

# Journal Pre-proof

Mortality after cardioverter-defibrillator replacement: results of the DECODE SURvival SCore Index (DECODE-SUSCI)

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4 **Short Title:** Mortality after cardioverter-defibrillator replacement

5

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43 **Abstract**

44 **Background:** Device replacement is the ideal time to reassess health care goals regarding  
45 continuing ICD therapy. Only few data are available on the decision making at this time.

46 **Objective:** To identify factors associated with poor prognosis at the time of ICD replacement and to  
47 develop a prognostic index able to stratify those patients at risk of dying early.

48 **Methods:** Detect long-term complications after ICD replacement (DECODE) was a prospective,  
49 single-arm, multicenter cohort study aimed at estimating long-term complications in a large  
50 population of patients who underwent ICD/CRT-D replacement. Potential predictors of death were  
51 investigated, and all these factors were gathered into a survival score index (SUSCI).

52 **Results:** We included 983 consecutive patients (median age 71 years, 76% male, 55% ischemic,  
53 47% CRT-D). During a median follow-up time of 761[628-904] days, 114 (12%) patients died. At  
54 multivariate Cox regression analysis NYHA class III/IV, Ischemic cardiomyopathy, BMI<26,  
55 insulin administration, age $\geq$ 75 years, history of AF and a hospitalization within 30 days before ICD  
56 replacement remained associated with death. The SUSCI score showed a good discriminatory  
57 power with an HR=2.6 (95%CI:2.2-3.1,  $p<0.0001$ ). The risk of death increased according to the  
58 severity of the risk profile ranging from 0% - low-risk - to 47% - high-risk -.

59 **Conclusions:** A simple score that includes a limited set of variables appears to be predictive for  
60 total mortality in an unselected, real-world population undergoing ICD replacement. Evaluation of  
61 the patient's profile may assist in predicting vulnerability and should prompt individualized options,  
62 especially for high-risk patients.

63  
64 **Keywords:** Replacement, Implantable cardioverter defibrillator, Prognostic index, Outcome, ICD  
65 indications

66

67

68

## 69 **Introduction**

70 Implantable cardioverter-defibrillation (ICD) therapy has proved to increase the survival of patients  
71 at risk of sudden cardiac death (SD) due to ventricular tachyarrhythmias<sup>[1]</sup>. After implantation, in  
72 order to ensure continuing therapy, ICD devices may require replacement because of battery  
73 depletion, device malfunction or the need for upgrade to a more advanced system. In addition, the  
74 progression of comorbidities and neurological deterioration with aging can severely affect patients'  
75 clinical conditions and quality of life within battery service-life<sup>[2]</sup>. Conversely, during the same  
76 period, some of these patients can be no longer deemed at risk of sudden death, while others may  
77 have a very limited prognosis that negates the potential benefit of ICD therapy. Moreover, the risk  
78 of surgical complications of ICD/CRTD replacement can significantly worsen the outcome of the  
79 frailest<sup>[3,4]</sup>. Thus, device end-of-life is the ideal time to reassess healthcare goals regarding the  
80 continuation of ICD therapy. While a large body of information supports the decision to implant an  
81 ICD *de novo*<sup>[1]</sup>, far fewer data are available to guide decision-making at the time of device  
82 replacement. Only a few studies have estimated the survival rate and the main risk factors  
83 associated with death after ICD replacement or upgrade<sup>[5-9]</sup>.

84 The present study aims to assess the clinical characteristics and the main risk factors associated with  
85 mortality after ICD replacement or upgrade in the DECODE Registry, and to devise a prognostic  
86 score index able to identify those at the highest and earliest risk of death.

87

## 88 **Methods**

### 89 ***Patient Population and Study Design***

90 The DECODE Registry was a prospective, single-arm, multicenter, cohort study aimed at providing  
91 an estimate of medium- to long-term adverse events (AEs) in a large population of ICD patients  
92 undergoing replacement/upgrade of an ICD or cardiac resynchronization therapy defibrillator  
93 (CRT-D), and at detecting the factors possibly associated with AEs. From March 2013 to May  
94 2015, 983 consecutive patients aged  $\geq 18$  years undergoing replacement or upgrade of a previously

95 implanted transvenous ICD/CRTD at 36 participating Italian centers were enrolled in the DECODE  
 96 Registry<sup>[9]</sup>. Replacements/upgrades were performed on the basis of common guideline  
 97 recommendations<sup>[1]</sup> and according to the investigators' clinical assessment. ICD programming at the  
 98 time of replacement was performed as already reported in our previous publication<sup>[10]</sup>. Totally  
 99 subcutaneous ICDs were not considered in the DECODE registry. No patients underwent  
 100 downgrade to CRT-P or pacemaker device. The design of the study has been published previously<sup>[9]</sup>,  
 101 <sup>[11]</sup>. The study protocol complied with the Declaration of Helsinki and was approved by the local  
 102 ethics committee at each participating center. All patients provided written informed consent for  
 103 data storage and analysis.

104 The primary endpoint of the study was 24-month all-cause mortality. Secondary endpoints were:  
 105 rates of appropriate ICD therapy delivery after replacement in the total population and in the patient  
 106 subgroups constructed according to the survival score index (SUSCI), and the association between  
 107 appropriate ICD therapy delivery and death. Deaths were classified as: 1) *cardiovascular* (CV)  
 108 (sudden cardiac death, due to acute myocardial infarction, heart failure, stroke, pulmonary  
 109 embolism, endocarditis, surgical cardiovascular procedures), 2) *non-cardiovascular* (non-CV)  
 110 (cancer, kidney disease, pulmonary disease, liver disease, infection, other), 3) *undetermined*. An  
 111 independent blinded committee analyzed the causes of death on the basis of the hospital charts for  
 112 in-hospital deaths, or by direct contact with the patient's general practitioner or relatives, or from  
 113 autopsy findings, when available.

114 According to the variables found to be predictive of mortality on multivariable Cox regression  
 115 analysis, the DECODE Survival Score Index (SUSCI) was devised. Hazard ratios reflected the  
 116 relative contribution of each variable to the risk of death, and were combined into a final aggregate  
 117 score according to the equation:

$$118 \quad \text{SUSCI Score} = ((1.9359^{\text{ICM}}) + (2.2583^{\text{AGE} \geq 75}) + (2.0295^{\text{INS}}) \\ 119 \quad + (2.2369^{\text{NYHA}}) + (2.293^{\text{HOSP}}) + (1.7199^{\text{AF}}) + (2.1744^{\text{BMI}})).$$

120 The 7 variables identified as predictive of survival/death were: 1) ICM (Ischemic cardiomyopathy  
121 [0=No; 1=Yes]); 2) AGE (Age at the time of device replacement/upgrade $\geq$ 75 years [0=No;  
122 1=Yes]); 3) INS (Insulin-dependent diabetes [0=No; 1=Yes]); 4) NYHA (NYHA Class [0= $\leq$ 2;  
123 1= $\geq$ 3]); 5) HOSP (hospitalization in the 30 days prior to the procedure [0=No; 1=Yes]); 6) AF  
124 (history of atrial fibrillation [0=No; 1=Yes]), and 7) BMI (BMI $<$ 26 [0=No; 1=Yes]). For the  
125 purpose of analysis, five groups of increasing risk were constructed according to the SUSCI ( $<$ 1, 1-  
126 4, 4-7, 7-10 and  $>$ 10) in such a way as to form groups of adequate sample size.

### 127 *Statistical analysis*

128 Continuous data are expressed as mean  $\pm$  standard deviation or median values with interquartile  
129 range, as appropriate, for all variables. Continuous variables were compared by means of Student's  
130 t-test, analysis of variance, or non-parametric test (median test or Mann–Whitney U test), as  
131 appropriate. Categorical data were compared by means of the  $\chi^2$  test (Pearson, Yates or Fisher's  
132 exact test, as appropriate).

133 The Kaplan–Meier method was used to analyze estimates of time to death during follow-up;  
134 differences between groups were analyzed by means of the log-rank test. Hazard ratios (HRs) and  
135 their 95% confidence intervals (CIs) were computed by means of Cox regression models, in which  
136 baseline parameters were considered as fixed covariates and combined endpoint events were  
137 considered as time-dependent covariates. After checking for collinearity, we included in the  
138 multivariate Cox models any variable with a  $p$ -value $<$ 0.05 on univariate analysis. A  $p$ -value $<$ 0.05  
139 was considered significant for all tests. All statistical analyses were performed by means of  
140 STATISTICA software, version 7.1 (StatSoft, Inc., Tulsa, OK, USA).

141

## 142 **Results**

### 143 *Study population*

144 The DECODE registry enrolled 983 patients at 36 Italian centers; 804 (82%) underwent ICD  
145 generator replacement only, whereas 179 (18%) underwent upgrade to a device capable of

146 additional functionality as a result of the addition of transvenous lead(s). Of them, 96 (54%)  
147 patients underwent upgrade for clinical reasons (83 to a CRT-D device due to HF symptoms prior to  
148 replacement and 13 to a DC device due to addition of an atrial lead alone) and 83 (46%) patients  
149 underwent upgrade due to lead failure (3 RA-only, 60 RV-only, 18 LV-only and 2 RV plus LV  
150 leads added). Demographics and baseline characteristics of the study population are summarized in  
151 Table 1.

### 152 ***Mortality after ICD replacement/upgrade***

153 During a median follow-up period of 761[628-904] days, 114 (11.6%) patients died (none because  
154 of refractory ventricular tachyarrhythmias) and 5 (0.5%) underwent heart transplantation: these  
155 latter 5 were excluded from the survival analysis at the time of heart transplantation and considered  
156 as dropped-outs. No deaths occurred during the replacement procedure. Sixty-five (57%) patients  
157 died of CV causes, with a marked prevalence of heart failure deaths. Details of the causes of death  
158 are shown in Table 2.

### 159 ***Prediction of death***

160 On multivariate Cox regression analysis, adjusted for baseline confounders, only age $\geq$ 75 years  
161 (HR=2.26; 95%CI: 1.54 to 3.32, p<0.0001), BMI<26 (HR=2.17, 95%CI: 1.48 to 3.2, p<0.0001),  
162 ischemic cardiomyopathy (HR=1.94, 95%CI: 1.25 to 3.0, p<0.0001), NYHA Class $\geq$ III (HR=2.24,  
163 95%CI: 1.52 to 3.29, p<0.0001), history of AF (HR=1.74, 95%CI: 1.19 to 2.52, p=0.0041),  
164 hospitalization within 30 days prior to ICD replacement (HR=2.29, 95%CI: 1.38 to 3.81, p=0.0014)  
165 and insulin therapy (HR=2.03, 95%CI: 1.28 to 3.22, p=0.0028) remained associated with death  
166 (Table 3). The same findings were confirmed when considering replacement population only, as  
167 reported in Supplementary Table S1. The Kaplan–Meier estimates of time to death from any cause,  
168 according to independent risk factors, and the survival curve of the whole population are depicted in  
169 Figure 1, panels A-H.

### 170 ***Risk stratification according to SUSCI prediction score***



171 Patients were stratified into five subgroups according to the SUSCI risk score level: 84 (8.5%) with  
172 a score lower than 1 point were classified at “low-risk”, 347 (35.3%) with a score between 1 and 4  
173 points at “low-to-intermediate-risk”, 410 (41.7%) with a score between 4 and 7 points at  
174 “intermediate-risk”, 106 (10.8%) with a score between 7 and 10 points at “intermediate-to-high-  
175 risk” and 36 (3.7%) with a score more than 10 points at “high-risk”. The median SUSCI score was  
176 4.15[2.18-6.21]. The SUSCI score (for each level of risk) showed a good discriminatory power,  
177 with an HR of 2.61 (95% CI: 2.17 to 3.15,  $p<0.0001$ ). On plotting mean survival according to the  
178 SUSCI score, the overall mortality risk over 24 months of follow-up increased according to the  
179 severity of the risk profile (Figure 2). The time to death was significantly shorter among patients  
180 with a score  $>4.2$  points – median value – (log-rank test,  $p<0.0001$ ; HR=9.9 (95% CI: 5.36 to 18.46,  
181  $p<0.0001$ ); a mortality rate of 20.5% was recorded in patients with scores  $>4.2$ , and of 2.3% in  
182 those with scores  $<4.2$ ). The high-risk group showed a 31% and 44% mortality at 12 and 18 months  
183 respectively.

#### 184 ***ICD therapy during follow-up***

185 During the 24-month follow-up period, 190 patients (19.3%) received at least one ICD appropriate  
186 therapy, and 28 (2.9%) at least one inappropriate ICD therapy. The rate of appropriate ICD therapy  
187 did not differ among the 5 SUSCI risk score subgroups ( $p=0.4038$ ). Neither appropriate ICD  
188 therapy nor inappropriate ICD therapy after ICD replacement/upgrade was significantly associated  
189 with the primary endpoint of death (HR=0.81, 95% CI: 0.5 to 1.33,  $p=0.4132$  for appropriate ICD  
190 therapy; HR=0.89, 95% CI: 0.29 to 2.79,  $p=0.8454$  for inappropriate ICD therapy). However, in  
191 patients who died, appropriate ICD therapy rate decreased as the “risk score” level decreased  
192 (Figure 3). In the Kaplan-Meier curves, performed to compare total mortality and appropriate ICD  
193 therapy delivery rates over time, it appears that in the “high-risk” group, contrarily to the others,  
194 total mortality is much higher than that observed in the remaining groups notwithstanding similar  
195 rates of ICD therapy delivery (Supplementary Figure S1).

196

**197 Discussion**

198 This sub-analysis of the DECODE Registry focuses on the intriguing topic of mortality following  
199 ICD/CRTD replacement/upgrade. Owing to careful data collection, the cause of death can be  
200 reliably interpreted, unlike in larger registries, which are mainly based on administrative data<sup>[6, 7, 11]</sup>;  
201 this enables subgroups to be classified according to a risk score that pinpoints the most severe  
202 clinical profile. Indeed, the DECODE SUSCI Risk Score can identify subgroups with a 31% and  
203 44% mortality risk at 12 and 18 months, respectively. This finding should be carefully evaluated  
204 before undertaking ICD replacement.

205 Our study population was contemporary and had a clinical profile comparable to patients in the  
206 large NCDR registry<sup>[6]</sup> (average EF about 35%, 47% of patients having a CRTD). Two-year  
207 mortality rate was around 12%, which is comparable to the NCDR rate (9.8% and 27% at 1 and 3  
208 years, respectively), the Ontario ICD Data Base (8.7% at 6 months)<sup>[7]</sup>, and the smaller German  
209 INSURE Study<sup>[12]</sup> of healthier patients (9.8% at 22 months, average EF=40%). This means that the  
210 DECODE study population can be reliably considered as representative of real-life ICD/CRTD  
211 replacement patients in western countries.

212 In the DECODE population, more than a half of deaths were cardiovascular (none sudden), heart  
213 failure playing the leading role (47% of overall deaths). The remaining deaths (40%) were non-  
214 cardiovascular (mainly due to cancer, infections and pulmonary diseases); in only a minority of  
215 patients (3%) the cause of death remained unknown. Unfortunately, the majority of studies on ICD  
216 replacement do not report the cause of death, which remains an unsolved issue with regard to the  
217 need for continued ICD therapy<sup>[5-7]</sup>. Only the INSURE Study provided a few unspecific data on this  
218 subject: cardiovascular and non-cardiovascular mortality accounted for one third of deaths each,  
219 while it was unavailable in the remaining third<sup>[12]</sup>.

220 The 19% rate of appropriate ICD therapy after ICD generator replacement observed in our study  
221 population is in line with the average 23% (range 10.9%-31.4%) during a 32-month median follow-  
222 up reported in a large review by McCarthy et al.<sup>[13]</sup>. In this regard, our data show two further

223 interesting findings: 1) the rates of delivered ICD therapy observed in each of the 5 risk subgroups  
224 were not significantly different ( $p=0.4$ ); 2) appropriate therapy delivery was not associated with  
225 overall mortality ( $p=0.4$ ), though it was markedly higher in “high-risk” patients (11%) than in the  
226 other subgroups (ranging from 0% in the “low-risk” to 4.7% in the “intermediate-to-high-risk”  
227 groups). Similarly, Barra et al.<sup>[14]</sup> observed that patients assessed just before ICD replacement and  
228 included in the two quintiles with the poorest clinical conditions were those who had the highest  
229 and earliest mortality rate together with a 50% frequency of appropriate ICD therapy delivery at  
230 follow-up. This suggests that, in the sickest patients candidate to ICD replacement, the subsequent  
231 risk of dying is scantily modifiable, if at all, by the ability of the ICD to interrupt life-threatening  
232 ventricular arrhythmias (VA). A reasonable explanation for this clinical behavior lies in the  
233 progression of the underlying cardiac disease and of other co-morbidities, which may significantly  
234 worsen after the first ICD/CRTD implantation, and even more so after device replacement. Indeed,  
235 the resulting anatomical and functional changes may increase the propensity to develop VA but not  
236 change the prognostic weight of other co-morbidities that are not amenable to ICD treatment<sup>[2, 10, 15,</sup>  
237 <sup>16]</sup>. Thus, the relative contribution of VA-driven mortality vs other competitive causes is of  
238 paramount importance in assisting the decision to replace the ICD.

239 In this sub-analysis, 7 variables proved to be significantly and independently related to all-cause  
240 mortality: BMI<26, age $\geq$ 75 years, hospitalization for any cause within 30 days prior to replacement,  
241 NYHA class $\geq$ III, ischemic heart disease, insulin therapy and history of AF. Most of these 7 risk  
242 markers have also been observed in other investigations, such as the REPLACE study and the  
243 NCDR analysis<sup>[4-6]</sup>. Age, NYHA class $\geq$ III, AF history, complications of diabetes/peripheral  
244 vascular disease and HF hospitalizations in the previous year were included in both the NCDR and  
245 REPLACE-DARE Risk Scores. In our study, insulin therapy emerged as a powerful predictor,  
246 being an indicator of diabetes severity. By contrast, ischemic heart disease and BMI were the only  
247 two risk markers present exclusively in the DECODE Risk Score. Indeed, angina was also found as

248 a marker of short-term adverse outcome in the Ontario registry<sup>[7]</sup>, being a marker of coronary  
249 instability and unpredictable new clinical events.

250 Unexpectedly, BMI proved to be one of the strongest predictors of death in our study (HR=2.17). A  
251 possible explanation for this finding lies in the so-called “BMI paradox”, whereby overweight  
252 patients (BMI>25 Kg/m<sup>2</sup>) have a survival advantage over those of normal weight. Since variable  
253 degrees of overweight are frequent in patients with coronary artery disease, stroke, atrial fibrillation,  
254 diabetes, pulmonary disease, cancer, and chronic kidney disease<sup>[17-21]</sup>, who were substantially well  
255 represented in our study population, it can be hypothesized that a normal-to-low BMI holds such a  
256 high predictive value simply because it gathers together all the other risk markers previously  
257 reported<sup>[4-8]</sup> at an advanced stage of disease, when body weight, and hence physical adaptation to  
258 stressors, declines. As this factor is a potential marker of a declining global health, patients with a  
259 low BMI should undergo a multidisciplinary comprehensive evaluation, focusing on advanced HF  
260 or degenerative/oncologic co-morbidities that may hinder the benefit of continued ICD/CRT-D  
261 therapy. This might help counseling a minority of patients against a replacement/upgrade procedure  
262 with an unfavorable risk/benefit ratio<sup>[22]</sup>.

263 Of interest, the results of our analysis seemed not to be affected by the underlying conditions of  
264 those patients upgraded to CRT-D for clinical reasons who represent about 10% of our study  
265 population, a factor that theoretically could have biased our findings.

266 The mortality risk score that we devised yields valuable prognostic information, suggesting that  
267 mortality rates are 31% and 44% at 12 and 18 months, respectively, in patients deemed at “high-  
268 risk”, who accounted for almost 4% of our population. Even though the methodology was not  
269 comparable to that of other registries<sup>[5, 6]</sup>, these findings are similar to those of the REPLACE-  
270 DARE Study, in which patients with the highest Death Risk Score had a mortality rate of  
271 approximately 50% at 6 months. In addition, our findings show that although the rate of appropriate  
272 ICD therapies among all risk patient subgroups was similar, early death was markedly higher in  
273 those with the highest SUSCI risk score. This suggests that in this patient subgroup the probability

274 of dying is poorly affected by ICD therapy. In agreement with guideline recommendations, this  
275 fraction of candidates for device replacement should be carefully assessed, since replacement may  
276 be unprofitable or even dangerous although the high rate of life-threatening VA as shown in other  
277 investigations<sup>[14]</sup>. In our opinion, the optimal management strategy for these patients should include  
278 the mandatory provision of complete information on prognosis and on the potential lack of benefit  
279 of the procedure. Indeed, if adequately informed, a significant proportion of patients are likely to  
280 forgo the option of replacement<sup>[22, 23]</sup>.

### 281 *Study limitations*

282 A matching population of patients not undergoing ICD/CRTD replacement was lacking, and the  
283 Risk Score was not validated in other populations: this attenuates the strength of the results.  
284 Although the overall number of patients in the analysis was high, some subgroups were  
285 underrepresented to draw definitive conclusions regarding ICD replacement policy; for example,  
286 the difference in outcome between females and males has not been assessed, notwithstanding a  
287 trend in favor of the female gender, due to a small presence of women (24%) in the whole  
288 population. Furthermore, data on the prevalence of LBBB at the time of replacement were lacking,  
289 thus making the assessment of this variable precluded with regard to clinical outcome. Finally,  
290 appropriate ICD interventions are only a surrogate endpoint of mortality and cannot unequivocally  
291 regarded as instances of prevented sudden cardiac death. However, this endpoint has previously  
292 been adopted in literature.

293

### 294 **Conclusions**

295 Mortality after ICD replacement or upgrade is approximately 12% over 2-year follow-up. Age,  
296 history of ischemic heart disease and several non-cardiac comorbidities significantly influence early  
297 and late outcomes. A small subgroup of patients with a very poor prognosis can be identified  
298 already at ICD end-of-life; in these patients, appropriate counseling may avoid unnecessary device

299 replacement, and comprehensive clinical evaluation may enable action to be taken on major co-  
300 morbidities, which heavily impact on patient care.

301

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304

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378 **Table 1. Demographics and baseline characteristics of the study population**

Parameter	All pts (n=983)	Survived (n=869)	Dead (n=114)	p value Survived vs Dead
Age (year)	71 (63-78)	71 (62-77)	76 (70-81)	<0.0001
LVEF (%)	35 (30-45)	35 (30-45)	30 (25-35)	<0.0001
Males (%)	750 (76.3)	654 (75.3)	96 (84.2)	0.0351
NYHA I/II (%)	743 (75.6)	680 (78.3)	63 (55.3)	<0.0001
History of AF (%)	372 (37.8)	309 (35.6)	63 (55.3)	<0.0001
BMI	26.3 (24.2-29.1)	26.3 (24.5-29.3)	25.7 (22.5-27.3)	0.0002
AV node ablation (%)	41 (4.2)	32 (3.7)	9 (7.9)	0.0446
Ischemic Cardiomyopathy, n (%)	537 (54.6)	454 (52.2)	83 (72.8)	<0.0001
PTCA/CABG within 6 months prior to the procedure (%)	95 (9.7)	81 (9.3)	14 (12.3)	0.3114
Diabetes (%)	282 (28.7)	239 (27.5)	43 (37.7)	0.0275
Hypertension (%)	608 (61.9)	539 (62)	69 (60.5)	0.7593
Chronic Kidney Disease (%)	249 (25.3)	196 (22.6)	53 (46.5)	<0.0001
Stroke/TIA/TE (%)	84 (8.5)	70 (8.1)	14 (12.3)	0.1516
History of Cancer (%)	60 (6.1)	47 (5.4)	13 (11.4)	0.0199
COPD (%)	189 (19.2)	156 (18)	33 (28.9)	0.0076
Current Smoker (%)	62 (6.3)	56 (6.4)	6 (5.3)	0.8372
Hospitalization within 30 days prior to the procedure (%)	73 (7.4)	54 (6.2)	19 (16.7)	0.0004
ACE Inhibitors (%)	555 (56.5)	493 (56.7)	62 (54.4)	0.688
Ivabradine (%)	59 (5)	45 (5.2)	4 (3.5)	0.5059
ARBs (%)	186 (18.9)	168 (19.3)	18 (15.8)	0.4453
β-Blockers (%)	839 (85.4)	743 (85.5)	96 (84.2)	0.6746
Statins (%)	515 (52.4)	460 (52.9)	55 (48.2)	0.3701
Loop Diuretics (%)	701 (71.3)	604 (69.5)	97 (85.1)	0.0004
K+ Diuretics (%)	448 (45.6)	379 (43.6)	69 (60.5)	0.0009
Amiodarone (%)	218 (22.2)	175 (20.1)	43 (37.7)	<0.0001
Oral Antidiabetics (%)	164 (16.7)	141 (16.2)	23 (20.2)	0.2865
Insulin (%)	99 (10.1)	74 (8.5)	25 (21.9)	<0.0001
Anticoagulation therapy (%)	408 (41.5)	342 (39.4)	66 (57.9)	0.0002
Replaced Device: ICD-SC (%)	257 (26.1)	24 (21.1)		
Replaced Device: ICD-DC (%)	261 (26.6)	29 (25.4)		
Replaced Device: CRT-D (%)	460 (46.8)	399 (45.9)	61 (53.5)	0.1349
Replacement procedure (%)	804 (81.8)	717 (82.5)	87 (76.3)	0.1209
System upgrade (%)	179 (18.2)	152 (17.5)	27 (23.7)	0.1209
Clinical upgrade to CRT (%)	83 (8.4)	67 (7.7)	16 (14)	0.0304
Appropriate Shock therapy (%)	348 (35.4)	298 (34.3)	50 (43.9)	0.0481

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381 **Table 2. Overall Mortality**

Cardiovascular Total 65 (57%)		Non-Cardiovascular Total 46 (40.4%)		Undetermined Total 3 (2.6%)
Pump failure (81.5%)	53	Cancer (10.5%)	12	
Pulmonary Embolism	1 (0.9%)	End-stage renal failure	5 (4.4%)	
Stroke (3.5%)	4	Pulmonary disease	8 (7%)	
Other (6.1%)	7	Liver disease (3.5%)	4	
		Infection (6.1%)	7	
		Other (8.8%)	10	

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402 **Table 3. Results of Univariate and Multivariate analyses**

Variable	Univariate			Multivariate		
	p	HR	95% CI	p	HR	95% CI
Center volume ≥300 procedures/year	0.488	0.8641	0.5732 to 1.3028			
<b>Ischemic Cardiomyopathy</b>	<0.0001	2.3817	1.5798 to 3.5906	0.0032	1.9359	1.2501 to 2.9978
<b>Age≥75 years</b>	<0.0001	2.8178	1.9418 to 4.0892	<0.0001	2.2583	1.5345 to 3.3237
Current smoker	0.7324	0.8663	0.3822 to 1.9637			
History of Stroke/TIA/TE	0.1061	1.5873	0.9089 to 2.7721			
<b>Insulin Therapy</b>	<0.0001	2.8439	1.8283 to 4.4236	0.0028	2.0295	1.2789 to 3.2207
Hypertension	0.8084	0.9542	0.6543 to 1.3915			
LVEF≤35%**	0.0011	2.0784	1.3417 to 3.2196			
<b>NYHA class ≥III vs &lt;III</b>	<0.0001	2.7245	1.8867 to 3.9342	<0.0001	2.2369	1.5216 to 3.2882
<b>Hospitalization within 30 days prior to the procedure</b>	<0.0001	2.8161	1.7247 to 4.5980	0.0014	2.293	1.3815 to 3.8059
COPD	0.006	1.7772	1.1818 to 2.6725	0.2543	1.2774	0.8403 to 1.9419
Male Gender	0.0373	1.7075	1.0347 to 2.8176	0.0861	1.5923	0.9386 to 2.7014
<b>History of AF</b>	0.0001	2.1177	1.4666 to 3.0577	0.0041	1.7353	1.1933 to 2.5235
History of cancer	0.0091	2.1593	1.2146 to 3.8386	0.0752	1.7199	0.9493 to 3.1161
Anticoagulation therapy*	0.0002	2.0271	1.4002 to 2.9345			
<b>BMI&lt;26</b>	0.0001	2.0636	1.4221 to 2.9944	0.0001	2.1744	1.4758 to 3.2028
System Upgrade	0.1197	1.4089	0.9169 to 2.1650			
Clinical Upgrade to CRT***	0.0211	1.8627	1.1010 to 3.1516			
Appropriate ICD therapy before replacement****	0.0365	1.4842	1.0271 to 2.1446			

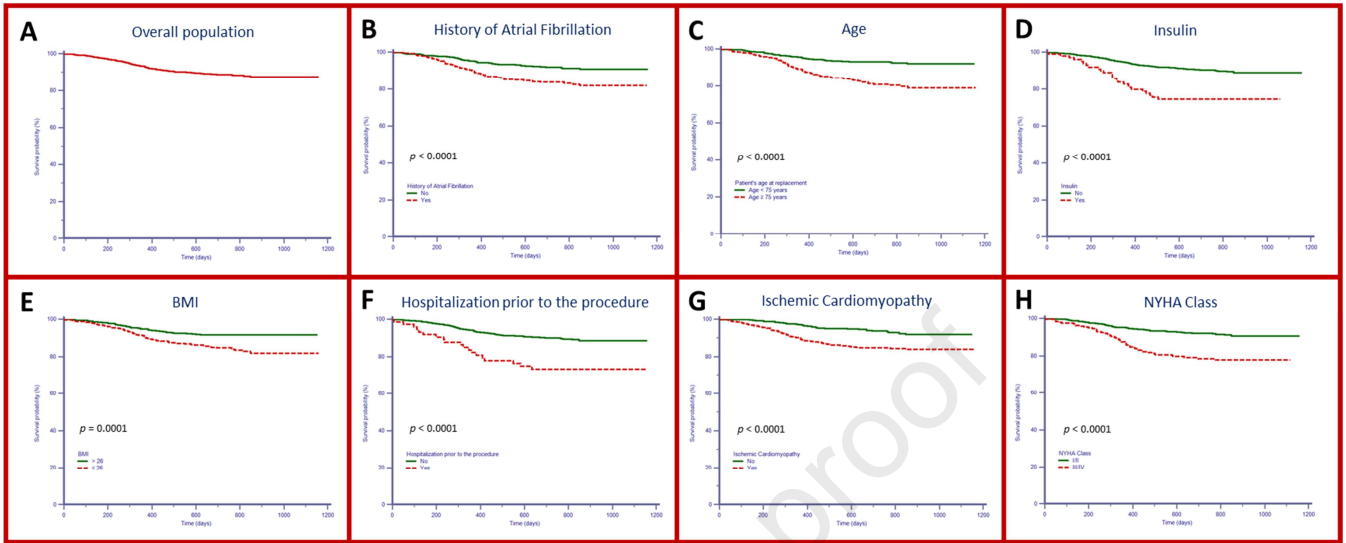
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404 Abbreviations: BMI=Body Mass Index; LVEF=Left Ventricular Ejection Fraction; NYHA=New  
 405 York Heart Association Class; COPD=Chronic Obstructive Pulmonary Disease; CRT=Cardiac  
 406 Resynchronization Therapy; ICD=Implantable Cardioverter Defibrillator.

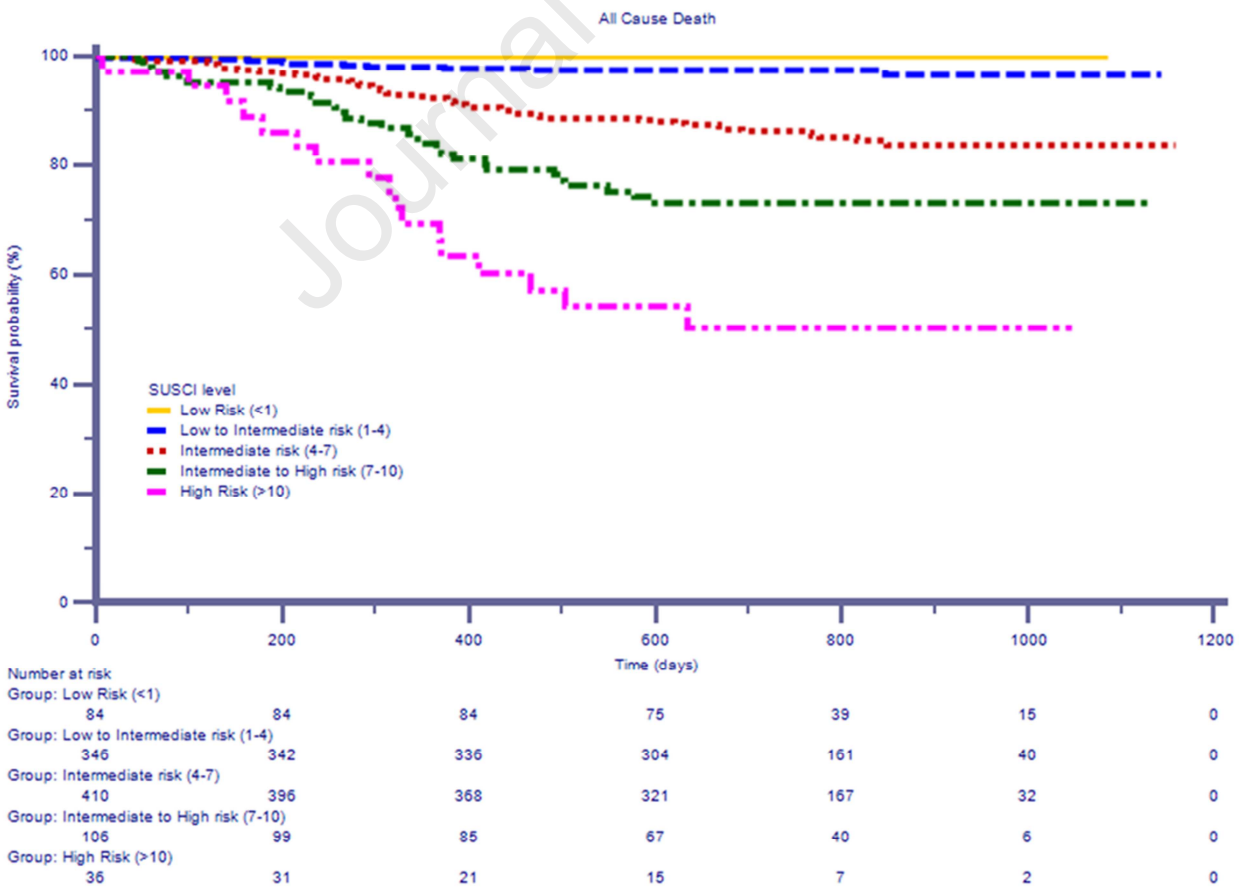
407 \*Anticoagulation therapy was not entered into the multivariate model, owing to its correlation with  
 408 history of atrial fibrillation. \*\*LVEF≤35% and \*\*\*Clinical Upgrade to CRT were not entered into  
 409 the multivariate model, owing to their correlation with hospitalization prior to the procedure.  
 410 \*\*\*\*Appropriate ICD therapy before replacement was not entered into the multivariate model,  
 411 owing to its correlation with ischemic cardiomyopathy.

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413 **Figure 1.** Kaplan–Meier estimates of time to death from any cause according to independent risk  
 414 factors and the survival curve of the whole population.



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 417 **Figure 2.** Kaplan–Meier estimates of time to death from any cause according to risk profile.

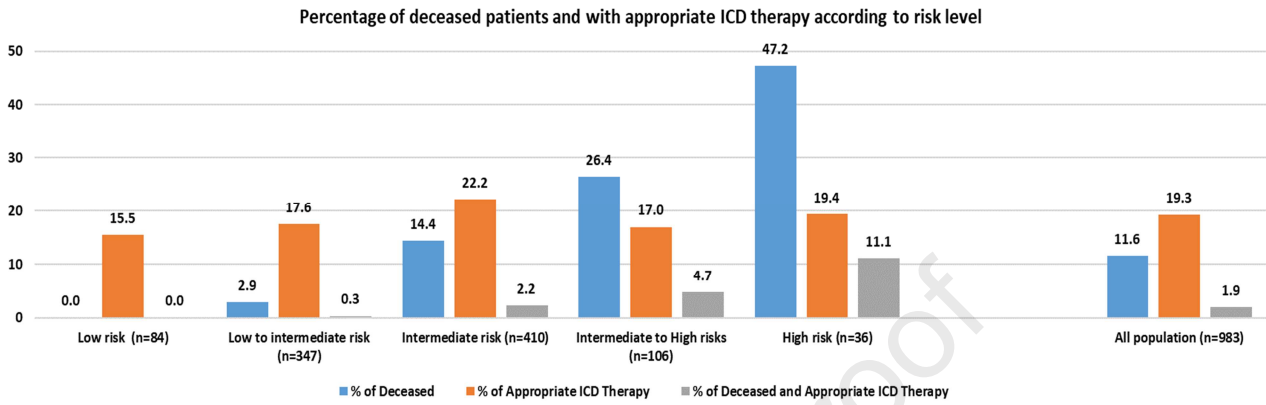


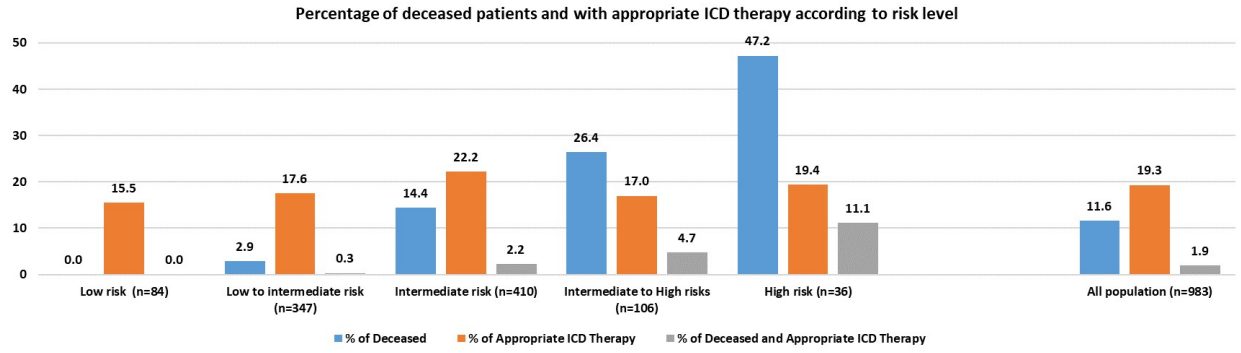
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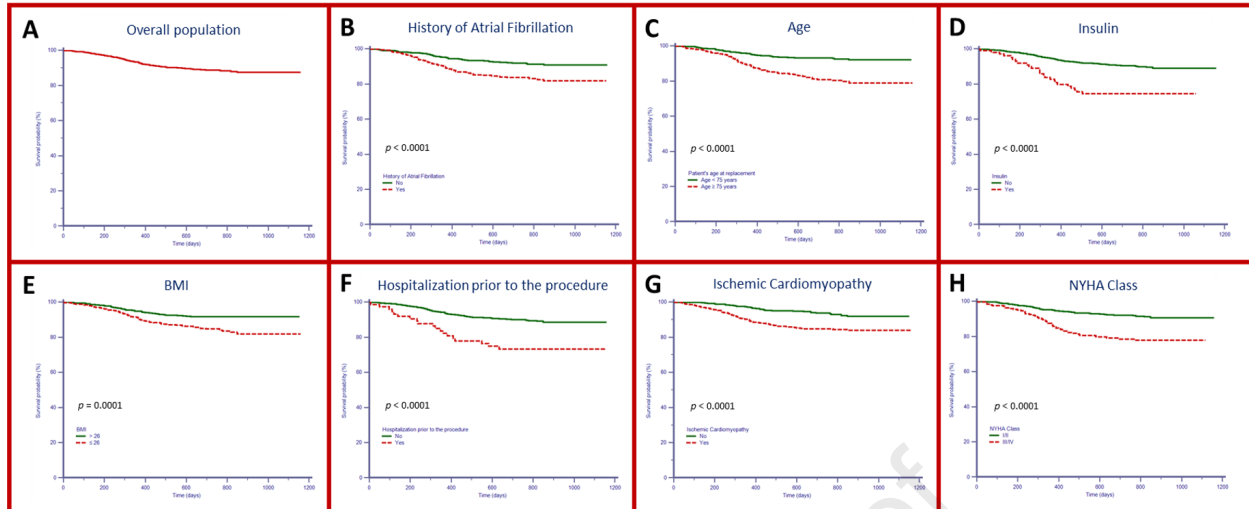
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420 **Figure 3.** Percentages of deceased patients, of those with appropriate ICD therapy and of those with  
421 both conditions, according to SUSCI risk level.

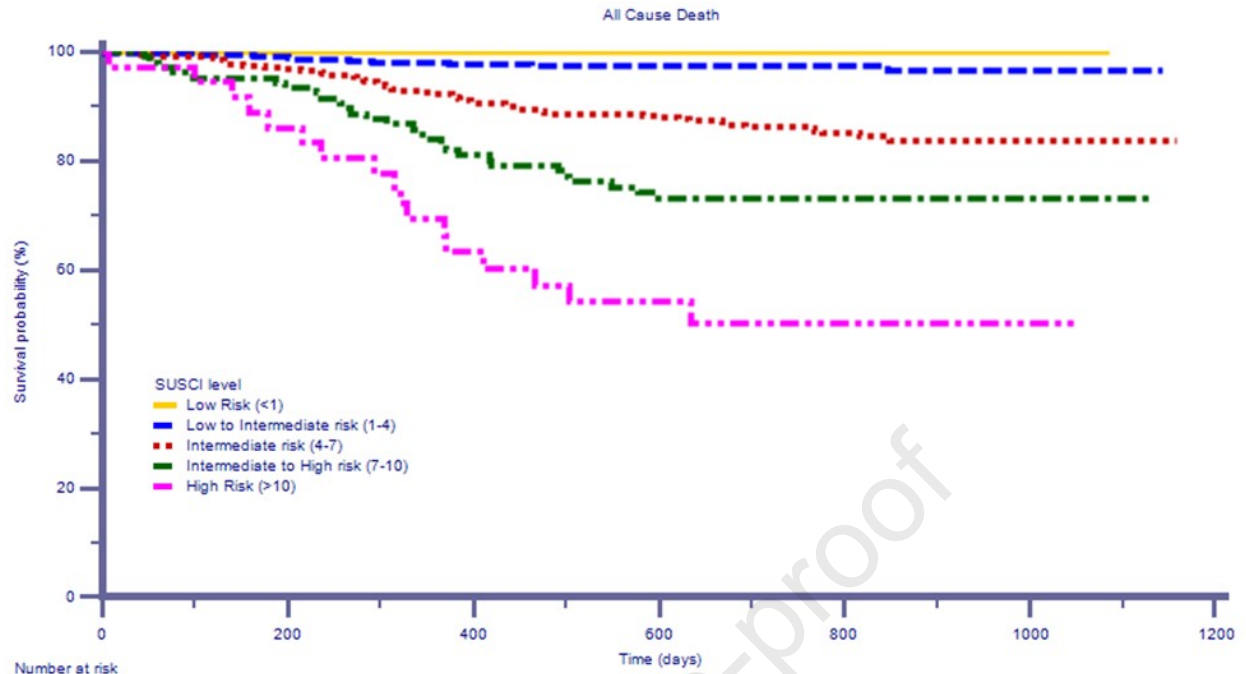
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Number at risk	0	200	400	600	800	1000	1200
Group: Low Risk (<1)	84	84	84	75	39	15	0
Group: Low to Intermediate risk (1-4)	346	342	336	304	161	40	0
Group: Intermediate risk (4-7)	410	396	368	321	167	32	0
Group: Intermediate to High risk (7-10)	106	99	85	67	40	6	0
Group: High Risk (>10)	36	31	21	15	7	2	0