

Percutaneous instrumentation with cement augmentation for traumatic hyperextension thoracic and lumbar fractures in ankylosing spondylitis: a single-institution experience

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OBJECTIVE The typical traumatic thoracolumbar (TL) fracture in patients with ankylosing spondylitis (AS) is a hyperextension injury involving all three spinal columns, which is associated with unfavorable outcomes. Although a consensus on the management of these highly unstable injuries is missing, minimally invasive surgery (MIS) has been progressively accepted as a treatment option, since it is related to lower morbidity and mortality rates. This study aimed to evaluate clinical and radiological outcomes after percutaneous instrumentation with cement augmentation for hyperextension TL fractures in patients with AS at a single institution.

METHODS This cohort study was completed retrospectively. Back pain was assessed at preoperative, postoperative, and final follow-up visits using the visual analog scale (VAS). Patient-reported outcomes via the Oswestry Disability Index (ODI) and the new mobility score (NMS) were obtained to assess disability and mobility during follow-up. Radiological outcomes included the Cobb angle, sagittal index (SI), union rate, and implant failure. Intra- and postoperative complications were recorded.

RESULTS A total of 22 patients met inclusion criteria. The mean patient age was 74.2 ± 7.3 years with a mean follow-up of 39.2 ± 17.4 months. The VAS score for back pain significantly improved over the follow-up period (from 8.4 ± 1.1 to 2.8 ± 0.8 , p < 0.001). At the last follow-up, all patients had minor disability (mean ODI score 24.4 ± 6.1 , p = 0.003) and self-sufficiency of mobility (mean NMS 7.5 ± 1.6 , p = 0.02). The Cobb angle ($5.2^{\circ} \pm 2.9^{\circ}$ preoperatively to $4.4^{\circ} \pm 3.3^{\circ}$ at follow-up) and SI ($7.9^{\circ} \pm 4.2^{\circ}$ to $8.8^{\circ} \pm 5.1^{\circ}$) were maintained at follow-up, showing no loss of segmental kyphosis. Bone union was observed in all patients. The overall complication rate was 9.1%, while the reoperation rate for implant failure was 4.5%.

CONCLUSIONS Percutaneous instrumentation with cement augmentation for traumatic hyperextension TL fractures in AS demonstrated good clinical and radiological outcomes, along with a high bone union level and low reoperation rate. Accordingly, MIS reduced the complication rate in the management of these injuries of the ankylosed spine.

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KEYWORDS ankylosing spondylitis; thoracolumbar fractures; percutaneous pedicle screw fixation; spinal ankylosing disorder; minimally invasive surgery; cement augmentation

A NKYLOSING spondylitis (AS) is a progressive chronic inflammatory disorder that affects mostly the axial skeleton and paraspinal soft tissue, which leads to a pathological remodeling and rigidity of the spine.¹ Its overall prevalence is estimated to be between 0.1% and 1.4%, and males are affected twice as often as females.^{2,3} Additionally, AS is associated with osteoporosis and a prevalence between 19% and 61% has been reported.⁴ Both factors increase the risk of vertebral fractures, which usually affect all three columns of the spine and can occur even under low-energy trauma. Moreover, patients with AS have a fourfold increased risk of fractures compared with the typical population, especially in advanced disease.⁵

ABBREVIATIONS AS = ankylosing spondylitis; ASA = American Society of Anesthesiologists; ASIA = American Spinal Injury Association; CCI = Charlson Comorbidity Index; MIS = minimally invasive surgery; NMS = new mobility score; ODI = Oswestry Disability Index; SI = sagittal index; TL = thoracolumbar; VAS = visual analog scale. **SUBMITTED** June 1, 2021. **ACCEPTED** July 16, 2021. **INCLUDE WHEN CITING** DOI: 10.3171/2021.7.FOCUS21308. Thoracolumbar (TL) hyperextension fractures (type B3, AO Spine Thoracolumbar Spine Injury Classification System⁶) are mainly restricted to patients affected with ankylosing spinal disorders and represent 20% to 40% of AS fractures.⁷ Although nonoperative treatment has been reported, it is ineffective in most patients, with a high failure rate of almost 50% of ankylosed spine injuries.^{8,9}

Typically, the surgical treatment of TL fractures involves open posterior instrumentation.^{10–12} However, it is related to high postoperative mortality and morbidity rates.^{13,14} Recently, minimally invasive surgery (MIS) has been progressively accepted as a treatment option by ensuring the stability of the spine while limiting the risk of complications.^{15–17} However, the surgical management of these highly unstable injuries remains to be clarified.

The aim of this study was to evaluate the clinical and radiological outcomes of percutaneous instrumentation with cement augmentation for traumatic hyperextension TL fractures in patients with AS at a single institution.

Methods

Study Design

This is a retrospective cohort study from a single institution. According to the study design and national and institutional guidelines, ethics committee approval was not required. At the time of hospitalization, all patients provided their written informed consent for surgery and data management for scientific purposes. This study complies with the World Medical Association Declaration of Helsinki.

Patient Population

Patients admitted at our tertiary academic referral center for traumatic TL fractures who underwent percutaneous instrumentation between December 2014 and December 2019 (5 years) were considered for eligibility. Inclusion criteria were a diagnosis of AS based on the modified New York criteria,¹⁸ traumatic hyperextension TL fractures (B3 type) classified according to the AO Spine Thoracolumbar Spine Injury Classification System, and follow-up > 12 months. Exclusion criteria were incomplete clinical and radiological data, previous TL surgery, nontraumatic fractures, and < 12 months of follow-up.

Surgical Technique

The patient is positioned prone on a radiolucent table under general anesthesia. Anteroposterior and laterolateral views on radioscopic fluoroscopy are used for intraoperative guidance. The pedicles of the target vertebrae are first visualized, and the skin entry point is marked 1 cm lateral to the pedicle projection. Subsequently, Jamshidi needles, guide wires, and screws are inserted percutaneously using the standard technique. A convergent and straightforward pedicle screw trajectory is preferred. After placement of all screws, cement augmentation is performed in all patients. At the end of the procedure, contouring of the rods is performed, and these are inserted and locked in place.

Patients were treated with long-segment fixation (8 screws) using an instrumentation system involving two vertebrae above and two below the fractured vertebra. In

addition, a posterior mini-open decompression was performed in 8 patients with neurological deficits. Screw sizes ranged in length and diameter from 30 mm to 55 mm and from 4.5 mm to 7.5 mm, respectively. Different percutaneous instrumentation systems were used over the years. Bracing was never prescribed after surgery in any case.

Clinical Outcomes

General and clinical conditions, as well as quality of life, were evaluated at admission (preoperative parameters), and postoperatively at 6-week, 1-year, and final follow-up visits, using a 10-point itemized visual analog scale (VAS) for back pain and the American Spinal Injury Association (ASIA) impairment scale for neurological examination. Patient-reported outcomes were evaluated at the 6-week, 1-year, and final follow-up visits to assess disability using the Oswestry Disability Index (ODI) score and to assess mobility using the new mobility score (NMS) as described by Parker and Palmer (a score of 0 indicates the impossibility of mobilizing independently, while a maximum score of 9 indicates a completely independent state of mobility).19 Trauma types and mechanisms (low- or high-energy trauma) were recorded, and intra- and postoperative complications were collected.

Radiological Outcomes

Preoperative radiographs and CT scans were retrieved from the institutional PACS. Preoperative MRI was performed only in cases of neurological deficit. The following radiological parameters were evaluated on CT scans: the Cobb angle, as the angle between a line drawn parallel to the superior end plate of one vertebra above the fracture and a line drawn parallel to the inferior end plate of the vertebra one level below the fracture;²⁰ and the sagittal index (SI), as the measurement of segmental kyphosis at the level of a mobile segment (1 vertebra and 1 disc) adjusted for the baseline sagittal contour at that level.²¹

Screw misplacement was evaluated on a postoperative CT scan and classified according to the grading system of Gertzbein and Robbins.²² Bone formation on the CT scan was considered a criterion for bone union. The presence of screw breakage, screw pullout, peri-implant loosening, and rod breakage were considered as criteria for implant failure.

Statistical Analysis

Values are reported as mean \pm standard deviation. The Student t-test was used to compare the quantitative continuous variables. The Fisher's exact test (2-sided) was used instead to compare the categorical variables. Statistical significance was predetermined at p < 0.05. SPSS Statistics version 14.0 (SPSS Inc.) was used for data analysis.

Results

Patient and Operative Characteristics

A total of 22 patients with AS and traumatic hyperextension TL fractures (type B3) who underwent percutaneous instrumentation during the study period were included for data analysis.

TABLE 1. Patient characteristics

	Value
Mean age, yrs	74.2 ± 7.3 (62–84)
Mean follow-up, mos	39.2 ± 17.4 (14-65)
Sex	
F	7 (31.8)
Μ	15 (68.2)
ASA class	
<u> </u>	0
II	2 (9.1)
111	16 (72.7)
IV	4 (18.2)
V	0
ASIA grade	
A	0
В	0
С	2 (9.1)
D	6 (27.3)
E	14 (63.6)
Comorbidity	
Cardiovascular disease	15 (68.2)
Diabetes mellitus	10 (45.4)
Obesity	7 (31.8)
Respiratory disease	6 (27.3)
Smoking	5 (22.7)
Mean CCI score	5.8 ± 1.7
Traumatic mechanism	
Domestic activity	15 (68.2)
Car accident	4 (18.2)
Work activity	3 (13.6)

Values represent the number of patients (%) or mean ± SD (range).

There were 7 (31.8%) females and 15 (68.2%) males. The mean age at the time of surgery was 74.2 ± 7.3 years (range 62–84 years). The mean follow-up was 39.2 ± 17.4 months (range 14–65 months). The most common comorbidity was cardiovascular disease (68.2%), followed by diabetes mellitus (45.4%), obesity (31.8%), and respiratory disease (27.3%). Five patients (22.7%) were smokers. The mean Charlson Comorbidity Index (CCI) score was 5.8 ± 1.7 . Two patients (9.1%) were classified as American Society of Anesthesiologists (ASA) class II, 16 (72.7%) as class III, and 4 (18.2%) as class IV. All patients reported mechanical back pain unresponsive to medical treatment, and the ASIA grade was C in 2 patients (9.1%), D in 6 patients (27.3%), and E in 14 patients (63.6%).

The main traumatic mechanisms were domestic accidents (68.2%), followed by car or motorbike accidents (18.2%) and professional-related injuries (13.6%). The most frequent fractured level was the thoracolumbar junction at T10–L2 in 17 patients (77.3%), followed by the thoracic spine at T4–9 in 4 patients (18.2%) and the lumbar spine at L3–5 in 1 patient (4.5%).

The mean surgery duration was 78.7 ± 29.4 minutes

TABLE 2. Operative characteristics

	Value
Fracture level	
Thoracic (T4–9)	4 (18.2)
Thoracolumbar junction (T10–L2)	17 (77.3)
Lumbar (L3–5)	1 (4.5)
Complications	
Major	0
Minor	2 (9.1)
Reop rate	1 (4.5)
Mean op duration, mins	78.7 ± 29.4 (60–170)
Mean LOS, days	3 (3–20)
Mean time to postop mobilization, days	2 (2–30)
Mean EBL, ml	98.9 ± 36.7 (50-240)

EBL = estimated blood loss; LOS = length of stay.

Values represent the number of patients (%) or mean \pm SD (range) unless indicated otherwise.

(range 60–170 minutes), with a mean estimated blood loss of 98.9 \pm 36.7 ml (range 50–240 ml). The mean hospital length of stay was 3 days (2.6 \pm 3.6 days [range 3–20 days]) and the mean time to postoperative mobilization was 2 days (1.5 \pm 3.1 days [range 2–30 days]). No intraoperative complications were recorded. Fourteen patients (63.6%) were discharged to home, and 36.4% of patients were discharged to a rehabilitation unit. Patient demographic and operative characteristics are summarized in Tables 1 and 2.

Clinical and Radiological Outcomes

Comparing the mean preoperative and last followup values, significative improvement was found in VAS scores (8.4 ± 1.1 to 2.8 ± 0.8 , p < 0.001). The mean ODI score at 6 weeks (24.4 ± 6.1) improved to 18.7 ± 5.8 (p = 0.003) at the last follow-up, and the mean NMS (6.4 ± 1.4 to 7.5 ± 1.6 , p = 0.02) showed good clinical outcomes with no disability and autonomous mobility during follow-up. The ASIA grade improved in 6 patients (75%) with neurological deficits and remained unchanged in 2 patients (25%) (Table 3).

Bone union was observed in all patients. Three of the 176 (1.7%) implanted screws had a pedicle breach > 2 mm (\geq grade C); however, none of the screws were replaced. In 2 patients (9.1%), asymptomatic cement leakage was observed.

The Cobb angle (mean preoperative $5.2^{\circ} \pm 2.9^{\circ}$ to $4.4^{\circ} \pm 3.3^{\circ}$ at follow-up) and SI (mean preoperative $7.9^{\circ} \pm 4.2^{\circ}$ to $8.8^{\circ} \pm 5.1^{\circ}$ at follow-up) had been maintained at follow-up, showing no loss of segmental kyphosis. An illustrative case is presented in Fig. 1.

Complications and Reoperation Rate

No major complications were registered perioperatively or during follow-up. Two minor complications were observed; 2 patients (9.1%) had a superficial wound infection with complete resolution within 2 weeks after surgery.

TABLE 3. Clinical outcomes

	Value
VAS score	
Preop	8.4 ± 1.1
Postop (6 wks)	3.6 ± 1.0
Follow-up	2.8 ± 0.8
p value (preop vs follow-up)	<0.001
ODI score	
Preop	NA
Postop (6 wks)	24.4 ± 6.1
Follow-up	18.7 ± 5.8
p value (postop vs follow-up)	0.003
NMS	
Preop	NA
Postop (6 wks)	6.4 ± 1.4
Follow-up	7.5 ± 1.6
p value (postop vs follow-up)	0.02
ASIA grade	
Improved	6 (75)
Unchanged	2 (25)
Deteriorated	0

NA = not applicable.

Values represent the number of patients (%) or mean ± SD unless indicated otherwise. Boldface type indicates statistical significance.

One patient (4.5%) required reoperation for implant failure during follow-up; a 74-year-old female who underwent T10–L2 instrumentation for a T12 fracture and cement augmentation whose implant was not placed correctly because of leakage in T10. Due to recurrence of back pain, a lateral radiograph was obtained 2 months after surgery and showed a pullout of the right T10 screw and an extension of instrumentation to T9 where cement augmentation was performed.

Discussion

A consensus on the preferred management of TL fractures in patients with ankylosed spine disorders is still missing; this is due to high technical variability, surgeon personal experience, and institutional protocols. Although a few studies have reported on nonoperative management for treatment of these injuries, conservative treatment has led to poor outcomes with relevant spinal malalignment and instability resulting in intractable pain and neurological deficits.

Historically, hyperextension AS fractures have been treated using open posterior fusion techniques. However, newer instrumentation systems and surgical strategies, such as percutaneous instrumentation, have been providing similar clinical and radiological outcomes, eventually representing useful and effective alternatives.^{15–17} Recent advances in MIS techniques and development of even newer instrumentation systems have been progressively revolutionizing spine surgery worldwide.^{23–27} Furthermore, the frequency of the use of cement-augmented screws has recently increased, providing higher stability of the construct and reducing chances for implant failure.^{28,29}

Our results confirm data from the pertinent literature, in terms of good surgical, clinical, and radiological outcomes after percutaneous instrumentation for hyperextension TL fractures in the ankylosed spine.^{16,30–33} In the present series, surgery provided significative pain relief (with a mean VAS score from 8.4 ± 1.1 preoperatively to 2.8 ± 0.8 at the last follow-up, p < 0.001), along with lower disability grades and autonomous mobility. Furthermore, of the 8 patients with neurological deficits, ASIA grades improved in 6 (75%) and remained unchanged in 2 (25%).



FIG. 1. A 74-year-old patient with a diagnosis of a type B3 T9 fracture who had neurological deficits (ASIA grade D). Preoperative lateral radiograph (A) and sagittal CT scan (B) showing a hyperextension T9 fracture. Postoperative lateral radiograph (C) and sagittal (D) and coronal (E) CT scans at the 1-year follow-up showing percutaneous fixation with cement augmentation, a mini-open posterior decompression, and bone union.

Evaluation of radiological parameters demonstrated that the Cobb angle and SI were maintained over the follow-up with no loss of kyphosis, as a matter of proof of segmental stability.

In our opinion, in neurologically intact patients, the goals of treatment are spine realignment and a long-term, stable construct providing bone union, while the role of segmental fusion should be critically evaluated. Additionally, the use of cement augmentation should be preferred, due to the high association of osteoporosis in patients with AS, since it decreases the risk of screw loosening and pullout. Moreover, in patients with neurological deficits, a mini-open decompression is associated with neurological improvement, decreased surgical duration, and less intraoperative bleeding.

In terms of complications, our data show that percutaneous instrumentation for AS fractures result in relatively low complication and reoperation rates. We registered 2 minor complications in 2 patients (9.1%) with superficial wound infections. The reoperation rate was 4.5% (1 patient had a screw pullout). Our results confirm data from the few pertinent studies in terms of the low complication rate associated with MIS techniques.7,15,34 Surgery decreases the frequency of complications and reduces the mortality rate to 23% compared with 51% with nonoperative treatment.^{7,32} Nevertheless, postoperative complications remain common. Westerveld et al. reported that more than 85% of patients with AS who underwent surgery experienced at least 1 pulmonary or infectious postoperative complication.¹³ Moreover, in the literature, a 14% infection rate and a rate of screw loosening from 10% to 15% has been reported after open surgery.^{7,11} Percutaneous surgery substantially decreases the risk of infection and cement augmentation reduces the risk of screw loosening.

Study Limitations

There are few limitations to be disclosed. The present investigation consists of a single-center, retrospective study, which must be considered for a proper data interpretation. Additionally, our study included a small cohort of patients without a control group, which may influence the relevance of our results, and several complications and implant failures could have been consequently unrecognized.

Conclusions

Percutaneous instrumentation showed good clinical and radiological outcomes, along with relatively low complication and reoperation rates, in the management of hyperextension TL fractures. Accordingly, MIS reduces morbidity and mortality rates and could be considered the preferred treatment for these injuries of the ankylosed spine.

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Disclosures

The authors report no conflict of interest concerning the materials or methods used in this study or the findings specified in this paper.

Author Contributions

Conception and design: Trungu, Miscusi, Raco. Acquisition of data: Trungu, Ricciardi, Forcato. Analysis and interpretation of data: Trungu, Forcato. Drafting the article: Trungu, Ricciardi. Critically revising the article: Ricciardi, Raco. Reviewed submitted version of manuscript: Miscusi, Raco. Approved the final version of the manuscript on behalf of all authors: Trungu. Statistical analysis: Ricciardi. Administrative/technical/material support: Forcato. Study supervision: Miscusi, Raco.

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