

Accuracy of intraocular lens power calculation for cataract surgery after deep anterior lamellar keratoplasty

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25 **ABSTRACT**

26 **Background:** The purpose of this study was to assess the accuracy of intraocular lens
27 power (IOL) formulas for cataract surgery after deep anterior lamellar keratoplasty
28 (DALK).

29 **Methods:** This retrospective study included eyes which had previously undergone DALK
30 and underwent standard phacoemulsification with monofocal IOL implantation between
31 January 2012 and January 2021 at Ospedali Privati Forlì “Villa Igea” (Forlì, Italy). The
32 predicted spherical equivalent (SE) was calculated using the **Barrett** Universal II,
33 Emmetropia Verifying Optical (EVO), Haigis, Hoffer Q, Hoffer QST, Holladay 1,
34 Holladay II, Kane and SRK/T formulas. Prediction error (PE) was calculated as the actual
35 postoperative SE refraction minus the SE predicted refraction.

36 **Results:** 82 eyes of 82 patients were included. The mean PE was negative using all
37 formulas. Friedman test revealed a statistically significant difference of the median
38 absolute PE (MedAE) among the different IOL formulas ($P = 0.005$). On the basis of the
39 MedAE, the formulas were ranked as follows: SRK/T (0.805 D), Kane (0.810 D), EVO
40 (0.845 D), Hoffer QST (0.847 D), Barrett (0.895 D), Holladay 1 (0.915 D), Haigis (1.010
41 D) and Hoffer Q (1.070 D) formulas.

42 **Conclusions:** All formulas had a tendency towards a myopic refractive surprise in post-
43 DALK eyes. Although the SRK/T, Kane, EVO and Hoffer QST formulas were more
44 accurate, predictability of refractive outcomes was lower than in virgin eyes.

45

46 **Keywords:** cataract surgery; intraocular lens power; keratoplasty; deep anterior lamellar
47 keratoplasty.

48 Deep anterior lamellar keratoplasty (DALK) has the advantages of avoiding the
49 complications associated with open-sky surgery and eliminating the risk of endothelial
50 rejection.^{1,2} While simultaneous DALK and cataract surgery is technically feasible,³
51 sequential cataract surgery allows the use of keratometry readings following DALK for
52 the intraocular lens (IOL) power calculation.⁴
53 However, the postoperative refraction in eyes that have previously undergone
54 keratoplasty can be unpredictable. DALK can alter the posterior to anterior corneal
55 curvature radii ratio. Consequently, the keratometric refractive index, which is currently
56 employed by IOL formulas, becomes invalid, and an error is introduced in the estimation
57 of corneal power.^{5,6} Moreover, changes in the anterior chamber anatomy may lead to
58 incorrect prediction of effective lens position.⁷
59 Currently, data on selection of IOLs for cataract surgery after DALK are limited.^{8,9} Thus,
60 in an effort to improve the refractive outcomes, we compared the accuracy of IOL
61 calculation formulas for prediction of refractive outcomes following cataract surgery in
62 post-DALK eyes.

63

64 **PATIENS AND METHODS**

65 This was a retrospective review of 82 consecutive cases of phacoemulsification with
66 implantation of monofocal IOL in eyes that had previously undergone DALK. All
67 surgeries were performed between January 2012 and January 2021 at Ospedali Privati
68 Forlì “Villa Igea” (Forlì, Italy). Patients with Snellen correct distance visual acuity
69 (CDVA) < 20/40 at 1 month after cataract surgery were excluded from the analysis. The
70 study adhered to the tenets of the Declaration of Helsinki and was prospectively approved
71 by the local Ethics Committee (Comitato Etico, Ospedali Privati Forlì).

72

73 **Surgical technique**

74 DALK was performed as per our previously described technique.¹⁰ Briefly, from the base
75 of the deep partial-thickness trephination, 450-550 um in depth and 9mm in diameter, a
76 probe was inserted and replaced with a cannula to attempt pneumatic dissection.
77 Following partial anterior keratectomy, the central 6-mm optical zone was bared.¹¹ In
78 cases of failed big-bubble formation, visco-bubble and manual layer-by-layer dissection
79 was sequentially attempted, as necessary. A 9-mm anterior lamellar graft was prepared
80 by means of microkeratome dissection using a 400-um head, punched to a diameter of 9
81 mm and sutured using double running 10-0 nylon sutures. The first suture was removed
82 within three months from surgery, whereas the second was removed within one year.¹⁰
83 All cataract surgeries were performed at least 3 months after complete suture removal.
84 Anaesthesia and akinesia were obtained by means of peribulbar injection of 10 mL of
85 0.75% ropivacaine solution. Standard phacoemulsification with IOL implantation was
86 performed through a 2.4-mm scleral tunnel incision (Stellaris PC, Bausch & Lomb,
87 Rochester, NY). In all cases, a monofocal IOL was implanted within the capsular bag. A
88 single 8-0 Vicryl suture was used to close the scleral incision. Betamethasone 0.2% and
89 chloramphenicol 0.5% eye drops were administered every 2 hours for the first week after
90 cataract surgery and gradually tapered off over 1 month.

91

92 **Intraocular lens power calculation**

93 Axial length was measured using optical low-coherence reflectometry (Lenstar, Haag-
94 Streit AG, Koeniz, Switzerland). Anterior segment optical coherence tomography (OCT)
95 (Casia; Tomey, Tokyo, Japan) was used to measure anterior keratometry readings.

96 As recommended by Savini et al., lens constant optimisation was not performed because
97 different IOL models were employed.¹² Instead, the lens constants from the IOLCON
98 website¹³ were used for all IOL models. The predicted spherical equivalent (SE) was
99 calculated using the following formulas: **Barrett** Universal II,¹⁴ Emmetropia Verifying
100 Optical (EVO),¹⁵ Haigis,¹⁶ Hoffer Q,¹⁷ Hoffer QST,¹⁸ Holladay 1,¹⁹ Holladay II,²⁰ Kane²¹
101 and SRK/T.²² Prediction error (PE) was calculated as the actual postoperative SE
102 refraction minus the SE predicted refraction.²³ A negative PE indicated a more myopic
103 refraction than predicted by the formula. The mean PE, its standard deviation (SD), the
104 median absolute error (MedAE) and the mean absolute error (MAE) were calculated, as
105 well as the percentage of eyes with a PE within ± 0.25 D, ± 0.50 D, ± 0.75 D and ± 1.00
106 D.²⁴

107

108 **Statistical analysis**

109 Statistical analysis was conducted using R (version 4.0.0) and RStudio (version 1.2.5042)
110 software. The normality of data distribution was assessed by means of the Kolmogorov-
111 Smirnov test. Due to the non-normal distribution of data, the Friedman test was performed
112 to compare the MedAE. Post-hoc pairwise comparisons were performed with the
113 Wilcoxon signed-rank test. The Cochran's Q test was used to assess statistically
114 significant differences in the percentage of eyes with a PE within ± 1.00 D. A *P* value <
115 0.05 was considered statistically significant.

116 **RESULTS**

117 The study included 82 eyes of 82 patients who underwent cataract surgery with monofocal
118 IOL implantation after DALK. Demographic and baseline clinical characteristics are
119 summarised in Table 1. Indications for keratoplasty were corneal ectasia in 48 eyes
120 (58.5%), bacterial keratitis in 12 eyes (14.6%), herpetic keratitis in 9 eyes (11.0%), post-
121 traumatic leucoma in 4 eyes (4.9%), granular corneal dystrophy in 3 eyes (3.7%),
122 interstitial keratitis in 3 eyes (3.7%), corneal scarring secondary to ocular rosacea in 2
123 eyes (2.4%), and lattice corneal dystrophy in 1 eye (1.2%).

124

125 **Table 1.** Demographical and clinical characteristics of the patient cohort.

Characteristic	Value
Age (years)	52.9 ± 13.5
Sex (m/f)	51/31
Axial length (mm)	25.05 ± 2.00
Anterior chamber depth (mm)	3.61 ± 0.63
Keratometry (steep) (D)	42.42 ± 2.12
Keratometry (flat) (D)	46.36 ± 2.37
Lens thickness (mm)	4.19 ± 0.44

126

127 The mean time between DALK and cataract surgery was 21.8 ± 13.7 months (range 15-
128 72 months). The Hoya iSert 250 was implanted in 44 eyes (53.7%), Bausch & Lomb
129 MX60 in 28 eyes (34.1%), AJL LLASHP60 in 9 eyes (11.0%) and Zeiss CT LUCIA 611P
130 in 1 eye (1.2%). Using the SRK/T formula, the mean predicted SE refraction was -0.43 ±
131 1.08 D. One month postoperatively, mean refractive SE was -0.90 ± 1.17 D, while mean
132 refractive astigmatism was 2.80 ± 1.49 D.

133 Table 2 shows the refractive PE calculated for each formula. The mean PE was negative
 134 using all formulas, indicating a myopic refractive surprise. The lowest MedAE was
 135 obtained by the SRK/T formula, followed by the Kane, EVO, Hoffer QST, Barrett,
 136 Holladay 1, Haigis and Hoffer Q formulas. The Friedman test revealed a statistically
 137 significant difference of the MedAE among the different IOL formulas ($P = 0.005$).
 138 Pairwise comparison revealed a significant difference of the Hoffer QST and EVO
 139 formulas compared with the Barrett and Haigis, and a significant difference of the SRK/T
 140 compared with the Haigis (Table 3).

141

142 **Table 2.** Refractive outcomes for all eyes sorted by median absolute error.

	Mean PE	MedAE	MAE	PE SD
SRK/T	-0.49	0.805	1.06	1.29
Kane	-0.47	0.810	1.10	1.34
EVO	-0.48	0.845	1.07	1.32
Hoffer QST	-0.49	0.847	1.05	1.30
Barrett	-0.50	0.895	1.12	1.34
Holladay 1	-0.23	0.915	1.07	1.35
Haigis	-0.45	1.010	1.21	1.46
Hoffer Q	-0.19	1.070	1.11	1.39

143 PE: prediction error; SD: standard deviation; MAE: median absolute error; MedAE:

144 median absolute error.

145 **Table 3.** Matrix of the *P* values for the pairwise comparisons of the median absolute
 146 errors.

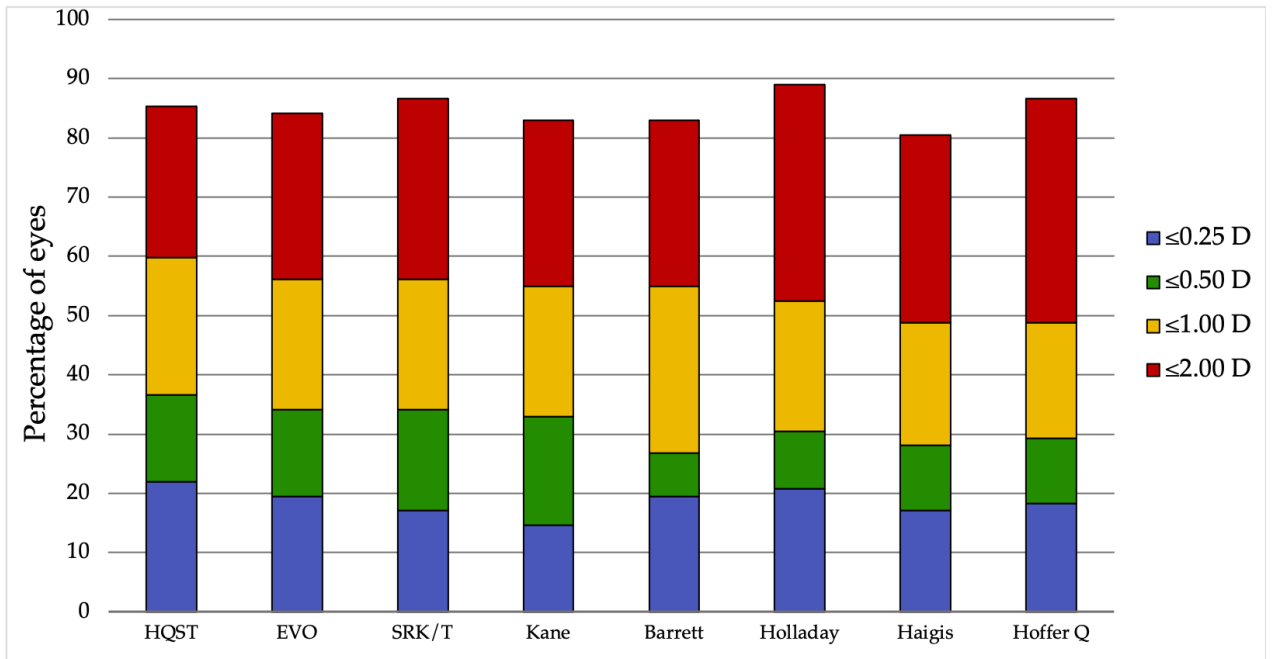
	SRK/T	Kane	EVO	Hoffer QST	Barrett	Holladay 1	Haigis	Hoffer Q
SRK/T	1							
Kane	0.595	1						
EVO	0.810	0.056	1					
Hoffer QST	0.771	0.110	0.474	1				
Barrett	0.298	0.701	0.022	0.009	1			
Holladay 1	0.527	0.989	0.500	0.982	0.548	1		
Haigis	0.004	0.001	<0.001	<0.001	0.003	0.024	1	
Hoffer Q	0.334	0.551	0.254	0.217	0.908	0.196	0.042	1

147

148

149 Figure 1 depicts the percentage of eyes with prediction errors within ± 0.25 D, ± 0.50 D,
 150 ± 1.00 D, and ± 2.00 D for each of the formulas. The highest percentage of eyes within
 151 ± 1.00 D were obtained with the Hoffer QST (59.8%), EVO (56.1%) and SRK/T (56.1%).
 152 However, Cochran's Q test failed to identify a significant difference among formulas (*P*
 153 = 0.117).

154 **Figure 1.** Stacked histograms comparing the percentage of eyes within ± 0.25 D, ± 0.50
 155 D, ± 1.00 D and ± 2.00 D of predicted spherical equivalent refraction.



156

157 **DISCUSSION**

158 Cataract surgery is an effective procedure for improving vision in eyes that have
159 previously undergone DALK.^{8,9} However, accurate IOL power calculation is crucial to
160 ensure good refractive outcomes, thus representing an important aspect of the post-
161 keratoplasty visual rehabilitation.

162 The current study evaluated the accuracy of third- and fourth-generation formulas in 82
163 eyes that had previously undergone DALK. Final refraction was within ± 1.00 D of the
164 refractive target in 50% to 62% of eyes. These results compare favourably with those of
165 combined keratoplasty and cataract surgery,²⁵ thus supporting sequential cataract surgery
166 following DALK to minimise postoperative refractive error.

167 Nevertheless, the prediction error derived from all formulas was significantly less
168 accurate compared to those observed in virgin eyes, wherein more than 90% of eyes can
169 be expected to be within ± 1.00 D.^{26,27} Many factors might contribute to the lower
170 accuracy of IOL calculation after DALK including the inaccurate estimation of both
171 corneal power and effective lens position. Interestingly, all formulas had a tendency
172 towards a myopic refractive surprise. This may result from the alteration of the
173 keratometric index with underestimation of the corneal power and consequent
174 overestimation of the IOL power. Although optimisation of IOL constants might
175 theoretically eliminate this systematic error, a large number of cases would be required
176 to substantially improve refractive outcomes. Nevertheless, our results suggest that the
177 use of anterior segment OCT keratometric readings following complete suture removal
178 can allow relatively accurate IOL power calculation.

179 Of the 8 formulas evaluated in the study, the lowest variance of the prediction error was
180 obtained using the SRK/T, Kane, EVO and Hoffer QST. The Hoffer QST, EVO and

181 SRK/T also resulted in the highest percentage of eyes within ± 1.00 D (59.8%, 56.1% and
182 56.1%, respectively). Since neither the Kane, EVO nor the Hoffer QST formulas have
183 been published, it is not possible to discuss the reasons for their good performance. On
184 the other hand, the SRK/T formula is known to have a nonphysiologic behaviour with a
185 tendency to result in hyperopic prediction error as the average keratometry decreases.^{28,29}
186 This might counterbalance the bias in predicted refraction occurring after DALK.
187 Currently, there is limited data published in literature regarding IOL power calculation in
188 post-keratoplasty eyes. In a previous study by Tourabaly et al. based on 33 eyes which
189 had undergone DALK, the highest refractive accuracy was obtained using the Hoffer Q
190 formula.⁹ However, only three third-generation formulas were analysed. Moreover, the
191 PEs rather than the absolute errors were compared, and this does not provide evidence of
192 the accuracy of IOL formulas.³⁰
193 This series only included cases of cataract surgery with monofocal IOL implantation. In
194 post-keratoplasty eyes, however, postoperative astigmatism is one of the leading causes
195 of suboptimal vision despite the presence of a clear graft.^{31,32} While sequential cataract
196 surgery with toric IOL implantation following DALK can be useful to reduce refractive
197 astigmatism and improve visual outcomes,^{33,34} monofocal IOL still remains the most
198 reasonable option for eye with irregular or low astigmatism.
199 This study has limitations including its retrospective design and inclusion of multiple IOL
200 models, which did not allow IOL constant optimisation. Moreover, sample size subgroup
201 analysis based on axial length, time interval between keratoplasty and cataract surgery or
202 other variables was not performed due to the relatively small sample size. Further research
203 is needed to improve the results of IOL power selection following DALK. Finally, since
204 this study specifically evaluated the accuracy of IOL formulas following 9mm DALK

205 using our standard technique,^{10,35,36} our results may not be generalized to patients who
206 had previously undergone DALK using other techniques.

207 In conclusion, third-generation and fourth-generation formulas yielded a myopic
208 prediction error in post-DALK eyes. Although the SRK/T, Kane, EVO and Hoffer QST
209 formulas were more accurate, predictability of refractive outcomes was substantially
210 lower compared to that observed in virgin eyes.

211

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