger among infants  
23 exposed to maternal smoking compared to non-exposed. LINE-1 methylation differences  
24 were significant ( $p=0.025$ ) in infants born to non-smoking mothers, but this was not  
25 apparent ( $p=0.872$ ) in infants born to mothers who smoked. Our Pearson's correlation  
26 analysis suggested a weak inverse association between *mMTHFR* and LINE-1 ( $r=-0.179$ ;  
27  $p=0.381$ ).  
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30 **Conclusion.** Our preliminary observation of differences in patterns of *mMTHFR* levels  
31 in lip tissue suggests the interplay of gene and environment in establishment of  
32 methylation in tissues at both sides of cleft lip. This requires investigation in a larger  
33 cohort, integrated with metabolic assessment.  
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36 **Keywords:** Non-syndromic cleft lip with or without cleft palate, DNA methylation,  
37 *MTHFR* c.677C>T, LINE-1, *MTHFR* promoter methylation  
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## 59 Introduction

60 Orofacial clefts (OFCs) are collectively among the most common human congenital  
61 anomalies that can occur in isolation or as part of a syndrome (Mossey et al., 2011). Some  
62 environmental and multiple genetic risk factors have been identified for non-syndromic  
63 form of OFCs (Leisle & Marazita, 2013; Khan et al., 2018a, Mossey et al., 2017; Raut et  
64 al., 2019; Johnson & Little, 2008; Little, Cardy, & Munger, 2004) but the causes of these  
65 defects remain largely unknown.

66 OFCs develop in early life, when the embryo is extremely susceptible to perturbation of  
67 the in-utero environment (Dixon, Marazita, & Beaty, 2010). Among environmental  
68 factors, tobacco smoking has been found to influence facial morphology (Xuan et al.,  
69 2016), and is reported to be the most consistent and strongest risk factor for OFCs (Raut  
70 et al., 2019). Such perturbation of the early life environment affects developmental  
71 programming in the embryo, with sustained changes potentially detectable in tissues from  
72 medial nasal and maxillary sides of the upper lip in cases with non-syndromic cleft lip  
73 with or without cleft palate (nsCL/P) as observed by our group in recent studies (Khan et  
74 al., 2018b, Khan et al., 2018c, Khan et al., 2019a, Khan et al., 2019b).

75 Methylene tetrahydrofolate reductase (MTHFR) is the rate-limiting enzyme in the one-  
76 carbon cycle, a pathway that is critical to metabolism of folate. Folate is a specific nutrient  
77 involved in development of craniofacial structures (Jiang, Bush, & Lidral, 2006) and  
78 provides methyl group for DNA methylation (Sinclair et al., 2007). MTHFR activity is  
79 mainly regulated by the combination of two mechanistic aspects – 1) variants within the  
80 gene that essentially acts at the level of enzyme activity and specificity; 2) methylation  
81 of the gene promoter that mainly affects level of expression. Both the c.677C>T  
82 (rs1801133) and c.1298A>C (rs1801131) variants of the *MTHFR* gene have been  
83 demonstrated to reduce enzyme activity (Liew & Gupta, 2015; van der Put et al., 1998).  
84 The effect of both these variants have been investigated in relation to nsCL/P in the index  
85 child, and/or one or both parents but the results from these studies have been inconclusive  
86 (Rai, 2018; Mossey, & Little, 2002; Reutter et al., 2008; Shaw, Todoroff, Finnell, Rozen,  
87 & Lammer, 1999; Zhou et al., 2020). Our group; however, considered an alternative  
88 mechanism involving DNA methylation to decipher the role of *MTHFR* gene variants in

89 nsCL/P, and found that a variant in *MTHFR* gene plays a role in the establishment of  
90 methylation in cleft lip tissues (Khan et al., 2019b).

91 We know that methylation within *MTHFR* promoter (*mMTHFR*) contributes to variation  
92 in *MTHFR* protein activity similar to that conferred by *MTHFR* variants (Coppede,  
93 Denaro, Tannorella, & Migliore, 2016), and has been shown to contribute to many  
94 developmental (Asim, Agarwal, Panigrahi, Sai, yed, & Bakshi, 2017; Coppede et al.,  
95 2016) and pregnancy related disorders (Ge et al., 2015; Mishra et al., 2019). However, to  
96 our knowledge, there appears to be no evidence of information regarding the methylation  
97 profile of *mMTHFR*, or the contribution of *MTHFR* variants to *MTHFR* methylation level  
98 in nsCL/P. Therefore, we undertook this preliminary study to assess the level of  
99 *mMTHFR*, and further investigate the relationship between *MTHFR* c.677C>T variant  
100 and *mMTHFR* utilizing tissues from medial and lateral aspects of the upper lip in  
101 individuals with nsCL/P.

102 *mMTHFR* could also be involved in differences in regulation of methylation repair  
103 activity and hence might contribute to individual differences by altering enzyme activity.  
104 This could either affect the availability of activated methyl group or increase the rate of  
105 loss of methylation (over time) in response to exposures associated with demethylation  
106 such as cigarette smoking (Beach et al., 2017). Alternatively, when *MTHFR* is more  
107 active, the availability of methyl group is more likely enhanced, potentially alleviating  
108 the impact of exposures such as smoking that would otherwise cause demethylation  
109 (Beach et al., 2017; Stover, 2009). Intrigued by this concept, we examined whether  
110 *mMTHFR* in the indexed infant could mitigate the adverse effects of active maternal  
111 smoking exposures, and potentially be reflected as changes in LINE-1 methylation level  
112 – widely accepted to be a proxy for overall genomic DNA methylation content (Lisanti  
113 et al., 2013).

## 114 **Materials and Methods**

115 Tissue samples from the medial and lateral sides of cleft lip were collected from 26 cases  
116 with nsCL/P that were recruited between 2016 and 2018 in the Centre for Orofacial Clefts  
117 and Craniofacial Anomalies, San Paolo Hospital, Milan, Italy (PENTACLEFT: prot. no.  
118 08–2011). Our sample included 13 female and 13 male cases. Fifteen cases had cleft lip

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4 119 and 10 had cleft lip and palate (phenotypic data missing for one case – due to  
5 120 mismatching). Among the mothers of these 26 cases, fifteen were non-smokers and eight  
6 121 actively smoked during the periconceptional period – 3 months before to 3 months after  
7 122 conception (smoking data missing for three mothers – due to non-response on survey).

11  
12 123 The DNA extracted from tissues were bisulfite converted and methylation of the *MTHFR*  
13 124 gene promoter was measured using PyroMark Q96 predesigned CpG assay  
14 125 (#PM00000091) on a PyroMark Q96 ID pyrosequencer (Qiagen GmbH, Hilden,  
15 126 Germany), with minor modification of the method previously described (Khan et al.,  
16 127 2018b). Briefly, the amplification of bisulfite converted DNA was performed by PCR  
17 128 with *MTHFR* primer, and pyrosequencing done using *MTHFR* specific sequencing  
18 129 primers. The predesigned assay contained the following sequence 5'-  
19 130 GGTCAGTACCGATGGGGGCGAGGAYACGGGC-3' (prior to bisulfite  
20 131 conversion) including 3 CpG sites to assess in promoter region of *MTHFR*. The  
21 132 nucleotide dispensation order was:  
22 133 TGTCATGATGATATCGAGTGGTCGAGATATCG. LINE-1 methylation and  
23 134 *MTHFR* c.677C>T genotype data for this cohort were obtained in our previous study  
24 135 (Khan et al., 2019b). The Kolmogorov-Smirnov test showed that the data were normally  
25 136 distributed, hence, parametric comparison of within and between samples were performed  
26 137 using Student's *t*-test. In addition, we report parametric effect size estimate (Hedge's  $g_s$ )  
27 138 associated with independent sample Student's *t*-test, and Pearson's correlation to  
28 139 determine relationship between m*MTHFR* and LINE-1 (Pautz, Olivier, & Steyn, 2018;  
29 140 McLeod, 2019). Considering the total of 26 cases included in this study, we calculated  
30 141 statistical power using G\*POWER software 3.1.9.2 version (Faul, Erdfelder, Lang, &  
31 142 Buchner, 2007). Considering a two-tailed *t*-test and an equally serious  $\alpha$  &  $\beta$  error ( $\beta/\alpha =$   
32 143 1), an effect size  $d_z$  of 0.2, we calculated the power (1- $\beta$ -error) of 0.60.

## 33 144 **Results**

34 145 We found a non-significant difference of 1.3% in m*MTHFR* between medial and lateral  
35 146 tissues ( $p=0.733$ ; Table 1). m*MTHFR* was not influenced by *MTHFR* c.677C>T genotype  
36 147 (Table 2). Similarly, LINE-1 methylation was not significantly different (1.7%) across  
37 148 tissues in this cohort ( $p=0.148$ ; Table 1).

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4 149 To examine the role of *mMTHFR* as a source in compensating for the effect of smoking  
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6 150 on LINE-1 methylation level, we compared the level of methylation in *MTHFR* and  
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8 151 LINE-1 in medial and lateral tissues between infants born to mothers who smoked in the  
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10 152 periconceptual period and infants of non-smoking mothers. Among infants exposed to  
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12 153 smoking, the difference in *mMTHFR* was larger (6.1%) but showed a lowered level of  
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14 154 methylation ( $p=0.293$ ; Table 1) compared to infants born to non-smoking mothers in  
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16 155 whom *mMTHFR* was similar ( $\sim 38\%$ ) in the two tissues ( $p=0.866$ ; Table 1). Interestingly,  
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18 156 LINE-1 methylation differences were significant ( $p=0.025$ ) in infants born to non-  
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20 157 smoking mothers; this however, was not apparent ( $p=0.872$ ) in infants born to mothers  
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22 158 who smoked, with medial and lateral tissues showing equal level (72%) of methylation  
23  
24 159 (Table 1).

25  
26 160 Comparisons between these groups were non-significant for both *mMTHFR* ( $p=0.554$ )  
27  
28 161 and LINE-1 ( $p=0.209$ ). We also calculated the effect size (ES) for between comparisons  
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30 162 and found a small ES for *mMTHFR* ( $gs=0.26$ ). While LINE-1 showed medium ( $gs=0.56$ )  
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32 163 ES, our Pearson's correlation analysis suggested a weak inverse association between  
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34 164 *mMTHFR* and LINE-1 ( $r= -0.179$ ;  $p=0.381$ ).

## 35 36 37 165 **Discussion**

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39 166 In this preliminary study, we found a small non-significant difference in *mMTHFR* and  
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41 167 LINE-1 methylation across medial and lateral tissues. A difference in *mMTHFR* was  
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43 168 observed in infants of mothers who smoked but not among infants born to non-smoking  
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45 169 mothers. By contrast, a significant difference in LINE-1 methylation was apparent in  
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47 170 infants born to non-smoking mothers, but not in infants born to smoking mothers.

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49 171 Our observation of small changes in methylation in *mMTHFR* and LINE-1 is compatible  
50  
51 172 with reports that the magnitude of epigenetic effect associated with exposure in children  
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53 173 is generally small; large changes may not be compatible with continued development  
54  
55 174 (Breton et al., 2017). Hence, a small imbalance in methylation in progeny cells of the  
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57 175 medial and maxillary tissues might result in an apparently small distinction between  
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59 176 sufficient and insufficient methylation. Insufficient methylation might in turn interfere  
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177 with the fusion process, so leading to the development of a cleft lip. Persistence of this  
178 small imbalance throughout pregnancy and into the postnatal period would be manifested

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4 179 as differences in tissues from medial and lateral side of cleft lip. Such a difference could  
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6 180 therefore provide insight into epigenetic effects of early life environmental exposures  
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8 181 (Richmond et al., 2017).  
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10 182 The pattern of *mMTHFR* levels suggests that nearly equal levels of folate are available  
11 183 for tissues developing at distinct embryonic periods, but the level of availability could  
12 184 likely be influenced by external factors such as smoking (Nafee, Farrell, Carroll, Fryer,  
13 185 & Ismail, 2008). Importantly, we found lower *mMTHFR* in the smoking group that  
14 186 suggests an increase in folate availability. This increased folate availability might provide  
15 187 methyl-group to mitigate/overcome the effect of smoking resulting in the observation of  
16 188 nearly equal levels of LINE-1 methylation in the medial and lateral tissues (72%). The  
17 189 lower *mMTHFR* level in the smoking group further suggests smoking-associated  
18 190 demethylation at a single gene promoter, whereas there was little difference in global  
19 191 methylation. This is in line with the suggestion that small changes in global methylation  
20 192 of developing tissues might have substantial effects in the longer term (Breton et al.,  
21 193 2017). Pearson's correlation analysis showed a weak association between *mMTHFR* and  
22 194 LINE-1. This provides some support for a role of *mMTHFR* in moderating epigenetic  
23 195 response to smoking, and our previous findings that lip tissues are highly responsive to  
24 196 maternal environmental exposures (Khan et al., 2018b). We acknowledge that our results  
25 197 are based on small sample size, because collecting tissues from the cleft cases presents  
26 198 considerable challenges (Stock et al., 2016). We did not correct for multiple comparisons  
27 199 because reducing the risk of type I error can be at the expense of increasing type II error,  
28 200 and because of the preliminary nature of the study, identifying hypotheses for further  
29 201 investigation (Perneger 1998; Armstrong, 2014). A limitation of using tissue from the  
30 202 clefts is the difficulty of obtaining an appropriate reference group from which lip tissue  
31 203 samples could be collected. This problem arises from concerns about ethical issues and  
32 204 selection bias and is highly likely to be encountered in other studies.  
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51 205 Our result is consistent with previous reports involving a large number of healthy Italian  
52 206 participants showing no association of *MTHFR* c.677C>T with *mMTHFR* indicating that  
53 207 c.677C>T variant does not act as a *cis* regulatory element to regulate its own gene  
54 208 promoter (Piras et al., 2020; Coppede et al., 2019; Ni et al., 2017), although there are  
55 209 reports that *MTHFR* c.677C>T genotype influences *mMTHFR* (Mandaviya et al. 2017;  
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4 210 Nash et al., 2019). Accordingly, we found that *mMTHFR* in TT homozygotes are  
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6 211 hypomethylated in both medial and lateral tissues, which seems to reflect a compensatory  
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8 212 higher expression of *MTHFR* gene. This is in line with a previous report of the complexity  
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10 213 of the effect of *MTHFR* variants on DNA methylation (De Gobbo, Price, Hanna, &  
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12 214 Robinson, 2018). A comprehensive metabolic assessment is necessary to advance our  
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14 215 understanding of one-carbon nutrients on DNA methylation involved in nsCL/P.

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16 216 The investigation of LINE-1 methylation in response to smoking does not necessarily  
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18 217 reflect changes in methylation at specific loci that have been reported to be influenced by  
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20 218 smoking (Andersen, Dogan, Beach, & Philibert, 2015). Hence, for understanding aspects  
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22 219 of the apparent mitigating effect of *mMTHFR* on smoking, we in future plan investigation  
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24 220 based on larger samples, and genetic loci/CpGs previously identified as being associated  
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26 221 with smoking and also implicated in non-syndromic OFCs (Joubert et al., 2016). Another  
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28 222 potential limitation of this study is non availability of RNA from these tissues to access  
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30 223 relationship between *mMTHFR* and its expression (mRNA level) - which can be  
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32 224 modulated by other epigenetic processes such as histone modification and micro-RNAs.  
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34 225 In this regard, there is evidence suggesting that miRNAs (miR-324-3p and miR-223), are  
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36 226 able to regulate *MTHFR* gene in salivary cells taken from nsCL/P cases (Grassia et al.,  
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38 227 2018). Once tissue collections still in process, are completed, we also plan to investigate  
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40 228 miRNAs and functional analysis in these tissues to better understand the complex  
41  
42 229 aetiology of nsCL/P.

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44 230 In conclusion, our study highlights the interplay of gene and environment in moderating  
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46 231 the establishment of methylation in medial and maxillary sides of the upper lip tissues.  
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48 232 The study requires replication in a larger study, including genes associated with smoking  
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50 233 and oral clefts. We consider that the study further champions the potential value of  
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52 234 investigating lip tissues, integrated with metabolomics for nutrient assessment, in order  
53  
54 235 to develop a clearer understanding of the aetio-pathogenesis of non-syndromic orofacial  
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56 236 clefts.

### 57 237 **Acknowledgements**

58  
59 238 The authors thank the patients and their families for their participation in the study. We  
60  
239 acknowledge the support received from the Department of Neuroscience and

1  
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4 240 Rehabilitation, Section of Medical Biochemistry, Molecular Biology and Genetics,  
5  
6 241 University of Ferrara, Italy (n. 62040 & 0039804). Our sincere thanks to Dr. Houda  
7  
8 242 Oudouche, Department of Humanities, University of Ferrara, Italy and Lab. Group  
9  
10 243 members for their assistance. JL holds a Distinguished Research Chair in Chronic Disease  
11  
12 244 Epidemiology and Control.

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