Investigation of zinc oxide particles in cosmetic products by means of centrifugal and asymmetrical flow field-flow fractionation

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\section*{ABSTRACT}

The dimensional characterization of insoluble, inorganic particles, such as zinc oxide ZnO, dispersed in cosmetic or pharmaceutical formulations, is of great interest considering the current need of declaring the possible presence of nanomaterials on the label of commercial products.

This work compares the separation abilities of Centrifugal- and Asymmetrical Flow Field-Flow Fractionation techniques (CF3 and AF4, respectively), equipped with UV–vis, MALS and DLS detectors, in size sorting ZnO particles, both as pristine powders and after their extraction from cosmetic matrices.

ZnO particles, bare and superficially modified with triethoxycaprylyl silane, were used as test materials. To identify the most suitable procedure necessary to isolate the ZnO particles from the cosmetic matrix, two O/W and two W/O emulsions were formulated on purpose. The suspensions, containing the extracted particles ZnO, were separated by both Field-Flow Fractionation (FFF) techniques to establish a common analysis protocol, applicable for the analysis of ZnO particles extracted from three commercial products, sold in Europe for the baby skin care.

Key aspects of this study were the selection of an appropriate dispersing agent enabling the particles to stay in stable suspensions (>24 h) and the use of multiple detectors (UV–vis, MALS and DLS) coupled on-line with the FFF channels, to determine the particle dimensions without using the retention parameters. Between the two FFF techniques, CF3 revealed to be the most robust one, able to sort all suspensions created in this work.

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1. Introduction

Zinc oxide (ZnO) is a versatile material used in many productive sectors, of which the rubber application accounted for the largest market share, followed by ceramics, chemicals, pharmaceuticals, cosmetics, personal care and agricultural products. ZnO has key applications in catalysts, sensors, piezoelectric transducers, transparent conductor and surface acoustic wave devices [1], in paints [2,3] and surface coatings [4] as white pigment with antibacterial and long term UV blocking properties. Its antibacterial, antimicrobial, disinfecting and drying properties make the ZnO also suitable for pharmaceutical products, mostly as ointments and creams [5]. In the cosmetic sector, ZnO is used as a colorant or bulking agent, in sunscreens as UV-blocker, but also as a soothing and protective coating against skin irritation and abrasion. In the food industry, ZnO is incorporated in polymeric matrices to provide antimicrobial activity to the packaging material and improve packaging properties [6] but it can be also found as UV light absorber inside of unplasticised polymers used for long-term storage at room temperature. The recent increase of nano-materials to enhance the quality of many products has determined the revision of many existing international regulations in the cosmetic and food sectors. The European Union (EU) Commission’s Working Group on Cosmetics, for example, in 2016, on the basis of comprehensive safety dossiers, stated that in dermal cosmetic products ZnO might be used in its nano-form up to the 25% without posing risk for adverse health effects in humans [7,8]. However, ZnO nanoparticles (NPs) shall not be used in spray products (e.g. disinfectant spray is declared unsafe) since this may lead to exposure of the consumers’ lungs due to inhalation [8]. If used for dermal application, ZnO NPs must have a median diameter of the particle number size distribution D50 (50% of the number below this diameter) >30 nm and D1 (1% below this size) >20 nm [8]. The U.S. F.D.A. lists ZnO as a “generally recognized as safe” (GRAS) material and allows it as food additive [9], authorising its use in food contact materials (up to 2% by weight) when used in its nano-form [10]. However, its risk assessment must include studies on some aspects of the behaviour of the nano-preparations, since the toxicity of metal-based NPs may be either due to the specific toxicity of metal ions released from NPs under environmental conditions or to their specific physical characteristics as NPs.

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The size analysis of ZnO particles dispersed in cosmetic (or pharmaceutical) formulations is consequently now an important task that analytical methods should face [11], since they could be used to discriminate the presence of particles in the nano and/or micro form. This implies that the analytical methods should be able to determine the particle size distribution or at least to contribute, for example through a separation, to its determination.

Tyner et al. [12] compared the ability of 20 analytical methods to detect and size TiO$_2$ and ZnO NPs in unmodified commercial sunscreens, with the aim to find appropriate analytical methods able to perform this characterization without the introduction of any significant product modifications. The most studied cosmetic matrices so far are sunscreen products, since their dermal application implies the exposition of ZnO and TiO$_2$ particles to the UV radiation, which may induce photocatalytic activity and generate DNA-damaging reactive oxygen species [13]. There are a number of analytical methods that might be used to size particles [14] and to support the electron microscopy, which is recommended by the SCCS (Guidelines on the safety assessment of nanomaterials) [15], such as variable-pressure scanning electron microscopy, atomic force microscopy (AFM), laser scanning confocal microscopy (LSCM), and X-ray diffraction (XRD) [16]. In many cases, the determination of the average particle size and the size distribution of particles incorporated in cosmetic products, require a preliminary extraction step [17–19].

To date and to the authors’ best knowledge, no reports on a systematic comparison and optimisation of extraction conditions for a reliable size characterisation of ZnO particles in real cosmetic matrices, using Field-Flow Fractionation (FFF) techniques coupled online with different detectors have been published.

This work consequently has two main aims, to study whether and how the extraction procedures should differ depending on the cosmetic matrices and to compare the separation performances of two FFF techniques, Centrifugal FFF (CF3) and Asymmetrical Flow FFF (AF4), both used for particle size characterization.

Both CF3 and AF4 instruments are suitable to sort particles and colloids of different nature [20], depending on their buoyant mass (CF3) or on the hydrodynamic particle dimension (AF4), respectively. Here, their separation performances are evaluated by analysing (i) two different types of pristine, powdered ZnO particles, bare and superficially modified with triethoxycaprylyl silane, available on the market to formulate cosmetic products, (ii) four cosmetic creams formulated in-house, and (iii) three cosmetic commercial cosmetic products, sold in Italy and Germany for baby skin care when nappy rush occurs.

The extraction procedures of the particles were evaluated in order to get stable suspensions (at least 24 h–48 h), without irreversibly altering their original particle size distribution. The comparison between the pre- and post formulation was possible since two O/W emulsions and two W/O emulsions were prepared on purpose, by adding respectively the uncoated or the coated ZnO particles as ingredients. This is an important difference with respect to past works, in which, for example, food matrices were simply spiked with inorganic NPs (SiO$_2$ [21,22], silver NPs [23,24]).

The suspensions of the extracted ZnO particles were separated via CF3 and AF4 techniques and the separation profiles were compared with those obtained from the pristine ZnO powders. Although these techniques allow the determination of the buoyant mass (CF3) or the hydrodynamic dimension of the particles (AF4), based on their retention behaviour in the respective separation channel [20], information about the sizes were obtained by equipping the instruments with a series of on-line detectors (UV–vis, MALS, DLS) able to derive size information independently from the retention data.

The separation results of the ZnO particles extracted from the in-house formulated creams were used to hypothesize and verify the most suitable protocols to extract and analyse by FFF the ZnO particles contained in the unknown commercial creams by FFF.

2. Experimental

2.1. Samples

Zinc oxide powders, Zano10 and Zano10 Plus, were kindly donated by Prodotti Gianni Srl (Milan, I), the Italian retailer of Umicore (Luik, B). The certificate of analysis of the Zano10 Plus (Lot #Z1P264), reports a triethoxycaprylyl silane surface treatment level of 4.0% wt.

Four cosmetic emulsions, nominally containing 20% wt of ZnO were formulated on purpose for this study. They were oil-in-water (O/W) and water-in-oil (W/O) emulsions; those containing the Zano10 particles were named F1 (O/W) and F2 (W/O), while those based on Zano10 Plus were F3 (O/W) and F4 (W/O) (Table 1). The list of the ingredients used to formulate the emulsions and details about their preparation are reported in the Supp. Mat. § S-2.

Three commercial products, two creams and a paste enriched with zinc oxide, were purchased in Italian and German grocery shops. The products were indicated as CS-1 (W/O), CS-2 (W/O), CS-3 (O/W) (Tables 1 and S-1).

A summary of the samples analysed by CF3 and AF4 is reported in Table 1.

2.2. Chemicals

All chemicals (CH$_3$COOH 96% premium grade, HNO$_3$ 65% suprapure, H$_2$BO$_3$ 99.97% trace metal basis, HCl 1 M; KCl, NaOH 1 M, NH$_4$ 25%) were purchased from Sigma Aldrich (St. Louis, MO, USA) or Merck (Darmstadt, D); n-hexane for HPLC (ChemSolute – Th. Geyer, Renningen, D), ethanol C$_2$H$_5$OH 99.5% (Bernd Kraft GmbH, Duisburg, D); H$_2$O$_2$ 30% RPE (Carlo Erba, Milan, I), ultra-pure SDS sodium dodecyl sulfate (Carl Roth, Karlsruhe, D), NovaChem (NC) Surfactant 100 (Postnova Analytics GmbH, Landsberg am Lech, D).

The ultrapure water (UPW) used in this study was prepared by using a Milli-Q integral 5Millipore system (EMD Merck Millipore, MA, USA), with subsequent vacuum filtration through a 0.1 μm pore membrane (Dapurore, Millipore).

A polystyrene (PS) latex-mixture dispersed in 0.2% v/v NC was used for size calibration of MALS detectors. CF3-MALS: PS 200 nm, 300 nm and 600 nm; AF4-MALS: PS 125 nm, 200 nm and 300 nm (Postnova Analytics GmbH).

Table 1

<table>
<thead>
<tr>
<th>Sample or formulation name</th>
<th>Sample type</th>
<th>ZnO type</th>
<th>Emulsion type</th>
<th>ZnO added in the external</th>
</tr>
</thead>
<tbody>
<tr>
<td>Zano10 – powder</td>
<td>Model</td>
<td>Bare</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>Zano10 Plus – powder</td>
<td>Model</td>
<td>Superficially coated</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>F1 (O/W)</td>
<td>Model</td>
<td>Zano10</td>
<td>O/W</td>
<td>organic phase</td>
</tr>
<tr>
<td>F2 (W/O)</td>
<td>Model</td>
<td>Zano10</td>
<td>W/O</td>
<td>aqueous phase</td>
</tr>
<tr>
<td>F3 (O/W)</td>
<td>Model</td>
<td>Zano10 Plus</td>
<td>O/W</td>
<td>organic phase</td>
</tr>
<tr>
<td>F4 (W/O)</td>
<td>Model</td>
<td>Zano10 Plus</td>
<td>W/O</td>
<td>aqueous phase</td>
</tr>
<tr>
<td>CS-1</td>
<td>Commercial</td>
<td>W/O</td>
<td>unknown</td>
<td>unknown</td>
</tr>
<tr>
<td>CS-2</td>
<td>Commercial</td>
<td>W/O</td>
<td>unknown</td>
<td>unknown</td>
</tr>
<tr>
<td>CS-3</td>
<td>Commercial</td>
<td>O/W</td>
<td>unknown</td>
<td>unknown</td>
</tr>
</tbody>
</table>
Quantification via ICP-MS and ICP-OES was performed using element standard solutions containing Zn (10 μg mL⁻¹ – Environmental Calibration Standard, Agilent Technologies) and (1000 mg mL⁻¹ – monoelement standard solution, Carlo Erba), respectively.

2.3. Instruments

2.3.1. CF3

Channel dimensions of the applied CF2000 Centrifugal FFF (Postnova Analytics GmbH, D) were as follows: channel length 57.6 cm, width 2 cm, thickness 250 μm (void volume 2.7 mL), with a radius of 10.2 cm. The system was equipped with a solvent degasser (PN 7520), an isocratic pump (PN 1130), an autosampler (PN 5300 – 20 μL injection volume), a Multi-Angle Light Scattering MALS Detector (PN 3621) and an UV–vis Detector (PN 3211 SPD-20A). The MALS signal was recorded at 21 different angles of which 17 angles showing the best fit with the applied random coil model (all available angles except for 8°, 12°, 156° and 164°) were used for the determination of the radii of gyration \( r_g \). Values for \( r_g \) were calculated from measured signal intensities by application of the following intensity distribution function \( P(\theta) \):

\[
P(\theta) = \frac{2}{h^2} \left(e^{-h^2} - 1 + h^2\right)
\]

(1)

with

\[
h = \frac{4\pi n r_g \sin \theta}{\lambda}
\]

(2)

\( P \) represents the scattering form factor describing the angular dependence of the intensity of the scattered light, \( \theta \) the respective observed angle, \( n \) the refractive index of the solvent, \( r_g \) the radius of gyration and \( \lambda \) the wavelength of the incident laser light. Calculations were performed using the Postnova AF2000 software. The wavelength of the laser beam was 532 nm, while the wavelength of the UV–vis was set at 380 nm. The eluent was prepared using UPW to which 0.2% v/v filtered NC was added. The flow rate was 0.5 mL min⁻¹ and the separations were performed by applying a programmed power field decay [20]; parameters are reported in Table 2.

2.3.2. AF4

The trapezoidal channel of the applied AF2000 Flow FFF (Postnova Analytics GmbH, D) had the following main dimensions: channel breadth to the inlet 2 cm, breadth to the outlet 0.5 cm, channel length 27.7 μm (tip-to-tip), nominal channel thickness 350 μm; nominal channel volume 1.147 mL. The accumulation wall was a 10 kDa regenerated cellulose membrane (Z- AF4 MEM-635-10KD); the spacer was made of Mylar. The AF4 system was equipped with a solvent degasser (PN 7520), an isocratic pump (PN 1130), an autosampler (PN5300 – 20 μL injection volume), a channel thermostat (PN4020) and two different detectors: MALS detector (PN3621, 21 angles) and an UV–vis Detector (PN3211 SPD-20A). Determination of \( r_g \) values via MALS was performed as described under 3.2.1. In this case, the best fit with the applied random coil model was achieved by evaluating 18 angles (all available angles except for 8°, 12° and 20°). In order to allow a direct comparison with the results obtained from CF3-UV-MALS, \( r_g \) values were also determined with a similar selection of angles as described for the CF3-UV-MALS setup, without observing significant differences between the \( r_g \) values. Examples of fitting curves for the applied random coil model for both FFF-UV-MALS setups are given in Fig. S-1. In analogy to the CF3-UV-MALS setup, the wavelength of the laser beam was 532 nm, while the wavelength of the UV–vis was set at 380 nm. The eluent was a 0.2% v/v NC solution and the separations were performed by applying a programmed field decay [25], whose parameters are reported in Table 2.

2.3.3. DLS

A Zetasizer Nano-ZS instrument (Malvern Instruments Ltd, UK) with temperature control was used to determine the particle size distribution (PSD) by Dynamic Light Scattering (DLS). The measurements were done at 24.9–25.0°C, using a DTS0012 cuvette. Each sample was measured in duplicate with an equilibration step of 120 s and an acquisition time of 80 s. The software automatically calculated the hydrodynamic diameters based on the Stokes-Einstein theory. The instrument also allowed the determination of the \( \zeta \)-potential by using a DTS0160C disposable cell and setting an equilibration time of 120 s. The measurements were done immediately after having measured the pH, the Smoluchowski model with a Henry’s Function F(Ka) of 1.5 was used to determine the \( \zeta \)-potential.

2.3.4. Other instruments

An Perkin-Elmer Optima 3100 XL inductively coupled plasma optical emission spectrometer (ICP-OES) and an Agilent 7900 inductively coupled plasma mass spectrometer (ICP-MS) were used to determine the element (Zn) concentration. Details of these instruments are given in the Supp.Mat. § S-3 and § S-4.

2.4. Methods

2.4.1. Determination of the zeta potential

1 mg mL⁻¹ of ZnO powder was dispersed in 1 mM KCl, adjusting the pH with HNO₃ or NaOH in the range of 3–11. The ZnO isoelec-

### Table 2

Field parameters of CF3 and AF4. Sample concentration 1 mg mL⁻¹. Injection volume 20 μL. UV–vis wavelength \( \lambda \sim 380 \) nm. Eluent 0.2% v/v NC. ZnO density 5.4 g cm⁻³.

<table>
<thead>
<tr>
<th>CF3</th>
<th>AF4</th>
</tr>
</thead>
<tbody>
<tr>
<td>Field equation (field type: exponential)</td>
<td>Field equation (field type: exponential)</td>
</tr>
<tr>
<td>( G = \frac{D}{V_{c}} \left( \frac{t}{t_{c}} \right)^{\frac{1}{2}} )</td>
<td>( F_{c} = \left( \frac{D_{c}}{D_{c}} \right)^{\frac{1}{2}} \left( \frac{t}{t_{c}} \right)^{\frac{1}{2}} )</td>
</tr>
<tr>
<td>Tip flow ( \eta ) (mL min⁻¹)</td>
<td>Injection flow (mL min⁻¹)</td>
</tr>
<tr>
<td>0.5</td>
<td>0.2</td>
</tr>
<tr>
<td>Injection time (min)</td>
<td>Injection time (min)</td>
</tr>
<tr>
<td>2.4 (autosampler)</td>
<td>7</td>
</tr>
<tr>
<td>Focusing time (min)</td>
<td>Focusing time (min)</td>
</tr>
<tr>
<td>8</td>
<td>2</td>
</tr>
<tr>
<td>Exponent (( \eta ))</td>
<td>Exponent (( \eta ))</td>
</tr>
<tr>
<td>8</td>
<td>0.2</td>
</tr>
<tr>
<td>Initial Field (RPM)</td>
<td>Initial Field (mL min⁻¹)</td>
</tr>
<tr>
<td>1000</td>
<td>1</td>
</tr>
<tr>
<td>Delay time before decay ( t_{d} ) (min)</td>
<td>Duration of the cross flow gradient ( t_{c} ) (min)</td>
</tr>
<tr>
<td>20</td>
<td>105</td>
</tr>
<tr>
<td>Second time parameter ( t_{d} ) (min)</td>
<td>Second time parameter ( t_{c} ) (mL min⁻¹)</td>
</tr>
<tr>
<td>−55</td>
<td>0.1</td>
</tr>
<tr>
<td>Final field (RPM)</td>
<td>Final field (mL min⁻¹)</td>
</tr>
<tr>
<td>60</td>
<td>0.1</td>
</tr>
</tbody>
</table>
tric point (i.e.p.) was measured with a DLS Zetasizer Nano ZSP on 9 suspensions after having sonicated them in an ultrasonic bath (USB Sonorex digital 10P, Bandelin Electronic GmbH & Co. KG, Berlin, D) at maximum power for 60 min. During sonication, ice packs were added to the water bath to keep water temperature under control.

2.4.2. Extraction of the ZnO particles from the hydrophilic emulsions: F1 (O/W) and F3 (O/W)

Method A: 0.05 g of cream, nominally containing 20% wt of ZnO, (either Zano10 or Zano10 Plus) was mixed with 10 mL of UPW (1 mgmL⁻¹ of ZnO) and sonicated in an ultrasonic bath (USB Sonorex digital 10P) at the highest power for 15 min. The suspension was divided in two aliquots of 5 mL each. 5 mL of n-hexane were added to the 2 aqueous suspensions and vigorously mixed for 30 s. The suspensions were then centrifuged at 10,000 rpm for 5 min. The organic phase was removed and replaced with 5 mL of pure n-hexane. The suspensions were subsequently sonicated for 15 min and again centrifuged at 10,000 rpm for 5 min. The organic phase was removed from the first aliquot, which was successively used “as is” for the FFF separations (ZnO dispersed in UPW). The second aliquot was emptied from both liquid phases, organic and aqueous, and the sediment was re-dispersed in 0.5% v/v NC (ZnO dispersed in NC). The two aqueous suspensions were sonicated for 45 min in the USB before FFF measurements.

Method B: 0.05 g of cream, nominally containing 20% wt of ZnO, (either Zano10 or Zano10 Plus) was dissolved in 10 mL of n-hexane/ethanol (2:1) (1 mgmL⁻¹ of ZnO). The suspension was centrifuged for 10 min at 13,000 rpm. The sediment was separated from the organic phase and treated with a new aliquot of n-hexane/ethanol. To efficiently re-suspend the sediment in the organic phase, the suspension was subsequently sonicated for 10 min in the USB. After the centrifugation (10 min at 13,000 rpm), the organic solvent was removed and the sediment was divided in two portions, re-suspended in UPW and in 0.5% v/v NC, respectively. The two aqueous suspensions were sonicated for 45 min in the USB before FFF measurements.

2.4.3. Extraction of the ZnO particles from the lipophilic emulsions: F2 (W/O) and F4 (W/O)

Method C: 0.5 g of cream, nominally containing 20% wt of ZnO (either Zano10 or Zano10 Plus) was added to 10 mL of n-hexane (10 mgmL⁻³ of ZnO) and sonicated for 15 min in the USB. 5 mL of deionized water were added to the organic phase, shaken vigorously and centrifuged for 5 min at 10,000 rpm. The solvents were then removed and replaced with 5 mL of n-hexane and 5 mL of UPW. To efficiently re-suspend the sediment, the suspension was sonicated for 15 min in the USB, and centrifuged at 10,000 rpm for 5 min. After having removed the solvents, the sediment was divided in two aliquots, re-suspended in UPW and in 0.5% v/v NC, respectively. The two aqueous suspensions were sonicated for 45 min in the USB before FFF measurements.

Method D: 0.1 g of cream, containing nominally 20% wt of ZnO (either Zano10 or Zano10 Plus) was suspended in 10 mL of n-hexane/ethanol (2:1) (2 mgmL⁻¹ of ZnO) and treated as described in Method B. The extraction procedure was repeated three times.

2.4.4. Extraction of the ZnO particles from commercial formulations

0.5 g (CS-1 and CS-2, W/O emulsions) and 1.0 g (CS-3, O/W emulsion) of cream were dispersed in 50 mL of n-hexane/ethanol and allowed to stand for 2 days. The W/O emulsions were treated according to the Method D, while the O/W emulsion was treated according to the Method A.

2.4.5. Sample preparation for the SEM observations

ZnO particles were suspended in a 0.1% v/v NC solution, sonicated in an USB (Ultrasonic CP104 STD, C.E.I.A. SpA, I) for 30 min (50% of the 400 VA max), filtered through a 0.1 µm Isopore™ membrane (Millipore-Merck), then glued onto aluminium stubs using double-adhesive tape (TAAB Laboratories Equipment, Ltd. Aldermaston, Berkshire, UK). The ZnO particles extracted from the commercial samples and contained in the aqueous phase were suspended in a 0.1% v/v NC solution and treated as described above. SEM observations were done with a scanning electron microscope (ZEISS EVO 40, Carl Zeiss Microscopy GmbH, D); the accelerating voltage was 20 kV.

2.4.6. Sample mineralization for the ICP-OES and ICP-MS analyses

Between 0.15 g – 0.20 g of powder or cream was carefully weighted and placed in a Teflon vessel (TFM Milestone), in which 6 mL of HNO₃ suprapure and 1 mL of H₂O₂ were added. The tubes were placed in a microwave oven (Ethos 900 Milestone, rotor HPR 1000/6 M). Digestion of the samples was performed following the temperature program reported in Table S-2. Each vessel was then left to cool down for at least 10 min before adding 1.5 g of H₂BO₃. The vessel was then returned in the microwave for 5 min at 300 W. Finally, the vessels were cooled down and the content was transferred to a 50 mL volumetrical flask and diluted with ultrapure water to a final volume of 50 mL. All samples, including the commercial samples, were quickly dissolved producing clear and transparent final solutions. All digestions were performed in triplicates.

3. Results & discussion

3.1. Stability of the ZnO dispersions: choice of the suspending medium and the dispersing procedure

The success of a separation analysis with FFF instruments strongly depends on the stability of the suspensions, which should be stable at least over the time necessary to accomplish the measurements. The interactions between ZnO particles in aqueous media are affected by several parameters including pH, ionic composition and surfactants. To gain information about the surface charge of the ZnO particles, the Zano10 and Zano10 Plus powders were dispersed in 15 mM KCl and the ζ-potential was measured as a function of pH. The experimentally determined isoelectric points (i.e.p.) were i.e.p. = 10.0 for the Zano10 Plus and i.e.p. = 10.2 for the Zano10 particles (Fig. S-2a), values slightly higher than the values reported by Majedi et al. [26] (9.0) and Keller et al. [27] (9.2), but in line with those reported by other authors [28,29].

The average hydrodynamic diameters of the particles, or more precisely aggregates or agglomerates, in the ZnO suspensions measured by DLS, were roughly 500 nm at pH 3 – 4 and gradually increased as the pH approached the i.e.p. (pH_eq ≈ 10), thereby reaching a maximum. At pH 11, a decrease of the i.e.p. was observable, in line with the ζ-potential values (Fig. S-2b) and confirming a trend also observed for other metal oxide systems [30,31]. This trend is common for suspensions, which are only stabilised electrostatically [29] and for which it is known that particles start to aggregate when the absolute value of the zeta potential decreases to less than approximately 30 mV. According to these measurements, the ZnO suspensions should be stable either at very low or at very high pH values. However, the addition of ionic dispersants or surfactants to the suspensions improve their stability since they add surface charge density on the metal oxide surface by simple surface absorption [29].
A series of different dispersion protocols were tested for both ZnO powders. They were dispersed in ultrapure water (UPW), in four aqueous buffers at different pH values (acetic buffer – pH 4 and 5, ammonium buffer – pH 10 and 11) and in presence of a surfactant (0.2% v/v and 0.5% v/v NC). The powder Zano10 Plus was also dispersed in H₂O/MeOH 20%. The dispersions prepared in the buffer solutions were all unstable within a 24 h-period, which is in agreement with the indication obtained from the ζ-potential data. On the other hand, the ZnO dispersions in UPW and 0.5% NC were surprisingly stable (Supp. Mat. Fig. S-3) with a pH, measured at 23 °C of ~7.2 in UPW and 10.4–10.5 in 0.5% v/v NC. This latter value closely relates to the i.e.p., the measured ζ-potential of this suspensions was ± 45.4 (±0.5) mV, confirming a significant adsorption of surfactant molecules on the surface of the ZnO particles.

The suspensions here analysed and discussed were prepared in 0.5% v/v NC and sonicated for 60 min in the USB each time prior to use to guarantee at least a 24 h stability. The treatment with the USB for 60 min was the most efficient dispersing procedure chosen among vortex (2 min) and USB for 15, 30 or 60 min. Suspensions were stored at 4 °C for a period no longer than 4 weeks to avoid bacterial contaminations and particle size modifications [32].

3.2. CF3 and AF4 dimensional characterization of the ZnO powders

The dimensional characterization of both ZnO particle suspensions (Zano10 and Zano10 Plus – 1 mgmL⁻¹), was accomplished by both CF3 and AF4. The separations by CF3 and AF4 were performed under programmed field conditions since the samples had very wide dimensional distributions. The field decay profile followed a “power decay” like shape, whose parameters reported in Table 2 were selected after an optimisation study, aiming to have a good fractionation power F₆ (F₆ slowly decreasing from 6 to 2.2 for the CF3 separations [20]) in a reasonable analysis time. The fractograms were always recorded by both UV–vis and MALS detector. The eluent was a 0.2% v/v NC solution for both techniques. All analyses were usually repeated 3–5 times to check the repeatability of the separation process, which was very high, as proven by the sequence of CF3 and AF4 fractograms perfectly overlapping shown in Fig. S-4. This systematic control allows a simplified comparison between the two techniques through graphs, which only report one fractogram as shown of Fig. 1. The CF3 separations required longer analysis times than AF4 (graphs a and c, 160 min vs 90 min – graphs b and d), independently of the type of powder suspensions. The AF4 fractograms also highlight a bimodal distribution for both Zano10 and Zano 10 Plus samples, not visible in the CF3 fractograms. This apparent discrepancy finds an explanation by observing the particle sizes, elaborated from the MALS signal and reported on the right y-axis as radius of gyration (r_g) superimposed by the selected separation profiles, obtained from the UV detector signal at 380 nm (Fig. 1). The diameters d_p (the blue squares are r_g) in the CF3 fractograms span roughly from 40 nm to 1000 nm, with average sizes (computed from the r_g at the UV peak maximum) equal to d_p ~ 260 nm (plot a – Zano10) and d_p ~ 200 nm (plot e – Zano10 Plus), respectively. It is worthwhile to observe that the range of the particles dimensions are referred to the UV profile; r_g values larger than 500 nm (MALS) are here reported and commented to underline that the sample contain very large aggregates. It must be recalled, in fact, that MALS sizes are based on the Rayleigh-Debye-Gans (RDG) approximation [33–35], which sets as rough limits for r_g at 10 nm and 500 nm, respectively as lowest and largest reliable size. As far as it concerns the AF4 separations, the measured diame-

Fig. 1. CF3 (a and c) and AF4 (b and d) fractograms of the ZnO Zano10 and Zano10 Plus powders suspended in 0.5% v/v NC solution. The fractograms show the UV signal recorded at 380 nm (black line) on the left y-axis and the radius of gyration determined by MALS on the right y-axis (blue squares). The UV-MALS detectors were coupled on-line for both techniques. The analysis conditions are reported in Table 2. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)
ters are in the range of 20–1800 nm (plot b), with an average size of 160 nm at the UV-peak maximum, while the coated particles (plot d) have diameters in the range of 90–1300 nm, with a prominent size of 120 nm. The second population includes very large particles/aggregates, with diameters between 500 nm up to 1300 nm.

The comparison between the two separation techniques highlights how they can give complementary information because of their different separation potentialities. AF4 is able to separate particles of very small sizes better than CF3 since the separation is based on their hydrodynamic properties and not on the mass. By a rough calculation based on sedimentation FFF theory [36], a 30 nm spherical particle would have a retention time of 4 min under the selected CF3 separation conditions. On the other hand, because of its lower separation power, AF4 is able to include also larger aggregates in the fractogram, which CF3 would only separate with an extremely high retention time (secondary population).

Another important aspect, which has to be underlined is the role of the detection. The main peak of the coated particles is narrower and it elutes approximately 10 min earlier than that of the uncoated particles (42 min — plot b). If the AF4 separation would have been monitored only by UV–vis a plausible hypothesis explaining the time shift could be that the triethoxycaprylyl silane coating causes a repulsion between the particles and the accumulation wall, determining an equilibrium position of the coated particles (plot d) farther away from the accumulation wall than what observed for the uncoated particles (plot b). The on-line MALS detection proves instead, in an independent manner, that the triethoxycaprylsilane coating limits the particle aggregation when the particles are dispersed in 0.5% NC solution, since the dimensions, determined in correspondence to the peak maximum are 120 nm (d) vs 160 nm (b), and the secondary peak maxima differ significantly, $d_h \approx 1260$ nm (d) vs 1600 nm (b).

A further additional check about the average sizes of the ZnO particles measured by AF4 was done through on-line coupling with DLS (Fig. 2). The normalized fractograms for the sample Zano10 shows a narrow single peak (plot a) with an average size hydrodynamic diameter $d_h \approx 140$ nm/150 nm (referred to the DLS and UV profiles, respectively) and a size range spanning from $d_h \approx 20$ nm to $d_h \approx 400$ nm. The sample Zano10 Plus (plot b) shows a bimodal distribution confirming the UV profile. The average size of the main population is $d_h \approx 140$ nm. Fig. 2 also reports the sizes determined by MALS (blue squares) for a direct comparison; $r_g$ and $r_h$ data start to disagree after 50 and 45 min of elution, respectively. Fig. S-6 reports the $r_g/r_h$ trends for both samples as a function of the retention time; values span from 0.6 (20 min) up to 2.0 (80 min), with values of 0.9 and 0.85 at the respective peak maxima, strongly indicating an irregular shape of the particles and aggregates [37].

From an instrumental point of view, when possible, the application of different detectors on-line (UV–vis, MALS and/or DLS) guarantees the undisputed advantage of collecting size information independently of the retention time data, which might be affected by physico-chemical interactions difficult to reproduce during the channel calibration done with standards [38–40].

The high dimensional heterogeneity highlighted by both FFF techniques is supported by the SEM observations, whose results are exemplified by the pictures reported in Fig. 3. Both Zano10 and Zano10 Plus particles have an irregular shape; they are aggregated and agglomerated and a general glance shows that there are no important differences between the two ZnO particle types. The dimensions of the primary particles are $< 100$ nm; some of them were measured and the values are marked on the pictures; they were 66 nm and 85 nm for the Zano10 (picture b) and 31 nm and 47 nm and Zano10 Plus (picture d), respectively. The absence of smaller particles is not excluded since the membrane had pores of 100 nm.

### 3.3. Dimensional characterization of the ZnO particles extracted from the sample formulations

The most critical step in the size characterization of insoluble, inorganic particles, used to formulate cosmetic products, is their extraction from the complex matrix, in other words, the sample preparation. In order to isolate this type of analyte, it is often indispensable to chemically destroy the complex matrix, a procedure that might introduce important artefacts altering the original particle sizes or the original particle size distributions [41].

Cosmetic formulations can be distinguished in water-in-oil W/O and oil-in-water O/W emulsions, as the ZnO particles available on the market exhibit either an uncoated, polar, surface or a surface modified with molecules to make them lipophilic and suitable for less polar matrices.

Since one of the final aims of this study is the analysis of ZnO particles dispersed in commercial baby care cosmetic emulsions, where they might be incorporated up to 25% wt [8], the uncoated and coated ZnO particles were included both in oil-in-water emulsions (F1 (O/W) and F3 (O/W), and in water-in-oil emulsions (F2 (W/O) and F4 (W/O)). These four creams formulated in-house were then processed according to different protocols to determine the most suit-

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**Fig. 2.** AF4 fractograms of the Zano10 (a) and the Zano10 Plus (b) particles monitored by both DLS (black line) and UV (red line). Radius profiles evaluated by on-line DLS (green squares) and MALS (blue squares) are also reported in the same plots. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)
able extraction procedures that do not alter the original ZnO particle size distribution. The selection of the extraction method, which depended on the respective emulsion type and on ZnO particles, was also connected with the analysis procedure, since only those FFF analyses that generated reproducible and repeatable fractograms were considered. The samples analysed by CF3 and AF4 are listed in Table 1.

3.3.1. Dimensional characterization of the Zano10 particles extracted from the O/W emulsion

The O/W emulsion was dispersed in deionised water and the resulting dispersion was either treated with n-hexane (method A) or the emulsion was directly treated with a 2:1 v/v mixture of ethanol/n-hexane solution (method B). In both cases, the ZnO particles, separated by centrifugation, were re-suspended in a 0.5% w/v NC solution. Fig. 4 reports the CF3 fractograms along with the sizes determined by MALS. Plots (a) and (b) refer to the particles extracted according to the methods A and B, respectively. Fractogram (a) displays a very high void time signal (dashed red rectangle) compared to the main peak, probably due to sample components not properly fractionated. The particle sizes, corresponding to the peak, span between $d_p = 90$ nm up to roughly $d_p = 1300$ nm, with an average size of 260 nm (maximum) perfectly in line with the sizes of Fig. 1a. The separation of the same extract by AF4 (Fig. S-7a) produced a tail peak; the particle size range ($d_p = 60$ nm – 800 nm) was confirmed, but the average dimension of 250 nm (refered to the maximum of the UV profile) was significantly higher than, that determined for the original powder (Fig. 1b).

The particles extracted according to method B produced reproducible peaks in term of shape (Fig. 4b), but the CF3 channel required a couple of injection in order to obtain a stable detector signal (saturation of the accumulation wall). The sizes linearly increased between $d_p = 35$ nm to $d_p = 1200$ nm (at ~ 100 min), with 390 nm at the UV-peak maximum, which is in disagreement with the sizes determined for the original powder (Fig. 1a).

3.3.2. Dimensional characterization of the Zano10 particles extracted from the W/O emulsion

The uncoated ZnO particles were extracted from the W/O emulsion according to the methods C (n-hexane) and D (a mixture 2:1 v/v ethanol/n-hexane). After treatment with n-hexane, the suspension appeared dense and very concentrated, with particles adhering onto the vial glass surface, from which they could be only detached by adding deionised water. The suspension was very unstable and prone to flocculate quite rapidly. To obtain a stable suspension, the particles were re-dispersed in 0.5% NC and sonicated for 45 min. Fig. 4e shows an example of a CF3 fractogram, in which the sizes range from approximately $d_p = 40$ nm to 760 nm, with an average dimension (UV-peak maximum) of roughly 300 nm. On the other hand, the AF4 fractionation produced an incorrect separation, described by a fronted peak, which was very high and narrow compared to the initial distribution with sizes, derived from the MALS signal, unusually oscillating (Fig. S-7b).

Likewise, method D produced a suspension difficult to be fractionated, as proven by the CF3 fractogram shown in Fig. 4d. Large parts of the sample eluted in the void peak (dashed red rectangle) while the rest formed a broad peak, located at very high retention times. The sizes determined by MALS ranged between $d_p = 30$ nm and 2000 nm, with an average value of 700 nm at the UV-peak maximum. The analysis of this sample by AF4 was impossible as, no sample elution was registered, confirming the inappropriateness of the AF4 technique to analyse such a suspension type.

3.3.3. Dimensional characterization of the Zano10 plus particles extracted from the O/W emulsion

The ZnO particles coated with triethoxycapryl silane were extracted from the O/W emulsion with the methods A and B. The sus-
pension obtained from method A produced a CF3 fractogram (Fig. 5a) showing a single, symmetric peak with an average particle size $d_p$ of 180 nm at the UV-peak maximum, close to the size measured in the original powder ($d_p \approx 200$ nm – Fig. 1e). The separation occurred linearly and the dimensions of the aggregates/agglomerates exiting after 120 min increased quite rapidly to roughly $d_p \approx 1760$ nm. The AF4 analysis of the same suspension allowed the separation of the largest aggregates/agglomerates as well. The graph (Fig. S-10a) shows a bimodal elution profile, whose average sizes, measured at the respective peak maxima of the 92$\text{nd}$ MALS signal, were bigger than those determined from the analysis of the original powder ($d_p \approx 140$ nm vs $d_p \approx 120$ nm, and $d_p \approx 1000$ nm vs $d_p \approx 750$ nm – Fig. 1d). The same fractionation was also monitored by DLS (Fig. S-10c). The graph shows a single peak and the hydrodynamic diameter measured in correspondence with the intensity maximum, was $d_p \approx 160$ nm, proving that the detector plays an important role in describing the same fractionation.

The CF3 and AF4 analysis of the suspension obtained from the extraction method B resulted in a bimodal elution profile for both techniques. The whole range of $r_g$ values determined by MALS during the CF3 fractionation is equivalent to that reported in Fig. 1e but the average size of 350 nm at the first UV-peak maximum is in disagreement with the 200 nm measured for the original powder and the UV profiles differ in correspondence of the second population. On the contrary, the AF4 fractionation (Fig. S-10b) produced a bimodal size distribution in line with that of the original powder (Fig. 1d), but it differs for the average size of the second population ($d_p \approx 700$ nm vs $d_p \approx 1260$ nm).

3.3.4. Dimensional characterization of the Zano10 plus particles extracted from the W/O emulsion

In analogy to the uncoated particles, the extraction of the Zano10 Plus particles from the W/O emulsions was done by applying the methods C and D. The CF3 separation of the particles extracted with method C produced a broad peak (Fig. 5e), exiting the channel a high retention time. The size determined by MALS confirmed the presence of very large aggregates/agglomerates, whose average diameters were roughly $d_p \approx 1200$ nm. The AF4 separation instead gave irreproducible results (data not shown).

The ZnO coated particles extracted through the method D generated a fractogram (Fig. 5d), whose signal UV was very weak and shifted at higher retention times when compared to that obtained with the original powder (Fig. 1e); the average size at the UV-peak maximum was of 440 nm (+120%). The same suspension, analysed by AF4 (Fig. S-10d), produced a fractogram, with a bimodal elution profile in good correlation with the original powder, but the average sizes measured in correspondence with the first population were much higher ($d_p = 220$ nm vs $d_p = 120$ nm, Fig. 1d).

A summary of the minimum, maximum and average sizes determined by MALS from the CF3 and AF4 fractionations is reported in Table 3.

The conclusions, which can be drawn from this careful examination are that extraction methods A are the methods of choice when uncoated and coated ZnO particles are included in oil-in-water emulsions; while in case of a water-in-oil emulsion, method C is advisable if the ZnO particles are uncoated, method D if the particles are superficially modified (Fig. S-11). However, these latter two extraction methods could produce aggregates/agglomerates of larger sizes. As far as it concerns the technique, CF3 revealed to be the most robust, since it allowed the fractionation of all types of suspensions. AF4...
may also deliver promising results, however further systematic studies with membranes of different chemical compositions need to be performed for the different types of suspensions. These conclusions are the starting point for the analysis of the commercial products.

3.4. Dimensional characterization of particles inside commercial cosmetic formulations

The commercial products were cosmetic formulations for the baby bottom skin care. ZnO is usually present in these topical creams or ointments at different concentration levels, to a maximum of 40% wt (highest percentages of ZnO are possible in galenic formulations, i.e. formulated following a medical prescription). These products are specially designed to prevent any diaper rash, redness and irritation; zinc oxide forms a protective but breathable barrier, that keeps the nappy area dry.

The careful reading of the ingredients list reported on the packages (Supp. Mat. § S-2) allowed to recognize the emulsion type and to apply the appropriate extraction procedure, aware that no information was available about the surface of the ZnO particles (presence of a surface coating).

After a preliminary test aiming to evaluate the solubility of the emulsions in n-hexane, samples CS-1 and CS-2 were treated according to method D since they appeared as W/O emulsions, and sample CS-3 with method A since it looked like an O/W emulsion. The extracted particles were all re-dispersed in a 0.5% NC solution and analysed by CF3 using a 0.2% NC solution as mobile phase.

All fractograms (Fig. 6a-c): well reproducible, present a single, broad peak, with a minimum dimension differing from sample to sample, but with a common maximum size close to 2 μm. The size ranges of the particles/aggregates/agglomerates measured by MALS show interesting differences. CS-1 contains particles of small dimensions (d ≅ 20 nm) (Fig. 6a), while the CS-2 and CS-3 samples apparently do not contain particles (aggregates) < 100 nm, as confirmed by the SEM pictures, and the sizes of their particles span between 270 and 800 nm, and 160–1750 nm, with average sizes of 420 nm and 300 nm nm at the respective UV-peak maxima (Fig. 6b,c).

CS-1 appeared as the most concentrated and polydisperse formulation since the fractionation was still unfinished after 200 min. The average dimension is d₂ ≅ 400 nm and the presence of single ZnO particles <100 nm was confirmed by the SEM observation. If this would be a study aiming at the safety assessment of this product, its median diameter D50 and the D1 of the particle number size distribution should be evaluated to verify if they are >30 nm and >20 nm respectively, as recommended in the opinion SCCS/1489/12 [7].

3.5. Preliminary quantitative evaluations

All data presented and discussed in the previous paragraphs lack of quantitative considerations. This aspect, essential to set up validated methods of analysis [42–44], was not neglected but limited to the aims of this study. Nevertheless, the present investigation allows listing the steps that must be kept under control.

Firstly, it is necessary to experimentally verify the actual content of ZnO in all samples, also when the concentration is declared. The batch ICP-OES analysis of all samples, after their acidic mineralization, showed interesting discrepancies in either the Zano powders and the in-house formulated creams.

The ZnO content of the Zano powders compared to the amount of ZnO declared by the producers was (95.9 ± 1.1)% wt (Zano10) and (95.1 ± 0.6)% wt (Zano10 Plus) (Table 4) against the declared purities of 99 wt% and 96% wt. The missing 4% wt in Zano10 Plus accounts for the coating.
The four in-house emulsions, prepared as sample tests were formulated by nominally adding 20% wt of ZnO powder. The applied protocol foresees the addition of the ZnO as final ingredient, independently of the ZnO type, in order to incorporate the ZnO in the external phase, water or oil, depending on the emulsion type. Because of this procedure, the ZnO content (Table 4), was roughly 26.5% wt F1 (O/W), 17% wt F2 (W/O), 18% wt F3 (O/W) and 28.5% wt for the F4 (W/O). The large discrepancy from the nominal value could be probably ascribed to the final homogenisation step, which would deserve an optimization study establishing the right time to get a homogeneous product for each formulation as it normally occurs in industrial processes. An alternative preparation method might be adopted from the common formulation of sunscreen creams, in which the oil phase containing lipophilic substances and the aqueous phase containing hydrophilic substances are separately heated in a water bath; then gradually mixed under constant stirring until the mixture is congealed at room temperature. The ZnO particles would be dispersed in the oil or aqueous phase, depending on their surface coating [45]. This method usually allows a more effective mixing/dispersion of the inorganic particles [46]. The inhomogeneous distribution of the ZnO in the in-house creams was not considered, however, a limit for setting up the extraction method, since the observed correspondence between the initial and final (after the extraction) particle sizes was an indirect proof of a good representative particle sampling.

The ICP-OES and ICP-MS analyses of the mineralised commercial products (topical dermatological formulations, in which the ZnO concentration can reach the 40% wt) produced data with very low standard deviations (Table 5), a confirmation that the industrial preparations are homogeneous. Sample CS-3 contained 5% wt of ZnO, while the CS-2 and CS-1 samples contained 17% wt and 19.6% wt (20% wt declared on the label), values in line with those of the in-house formulated samples. Small discrepancies in the declared concentrations of the commercial products were also found by C. Cascio et al. [47] in the case of silver NPs analysis.

Secondly, it is relevant to determine the extraction yields and to know the concentration of the suspensions that have to be injected in the FFF channels. This latter aspect was faced by analysing the extracted suspensions directly by ICP-MS. Unfortunately, the data were not reproducible, likely because the suspensions still contained traces of the organic solvent. An acidic mineralisation of these suspensions is probably the solution, but a study aiming to define the chemical composition of the mineralisation acidic solution is necessary to ensure a complete decomposition of organic solvent residuals. As far as it concerns the extraction yields, there are several steps, in which sample loss might occur including, e.g. adhesion of the creams on the glass vial walls or incidental aspiration of the aqueous phase during the organic phase removal (with the Pasteur pipette) after the centrifugation.

Lastly, it is very important to evaluate the CF3 (or AF4) separation yields, since low recoveries are possible, especially with the AF4 technique [48]. This evaluation is possible by coupling the FFF channels with a specific element detector, such as the ICP-MS, which is able to monitor on-line the amount of Zn fractionated. The FFF-ICP-MS coupling obviously increases the analysis costs, justified only when the separation set up is completed, i.e. after being sure of having chosen the right technique for the purposes of the research, after having established the proper separation parameters (flow rates, field strength, decay profile, etc.) and after having tested the reproducibility and the repeatability of the separations.

4. Conclusions

The results obtained in this study confirmed that the stability of the ZnO particles, uncoated or superficially modified, depends on the pH, the ionic strength, the chemical composition and the presence of surfactant in the suspending medium. Measuring the zeta-potential of the suspensions gave a good indication of the reached stability conditions. The most stable suspensions were obtained by dispersing the powders in 0.5% v/v NC solution and sonicating them for 60 min in an ice-cooled ultrasonic bath.

There are no “one extraction method fits all” solutions. They need to be tailored toward the emulsion (W/O and O/W) and the type of particles, taking into account the hydrophilic or lipophilic surface. Unfortunately, when commercial products are analysed, the secrecy
of the formulation recipe does not allow having the certainty of determining a particle size distribution, which corresponds to the original ZnO powdered ingredient. However, depending on the analysis purposes, this might not be a detrimental aspect, since the aim could simply be the determination of the aggregation/agglomeration state of the ZnO particles in the final product, as it is spread out onto the skin.

Concerning the two FFF techniques, CF3 and AF4 were compared in this study. CF3 was able to sort all types of suspensions, with
analyses times usually longer than those observed for AF4. To separate all type of suspensions by AF4, likely membranes of different chemical composition need to be tested (e.g. polyethersulfone, cellulose triacetate, PVDF membranes are available). Still, from an instrumental point of view, the presence of different detectors on-line (UV–vis, MALD or DLS) for both techniques, guarantees the undisputed advantage of collecting particle size information independently of potentially biased retention time data, especially when the sample behaviour deviates from the ideality because of possible reciprocal particle interactions or possible interactions with the channel walls. The observation that, being sample equal, the average sizes measured by AF4-MALS were always smaller than those determined through CF3-MALS, suggests the complementary nature of the techniques in dealing samples extremely heterogeneous in sizes. While AF4 is preferred when it comes to the characterization of the smaller fractions of the sample, CF3 is more suited for the larger aggregates, although this may lead to very long separation runs. However, CF3 revealed to be the more robust technique for the analysis of ZnO particles in cosmetic products in comparison to AF4, and the smallest ZnO particles (d < 30 nm), unfractinated in these experiments by CF3, could be correctly fractionated by increasing the field strength and modifying the field program.

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Appendix A. Supplementary data
Supplementary data associated with this article can be found, in the online version, at http://dx.doi.org/10.1016/j.chroma.2017.07.098.

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